

*2014 State of Texas
Consolidated Annual Performance and
Evaluation Report
Reporting on Program Year 2013*



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Introduction

The Texas Department of Housing and Community Affairs (TDHCA), which administers the HOME Investment Partnerships and Emergency Solutions Grant Program, and the Texas Department of Agriculture (TDA), which administers the Community Development Block Grant Program, have completed the *2014 State of Texas Consolidated Annual Performance and Evaluation Report: Reporting on Program Year 2013*. The Texas Department of State Health Services (DSHS), which administers the Housing Opportunities for Persons with AIDS Program, completed its Consolidated Annual Performance and Evaluation Report, which is incorporated in this document.

This report is required as part of the US Department of Housing and Urban Development's (HUD) Consolidated Planning process and is outlined specifically in 24 CFR §91.520. The Consolidated Planning process covers four HUD formula grant programs: Community Development Block Grant (CDBG), Emergency Solutions Grants (ESG) Program, HOME Investment Partnerships (HOME) Program and the Housing Opportunities for Persons with AIDS (HOPWA) Program.

This report is an integral part of HUD's Consolidated Planning process, which requires TDHCA and TDA, and DSHS (Departments) to evaluate their accomplishments over the past program year. The information contained in the Consolidated Annual Performance and Evaluation Report (CAPER, Report) helps the Departments evaluate how well they met stated goals in the *2013 One Year Action Plan*, the *2010-2014 State of Texas Consolidated Plan*, and objectives when developing future plans. The CAPER reports on Program Year 2013 (February 1, 2013, through January 31, 2014).

The CAPER is organized into the following sections:

- Introduction. This section includes an overview of the Report and the outlining federal regulation applicable to the programs covered in the Report.
- Part I: CAPER for CDBG, ESG, & HOME
 - Program Performance. This section includes PY 2013 performance data for the CDBG, ESG, and HOME programs, as outlined in 24 CFR §91.520(a), and the interim rules released by HUD in December 2011. Program-specific sections of regulation, including 24 CFR §91.520(d) for CDBG, 24 CFR §91.520(g) for Emergency Solutions Grant Program and 24 CFR §91.520(e) for HOME are included in this section. This does not include 24 CFR §91.520(f) for HOPWA which is reported separately in Part II.
 - Other Actions. This section reports the “other actions indicated in the strategic plan and the action plan,” as directed by 24 CFR §91.520(a) and addressing 24 CFR §91.520(b) and (c). These actions include Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Institutional Structure, Reducing and Ending Homelessness and Affirmatively Furthering Fair Housing. This does not include HOPWA which is reported separately in Part II.
 - Goals and Objectives. This section reports on the goals and objectives for each program area except for HOPWA.
- Part II: Consolidated Annual Performance and Evaluation Report for HOPWA
 - HOPWA Consolidated Annual Performance and Evaluation Report.

- Part III: Public Participation
 - Public Participation. This section describes the public participation process used in the developing this CAPER, Parts I and II.

REGULATION**§91.520 (Interim Rule Released by HUD in December 2011)**

(a) *General.* Each jurisdiction that has an approved consolidated plan shall annually review and report, in a form prescribed by HUD, on the progress it has made in carrying out its strategic plan and its action plan. The performance report must include a description of the resources made available, the investment of available resources, the geographic distribution and location of investments, the families and persons assisted (including the racial and ethnic status of persons assisted), actions taken to affirmatively further fair housing, and other actions indicated in the strategic plan and the action plan. This performance report shall be submitted to HUD within 90 days after the close of the jurisdiction's program year.

(b) *Affordable housing.* The report shall include an evaluation of the jurisdiction's progress in meeting its specific objective of providing affordable housing, including the number and types of families served. This element of the report must include the number of extremely low-income, low-income, moderate-income, middle-income, and homeless persons served.

(c) *Homelessness.* The report must include, in a form prescribed by HUD, an evaluation of the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

(1) Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs;

(2) Addressing the emergency shelter and transitional housing needs of homeless persons;

(3) Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again; and

(4) Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are

(i) Likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health-care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or

(ii) Receiving assistance from public or private agencies that address.

(d) *CDBG.* For CDBG recipients, the report shall include a description of the use of CDBG funds during the program year and an assessment by the jurisdiction of the relationship of that use to the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities that were identified. This element of the report must specify the nature of and reasons for any changes in its program objectives and indications of how the jurisdiction would change its programs as a result of its experiences. This element of the report also must include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

(e) *HOME*. For HOME participating jurisdictions, the report shall include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, an assessment of the jurisdiction's affirmative marketing actions and outreach to minority-owned and women-owned businesses, and data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics.

(f) *HOPWA*. For jurisdictions receiving funding under the Housing Opportunities for Persons With AIDS program, the report must include the number of individuals assisted and the types of assistance provided.

(g) *ESG*. For jurisdictions receiving funding under the ESG program provided in 24 CFR Part 576, the report, in a form prescribed by HUD, must include the number of persons assisted, the types of assistance provided, and the project or program outcomes data measured under the performance standards developed in consultation with the Continuum(s) of Care.

(h) *Evaluation by HUD*. HUD shall review the performance report and determine whether it is satisfactory. If a satisfactory report is not submitted in a timely manner, HUD may suspend funding until a satisfactory report is submitted, or may withdraw and reallocate funding if HUD determines, after notice and opportunity for a hearing, that the jurisdiction will not submit a satisfactory report.

(i) The report will include a comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives.

Part I: Consolidated Annual Performance and Evaluation Report for CDBG, ESG and HOME PROGRAM PERFORMANCE

This section reports on the PY 2013 (February 1, 2013-January 31, 2014) performance in the Community Development, Homeless and Housing categories, excluding Non-Homeless Special Needs which will be reported in Part II of this publication. Each section reports on the following subjects, as required by 24 CFR §91.520(a):

- Description of the resources made available
- Investment of the available resources
- Geographic distribution and location of investments
- Families and persons assisted

The Community Development section reporting on the Community Development Block Grant (CDBG) Program includes the additional provisions of 24 CFR §91.520(d), which requires that the report include (1) a description of the use of CDBG funds during the program year, (2) an assessment of the relationship of that use to the priorities and specific objectives identified in the plan, (3) the nature of and reasons for any changes in program objectives, and (4) indications of how TDA would change the program as a result of its experiences. The description of the use of CDBG funds is included in the “Investment of Available Resources” part of the CDBG Program section.

This report includes program information for the Emergency Solutions Grants (ESG) Program, and it references 24 CFR §91.520(g), which requires that the report include (1) the number of persons assisted, (2) the types of assistance provided, and (3) the project or program outcomes measured under the performance standards developed in consultation with the Continuum(s) of Care.

The Housing section reporting on the HOME Investment Partnership (HOME) Program includes the additional provisions of 24 CFR §91.520(e), which requires that the report include (1) the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, (2) an assessment of the jurisdiction’s affirmative marketing actions and outreach to minority-owned and women-owned businesses, and (3) data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics. The data on the use of program funds and number of projects are included in the “Investment of Available Resources” part of the HOME Program section, while owner and tenant characteristics are included in the “Families and Persons Assisted” part.

In accordance with the guidelines from HUD, the State complies with the Community Planning and Development (CPD) Outcome Performance Measurement System. The performance measures targets, including the objectives and outcomes, are described in the One Year Action Plan chapter of the *2010-2014 State of Texas Consolidated Plan*. The State’s performance regarding the targets for PY 2013 are reported in this document.

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

The objective of the Community Development Block Grant (CDBG) Program is “the development of viable communities by providing decent housing, suitable living environments, and expanding economic opportunities, principally for persons of low and moderate income (0-80 percent of Area Median Family Income (AMFI)).” Due to a state Legislative action effective October 1, 2011, TDA administers the “non-entitlement” or “states and small cities program.” Under this program, HUD allocates CDBG funds directly to the State, which, in turn, allocates funds to small, non-metropolitan cities (populations of less than 50,000) and rural counties. Large metropolitan communities (populations of 50,000 or more), known as “entitlement areas,” receive their CDBG funding directly from HUD. The demographics and rural character of Texas have shaped a state CDBG Program that focuses on providing basic sanitary infrastructure to small rural communities in outlying areas. Eligible activities include sanitary sewer systems, water treatment improvements, disaster relief and urgent needs projects, housing, drainage and flood control, street improvements, and economic development.

DESCRIPTION OF RESOURCES

This section describes CDBG funding that was available for PY 2013

PY 2013 Funding

The following table lists the amount of funds available for PY 2013 through the HUD allocation, distributed according to the CDBG 2013 Action Plan. Total State Allocation: \$62,566,661.

PY 2013 CDBG State Allocation

<u>Fund</u>	<u>2013 Allocation by Program Fund</u>	<u>2013 Program Allocation</u>
Community Development	61.71%	\$38,609,886
Texas Capital Fund	14.51%	\$9,078,423
Colonia Fund		
Colonia Planning and Construction	6.80%	\$4,254,533
Colonia EDAP	3.20%	\$2,000,000
Colonia Set-aside	2.50%	\$1,564,167
Planning and Capacity Building	0.90%	\$565,340
Disaster Relief/Urgent Need		
Disaster Relief	4.10%	\$2,565,233
STEP Fund	3.12%	\$1,952,079
Admin (including TA) 3%	3.00%	\$1,877,000
Admin - \$100k (in addition to the 3%)	0.16%	\$100,000
TOTALS:	100.00%	\$62,566,661

PY 2013 Activities

Each activity proposed for funding under CDBG must address one or more of the following three national program objectives:

- Principally benefit low and moderate income persons. (At least 51 percent of the identified beneficiaries must have an income of less than 80 percent of the area median family income).
- Aid in the prevention or elimination of slum and/or blighted areas.
- Meet other community development needs of particular urgency which represent an immediate threat to the health and safety of residents of the community.

Activities are funded under the following program categories:

Community Development Fund

Grants are awarded on a competitive basis to address public facilities and housing needs such as sewer and water system improvements, street and drainage improvements, and housing rehabilitation activities.

Texas Capital Fund

Grants are awarded on a competitive basis based on objective scoring to eligible communities to address economic development needs by providing infrastructure and real estate improvements in support of businesses willing to create/retain jobs. This fund also includes the Main Street and Downtown Revitalization (MS/DRP) Programs that provide matching grant funds for public infrastructure to foster and stimulate economic development in rural downtown areas. Communities eligible for the Main Street Program must be a designated Texas Main Street Community.

Colonia Fund

Construction and planning grants are awarded on a competitive basis for community development projects such as sewer, water, and housing rehabilitation to county applicants for projects in unincorporated “eligible colonia” areas located within 150 miles of the Texas-Mexico border and outside metropolitan areas. Eligible colonias are identifiable, unincorporated communities lacking one or more basic services such as potable water supply, adequate sewage systems, and decent, safe and sanitary housing. This fund also includes legislative set asides to provide grants for the operation of colonia self-help centers located in seven Texas-Mexico border counties and for Economically Distressed Areas Program (EDAP) grants on an “as-needed” basis to provide water and sewer connections on projects funded by the Texas Water Development Board.

Planning/Capacity Building Fund

Grants are awarded on a statewide competitive basis to assist eligible cities and counties in planning activities that assess local needs, develop strategies to address local needs, build or improve local capacity, or address other needed local planning elements. Emphasis is placed on housing analysis, mapping, and public infrastructure planning.

Disaster Relief/Urgent Need Fund

Assistance is available to localities impacted by a natural disaster or an urgent need situation. Disaster Relief Funds address damages caused by natural disasters such as floods or tornadoes following an emergency declaration by the President or Governor. In 2012, “natural disaster” was expanded to include drought. Urgent need assistance is available for unanticipated and dangerous local situations, contingent upon the availability of funds.

Texas Small Towns Environment Program (STEP) Fund

Grants are awarded on a statewide competitive basis to cities and counties to assist communities willing to solve water and sewer problems by utilizing self-help techniques. This approach encourages local support such as volunteer labor and donated materials and/or equipment.

INVESTMENT OF RESOURCES

This section describes CDBG funding commitments that were made during the reporting period, using PY 2013 funds as well as program income and deobligated funds from prior program years.

PY2013 Funding Commitments

For PY 2013, the CDBG Program committed \$75,871,400 through 254 grants.

Total Amount of Funds Committed during PY 2013

Programs	No. of Awards	2013 Allocation	Prior Year - Allocation	Prior Year - Deobligated	Program Income	2013 Total Obligation
Community Development Fund	158	\$38,609,886		\$4,269,856		\$42,879,742
Colonia Construction Fund	11	\$4,061,006	\$1,438,994			\$5,500,000
Colonia Self-Help Centers						\$0
Colonia Economically Distressed Areas Program	2		\$619,665			\$619,665
Colonia Fund - Planning	1	\$24,250				\$24,250
Disaster Relief / Urgent Need	29	\$2,565,233	\$1,050,000	\$5,792,000		\$9,407,233
Texas Capital Fund *	22	\$8,874,390	\$2,076,189	\$1,705,399	\$2,217,631	\$14,873,609
Planning / Capacity Building Fund	15	\$560,495				\$560,495
STEP Fund	8	\$991,463	\$661,224	\$353,719		\$2,006,406
Acquisition -Included with TCF	8					\$0
Total	254	\$55,686,722.58	\$5,846,072.00	\$12,120,974.42	2,217,631.00	\$75,871,400.00

*Program Income (TCF): \$1,345,735 of total PI is PI-Deobligated

Matching Requirements

Match requirements vary by funding category:

- Matching funds are required for the Texas Capital Fund and Planning/Capacity Building Fund contracts.
- Projects with matching funds receive a scoring advantage for other fund categories – the Community Development Fund (if selected as a scoring criteria by the Regional Review Committee), Colonia Construction Fund, Colonia Planning Fund - Area Studies, and Renewable Energy Demonstration Pilot Program.
- Matching funds are neither a requirement nor scoring factor for the STEP Fund, Colonia Planning Fund-Comprehensive Studies, and Colonia Self-Help Centers.

For PY2013, the \$75,871,400 in CDBG funds was matched by 50%, or \$38,037,495 in local commitments.

Matching Funds Committed by Grantees, Contracts Awarded in PY 2013

Programs	No. of Awards	Amount of Grant Awards	Amount of Match Funds
Community Development Fund	158	\$42,879,742	\$5,633,481
Colonia Construction Fund	11	\$5,500,000	\$1,101,549
Colonia Fund - Planning	1	\$24,250	
Colonia Self-Help Centers Fund	0	\$0	\$0
Colonia Economically Distressed Areas Program	2	\$619,665	\$0
Disaster Relief / Urgent Need	29	\$9,407,233	\$2,120,612
Texas Capital Fund	30	\$14,873,609	\$29,093,619
Planning / Capacity Building Fund	15	\$560,495	\$88,234
STEP Fund	8	\$2,006,406	\$0
Total	254	\$75,871,400	\$38,037,495

The CDBG staff continues to work with the U.S. Department of Agriculture and Texas Water Development Board on projects that leverage funds from multiple agencies to take full advantage of available resources.

DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2013 funds were distributed and the location of CDBG awards.

Allocation Formula

The CDBG Program distributes funds using both statewide competitions and regional competitions. The Community Development Fund uses a specific formula based on population, poverty, and unemployment to distribute funds on a biennial basis to each of the 24 Council of Government regions across the state. Applicants compete within each region for the funds allocated to that area. Regional competitions ensure that funds are distributed across the state and allow each region to establish its own priorities for selecting applications for funding within the scope of the program.

All other CDBG funds are available to eligible cities and counties through statewide competitive processes. A statewide competition in the smaller funding categories provides for standardized consideration and funding of the most competitive applications regardless of the project location.

Program Performance

Community Development

Award Locations

PY 2013 CDBG awards were made in the following areas of the state:

County	No. of Awards	Amount of Awards	Beneficiaries	LMI Beneficiaries
ANDERSON	2	\$1,025,000.00	1,368	730
ARANSAS	2	\$800,000.00	195	165
ARCHER	2	\$544,450.00	698	384
ATASCOSA	2	\$550,000.00	3,320	1,881
AUSTIN	2	\$700,000.00	6,408	3,358
BANDERA	1	\$350,000.00	484	321
BAYLOR	1	\$44,140.00	2,908	1,521
BEE	1	\$500,000.00	74	68
BELL	1	\$275,000.00	1,593	968
BLANCO	1	\$275,000.00	1,150	607
BOSQUE	3	\$584,813.00	2,988	1,603
BOWIE	*2	\$181,725.00	3,912	1,927
BRAZOS	1	\$750,000.00	128	66
BREWSTER	1	\$168,637.00	492	254
BRISCOE	2	\$625,000.00	1,501	1,105
BROWN	1	\$275,000.00	385	199
BURNET	3	\$900,000.00	5,687	3,029
CALDWELL	1	\$275,000.00	442	332
CAMERON	7	\$2,249,638.00	47,962	28,535
CAMP	1	\$275,000.00	37	36
CASS	5	\$1,135,380.00	3,414	1,886
COKE	1	\$200,000.00	326	180
COLEMAN	1	\$275,000.00	5,085	2,689
COLORADO	2	\$1,350,000.00	3,599	1,895
CONCHO	1	\$200,000.00	119	103
COOKE	1	\$200,000.00	54	30
CORYELL	1	\$25,605.00	458	244
CROSBY	1	\$275,000.00	1,817	1,013
CULBERSON	1	\$168,637.00	2,975	1,599
DALLAS	1	\$275,000.00	1,955	1,086
DEAF SMITH	1	\$275,000.00	213	135
DICKENS	1	\$275,000.00	247	178
DIMMIT	2	\$460,000.00	1,408	1,076
DUVAL	1	\$500,000.00	47	43
EASTLAND	2	\$1,025,000.00	2,255	1,190
ECTOR	1	\$350,000.00	247	131
EDWARDS	2	\$650,000.00	1,361	886
EL PASO	3	\$383,049.00	2,548	1,430

County	No. of Awards	Amount of Awards	Beneficiaries	LMI Beneficiaries
ELLIS	5	\$1,249,163.00	18,288	10,231
ERATH	1	\$275,000.00	566	370
FANNIN	3	\$550,000.00	338	214
FAYETTE	1	\$275,000.00	1,140	771
FLOYD	*2	\$700,000.00	3,397	1,609
FOARD	1	\$28,995.00	961	668
FRIO	2	\$1,025,000.00	2,920	2,002
GAINES	1	\$150,000.00	2,334	1,191
GALVESTON	1	\$350,000.00	6,211	3,698
GARZA	1	\$350,000.00	3,205	1,689
GONZALES	3	\$700,000.00	4,340	2,696
GRAYSON	1	\$200,000.00	375	220
GRIMES	1	\$275,000.00	6,767	3,505
GUADALUPE	1	\$36,810.00	1,053	552
HALE	1	\$275,000.00	260	221
HAMILTON	1	\$275,000.00	1,354	700
HARDIN	3	\$825,000.00	2,596	1,399
HARRISON	1	\$349,657.00	119	90
HASKELL	4	\$1,325,000.00	7,555	4,298
HENDERSON	4	\$1,224,990.00	2,186	1,480
HIDALGO	2	\$997,775.00	1,371	1,336
HILL	1	\$274,999.00	286	159
HOCKLEY	2	\$550,000.00	1,328	784
HOOD	*2	\$625,000.00	1,946	886
HOWARD	1	\$350,000.00	874	599
HUNT	4	\$1,152,610.00	4,397	3,075
IRION	*3	\$900,000.00	1,716	857
JACK	1	\$275,000.00	595	461
JACKSON	2	\$550,000.00	2,195	1,177
JASPER	2	\$550,000.00	269	150
JEFF DAVIS	2	\$337,274.00	813	658
JEFFERSON	2	\$550,000.00	219	116
JIM WELLS	2	\$800,000.00	200	173
JOHNSON	3	\$900,000.00	27,012	14,669
KARNES	1	\$275,000.00	1,104	719
KAUFMAN	2	\$1,025,000.00	210	143
KINNEY	1	\$194,094.00	1,876	1,202
KNOX	3	\$899,500.00	2,845	1,602
LA SALLE	1	\$160,250.00	43	39
LAMB	1	\$350,000.00	813	441
LEE	1	\$275,000.00	980	649
LEON	2	\$550,000.00	1,032	566

Program Performance

Community Development

County	No. of Awards	Amount of Awards	Beneficiaries	LMI Beneficiaries
LIBERTY	1	\$350,000.00	391	366
LIMESTONE	1	\$300,000.00	852	494
LIVE OAK	1	\$300,000.00	123	101
LUBBOCK	1	\$30,780.00	658	346
MADISON	1	\$54,720.00	4,013	2,056
MARION	1	\$136,025.00	1,024	774
MATAGORDA	3	\$2,299,900.00	340	331
MAVERICK	1	\$403,785.00	1,978	1,119
MCLENNAN	2	\$574,999.00	10,379	5,505
MEDINA	4	\$1,100,000.00	2,184	1,455
MILAM	2	\$550,000.00	6,362	3,520
MILLS	*2	\$399,500.00	3,416	1,534
MITCHELL	1	\$750,000.00	38	38
MONTAGUE	1	\$275,000.00	2,798	2,003
MOORE	1	\$275,000.00	2,003	1,066
MORRIS	1	\$275,000.00	617	493
NACOGDOCHES	2	\$550,000.00	1,223	728
NAVARRO	6	\$2,611,560.00	2,113	1,185
NEWTON	*3	\$643,000.00	6,249	2,902
NUECES	1	\$30,780.00	776	402
OCHILTREE	1	\$275,000.00	1,147	612
PALO PINTO	3	\$649,900.00	40	22
PANOLA	2	\$522,000.00	623	475
PARMER	* 2	\$625,000.00	1,942	990
PECOS	1	\$350,000.00	418	223
PRESIDIO	2	\$336,775.00	4,224	3,054
RAINS	3	\$825,000.00	1,471	1,251
REAL	1	\$161,743.00	1,050	825
RED RIVER	*3	\$1,375,000.00	7,557	2,912
REFUGIO	4	\$1,144,410.00	6,255	3,367
ROBERTSON	3	\$1,225,000.00	933	507
RUNNELS	2	\$550,000.00	4,255	2,212
SABINE	2	\$550,000.00	1,134	599
SAN PATRICIO	3	\$824,250.00	2,406	1,641
SHELBY	4	\$1,399,319.00	1,161	743
SMITH	1	\$275,000.00	67	45
STARR	2	\$586,834.00	271	260
TARRANT	1	\$275,000.00	1,493	990
TAYLOR	1	\$275,000.00	2,528	1,294
TRINITY	1	\$250,000.00	2,684	1,514
UVALDE	4	\$1,265,145.00	29,788	16,458
VAL VERDE	5	\$1,698,470.00	34,160	17,633

County	No. of Awards	Amount of Awards	Beneficiaries	LMI Beneficiaries
VAN ZANDT	2	\$549,114.00	648	508
WALKER	3	\$718,910.00	316	188
WASHINGTON	1	\$275,000.00	112	100
WEBB	2	\$415,307.00	3,599	3,179
WHARTON	2	\$700,000.00	1,109	768
WHEELER	1	\$230,920.00	188	129
WICHITA	1	\$275,000.00	76	56
WILBARGER	1	\$275,000.00	906	634
WILLACY	5	\$1,346,146.00	21,284	13,663
WISE	2	\$550,000.00	4,910	2,623
WOOD	1	\$275,000.00	77	66
ZAPATA	1	\$293,417.00	262	194
MABANK	1	\$265,000.00	2,120.00	1,189
Total	254	\$75,869,900.00	416,700	238,290

* Includes one or more projects funded under the Urgent Need National Objective or the Elimination of Slum and Blight National Objective

FAMILIES AND PERSONS ASSISTED

This section describes the households and persons assisted with CDBG funds.

Anticipated Persons Served with PY 2013 Funding

For contracts that were awarded with PY 2013 funds, there are 414,973 total anticipated beneficiaries, of which 59 percent were low- and moderate-income persons.

Estimated Beneficiaries, Contracts Awarded in PY 2013

Programs	Proposed Beneficiaries	Proposed LMI Beneficiaries
Community Development Fund	225,210	133,729
Colonia Construction Fund	2,349	2,208
CEDAP	872	872
Colonia Fund - Planning	127	107
Disaster Relief / Urgent Need	132,248	75,060
Texas Capital Fund	14,122	8,996
Planning / Capacity Building Fund	37,412	20,130
STEP Fund	2,633	2,054
Other	-	-
Total	414,973	243,156

Actual Persons Served in PY 2013

For contracts closed during PY 2013, 588,488 persons actually received service through CDBG contracts.

Actual Beneficiaries, Contracts Closed in PY 2013

Programs	Total Beneficiaries	Total LMI Beneficiaries
Community Development Fund	316,812	189,262
Colonia Construction Fund	2,120	2,054
CEDAP	1,119	1,119
Colonia Self Help Centers	12,031	11,673
Colonia Fund-Planning	9,468	5,981
Disaster Relief / Urgent Need	109,264	58,958
Planning / Capacity Building Fund	22,435	12,292
STEP Fund	6,787	4,398
Texas Capital Fund	79,670	40,404
NBC	22	20
HIF	66	39
Renewable Energy Demonstration Pilot Program	13,482	7,218
Others	1,212	1,212
Total	588,488	334,630

A significant number of contracts assisted communities affected by natural disasters and were funded under the Urgent Need national objective. The following section addresses contracts funded under the national objective to primarily benefit low/moderate income persons.

Income Status of Persons Assisted

The CDBG Program collects information on beneficiaries according to low/moderate income status. Most funding categories require applications to benefit a minimum of 51% low or moderate income persons. Disaster Relief/Urgent Need Fund applicants can qualify under the urgent need national objective without a low/moderate income benefit; however many of these projects do benefit primarily low to moderate income persons and only those contracts are included in the CDBG low/moderate income national objective reporting and are included below. CDBG awards well over the required 70% of grant funds to projects benefitting primarily low or moderate-income persons.

The table below reflects contracts closed during PY 2013 that meet the low/moderate income national objective. Not included in the table below are the 24 contracts which met the urgent need or slum/blight national objectives, including the Downtown Revitalization and Main Street Program contracts in the Texas Capital Fund and certain Disaster Relief contracts, and are not included in the table below.

**Income Status of Actual Beneficiaries,
Contracts Closed in PY 2013 under the LMI National Objective***

Programs	Total Beneficiaries	Total LMI Beneficiaries
Community Development Fund	316,812	189,262
Colonia Construction Fund	2,120	2,054

Programs	Total Beneficiaries	Total LMI Beneficiaries
CEDAP	1,119	1,119
Colonia Self Help Centers	12,031	11,673
Colonia Fund-Planning	9,468	5,981
Disaster Relief / Urgent Need	109,264	58,958
Planning / Capacity Building Fund	22,435	12,292
STEP Fund	6,787	4,398
Texas Capital Fund	79,670	40,404
NBC	22	20
HIF	66	39
Pilot Programs	13,482	7,218
Others	1,212	1,212
Total	588,488	334,630

Reported beneficiaries include only contracts meeting the low/moderate income national objective.

Racial and Ethnic Status of Persons Assisted

The racial and ethnic status of persons receiving assistance for contracts closed in PY 2013 is reported below.

Racial and Ethnic Status of Beneficiaries, Contracts Closed in PY 2013

Race / Ethnicity	Persons Assisted Non-Hispanic	Persons Assisted Hispanic	Total	Percent
White	259,321	188,608	447,929	77.97%
Black / African American	47,862	769	48,631	8.47%
Asian	2,705	92	2,797	0.49%
American Indian/Alaska Native	2,283	885	3,168	0.55%
Native Hawaiian/Other Pacific Islander	208	53	261	0.05%
Black/African American and White	774	56	830	0.14%
Asian and White	298	41	339	0.06%
American Indian/Alaska Native and White	1,070	152	1,222	0.21%
American Indian/Alaska Native and Black/African American	138	23	161	0.03%
Asian/Pacific Islander	0	0	0	0.00%
Other Race or Multi-Race	26,670	42,480	69,150	12.04%
Total	341,329	233,159	574,488	100.00%

ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

Non-Housing Community Development Priority Needs Summary Table

Priority Community Development Needs	Priority Need Level
PUBLIC FACILITY NEEDS	Medium

Priority Community Development Needs	Priority Need Level
INFRASTRUCTURE IMPROVEMENT	High
Solid Waste Disposal Improvements	Medium
Drainage and Flood Control Improvements	High
Water System Improvements	High
Street and Bridge Improvements	High
Sewer System Improvements	High
PUBLIC SERVICE NEEDS	Medium
ECONOMIC DEVELOPMENT NEEDS	High
OTHER COMMUNITY DEVELOPMENT NEEDS	Medium
PLANNING	High

Specific Accomplishments

The following goals address the high priority needs identified above. Activities undertaken during the PY 2012 period that accomplish these goals are described below.

INFRASTRUCTURE IMPROVEMENTS

Encourage projects that address basic human needs such as water, sewer and housing; projects that provide a first-time public facility or service; and projects designed to bring existing services up to at least state minimum standards as set by the applicable regulatory agency.

Overall, 64% of funds awarded by CDBG (excluding local administrative costs) address basic human needs. CDBG funded 158 projects under the Community Development Fund in PY 2013, obligating 56% of the total annual CDBG funding. Over 79% CD funds awarded address basic human needs.

- The STEP Fund is designed to make a large impact by leveraging local resources and self-help volunteer labor to install needed water and sewer facilities at a cost that is affordable for the assisted communities. All STEP construction funds address basic human needs. CDBG awarded 6 STEP grants during this reporting period, obligating \$2,006,406 for projects to benefit 2,511 persons of which 1,874 or 70% are low- and moderate-income persons.

Contracts Awarded in PY 2013 by Activity – Selected Funds

Activity	CD Funds	% of CD Funds	STEP Funds	% of STEP Funds
Water/Sewer Facilities	\$33,331,975	77.79%	\$1,685,452	84.00%
Housing Rehabilitation	\$339,122	0.79%	\$98,572	4.91%
Drainage	\$372,535	0.87%	-	-
Streets	\$5,504,243	12.85%	-	-
Clearance/Demolition	-	-	-	-
Other Facilities	\$168,637	39.00%	-	-
Administration	\$3,133,230	7.31%	\$222,382	11.08%
Total	\$42,849,742	-	\$2,006,406	-
Basic Human Needs	\$33,671,097	78.58%	\$1,784,024	88.92%
All Construction Dollars	\$39,716,512	84.78%	\$1,784,024	88.92%

ECONOMIC DEVELOPMENT

Provide funds for economic development and business expansion in rural communities. Fund economic development projects that create or retain jobs.

In PY2013, CDBG funded 30 contracts under the Texas Capital Fund for a total of \$14,873,609 in CDBG assistance. The \$13,699,109 obligated for real estate and infrastructure projects is expected to create or retain 1,399 jobs in rural communities, with at least 51% of those jobs created or retained by low- and moderate-income workers. The estimated average cost per job created or retained through these contracts is \$9,792.10. An additional \$1,174,500 was awarded to contracts under the Main Street Program and the Downtown Revitalization Program to stimulate economic development in rural Texas downtown areas

For existing contracts that were closed during the reporting period, the Texas Capital Fund provided economic development assistance to 26 communities expending \$10,561,350 in CDBG funds and \$17,464,532 in matching funds.

Thirteen (13) of the closed contracts provided \$7,080,966.53 for infrastructure or real estate to create or retain 763 jobs with 579 of the new jobs created or retained by low or moderate income workers. Contracts funded under CDBG economic development are required to create or retain one job for every \$25,000 in CDBG funds expended. The 13 contracts closed during PY 2013 expended \$9,280.40 for each job created.

In addition to job creation activities, the Texas Capital Fund expended \$1,225,174.47 in nine (9) communities under the Downtown Revitalization Program, and \$567,912.92 communities under the Main Street Program.

HOUSING IMPROVEMENTS

Provide assistance to low to moderate income households by providing direct housing rehabilitation and infrastructure to support affordable housing.

CDBG administers housing assistance contracts that provide rehabilitation, acquisition, clearance, new construction, and provision of other facilities through the Community Development Fund and the Colonia Fund. These contracts include a maximum cost of \$25,000 for each rehabilitated housing unit according to Texas CDBG Program requirements. For PY 2013, CDBG did not receive any applications for housing rehab. Housing rehabilitation remains an eligible activity and the RRCs are encouraged to set aside funds for housing rehabilitation projects. However, for PY 2013, CDBG did not receive any applications or make any awards for this activity.

Displacement for these contracts is limited to voluntary participants and displacement costs are not eligible for reimbursement or included in project narratives. All households, businesses, or other entities impacted by a CDBG-assisted housing project, along with their needs and preferences, were identified by the locality during the homeowner application process, and only those homeowners choosing to participate were displaced in any way.

Provision of yardlines for first-time water or sewer services is the most common housing rehabilitation activity in the Texas CDBG Program. During PY 2013, CDBG funded 29 contracts through three different grant programs to provide water or sewer services on private property, including installing new water and sewer yardlines, replacing yardlines, and installing on-site sewer facilities. The \$1,924,793.00

Program Performance

Community Development

in CDBG assistance is expected to benefit 1,638 low to moderate income persons. Private property improvements installed to benefit persons that are not low to moderate income may be included in the project but must be funded through local or other private funds.

COLONIA IMPROVEMENTS

Provide support for colonia communities, including funding for public improvements through a Colonia Construction Fund and Colonia Economically Distressed Areas Program, funding for planning through a Colonia Planning Fund, and Self-Help Centers established in border counties.

The Colonia Fund is the second largest program administered by Texas CDBG. In 2013 CDBG funded eleven (11) Colonia Fund-Construction (CFC) projects totaling \$5,500,000 which will benefit 2,349 persons, of which 2,208 are low- to moderate income persons.

All CFC funds awarded in PY 2013, excluding local administrative costs, address basic human needs. of the CFC contracts provided \$1,561,499 in housing rehabilitation activities including first time public water or public sewer facilities replacement of failing on-site sewer facilities, and housing repairs. These eleven contracts are expected to benefit 2,208 low- to moderate-income persons.

A rider to TDA's state appropriation retains 2.5% of the total CDBG appropriation for the operation of colonia self-help centers in seven border counties, in addition to the 10% federally mandated colonia set-aside. The activities of the self-help centers are overseen by the Texas Department of Housing and Community Affairs Office of Colonia Initiatives (TDHCA-OCI). Separately, three border field offices, operated by TDHCA-OCI staff and supported in part by CDBG funds, are located in El Paso, Edinburg and Laredo to provide technical assistance to area residents and other interested parties. The TDHCA-OCI staff continues to provide technical assistance and disseminate information regarding available programs administered by TDHCA that could assist in addressing colonia issues and other local priority needs.

Colonia Fund Activities, Contracts Awarded in PY 2013

Activity	CFC	CFP	CEDAP	Colonia Fund Total	% of Colonia Fund Total
Water/Sewer Facilities	\$ 3,466,588	-	-	\$3,466,588	62.75%
Housing Rehabilitation	\$ 1,561,499	-	\$ 559,965	\$2,121,464	38.40%
Homeownership Assistance	-	-	-	\$0	-
Public Services	-	-	-	\$0	0.00%
Other	-	\$ 24,250	-	\$24,250	-
Administration	\$ 471,913	-	\$ 59,700	\$531,613	9.62%
Total	\$ 5,500,000	\$24,250	\$619,665	\$5,524,250	-
Basic Human Needs	\$5,028,087	-	\$ 559,965	\$5,588,052	-
All Construction Dollars	\$5,028,087	-	\$ 559,965	\$5,588,052	-

DISASTER RELIEF / URGENT NEED

Provide assistance for the recovery from natural disasters and fund projects that resolve threats to the public health and/or safety of local residents in rural areas.

During this reporting period, 29 grants were awarded for Disaster Relief/Urgent Need Fund projects. The \$9,407,233 obligated for these contracts will provide urgently needed assistance or alleviate the impacts of natural disasters for 132,248 Texans. An estimated 75,060 of the total beneficiaries for these projects are persons with low and moderate income.

PLANNING

Provide assistance to local governments in rural areas, emphasizing planning activities that primarily address problems in the areas of public works and housing assistance.

Texas CDBG awarded 15 grants totaling \$560,495 for planning and capacity building projects. These projects are expected to benefit 37,412 persons including 20,130 low- and moderate-income persons. The 2013 planning projects primarily address public works and housing planning elements and leverage an estimated \$88,234 in other funding.

Persons with Disabilities

TDA accomplished the following to address the needs of persons with disabilities during PY 2013:

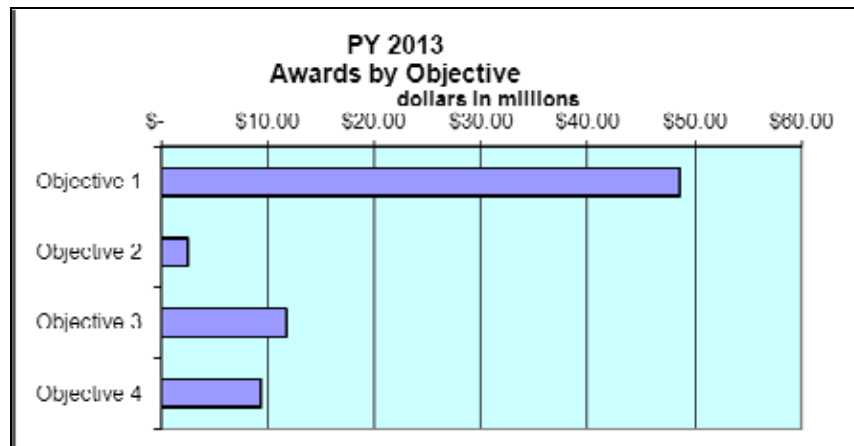
- Localities wishing to address the needs of persons with disabilities may include removal of architectural barriers as an eligible activity in an application for CDBG assistance under the CD Fund.
- In PY 2013, funds awarded under the Texas Capital Fund Main Street and Downtown Revitalization Program provided accessible ramps and sidewalks among other improvements, and certain housing rehabilitation projects included improvements to make the beneficiary's home accessible.
- Texas CDBG and all grantees are required to comply with federal and state non-discrimination regulations and monitored for Section 504 compliance.

RELATIONSHIP BETWEEN USE OF FUNDS AND PROGRAM OBJECTIVES

The purpose of the Texas CDBG continues to be the development of viable communities by providing decent housing and a suitable living environment and by expanding economic opportunities, principally for persons of low and moderate income. The funding allocations among the CDBG programs and the activities funded within those programs reflect the following state development objectives and priorities:

The objectives of the Texas CDBG Program are as follows:

- Objective 1: To improve public facilities to meet basic human needs, principally for low and moderate income persons.
- Objective 2: To improve housing conditions, principally for persons of low and moderate income.
- Objective 3: To expand economic opportunities by creating or retaining jobs, principally for low and moderate income persons.
- Objective 4: To provide assistance and public facilities to eliminate conditions hazardous to the public health and of an emergency nature.



Note: Activities may meet more than one objective.

The largest percentage of the funds obligated during this period were used to address Objective 1, the *basic human needs* of water, sewer, and housing. Objective 2 *housing conditions* is addressed through several funds according to local priorities. Objective 3 *job creation and retention* was addressed under the Texas Capital Fund. Through the Disaster Relief/Urgent Need Fund, the State continues to address Objective 4 *disaster relief* to provide assistance to meet the needs resulting from the disaster situations that impact Texas during each program year. The graph above charts CDBG funds according to the objective(s) met by funded activities.

CHANGES IN PROGRAM OBJECTIVES

The Proposed 2014 Action Plan for the Texas CDBG Program was presented for public comment at one (1) public hearing that took place in Austin.

No public comments were received for the proposed 2014 Action Plan. The TDA does not intend to make any changes to the program objectives described above for PY 2014. The State of Texas is currently preparing its five-year consolidated plan; any program changes proposed for the 2015 Action Plan will be included in this process.

PROGRAM CHANGES BASED ON EXPERIENCES

The following changes were implemented during the program year to further improve the program:

Coordinated Approach for all CDBG programs

Prior to October 1, 2011, the Texas Capital Fund was administered by the Texas Department of Agriculture while the majority of the CDBG program was housed by the Texas Department of Rural Affairs. Since the Texas legislature joined the two agencies and brought these sections of the CDBG Program under one roof, TDA has worked to coordinate documentation requirements and streamline procedures for the entire program. In PY 2013, this coordination has included revised program requirements, coordinated staff assignments, and development of new tools to assist staff in efficient reviews.

Challenges Associated with Compliance Errors

During PY2013, TDA has been faced with several unusual challenges relating to communities that failed to comply with one or more federal, state, or programs requirements. TDA developed several strategies to resolve these situations and potential future situations that may occur:

- Formalized structure and timeline for resolving monitoring findings:
- Grant implementation training that includes advising recipients and administrators that significant findings of non-compliance may results in disallowed costs for all or part of the grant project: and
- Additional grant contract language related to noncompliance, including penalties that may be applied to the administrative line item.

The following changes are underway or represent potential changes from existing initiatives:

- **Positive working relationships with program stakeholders.**
 - CDBG staff is part of several interagency workgroups with a focus on infrastructure improvement grants across Texas. The groups are working toward greater cooperation among the funding agencies on complex projects requiring funding or approval from two or more sources.
 - The program continued to provide technical assistance to the Regional Review Committees that score the CD/ applications, working with the RRCs and HUD to develop a method of local scoring that meets all HUD requirements.
- **Technical Assistance.** CDBG staff provided training for communities and administrative consultants throughout the state, including:
 - Drought-focused Disaster Relief Fund application workshops with other state agencies;
 - Application Workshops for the Colonia Fund Construction and Texas Capital Fund
 - 2013 Implementation and Administrator Certification Workshops: and
 - Policy Issuances to the CDBG Projection Implementation Manual, related to financial documentation, economic development documentation, and other procedural improvements.
- **Closeout of Programs Years**
 - With the PY 2013 PER, TDA will request the closeout of PY 1999 and PY 2000. The closeout request will include the return of a very small amount of funds that had been deobligated from a previous Contract.
 - Sub-recipient Repayment Agreements: Certain grant recipients have been required to return some or all grants funds, which must then be re-obligated and expended to close the program year. Closeout of PY 1996 is pending one repayment agreement, scheduled to be completed in PY 2014.

HUD PERFORMANCE MEASURES

Texas CDBG has implemented the HUD Performance Outcome Measurement System Applications submitted for PY 2013 application and closeout documents submitted during PY 2013 were required to identify the Objective (1. Creating Suitable Living Environments; 2. Providing Decent Affordable Housing; or 3. Creating Economic Opportunities) and the Outcome (1. Availability/Accessibility; 2. Affordability; or 3. Sustainability) addressed by the project.

The table below shows the performance measures identified for activities awarded in PY2013:

Performance Measures, Activities Awarded in PY 2013

Performance Measure Identified	Proportion of Activities - Projected	Proportion of Activities - Actual
<i>Activities to create Suitable Living Environments</i>	-	-
through Availability/Accessibility	42.20%	60%
through Affordability	16.80%	1%
through Sustainability	29.90%	26%
	88.80%	87%
<i>Activities to provide Decent Housing through Affordability</i>	-	-
through Affordability	0.40%	-
	0.40%	-
<i>Activities to create Economic Opportunities</i>	-	-
through Availability/Accessibility	4.50%	-
through Affordability	1.90%	0%
through Sustainability	4.50%	13%
	10.80%	14%

MINORITY OUTREACH

The Texas Department of Agriculture and its individual units have been successful in hiring qualified minority staff.

- The minority labor force percentage for all Statewide Agencies, provided by the biennial report-- Texas Workforce Commission 2011-2012 Equal Employment Opportunity and Minority Hiring Practices Report (January 2013) is 52.3 percent; the Texas Department of Agriculture percentage of minority employees is 41.48 percent.
- The female labor force percentage for all Statewide Agencies is 56 percent; the Texas Department of Agriculture percentage of female employees is 51 percent.

Summary of Minority Business Enterprise Activities

The Texas Facilities Commission (TFC) provides business services including maintaining the Centralized Master Bidders List, which includes the Historically Underutilized Businesses (HUB) List, as well as a list dedicated only to HUB listings. All Contractor localities can obtain a copy of this list of minority-owned businesses through TFC. These businesses have been certified through the State's TFC Program. Contact numbers and website addresses are included in the CDBG Implementation Manual.

The directory can assist CDBG contractors in identifying minority- and women-owned businesses that provide goods and services in their immediate area and in the state. The online directory also provides an opportunity for local minority- and women-owned businesses to sign-up for HUB certification through the Internet.

The Texas CDBG Program continues to require that all grantees submit Minority Business Enterprise information, including gender information, on each contract over \$10,000 as those contracts are executed. Instructions for reporting CDBG contractors are provided in the CDBG Project Implementation Manual. The information from these reports is compiled and reported annually to the HUD Regional Office in Fort Worth.

The state reviews the performance of all CDBG grantees and monitors the compliance with the required civil rights laws. All bid documents and contracts must contain equal opportunity provisions and Section 504 requirements must be in place to avoid discrimination on the basis of disability. The state also enhanced the existing oversight and reporting of Section 3 requirements during PY2011 and provided continued technical assistance on these requirements in PY 2012.

CDBG staff closely monitors the Contractor files for the following program requirements:

- Were equal opportunity guidelines followed in advertising vacancies, such as posting job vacancies and including equal opportunity language?
- Does the city/county have a written Section 3 Policy (or equivalent)? Is it followed? Has Section 3 information been properly reported?
- Did the city/county implement procedures that allow individuals with disabilities to obtain information concerning the existence and location of accessible services, activities and facilities?
- Did the city/county adopt 504 grievance procedures that incorporate due process standards and allow for prompt resolution of complaints?
- Has the city/county adopted and enforced a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individual engaged in nonviolent civil rights demonstrations, and a policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location which is the subject of such nonviolent civil rights demonstration within its jurisdiction?
- Has the city/county provided in the closeout reports the final beneficiaries for the project beneficiaries broken out, by race, ethnicity, gender, and low/moderate income status?

Program Performance

Community Development

If evidence of the above program requirements was not found in the files, the locality is allowed 30 days to provide the information, or complete the activity and submit proof of compliance.

Minority Business Enterprise Participation October 1, 2012 to September 30, 2013*

Funds/Contracts	Subcontracted by CDBG Grant Recipients	Subcontracted to MBEs	% Subcontracted to MBEs
Amount of Funds	\$64,519,598	\$12,652,230	19.6%
Number of Contracts	688	108	15.7%

* Reported on a fiscal year basis

HOMELESS: EMERGENCY SOLUTIONS GRANTS PROGRAM

TDHCA has administered the Emergency Solutions Grants (ESG) Program since its inception.

The state's strategy to help homeless persons and persons at risk of homelessness includes:

- community outreach efforts to ensure that homeless persons and persons at risk of homelessness are aware of available services;
- provision of funding to support emergency shelter and permanent housing programs;
- helping homeless persons make the transition to permanent housing and independent living through comprehensive case management;
- provision of assistance to prevent homelessness; and
- supporting efforts to address and prevent homelessness.

Emergency shelter and permanent housing needs of homeless persons are addressed by utilizing ESG grant funds to provide support to organizations that provide emergency services, shelter, and permanent housing to homeless persons and families. ESG subrecipients assess the needs of homeless persons and those persons assisted to prevent homelessness through a case management system. To ensure that homelessness prevention funds are used appropriately and efficiently, ESG subrecipients are encouraged to maximize all community resources when providing homelessness prevention assistance.

The ESG focuses greater attention on preventing homelessness and re-housing persons who are currently homeless by providing limited support to organizations for emergency services and shelter and placing greater emphasis on homelessness prevention and rapid re-housing.

The objectives of the ESG Program are to:

- assist in meeting the cost of operating and maintaining emergency shelters;
- provide essential services so that homeless individuals and families have access to the assistance they need to improve their situations;
- provide emergency intervention assistance to prevent homelessness for individuals and families at risk of homelessness; and
- provide intervention to rapidly re-house homeless individuals and families.

DESCRIPTION OF RESOURCES

This section describes ESG funding that was available for PY 2013. It is important to point out that the state received an initial allocation of PY 2011 funding under the auspices of the ESG Program. None of that funding was expended during the time period covered in this section. A second allocation of PY 2011 funding, received under the auspices of the ESG is covered in this section.

PY 2011 and PY 2012 Funding

The following ESG resources were made available in PY 2013

PY 2011 State ESG Second Allocation

Total 2011 State ESG Allocation	\$2,908,940
State Administration (\$)	
Shared Administration	\$109,085
Reserved State Administration	\$109,085
Regional Obligation	\$2,690,770
Re-obligated ESG funds	\$0
Total Funds Obligated (does not include administrative funds)	\$2,690,770

PY 2012 State ESG Allocation

Total 2012 State ESG Allocation	\$9,129,511
State Administration (\$)	
Shared Administration	\$342,357
Reserved State Administration	\$342,357
Regional Obligation	\$8,444,797
Re-obligated ESG funds	\$0
Total Funds Obligated (does not include administrative funds)	\$8,444,797

PY 2013 State ESG Allocation

Total 2013 State ESG Allocation	\$6,944,311
State Administration (\$)	
Shared Administration	\$260,412
Reserved State Administration	\$260,412
Regional Obligation	\$6,623,487
Re-obligated ESG funds	\$0
Total Funds Obligated (does not include administrative funds)	\$6,623,487

PY 2013 Activities

The following activities are performed with ESG PY 2011, PY 2012, and PY 2013 funding obligated in PY 2013

- Provision of Street Outreach, including (but not limited to):
 - a. engagement (contact with homeless persons where they live and congregate); and
 - b. case management.
- Provision of Emergency Shelter and essential services*, including (but not limited to):
 - a. case management;
 - b. food cards for homeless clients;
 - c. medical and psychological counseling and supervision;

- d. employment counseling;
- e. nutritional counseling;
- f. substance abuse treatment and counseling;
- g. assistance in obtaining other federal, state, and local assistance;
- h. other services such as child care, transportation, job placement, and job training; and staff salaries necessary to provide the above services.
- Payment of maintenance, operation, and furnishings costs.
- Provision of Homelessness Prevention, Rapid Re-housing, and Housing Relocation and Stabilization services, including but not limited to:
 - a. Short and medium-term rental assistance;
 - b. Financial assistance to pay housing owners, utility companies, and other third parties;
 - c. housing placement;
 - d. assistance in obtaining other federal, state, and local assistance;
 - e. other services such as child care, transportation, job placement, and job training; and
 - f. staff salaries necessary to provide the above services.
- Developing and implementing homelessness prevention activities as per Sec. 414 of the McKinney-Vento Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act.

*Services must be provided pursuant to Sec. 414 of the McKinney-Vento Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. Sec. 11374), which requires ESG-funded services to be provided in a non-discriminatory manner.

INVESTMENT OF RESOURCES

This section describes ESG funding commitments made with PY 2011, PY 2012, and PY 2013 funding obligated in PY 2013

PY 2011, PY 2012, and PY 2013 Funding Commitments

TDHCA received a second PY 2011 allocation under the auspices of the ESG totaling \$2,908,940. These funds were awarded in December 2011. The State ESG contracts using PY 2011 Second Allocation funds began on October 1, 2012, and ended September 2013. For PY 2011 Second Allocation, ESG committed \$2,799,855 (FY 2011 funds) through 29 grants, including shared administrative funds.

PY 2011 State ESG Second Allocation Funding Commitments

Funding Category	Funding Commitments
Contract Dates	10/1/12-9/30/13
Number of Grant Recipients, Statewide	29
State ESG Allocation	\$2,908,940
State Administration	\$109,085*

Funding Category	Funding Commitments
Funds Committed	\$2,799,855
Reobligated ESG Funds	\$0
Total Allocated	\$2,799,855

* Excludes \$109,085 of the State administration funds shared with awardees.

ESG funds received for PY 2012 were awarded in June 2012. The State ESG contracts using PY 2012 funds began on October 1, 2012, and ended September 30, 2013. For PY 2012, ESG committed \$8,787,154 through 38 grants, including shared administrative funds.

PY 2012 State ESG Funding Commitments

Funding Category	Funding Commitments
Contract Dates	10/1/12-9/30/13
Number of Grant Recipients, Statewide	38
State ESG Allocation	\$9,129,510
State Administration	\$342,356*
Funds Committed	\$8,787,154
Re-obligated ESG Funds	\$0
Total Allocated	\$8,787,154

* Excludes \$342,356 of the State administration funds shared with awardees.

ESG funds received for PY 2013 were awarded in June 2013. The State ESG contracts using PY 2013 funds began on October 1, 2013, and will end September 30, 2014. For PY 2013, ESG committed \$8,787,154 through 23 grants, including shared administrative funds.

PY 2013 State ESG Funding Commitments

Funding Category	Funding Commitments
Contract Dates	10/1/13-9/30/14
Number of Grant Recipients, Statewide	23
State ESG Allocation	\$6,944,311
State Administration	\$260,412*
Funds Committed	\$6,683,901
Re-obligated ESG Funds	\$0
Total Allocated	\$6,683,901

* Excludes \$260,412 of the State administration funds shared with awardees.

**PY 2011 State ESG Second Allocation Funding Commitments by
(2/1/13-9/30/13)**

Activity	Funding Amount	Percentage
Administration (shared with subrecipients)	\$87,185.71	3.11%
Emergency Shelter	\$863,060.18	30.83%
HMIS	\$141,189.00	5.04%

Activity	Funding Amount	Percentage
Homelessness Prevention	\$1,039,130.61	37.11%
Rapid Re-Housing	\$593,838.50	21.21%
Street Outreach	\$75,451.00	2.70%
Total Funds Committed	\$2,799,855	100%

PY 2012 State ESG Funding Commitments by Activity

(2/1/13-9/30/13)

Activity	Funding Amount	Percentage
Administration (shared with subrecipients)	\$259,251.66	2.95%
Emergency Shelter	\$2,674,470.80	30.44%
HMIS	\$426,250.97	4.85%
Homelessness Prevention	\$2,080,012.05	23.67%
Rapid Re-Housing	\$2,866,378.63	32.62%
Street Outreach	\$480,790.23	5.47%
Total Funds Committed	\$8,787,154.34	100%

PY 2013 State ESG Funding Commitments by Activity

(10/1/13-1/31/14)

Activity	Funding Amount	Percentage
Administration (shared with subrecipients)	\$225,920.00	3%
Emergency Shelter	\$1,974,693.00	30%
HMIS	\$466,251.00	5%
Homelessness Prevention	\$1,133,519.00	24%
Rapid Re-Housing	\$2,351,015.00	33%
Street Outreach	\$532,503.00	5%
Total Funds Committed	\$6,683,901.00	100%

Matching Requirements

As stated in 24 CFR §576.201 of the ESG regulations, each grantee must match the funding provided by HUD. These matching funds must be provided after the date of the grant award to the grantee. Each subrecipient is responsible for the match requirement. Match must be provided in an amount equal to or greater than the grant award. ESG applicants identify the source and amount of match they intend to provide if they are chosen for funding. They report monthly on the amount of match provided. TDHCA’s Compliance Division monitors ESG subrecipients and reviews the match documentation during each monitoring visit. TDHCA also conducts a desk review at the close out of each contract to ensure that each ESG recipient has provided an adequate amount of match during the contract period.

The following tables reflect match contributions.

Match Contributions for PY 2011 Second Allocation ESG funds
(2/1/13-9/30/13)

Source	Dollar Value
Fees	0
Local Government	\$301,587.41
Other	\$894,459.80
Other Federal Funds	\$62,467.00
Other Non-ESG HUD Funds	\$197,351.94
Private Funds	\$567,455.86
Program Income	0
State Government	0
Total	\$2,023,322.01

Note: Match contributions for PY 2011 Second Allocation are only partially reported because the amount reported only covers 8 months of a 12 month contract.

Match Contributions for PY 2012 ESG funds
(2/1/13-9/30/2013)

Source	Dollar Value
Fees	0
Local Government	\$162,385.85
Other	\$2,354,734.46
Other Federal Funds	\$933,989.51
Other Non-ESG HUD Funds	\$148,841.29
Private Funds	\$2,203,784.25
Program Income	\$32,840.09
State Government	\$495,834.86
Total	\$6,332,410.31

Note: Match contributions for PY 2012 are only partially reported because the amount reported only covers 8 months of a 12 month contract.

Match Contributions for PY 2013 ESG funds
(10/1/13-1/31/14)

Source	Dollar Value
Donations (cash)	0
Fees	0
Lease/Rent	0
Local Government	\$189,141.18
Other	\$803,956.22
Other Federal Funds	\$282,255.60
Other Non-ESG HUD Funds	\$98,980.86
Private Funds	\$462,756.72
Program Income	0
Salaries	0
State Government	\$9,262.86

Source	Dollar Value
Volunteers (@ \$5/Hour)	0
Total	\$1,846,353.44

Note: Match contributions for PY 2013 are only partially reported because the amount reported only covers 4 months of a 12 month contract.

Continuum of Care Activities and Input on Performance Measures

Pursuant to 24 CFR §578, the Interim Continuum of Care (CoC) Program Rule, CoCs must be established according to HUD requirements prior to the start of FFY 2015. To assist Texas CoCs in meeting this requirement, TDHCA provided Community Services Block Grant discretionary funds to the Texas Homeless Network (THN) to provide statewide technical assistance and training to CoCs. Through this grant, the state will:

- Determine current capacity of each CoC;
- Assess current level of compliance with 24 CFR §578;
- Assess training and technical assistance needs; and
- Provide training and technical assistance needed resulting in full compliance with 24 CFR §578.

TDHCA continues to consult with CoCs regarding all facets of ESG. On January 9, 2013, TDHCA published a survey to seek comments from Continuum of Care members in the State of Texas on the topics of allocation of funding, performance standards and HMIS policies and procedures. A total of 14 organizations from 6 different CoCs provided their input. TDHCA carefully reviewed all input received and revised the 2013 ESG Program Notice of Funding Availability (NOFA) and contracts where applicable. The comments will be revisited as TDHCA continues to improve upon its program design.

HMIS Requirements

In applications submitted to TDHCA for the PY 2011, PY 2012 and PY 2013 ESG, applicants were required to certify that the applicant organization will meet HUD’s standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information. TDHCA requires all ESG subrecipients receiving HUD McKinney-Vento Act Program funds which are located in a Continuum of Care jurisdiction to participate in an HMIS. Pursuant to 24 CFR §576.107 of the ESG regulations, an exception is made for victim services providers and legal services providers which allows them to report client data using a comparable database. ESG subrecipients located in a Continuum of Care jurisdiction must coordinate and report client-level data to the administrator for the Continuum of Care coalition in their area. Furthermore, TDHCA has notified ESG subrecipients that failure to coordinate with appropriate contacts to facilitate the HMIS or comparable system implementation may result in withholding of future ESG contract funds.

DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2011, PY 2012, and PY 2013 funds were distributed and the location of ESG awards. TDHCA administers the funds in a manner consistent with the McKinney-Vento Act, as amended (42 USC Sec 11371 *et seq.*). According to 24 CFR §576.203, states must obligate the entire ESG grant, minus the state's administrative portion, within 60 days from the date that HUD signs the grant agreement. In order to comply with these deadlines, TDHCA begins the application process several months in advance of receiving the dated grant award from HUD. All contracts are issued for a 12 month period in order to ensure that the full allocation is spent within 24 months of the time the funds are awarded to grant recipients. If any funds remain unexpended after the contract period, either through a supplemental appropriation, return of funds, or recapture, or if prior year funds become available, the remaining funds may be used to make additional awards to ESG agencies already awarded ESG funds.

Fund Distribution Methodology

TDHCA obligated PY 2011 Second Allocation and PY 2012 ESG funds through a statewide competitive application process. TDHCA funded 29 projects with FY 2011 (9/1/11-8/31/12) Second Allocation ESG funds, and 38 projects with FY 2012 (9/1/12-8/31/13) ESG funds. TDHCA reserved ESG funds for each of the 13 TDHCA Uniform State Service Regions using a formula based on the percentage of poverty population in each region (as reported by the US Census Bureau's 2009 Small Area Income and Poverty Estimates [SAIPE]). TDHCA awarded funds to units of general local government and to private nonprofit organizations.

TDHCA obligated PY 2013 ESG funds to 23 projects through a statewide competitive application process. In order to more closely relate the state's funding strategy to CoC needs, TDHCA reserved ESG funds for each of the HUD-designated CoC Regions. Funds were allocated to each region using a formula based on a combination of the region's proportionate share of the state's total homeless population based on the 2012 Point-in-Time count submitted to HUD by the CoCs, and the region's proportionate share of people living in poverty as reported by the US Census Bureau's American Community Survey (ACS) 2011 5-year data. The homeless percentage was weighted at 75 percent and the poverty percentage 25 percent. TDHCA awarded funds to units of general local government and to private nonprofit organizations.

In awarding PY 2011 Second Allocation ESG funds, TDHCA established funding guidelines at a minimum of \$30,000 and a maximum of \$100,000, with collaborative projects awarded up to \$600,000. To remain within the limit of 60 percent of the total PY 2011 First Allocation ESGP and Second Allocation ESG allocation budgeted for street outreach and emergency shelter (combined). TDHCA imposed a limit of up to 42 percent of the PY 2011 Second Amendment ESG budget for these combined budget categories. TDHCA made available 3.75 percent for operations administration, and the remainder of the funds for rehabilitation, maintenance and operations.

In awarding PY 2012 ESG funds, TDHCA established funding guidelines at a minimum of \$75,000 and a maximum of \$150,000, with collaborative projects awarded up to \$600,000. TDHCA imposed a limit of up to 60 percent of the PY 2012 ESG budget for street outreach and emergency shelter combined. TDHCA made available 3.75 percent for operations administration for collaborative applicants and 3.25

percent for single applicants, and the remainder of the funds for rehabilitation, maintenance and operations.

In awarding PY 2013 ESG funds, TDHCA established funding guidelines at a minimum of \$125,000 (or up to the maximum amount available in the region if the available amount is less than \$125,000) and a maximum of \$150,000, with collaborative projects awarded up to \$600,000. TDHCA imposed a limit of up to 60 percent of the PY 2013 ESG budget for street outreach and emergency shelter combined. TDHCA made available 3.75 percent for operations administration for collaborative applicants and 3.25 percent for single applicants, and the remainder of the funds for rehabilitation, maintenance and operations.

PY 2011 ESG Second Allocation Regional Funding Distribution

TDHCA Service Region	Percent of Poverty Population	Number of Counties	Fund Distribution per Region*
1 - High Plains	3.33%	41	\$264,337
2 - Northwest Texas	2.01%	30	\$0
3 - Metroplex	23.09%	19	\$51,514
4 - Upper East Texas	4.39%	23	\$159,459
5 - Southeast Texas	3.25%	15	\$105,557
6 - Gulf Coast	21.81%	13	\$1,081,021
7 - Capital	5.82%	10	\$233,427
8 - Central Texas	4.86%	20	\$210,869
9 - Alamo	8.50%	12	\$105,553
10 - Coastal Bend	3.34%	19	\$208,522
11 -South Texas Border	13.22%	16	\$0
12 - West Texas	2.04%	30	\$0
13 - Upper Rio Grande	4.34%	6	\$379,596
Total	100%	254	\$2,799,855

* This represents the amount of PY 2011 ESG funds awarded in PY 2011. The final percentage allocated to each region does not coincide with the original percentage of poverty population, because of award process and ineligible applications in some regions. The amount includes \$109,085 of state administration funds shared with awardees.

PY 2012 Regional Funding Distribution

TDHCA Service Region	Percent of Poverty Population	Number of Counties	Fund Distribution per Region*
1 - High Plains	3.33%	41	\$317,350
2 - Northwest Texas	2.01%	30	\$150,000
3 - Metroplex	23.09%	19	\$1,957,364
4 - Upper East Texas	4.39%	23	\$414,128
5 - Southeast Texas	3.25%	15	\$225,000
6 - Gulf Coast	21.81%	13	\$1,890,532
7 - Capital	5.82%	10	\$554,927
8 - Central Texas	4.86%	20	\$434,138
9 - Alamo	8.50%	12	\$850,338
10 - Coastal Bend	3.34%	19	\$300,000
11 -South Texas Border	13.22%	16	\$1,150,279
12 - West Texas	2.04%	30	\$128,490
13 - Upper Rio Grande	4.34%	6	\$414,608
Total	100%	254	\$8,787,154

* This represents the amount of PY 2012 ESG funds awarded in PY 2012. The final percentage allocated to each region does not coincide with the original percentage of poverty population, because of award process and ineligible applications in some regions. The amount includes \$45,647 of state administration funds shared with collaborative applicants.

PY 2013 Regional Funding Distribution

CoC Number	CoC Name	Percent of Poverty Population	Percent of Homeless Population	Distribution Factors with 75/25 weights	Fund Distribution per Region*
TX-607	Texas Balance of State	39.80%	33.21%	34.86%	\$2,317,784
TX-700	City of Houston/Harris County	17.90%	22.95%	21.69%	\$1,441,956
TX-600	Dallas City & County/ Irving	11.60%	9.59%	10.09%	\$671,102
TX-500	San Antonio/ Bexar County	6.84%	8.73%	8.26%	\$548,967
TX-601	Fort Worth/Arlington/Tarrant County	6.34%	5.88%	5.99%	\$398,481
TX-503	Austin/Travis County	3.98%	6.40%	5.79%	\$385,248
TX-603	El Paso City & County	4.70%	3.61%	3.88%	\$257,958
TX-703	Beaumont/Port Arthur/South East Texas	1.49%	3.78%	3.21%	\$213,179
TX-501	Corpus Christi/ Nueces County -	1.51%	2.15%	1.99%	\$132,419
TX-611	Amarillo	0.77%	1.19%	1.08%	\$72,044
TX-604	Waco/McLennan County	1.62%	0.72%	0.95%	\$63,043
TX-701	Bryan/College Station/Brazos Valley	1.72%	0.63%	0.90%	\$59,998
TX-624	Wichita Falls/Wise, Palo Pinto, Wichita, Archer Counties	1.02%	0.63%	0.73%	\$48,563
TX-504	Victoria/Dewitt, Lavaca, Gonzales Counties	0.72%	0.53%	0.58%	\$38,436
	Total	100%	100%	100%	\$6,649,178

* This represents the amount of PY 2013 ESG funds awarded in PY 2013. The amount includes \$260,412 of state administration funds shared with awardees.

Award Locations

ESG awards were made in the following areas of the state. The amounts reported reflect actual allocations per contract cycle.

PY 2011 Second Allocation ESG Awards by Region

Legal Name of Applicant	Region	City	Home County	Award Amount
Bay Area Homeless Services, Inc.	6	Baytown	Harris	\$99,560
Bay Area Turning Point	6	Houston	Harris	\$65,444
Bridge Over Troubled Waters, Inc.	6	Pasadena	Harris	\$82,066
Caritas of Austin	7	Austin	Travis	\$105,557
Child Crisis Center of El Paso	13	El Paso	El Paso	\$63,935
City of Amarillo	1	Amarillo	Potter	\$158,780
Corpus Christi Hope House, Inc.	10	Corpus Christi	Nueces	\$102,970
Covenant House Texas	6	Houston	Harris	\$105,265
El Paso Human Services, Inc.	13	El Paso	El Paso	\$104,547
El Paso Regional County - General Assistance Office + 1 (MHMR)	13	El Paso	El Paso	\$105,557
Faith Mission and Help Center, Inc	8	Brenham	Washington	\$105,312
Fort Bend County Women's Center	6	Richmond	Fort Bend	\$100,391
Harmony House, Inc.	6	Houston	Harris	\$105,557
Harris County Community Services Department	6	Houston	Harris	\$105,557
Highland Lakes Family Crisis Center	7	Marble Falls	Burnet	\$63,935
Houston Area Women's Center	6	Houston	Harris	\$105,557
Memorial Assistance Ministries	6	Houston	Harris	\$105,557
Opportunity Center for the Homeless	13	El Paso	El Paso	\$105,557
Port Cities Rescue Mission Ministries	5	Port Arthur	Jefferson	\$105,557
Randy Sams' Outreach Shelter, Inc.	4	Texarkana	Bowie	\$104,515
Sabine Valley Regional MHMR Center CTR dba Community Healthcare	4	Longview	Gregg	\$54,944
SafeHaven of Tarrant County	3	Fort Worth	Tarrant	\$51,514
Salvation Army - Kerrville	9	Kerrville	Kerr	\$105,553
Salvation Army - Lubbock	1	Lubbock	Lubbock	\$105,557
Salvation Army - Waco	8	Waco	McLennan	\$105,557
Wellsprings Village	6	Houston	Harris	\$105,557
Williamson-Burnet County Opportunities, Inc.	7	Georgetown	Williamson	\$63,935
Women's Shelter of South Texas	10	Corpus Christi	Nueces	\$105,552
Women's Home, The	6	Houston	Harris	\$100,510
TOTAL				\$2,799,855*

*Contract period 9/1/2012-8/31/2013. The amount includes \$109,085 of state administration funds shared with awardees.

PY 2012 ESG Awards by Region

Legal Name of Applicant	Region	City	Home County	Award Amount
Advocacy Outreach	7	Elgin	Bastrop	\$343,236
Advocacy Resource Center for Housing (ARCH)	11	McAllen	Hidalgo	\$150,000
Bay Area Homeless Services, Inc.	6	Baytown	Harris	\$145,685
Career and Recovery Resources	6	Houston	Harris	\$452,685
Catholic Charities Archdiocese of Galveston-Houston	6	Houston	Harris	\$149,949
City of Amarillo	1	Amarillo	Potter	\$242,248
City of Denton	3	Denton	Denton	\$472,140
Corpus Christi Metro Ministries, Inc.	10	Corpus Christi	Nueces	\$150,000
East Texas Crisis Center, Inc	4	Tyler		\$114,748
Families in Crisis	8	Killeen	Bell	\$149,995
Family Abuse Center, Inc.	8	Waco	McLennan	\$284,143
Family Crisis Center, Inc.	11	Harlingen	Cameron	\$271,105
Family Endeavors, Inc	9	San Antonio	Bexar	\$97,653
Family Place, The	3	Dallas	Dallas	\$452,685
Family Violence Prevention Services, Inc.	9	San Antonio	Bexar	\$150,000
Fort Bend County Women's Center, Inc.	6	Richmond	Fort Bend	\$539,528
Friendship of Women, Inc.	11	Brownsville	Cameron	\$298,892
Grapevine Relief And Community Exchange (GRACE)	3	Grapevine	Tarrant	\$79,854
Grayson County Juveniles Alternatives dba North Texas Youth Connection	3	Sherman	Grayson	\$602,685
Houston Area Women's Center	6	Houston	Harris	\$150,000
Johnson County Family Crisis Center	3	Cleburne	Johnson	\$100,000
La Posada Providencia	11	San Benito	Cameron	\$280,282
Love In the Name of Christ of Nacogdoches	5	Nacogdoches	Nacogdoches	\$150,000
Mid-Coast Family Services	10	Victoria	Victoria	\$150,000
Opportunity Center for the Homeless	13	El Paso	El Paso	\$260,923
Panhandle Crisis Center, Inc.	1	Perryton	Ochiltree	\$75,102
Project Vida	13	El Paso	El Paso	\$153,685
SafeHaven of Tarrant County	3	Hurst	Tarrant	\$150,000
Salvation Army - Abilene	2	Abilene	Taylor	\$150,000
Salvation Army - Forth Worth Mabee Center	3	Fort Worth	Tarrant	\$100,000
Salvation Army - McAllen	11	McAllen	Hidalgo	\$150,000
Salvation Army - Odessa	12	Odessa	Ector	\$128,490
Salvation Army - Texarkana	4	Texarkana	Bowie	\$150,000
San Antonio Metropolitan Ministries, Inc	9	San Antonio	Bexar	\$602,685
SEARCH Homeless Services	6	Houston	Harris	\$452,685

Legal Name of Applicant	Region	City	Home County	Award Amount
Shelter agencies For Families in East Texas dba SAFE-T	4	Mt Pleasant	Titus	\$149,380
Women's Shelter of East Texas, Inc. dba Janelle Grum Family Crisis Center of East Texas	5	Lufkin	Lufkin	\$75,000
Youth and Family Alliance dba LifeWorks	7	Austin	Travis	\$211,690
TOTAL				\$8,787,154

*Contract period 10/1/2012-9/30/2013. The amount does include \$342,356 of state administration funds shared with awardees.

PY 2013 ESG Awards by CoC Region

Legal Name of Applicant	CoC Number	City	Award Amount
Advocacy Outreach	TX-607	Elgin	\$302,315.00
Alliance of Community Assistance Ministries, Inc.	TX-700	Houston	\$587,505.00
City of Beaumont	TX-703	Beaumont	\$215,493.00
City of Denton	TX-607	Denton	\$497,011.00
Faith Mission & Help Center, Inc.	TX-607	Brenham	\$149,900.00
Family Abuse Center, Inc.	TX-604	Waco	\$63,043.00
Family Place, The	TX-600	Dallas	\$602,315.00
Family Violence Prevention Services, Inc.	TX-500	San Antonio	\$150,000.00
Friendship of Women, Inc.	TX-607	Brownsville	\$567,898.00
La Posada Providencia	TX-607	San Benito	\$295,616.00
Matagorda County Women's Crisis Center	TX-607	Bay City	\$302,315.00
Mid-Coast Family Services, Inc.	TX-504	Victoria	\$38,436.00
Northwest Assistance Ministries	TX-700	Houston	\$452,315.00
Project Vida	TX-603	El Paso	\$260,273.00
SafeHaven of Tarrant County	TX-601	Hurst	\$250,795.00
Salvation Army - Corpus Christi	TX-501	Corpus Christi	\$132,419.00
Salvation Army Fort Worth Mabee Center	TX-601	Fort Worth	\$150,000.00
San Antonio Family Endeavors, Inc.	TX-500	San Antonio	\$452,315.00
Service of the Emergency Aid Resource Center for the Homeless	TX-700	Houston	\$452,315.00
Twin City Mission	TX-701	Bryan	\$62,313.00
Women's Center of East Texas, Inc.	TX-607	Longview	\$138,296.00
Women's Shelter of East Texas, Inc.	TX-607	Lufkin	\$125,000.00
Youth and Family Alliance dba LifeWorks	TX-503	Austin	\$436,013.00
TOTAL			\$6,683,901.00

*Contract period 10/1/2013-9/30/2014. The amount includes \$260,412 of state administration funds shared with awardees.

FAMILIES AND PERSONS ASSISTED

This section describes the households assisted with ESG funds.

Anticipated Households Served with PY 2011, PY 2012, and PY 2013 Funding

The ESG Program does not project the number of anticipated households to be served. Please see the next section for information on the actual number of persons served in PY 2013.

Actual Households Served in PY 2013

This section reports on the actual households served in PY 2013 (February 1, 2013, through January 31, 2014) through current contracts encompassing two fiscal years: FY 2012 and FY 2013. These contracts were originally awarded in 2012 and 2013, and assisted persons during the PY 2013 reporting period. There were 42,751 total beneficiaries reported in PY 2013 through these contracts. Of those served, 37,292 were homeless and 5,459 persons received non-residential services, including homelessness prevention assistance.

Persons Assisted with PY 2011 (Second Allocation) ESG
(period covered 02/01/2013-01/31/2014)

Activity	Unduplicated Persons Served	Total Funds Expended
Street Outreach	770	\$51,080.00
Emergency Shelter	6,331	\$530,733.33
Homelessness Prevention	1,931	\$715,014.94
Rapid Re-Housing	1,382	\$477,884.28
HMIS		\$100,984.01
Administration		\$55,158.60
Total	10,414	\$1,930,855.16

Note: Total Unduplicated Persons is an unduplicated count among all categories of assistance

Persons Assisted with PY 2012 ESG
(period covered 02/01/2013-01/31/2014)

Activity	Unduplicated Persons Served	Total Funds Expended
Street Outreach	3,842	\$334,334.94
Emergency Shelter	16,873	\$1,794,500.96
Homelessness Prevention	3,153	\$1,525,928.24
Rapid Re-Housing	2,785	\$1,869,815.71

Activity	Unduplicated Persons Served	Total Funds Expended
HMIS		\$287,789.23
Administration		\$167,676.23
Total	26,653	\$5,980,045.31

Note: Total Unduplicated Persons is an unduplicated count among all categories of assistance

Persons Assisted with FY 2013 ESG
(period covered 10/01/2013-01/31/2014)

Activity	Unduplicated Persons Served	Total Funds Expended
Street Outreach	799	\$117,538.23
Emergency Shelter	4,106	\$550,003.09
Homelessness Prevention	375	\$264,321.825
Rapid Re-Housing	404	\$529,796.67
HMIS		\$97,796.67
Administration		\$86,139.87
Total	5,684	\$1,645,772.46

Note: Total Unduplicated Persons is an unduplicated count among all categories of assistance

For 2011 2nd Allocation and 2012 Allocation, the ESG reports did not collect persons served by category; the persons served count includes some people who are counted more than once, as well as some people not being counted in activities. Because of this, the persons served and the racial/ethnic charts will not match. The ESG reports from subrecipients were updated in 2013 and the persons served and racial/ethnic charts will match in the future.

Regarding Section CR-65 in the attached printouts from the HUD’s Integrated Disbursement and Information System (IDIS):

- the information requested in Tables 4a-c was not collected in the requested form during the report period. TDHCA has started collecting this data for the next report period.
- The information reported in Table 4d reflects ESG funds.
- The information in Table 7 was not collected in the requested form during the report period. The information reflects a cumulative number of persons served and is not an unduplicated count. TDHCA has started collecting this data in the requested form for the next report period.

Program Performance

Homeless

The table below reports program performance measures as required by HUD CPD guidelines. ESG Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard.

Performance Measures, PY 2013
(FY 2011 2nd allocation ESG, 2012 ESG, and 2013 ESG
for the time period of 2/1/13-1/31/14)

Outcomes and Objectives	Performance Indicators	Expected Number of Persons	Actual Number of Persons
SL-1 Availability/ Accessibility and Create a Suitable Living Environment	Provide funding to support the provision of emergency and/or transitional shelter to homeless persons	97,001	35,267
DH-2 Affordability and Provide Decent Housing	The provision of non-residential services including homelessness prevention assistance	30,886	10,864
EO-1* Availability/Accessibility of Expanded Economic Opportunities	Provide funding to support the provision of employment assistance and job training	TDHCA no longer tracks this indicator	TDHCA no longer tracks this indicator

*Performance measure EO-1 is a remnant of the Emergency Shelter Grants Program. TDHCA now tracks this measure as a part of the overall case management provided through essential services and housing stability case management.

Racial and Ethnic Status of Persons Assisted

The racial and ethnic status of the 48,595 total individuals receiving assistance in PY 2013 is reported below.

Racial Status of Persons Assisted in PY 2013
(FY 2011 2nd allocation ESG, 2012 ESG, and 2013 ESG
for the time period of 2/1/13-1/31/14)

Race	Persons Assisted	Percent
White	26,864	55%
Black or African-American	12,228	25%
Asian	322	1%
American Indian or Alaska Native	409	1%
Native Hawaiian or Other Pacific Islander	341	1%
Multi-racial	1,662	3%
Don't Know/ Refused	1,606	3%
Information Missing	5,163	11%
Total	48,595	100%

Of 48,595 total persons, 17,173 persons, or 35% percent, are of Hispanic or Latino origin. The breakdown of this population is below.

**Ethnicity of Persons Assisted in PY 2012
(FY 2011 2nd allocation ESG, 2012 ESG, and 2013 ESG
for the time period of 2/1/13-1/31/14)**

Ethnicity	Persons Assisted	Percent
Hispanic/Latino	17,173	35%
Non-Hispanic/Non-Latino	29,352	61%
Don't Know/ Refused	1,079	2%
Information Missing	991	2%
Total	48,595	100.00%

Income Status of Persons Assisted

Of the 48,595 persons assisted, approximately 100% percent had extremely low incomes.

Income Status of Persons Assisted in PY 2013

Income Level	Persons Assisted	Percent
Extremely Low Income (0-30% AMFI)	48,595	100
Very Low Income (31-50% AMFI)	0	0
Low Income (51-80% AMFI)	0	0
Moderate Income (81-95% AMFI)	0	0
Higher than 95 AMFI%	0	0
Total	48,595	100%

ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

Homeless Populations Needs Summary Table

Populations	Priority Need Level
Homeless Population	H
Families	H
Chronic Substance Abusers	H
Seriously Mentally Ill	H
Persons with HIV/AIDS	H
Victims of Domestic Violence	H
Youth	H
Rural	H
General Homeless	H

Subpopulations of Persons Assisted

Populations	Number Served
Chronic Substance Abusers	2,880
Severely Mentally Ill	6,422
Persons with HIV/AIDS	153

Program Performance

Homeless

Populations	Number Served
Victims of Domestic Violence	28,140
Chronically Homeless	4,460
Persons with Other Disabilities	3,027
Veterans	2,798
Elderly (age 62 and over)	771

Note: Persons may be reported in multiple categories.

The following 2011 ESG (10/1/2012-9/30/2012) award recipients targeted several of the priority homeless populations identified above. The table reflects the primary target population; however, the majority of the subrecipients also serve other populations. The exception would be subrecipients who serve domestic violence victims or youth.

Priority Homeless Populations Served by 2011 2nd allocation and 2012 ESG Award Recipients

Target Population	Number of Subrecipients
All Homeless	37
At-Risk Homeless	37
Chronically Homeless	25
Domestic Violence Victims	32
Youth	11
Persons with HIV/AIDS	13
Mentally Ill	
Other single Women who are Homeless	
Other Asylum seekers, Asylees, Immigrants	
Homeless Families	
Other Homeless Men	
Other Women and Children	
Elderly	15
Persons with Disabilities	22
Persons with Alcohol or Other Addictions	18
Veterans	17
Other	17
Total Subrecipients	67

Note; The table represents 2011 2nd allocation and 2012 allocation and also represents a duplicate count in that a subrecipient may serve more than one target population. "Other" represents subrecipients serving releases/ex-offenders, homeless pregnant women, homeless families and children, victims of sexual assault or stalking.

Priority Homeless Populations Served by 2013 ESG Award Recipients

Target Population	Number of Subrecipients
All Homeless	23
At-Risk Homeless	19
Chronically Homeless	13
Domestic Violence Victims	16
Youth	5
Persons with HIV/AIDS	7
Mentally Ill	
Other single Women who are Homeless	
Other Asylum seekers, Asylees, Immigrants	
Homeless Families	
Other Homeless Men	
Other Women and Children	
Elderly	9
Persons with Disabilities	7
Persons with Alcohol or Other Addictions	7
Veterans	7
Other	2
Total Subrecipients	115

Note: The table represents 2013 allocation and also represents a duplicate count in that a subrecipient may serve more than one target population. “Other” represents subrecipients serving releases/ex-offenders and victims of sexual assault or stalking or child abuse.

Specific Accomplishments

While TDHCA considers all homeless populations to be a priority, the awards process gives some preference for populations with higher barriers such as severe mental illness and ex-offenders, and to applicants who serve rural areas, ESG funds are awarded on a competitive basis. The services provided by ESG subrecipients during the PY 2013 period addressed the high priority needs identified above. The information in the table reflects the primary target populations of ESG subrecipients. Most ESG subrecipients also serve other populations and most of the shelters serving all homeless populations would include persons who are mentally ill, persons who are chronic substance abusers, and persons with HIV/AIDS.

Persons with Disabilities

In order to meet the needs of persons with disabilities, TDHCA's ESG subrecipients must make facilities accessible to persons with disabilities.

ESG subrecipients submit a monthly performance report, and in that report, agencies state the number of persons assisted for the report period who met a variety of identified characteristics, including the number of persons who have physical disabilities. The statewide number of persons with disabilities assisted is 3,485.

HOUSING: HOME INVESTMENT PARTNERSHIPS PROGRAM

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock. HOME strives to meet both the short-term goal of increasing the supply and the availability of affordable housing and the long-term goal of building partnerships between State and local governments and, private and nonprofit organizations to strengthen their capacity to meet the housing needs of low income Texans.

DESCRIPTION OF RESOURCES

This section describes HOME funding that was available for PY 2013.

PY 2013 Activities

For PY 2013, TDHCA was allocated \$24,029,941 in HOME funds by HUD.

PY 2013 HOME State Allocation and Funding Plan

Plan	Funding Amount	Percentage of Total Annual Allocation
Total HOME Allocation for PY 2013	\$24,029,941	100%
Less Administration Funds (10 percent of allocation, not subject to Regional Allocation Formula)	\$2,402,994	10%
Less CHDO Project Funds Set Aside (15 percent of allocation, subject to Regional Allocation Formula)	\$3,604,491	15%
Less CHDO Operating Expenses Set Aside (5 percent of CHDO Set Aside, not subject to Regional Allocation Formula)	\$180,225	1%
Less Persons with Disabilities Housing Programs (not subject to Regional Allocation Formula)	\$1,201,497	5%
Less Set Aside for Contract for Deed (CFD) Conversions (not subject to Regional Allocation Formula)	\$2,000,000	8%
Less Funding for Rental Housing Development Program (subject to Regional Allocation Formula)	\$9,371,677	39%
Less Funding for non set aside Single Family activities(subject to Regional Allocation Formula)	\$5,269,057	22%
Estimated Program Income ¹	\$3,000,000	n/a
Total HOME Funds subject to the Regional Allocation Formula	\$18,245,225	n/a

¹Preliminary estimate included in the 2013 One-Year Action Plan, Funding Plan.

PY 2013 Activities

HUD regulations allow the HOME Program to serve a variety of activities such as homeowner rehabilitation, homebuyer assistance, tenant-based rental assistance, single family development, and rental housing development assistance. The PY 2013 allocation funded these activities as described below

Homeowner Rehabilitation Assistance

Rehabilitation or reconstruction cost assistance, in the form of grants or loans, is provided to eligible homeowners for the repair or reconstruction of their existing homes. The homes must be the principal residence of the homeowner prior to assistance and throughout the established affordability period. Housing that is constructed or rehabilitated with HOME funds must meet all applicable local codes, rehabilitation standards, ordinances, and zoning ordinances at the time of project completion. In the absence of a local code for new construction or rehabilitation, HOME-assisted new construction or reconstruction must meet, as applicable, the International Residential Code (IRC), Texas Minimum Construction Standards (TMCS) and be in compliance with the basic access standards in new construction, established by Texas Government Code §2306.514. This statutory requirement applies to any applicants utilizing federal or state money administered by TDHCA in the construction of affordable single-family homes for low and very-low income households and individuals.

Homebuyer Assistance With or Without Rehabilitation

Down payment and closing costs and contract for deed conversion assistance may be provided to homebuyers for the acquisition of affordable single-family housing based on the household's needs. This activity may also be used for the following:

- Construction costs associated with architectural barrier removal in assisting homebuyers with disabilities by modifying a home purchased with HOME assistance to meet their accessibility needs.
- Acquisition and rehabilitation costs associated with contract for deed conversions to serve colonia residents.

Eligible homebuyers may receive loans up to \$20,000 per household for down payment and closing costs, in the form of a 2nd or 3rd lien, at zero percent interest, with a 10-year deferred-forgivable loan term. Homebuyer assistance loans are to be repaid at the time of resale of the property, transfer of any interest in the property, lease of the property, default under the terms of the loan, refinance of the first lien, or repayment of the first lien. TDHCA has elected to utilize the recapture provision under 24 CFR §92.254(a)(5)(ii) as its primary method of enforcing compliance with the applicable HOME requirements if a sale or foreclosure occurs or if the owners no longer occupy the property as their principal residence or breach any other HOME requirement. If a situation occurs where the recapture provisions will not apply, TDHCA will utilize and comply with the resale provisions under 24 CFR §92.254(a)(5)(i).

At the completion of the assistance, all properties must meet the Texas Minimum Construction Standards, Housing Quality Standards, or local building codes, as applicable. Compliance with the basic standards in new construction, established by Texas Government Code §2306.514 is also required for any applicants utilizing federal or State money administered by TDHCA in the construction of affordable single family homes for low and very-low income families and individuals.

Tenant-Based Rental Assistance

Rental subsidy and security and utility deposit assistance are provided to tenants, in accordance with written tenant selection policies, for a period not to exceed twenty-four months, but may be renewed, for

up to an additional thirty-six months total, subject to meeting TDHCA's program rules and the availability of HOME funds. TBRA assistance is portable which allows the assisted tenant to live in the dwelling unit of their choice with a right to continued assistance for up to twenty-four months with the condition that assisted families participate in a self-sufficiency program.

Rental Housing Development

Awards for eligible applicants are to be used for acquisition, construction, and rehabilitation of affordable multifamily rental housing. Owners are required to make the units available to extremely low, very low, and low income families, and must meet long-term rent restrictions and maintain property standards.

CHDO Set-Aside

A minimum of 15 percent of the annual HOME allocation is reserved for community housing development organizations (CHDO). CHDO set-aside projects are owned, developed, or sponsored by the CHDO, and result in the development of multifamily and single family rental units or homeownership. Development includes projects that have a construction component, either in the form of new construction or the rehabilitation of existing units. Nonprofits applying for single family development funds must apply and qualify for certification as a CHDO at the time of application.

Activities funded in support of Subchapter GG of Texas Government Code Chapter 2306 which was created to provide low interest rate or interest-free loans to promote the development of new, high-quality, residential housing, can be funded under the CHDO set-aside. These activities provide alternatives to substandard colonias, and housing options affordable to individuals and families with extremely low and very low income that would otherwise move into substandard colonias.

Contract for Deed Conversions Set-Aside

In 2009, the 81st Texas Legislature passed Appropriations Rider 6 to TDHCA's appropriation, which requires TDHCA to set-aside no less than \$4 million for the biennium on contract for deed conversions for families that reside in a colonia, and earn 60 percent or less of the applicable area median family income (AMFI) and the home converted must be their primary residence throughout the established affordability period. The intent of this program is to help colonia residents become property owners by converting their contracts for deeds into traditional mortgages. Properties proposed for this initiative must be located in a colonia as defined in Texas Government Code Chapter 2306 or as published in TDHCA's program rules.

Persons with Disabilities Set-Aside

Pursuant to Texas Government Code §2306.111(c)(2), in TDHCA's administration of federal housing funds provided to the state under the Cranston-Gonzalez National Affordable Housing Act, five percent of annual HOME allocation funds shall be expended for the benefit of persons with disabilities who live in any area of the state. Approximately \$1.2 million of directed assistance for persons with disabilities is eligible for activities including Rental Housing Development, HRA, TBRA, and HBA with optional rehabilitation activities.

INVESTMENT OF RESOURCES

This section describes HOME funding commitments that were made with PY 2013 funds.

TDHCA receives an annual HOME allocation from HUD, which is then awarded to units of local government, public housing authorities (“PHAs”), local mental health authorities (“LMHA”), CHDOs, Councils of Government (“COGs”) and other nonprofits and for-profits eligible to receive HOME funds from the State. TDHCA provides technical assistance through on-site visits, webinars, and workshops to HOME administrators to ensure that all participants meet and follow the State program rules and federal regulations, and continues to provide technical assistance during the implementation of the HOME programs. Furthermore, TDHCA’s Compliance Division monitors HOME subrecipients for compliance. Such monitoring encompasses on-site monitoring and desk reviews.

At least 95 percent of HOME funds administered by the Department must be used in areas that do not receive HOME allocations directly from HUD. Locations that receive HOME allocations directly from HUD are known as participating jurisdictions and are predominantly urban, therefore the Department’s HOME program primarily funds rural parts of the state. The Texas state law requirements to use 95 percent in predominantly rural areas and to use 5 percent for persons with disabilities is found at Texas Government Code §2306.111(c) and is sometimes referred to as the “95/5 rule.”

HOME funds are reserved for persons at or below 80 percent of the area median family income as defined by HUD. By HUD regulations, 15 percent of TDHCA’s total HOME allocation must be set aside for CHDOs.

PY 2013 Funding Commitments

During 2013, TDHCA awarded a total of \$46,732,970 in HOME funds, including program income and additional de-obligated funds, in the following manner:

Total Amount of Funding Committed for PY 2013*

Activity	Project Funds Awarded	Admin/Oper Exp. Funds Awarded
Homeownership and Rental Assistance (HRA, HBA, TBRA)	\$25,178,043.34	\$1,334,577.07
CHDO (15% of Allocation)	\$3,434,477.00	\$0.00
CHDO Operating Exp. (5% of CHDO)	\$0.00	\$50,000.00
MF Rental Housing Development Program	\$13,940,000.00	\$0.00
Contract for Deed	\$1,724,450.87	\$64,277.26
Persons with Disabilities Set-Aside	\$1,863,786.09	\$167,951.80

*PY 2013 Funding Commitments include Project Funds Awarded and Admin/Oper Exp. Funds Awarded from the 2013 HUD HOME Allocation and reprogrammed funds, including program income

Summary of Project Funds Subject to Regional Allocation Formula for PY 2013

Activity	HOME Allocation	Project Funds Awarded	Admin/Oper Exp. Funds Awarded
Homebuyer Assistance	\$1,754,596	\$1,323,115.39	\$52,729.92
Homeowner Rehabilitation Assistance (Includes Disaster Relief)	\$1,754,596	\$20,246,630.78	\$877,418.75
Tenant-Based Rental Assistance	\$1,738,789	\$5,546,185.00	\$0.00

Award of HOME Funds by Activity PY 2013*

Activity	Amount	Percentage
Homebuyer Assistance	\$3,134,623.07	6.71%
Homeowner Rehabilitation Assistance	\$20,627,685.38	44.14%
Tenant Based Rental Assistance	\$5,546,185.00	11.87%
CHDO Operating Expense	\$50,000.00	0.11%
Rental Housing Development	\$13,940,000.00	29.83%
CHDO Single Family Development	\$434,477.00	0.93%
CHDO Rental Development	\$3,000,000.00	6.42%
Totals	\$46,732,970.45	100.00%

*Includes Administration and Reprogrammed Funds

Matching Requirements

TDHCA provides matching contributions from several sources for HOME funds drawn down from the State HOME Investment Trust Funds Treasury account within the PY. The following sources may be utilized:

- Proceeds from the sale of single or multifamily mortgage revenue bonds issued by TDHCA.
- Match contributions from TDHCA non-federal funds to affordable housing projects that are not HOME-assisted but that meet the requirements as specified in 24 CFR §92.219(b)(2).
- Eligible match contributions from State recipients and subrecipients, as specified in 24 CFR §92.220.

TDHCA annually submits a separate HOME match report, HUD 40107-A, which lists matching funds and sources provided by each HOME project.

DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2013 funds were distributed and the location of HOME awards.

Allocation Formula

The HOME Program is implemented through State and local governments called participating jurisdictions which are States, and units of general local governments, including consortia and urban counties, which receive funds directly from HUD. The 95/5 rule, Texas Government Code §2306.111(c), is a state law mandating that TDHCA is to allocate no less than 95 percent of HOME funds to serve households located outside of non-participating jurisdictions, and TDHCA must use 5 percent of the HOME funds to serve persons with disabilities.

In the One Year Action Plan, TDHCA had a goal of allocating a minimum of 20 percent of the annual HOME allocation to applicants serving persons with special needs. Persons with “special needs” include the elderly, frail elderly, persons with disabilities, persons with alcohol or other drug addiction, persons with HIV/AIDS, persons with the Violence Against Women Act protections (domestic violence, dating violence, sexual assault, or stalking), colonia residents, migrant farmworkers, homeless populations, veterans, wounded warriors (as defined by the Caring for Wounded Warriors Act of 2008), and public housing residents.¹ Eligible activities include homebuyer assistance, homeowner rehabilitation, and tenant-based rental assistance.

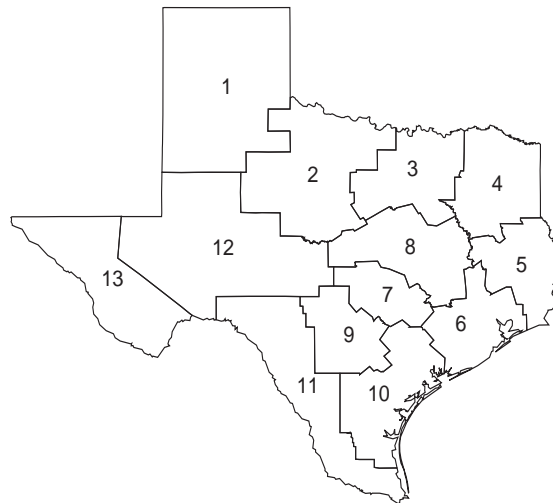
Regional Allocation Formula

Texas Government Code §2306.111(d) mandates that TDHCA allocate housing funds awarded in the HOME, Housing Trust Fund, and Housing Tax Credit (HTC) programs using a formula developed by TDHCA. As a result, a large portion of the HOME funds were awarded in early 2012 using the Regional Allocation Formula (RAF) developed pursuant to Texas Government Code §2306.111. PY 2013 funding associated with the following set-asides was not distributed through the RAF: CHDO Operation, Contract for Deed Conversions and Persons with Disabilities.

Texas Government Code §2306.1112 establishes TDHCA’s Executive Award and Review Advisory Committee. HOME funding recommendations for contract awards made in 2013 were presented to this committee prior to recommendation to TDHCA’s Governing Board.

¹ TDHCA added additional special needs categories through an Action Plan amendment in December 2013. Assistance to individuals in these additional categories will be reported in the next CAPER.

State Service Regions Map



Regional Allocation of HOME Funds PY 2013
(Includes Administration and Reprogrammed¹ Funds)

Region	Amount	% of Total Amount	Units to be Assisted	% of Total Units to be Assisted
1	\$2,106,698.00	4.51%	26	2.29%
2	\$1,085,441.00	2.32%	13	1.15%
3	\$4,551,860.43	9.74%	182	16.06%
4	\$5,045,735.80	10.80%	79	6.97%
5	\$1,656,368.00	3.54%	68	6.00%
6	\$2,079,894.92	4.45%	44	3.88%
7	\$4,684,157.00	10.02%	155	13.68%
8	\$1,906,291.45	4.08%	19	1.68%
9	\$4,888,250.00	10.46%	251	22.15%
10	\$2,796,744.00	5.98%	45	3.97%
11	\$6,412,494.07	13.72%	100	8.83%
12	\$6,096,435.18	13.05%	120	10.59%
13	\$3,422,600.60	7.32%	31	2.74%
Multiregional	\$0.00	0.00%	0	0.00%
Total	\$46,732,970.45	100.00%	1,133	100.00%

¹includes program income and deobligated monies

Award Locations

PY 2013 HOME awards were made in the following areas of the state. These numbers include administration dollars awarded to the contractor.

PY 2013 HOME Awards and Estimated Units by Region

Regions Served	Administrator Name	Counties Served	Amount	Total Units
1	City of Brownfield	TERRY	\$239,625.00	3
1	City of Lockney	FLOYD	\$89,497.00	1
1	City of Matador	MOTLEY	\$88,591.00	1
1	City of Sundown	HOCKLEY	\$208,008.00	3
1	Cochran County	COCHRAN	\$384,053.00	5
1	Floyd County	FLOYD	\$419,664.00	5
1	Motley County	MOTLEY	\$177,240.00	2
1	Terry County	TERRY	\$150,300.00	2
1	City of Abernathy	HALE	\$349,720.00	4
2	City of Ballinger	RUNNELS	\$173,872.00	2
2	City of Electra	WICHITA	\$355,188.00	4
2	City of Albany	SHACKELFORD	\$266,707.00	3
2	Central Texas Opportunities, Inc.	BROWN	\$24,258.00	1
2	City of Holliday	ARCHER	\$265,416.00	3
3	Mariposa Elk Drive, LP	JOHNSON	\$1,000,000.00	14
3	Hebron 2013 Senior Community, LP	DENTON	\$1,000,000.00	8
3	K.F. Crossing, Ltd.	NAVARRO	\$370,000.00	26
3	City of Ferris	ELLIS	\$163,530.00	2
3	City of Terrell	KAUFMAN	\$75,314.00	1
3	Texas Neighborhood Services	HOOD	\$79,700.00	4
3	Texas Neighborhood Services	HOOD	\$26,778.00	3
3	Four Rivers Outreach, Inc.	GRAYSON	\$17,556.00	2
3	Easter Seals-Central Texas, Inc.	TARRANT	\$43,000.00	2
3	Easter Seals-Central Texas, Inc.	DALLAS	\$43,000.00	2
3	Easter Seals-Central Texas, Inc.	DALLAS	\$37,936.47	2
3	City of Waxahachie	ELLIS	\$140,500.00	7
3	City of Waxahachie	ELLIS	\$43,000.00	2
3	Affordable Housing of Parker County	WISE	\$124,471.00	10
3	City of McKinney	COLLIN	\$27,928.00	1
3	Affordable Housing of Parker County	PARKER	\$22,333.00	4
3	City of McKinney	COLLIN	\$60,000.00	6
3	Affordable Housing of Parker County	PARKER	\$452,509.00	55
3	Affordable Housing of Parker County	TARRANT	\$18,862.00	2
3	Affordable Housing of Parker County	WISE	\$235,306.00	22

Program Performance

Housing

Regions Served	Administrator Name	Counties Served	Amount	Total Units
3	City of Bonham	FANNIN	\$224,975.25	3
3	City of Corsicana	NAVARRO	\$265,759.00	3
3	City of Honey Grove	FANNIN	\$79,402.71	1
4	K.F. Stone Creek, Ltd.	GREGG	\$540,000.00	17
4	K.F. Sunset Place, Ltd.	HENDERSON	\$430,000.00	11
4	City of Bullard	SMITH	\$89,830.00	1
4	City of Naples	MORRIS	\$82,630.00	1
4	Paris Living, a Community Development Corporation	LAMAR	\$37,000.00	2
4	Paris Living, a Community Development Corporation	DELTA	\$21,500.00	1
4	Lamar County	LAMAR	\$87,282.20	1
4	City of Troup	SMITH	\$274,390.00	3
4	City of Texarkana	BOWIE	\$20,000.00	1
4	City of Rusk	CHEROKEE	\$269,490.00	3
4	City of Roxton	LAMAR	\$72,921.00	1
4	City of Ore City	UPSHUR	\$184,660.00	2
4	Red River County	RED RIVER	\$409,398.20	5
4	City of Avinger	CASS	\$523,162.00	6
4	City of Mount Vernon	FRANKLIN	\$82,272.00	1
4	City of Bogata	RED RIVER	\$241,942.00	3
4	City of Clarksville	RED RIVER	\$79,922.00	1
4	City of Chandler	HENDERSON	\$88,272.00	1
4	City of Detroit	RED RIVER	\$147,267.00	2
4	City of Gilmer	UPSHUR	\$89,830.00	1
4	City of Hughes Springs	CASS	\$430,621.00	5
4	City of Jacksonville	CHEROKEE	\$350,620.00	4
4	City of Kilgore	GREGG	\$176,895.92	2
4	City of Malakoff	HENDERSON	\$315,830.48	4
5	Pine, LP	NACOGDOCHES	\$1,000,000.00	12
5	Burke Center	NACOGDOCHES	\$55,073.00	7
5	Spindletop Center	JEFFERSON	\$11,028.00	1
5	Spindletop Center	ORANGE	\$2,755.00	1
5	Spindletop Center	JEFFERSON	\$31,935.00	8
5	City of Center	SHELBY	\$84,697.00	1
5	Texas Department of Housing and Community Affairs	JEFFERSON	\$3,523.00	3
5	Burke Center	NACOGDOCHES	\$29,200.00	2
5	Burke Center	POLK	\$3,156.00	1
5	Burke Center	JASPER	\$7,969.00	1
5	Burke Center	ANGELINA	\$86,878.00	8

Program Performance

Housing

Regions Served	Administrator Name	Counties Served	Amount	Total Units
5	Buckner Children & Family Ser., Inc., dba Buckner Family Place	ANGELINA	\$271,618.00	18
5	Burke Center	ANGELINA	\$68,536.00	5
6	Southeast Texas Housing Finance Corporation	GALVESTON	\$40,812.50	2
6	Buckner Children & Family Ser., Inc., dba Buckner Family Place	MONTGOMERY	\$116,341.00	7
6	City of Hempstead	WALLER	\$414,600.00	5
6	City of Weimar	COLORADO	\$416,750.00	5
6	City of Willis	MONTGOMERY	\$259,347.00	3
6	Easter Seals-Central Texas, Inc.	HARRIS	\$107,500.00	5
6	Southeast Texas Housing Finance Corporation	BRAZORIA	\$41,502.63	2
6	EBENZ, Inc.	GALVESTON	\$92,320.00	1
6	Southeast Texas Housing Finance Corporation	HARRIS	\$15,543.79	1
6	Tri-County MHMR-DbA Tri-County Services	LIBERTY	\$6,105.00	1
6	Tri-County MHMR-DbA Tri-County Services	MONTGOMERY	\$40,772.00	6
6	Tri-County MHMR-DbA Tri-County Services	WALKER	\$4,824.00	1
6	WREM Literacy Group, Inc.	WALLER	\$89,000.00	1
6	WREM Literacy Group, Inc.	WALLER	\$434,477.00	4
7	Sienna Pointe, Ltd.	HAYS	\$2,000,000.00	46
7	Hutto DMA Housing, LP	WILLIAMSON	\$1,000,000.00	9
7	Meals on Wheels and More, Inc.	TRAVIS	\$45,000.00	1
7	Meals on Wheels and More, Inc.	TRAVIS	\$45,150.00	1
7	Texas Department of Housing and Community Affairs	BASTROP	\$34,900.00	2
7	Texas Department of Housing and Community Affairs	HAYS	\$174,999.00	9
7	Texas Department of Housing and Community Affairs	TRAVIS	\$249,899.00	13
7	Texas Department of Housing and Community Affairs	WILLIAMSON	\$449,998.00	23
7	Easter Seals-Central Texas, Inc.	TRAVIS	\$137,757.00	12
7	Travis County Housing Finance Corporation	TRAVIS	\$176,819.00	13
7	Easter Seals-Central Texas, Inc.	BASTROP	\$17,184.00	1
7	Travis County Housing Finance Corporation	TRAVIS	\$17,598.00	1
7	Community Partnership for the Homeless DBA Green Doors	TRAVIS	\$71,670.00	9
7	Combined Community Action, Inc.	HAYS	\$53,012.00	3
7	Easter Seals-Central Texas, Inc.	WILLIAMSON	\$44,546.00	2

Program Performance

Housing

Regions Served	Administrator Name	Counties Served	Amount	Total Units
7	Combined Community Action, Inc.	LEE	\$13,048.00	1
7	Community Partnership for the Homeless DBA Green Doors	WILLIAMSON	\$12,107.00	2
7	Easter Seals-Central Texas, Inc.	TRAVIS	\$17,322.00	1
7	Easter Seals-Central Texas, Inc.	WILLIAMSON	\$15,648.00	1
7	Easter Seals-Central Texas, Inc.	HAYS	\$21,500.00	1
7	Easter Seals-Central Texas, Inc.	TRAVIS	\$64,500.00	3
7	Easter Seals-Central Texas, Inc.	WILLIAMSON	\$21,500.00	1
8	City of Fairfield	FREESTONE	\$179,660.00	2
8	City of Gatesville	CORYELL	\$93,084.98	1
8	City of Lometa	LAMPASAS	\$78,136.00	1
8	City of Marlin	FALLS	\$252,410.47	3
8	Temple Housing Authority	BELL	\$15,000.00	1
8	City of Belton	BELL	\$288,000.00	3
8	TX Nolanville Apartments Ltd. Co.		\$1,000,000.00	8
9	New Braunfels Community Resources (FKA Ellis Comm Resources Inc)	COMAL	\$333,602.00	36
9	New Braunfels Community Resources (FKA Ellis Comm Resources Inc)	COMAL	\$1,515,527.00	135
9	City of Poteet	ATASCOSA	\$257,832.00	3
9	City of Charlotte	ATASCOSA	\$338,900.00	4
9	Center for Health Care Services	BEXAR	\$489,465.00	46
9	New Braunfels Community Resources (FKA Ellis Comm Resources Inc)	COMAL	\$102,924.00	11
9	Fredericksburg Sunrise Townhomes, LP	GILLESPIE	\$1,850,000.00	16
10	Cuero DMA Housing, LLC	DE WITT	\$1,000,000.00	9
10	City of Port Lavaca	CALHOUN	\$176,340.00	2
10	San Patricio County	SAN PATRICIO	\$89,000.00	1
10	Coastal Bend Center for Independent Living	NUECES	\$95,888.00	10
10	City of Odem	SAN PATRICIO	\$178,000.00	2
10	City of Gregory	SAN PATRICIO	\$730,000.00	8
10	City of Driscoll	NUECES	\$445,000.00	5
10	Coastal Bend Center for Independent Living	NUECES	\$82,516.00	8
11	Rio Grande Royal Gardens, LLC	STARR	\$1,000,000.00	11
11	CLDC RGC, LP	STARR	\$1,000,000.00	10
11	Dimmit County	DIMITT	\$84,650.00	1
11	Community Development	CAMERON	\$376,373.36	23

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Regions Served	Administrator Name	Counties Served	Amount	Total Units
	Corporation of Brownsville			
11	Starr County	STARR	\$43,000.00	2
11	Housing Authority of the City of San Benito	CAMERON	\$30,825.02	2
11	Willacy County	WILLACY	\$9,288.00	1
11	Community Development Corporation of Brownsville	CAMERON	\$40,396.62	2
11	Community Development Corporation of Brownsville	CAMERON	\$2,444,730.56	31
11	City of Roma	STARR	\$197,076.00	2
11	City of Lyford	WILLACY	\$73,417.51	1
11	City of La Feria	CAMERON	\$408,354.00	5
11	City of Crystal City	ZAVALA	\$159,324.00	2
11	Starr County	STARR	\$369,283.00	5
11	Community Development Corporation of Brownsville	CAMERON	\$175,776.00	2
12	Mission Village of Pecos, LLC	REEVES	\$750,000.00	12
12	Chicory Court Midland, LP	MIDLAND	\$3,000,000.00	32
12	Housing Services Incorporated	MIDLAND	\$50,000.00	
12	City of Seminole	GAINES	\$797,663.00	9
12	Permian Basin Community Centers	ECTOR	\$303,951.00	25
12	Permian Basin Community Centers	MIDLAND	\$273,690.00	25
12	Midland Community Development Corporation	MIDLAND	\$21,225.00	1
12	Concho Valley Community Action Agency	SUTTON	\$83,363.18	1
12	City of Midland	MIDLAND	\$80,000.00	4
12	City of McCamey	UPTON	\$620,524.00	7
12	Buckner Children & Family Ser., Inc., dba Buckner Family Place	MIDLAND	\$20,990.00	3
12	Concho Valley Community Action Agency	MENARD	\$95,029.00	1
13	Adults and Youth United Development Association Inc.	EL PASO, PRESIDIO	\$345,552.00	0
13	El Paso Collaborative for Community and Economic Development	EL PASO	\$345,552.00	4
13	Alliance of Border Collaboratives, Inc.	EL PASO	\$345,552.00	4
13	Town of Van Horn	CULBERSON	\$436,257.00	5
13	Adults and Youth United Development Association Inc.	EL PASO	\$306,984.17	3
13	Adults and Youth United Development Association Inc.	EL PASO	\$736,280.39	6
13	Alliance of Border Collaboratives, Inc.	EL PASO	\$389,843.00	4
13	Alliance of Border Collaboratives, Inc.	EL PASO	\$91,325.00	1

Regions Served	Administrator Name	Counties Served	Amount	Total Units
13	El Paso Collaborative for Community and Economic Development	EL PASO	\$305,846.75	3
13	El Paso Collaborative for Community and Economic Development	EL PASO	\$119,408.29	1
-	-	Totals	\$46,732,970.45	1,133

Anticipated Households Served with PY 2013 Funding

For activities that were awarded with PY 2013 funds, there are 1,133 total anticipated units.

PY 2013 Estimated Funds and Units
(Including Administration and Reprogrammed Funds*)

Activity	Total Estimated Units	Total Funding
Owner -Homebuyer Assistance (all activities)	140	\$4,171,279.07
Owner-Homeowner Rehabilitation Assistance	232	\$19,591,029.38
Owner – CHDO Development Single Family	4	\$434,477.00
Renter - Tenant-Based Rental Assistance	516	\$5,546,185.00
Renter - Rental Housing Development	241	\$16,940,000.00
CHDO Operating	0	\$50,000.00
Total:	1,133	\$46,732,970.45

* includes program income and deobligated funds

Actual Households Served in PY 2013

This section reports on the actual units completed in PY 2013 (February 1, 2013, through January 31, 2014) through current HOME agreements. These activities were originally awarded between 2007 and 2013, and units were completed during the PY 2013 reporting period. There were 1,426 total units completed in PY 2013 through these awards.

Actual Units Completed in PY 2013 by Activity

Activity	Total Units	Total Disbursed
Owner - CHDO Single Family Development	7	646,521.86
Owner - Homebuyer Assistance	152	2,920,993.08
Homeowner Rehabilitation Assistance	333	26165311.92
Renter - Tenant-Based Rental Assistance	473	3523082
Renter - CHDO Rental Development	0	0.00

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Activity	Total Units	Total Disbursed
Renter - Rental Development	134	11301813.87
Totals	1099	\$44,557,722.73

Special Needs Population Assisted Units Completed in PY 2013

Special Needs Group	Units Assisted	% of Units
Alcohol and Drug Addiction	2	0.28%
Colonias	41	5.69%
Elderly Populations	225	31.21%
Homeless Populations	157	21.78%
Migrant Farmworkers	0	0.00%
People With Disabilities	290	40.22%
Persons with HIV/AIDS	0	0.00%
Victims of Domestic Violence	6	0.83%
Public Housing Residents	0	0.00%

Racial Composition of Assisted Units Completed in PY 2013

Race	Units Assisted	Percent of Units
American Indian/Alaska Native	2	0.21%
American Indian/Alaska Native & Black/African American	0	0.00%
American Indian/Alaska Native & White	0	0.00%
Asian	6	0.62%
Asian & White	2	0.21%
Black/African American	194	20.10%
Black/African American & White	3	0.31%
Native Hawaiian/Other Pacific Islander	1	0.10%
Other Multi Racial	18	1.87%
White	739	76.58%
Total	965	100.00%

Hispanic Origin of Assisted Units Completed in PY 2013

Ethnicity	Units Assisted	Percent of Units
Hispanic and American Indian/Alaska Native & Black/African American	0	0.00%
Hispanic and Other Multi Racial	10	1.04%
Hispanic and White	343	35.54%
Not Hispanic and American Indian/Alaska Native	2	0.21%
Not Hispanic and American Indian/Alaska Native & White		0.00%

Ethnicity	Units Assisted	Percent of Units
Not Hispanic and Asian	6	0.62%
Not Hispanic and Asian & White	2	0.21%
Not Hispanic and Black/African American	194	20.10%
Not Hispanic and Black/African American & White	3	0.31%
Not Hispanic and Native Hawaiian/Other Pacific Islander	1	0.10%
Not Hispanic and Other Multi Racial	8	0.83%
Not Hispanic and White	396	41.04%
Total	965	100.00%

Income Status of Owner/Renter Units Completed in PY 2013

Income Category	Number of Units	% of Units
Extremely Low Income (0-30% AMFI)	474	43.13%
Very Low Income (31-50% AMFI)	183	16.65%
Low Income (51-60% AMFI)	200	18.20%
Low/Moderate Income(61-80% AMFI)	242	22.02%
Total	1,099	100.00%

Income Status of Units Completed in PY 2013 by Activity

Income Status	AMFI 0-30%	AMFI 31-50%	AMFI 51-60%	AMFI 61-80%	Total
Owner – Homebuyer Assistance	3	13	21	113	150
Owner – Homeowner Rehabilitation	112	87	77	59	335
Owner - CHDO Single Family Development	0	1	3	3	7
Renter – Tenant-Based Rental Assistance	334	82	38	19	473
Renter – Rental Housing Development	25	0	61	48	134
Totals	474	183	200	242	1,099

CPD Outcome Performance Measurement

The table below reports program performance measures as required by HUD CPD guidelines. HOME Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard and represent actual activities approved during PY 2013. The table delineates 1) the number of anticipated units based on the award of dollars during the Program Year and 2) the number of units activated during the Program Year. For rental activities, it is not uncommon for units to be reported

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as a completed as many as 2 to 3 years after award. The chart reflects revised performance measures for the number of units anticipated to be awarded during PY 2013.

HOME Program Performance Measures, PY 2013

Outcomes and Objectives	Performance Indicators	Expected Number	Actual Number	Percentage
DH-2	No. of rental units assisted through new construction and rehabilitation	524	556	106%
DH-2	No. of tenant-based rental assistance units	243	483	199%
DH-2	No. of existing homeowners assisted through homeowner rehabilitation assistance	56	286	510%
DH-2	No. of first-time homeowners assisted through homebuyer assistance	124	157	127%

ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and program objectives.

Housing Priority Summary Needs Table

H=High, M=Medium, L= Low, N=No Such Need

	Priority Housing Needs	Priority Need Level 0-30%	Priority Need Level 31-50%	Priority Need Level 51-80%
Renter Elderly HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Small Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Large Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
All Other HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Owner	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H

Specific Accomplishments

This section describes specific HOME Program activities undertaken during PY 2013 that address high priority needs. Please see the “Goals and Objectives” section for detailed information about HOME Program goals and objectives, which also address these needs.

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock. Beginning in PY 2012, the HOME Program funds were awarded utilizing a Reservation System for single family activities continued to be first-come, first-served and based on funding availability, and multifamily activities are awarded under a competitive application cycle, as defined in each Notice of Funding Availability (NOFA). Threshold and/or scoring criteria are included in each NOFA to meet statutory or federal requirements and program or Department goals, such as income, match, and special population assistance targets. Additionally, the HOME Program addresses high priority needs areas by making available ninety-five percent (95%) of its annual HUD allocation to rural areas of Texas, pursuant to Texas Government Code §2306.111(c)(1).

Persons with Disabilities

In order to address the needs of Persons with Disabilities, the HOME Program accomplished the following during PY 2013:

Pursuant to Texas Government Code §2306.111(c)(2) five percent (5%) of HOME Program funds were made available to persons with disabilities living in any area of the state. As a part of the 2013 allocation, TDHCA established a Persons with Disabilities (PWD) set-aside equal to 5% of the HOME award, to support the housing needs of this community in Texas.

ON-SITE INSPECTIONS

On-site monitoring reviews of affordable HOME rental developments are conducted in accordance with 24 CFR §92.504(d) of the HOME Final Rule. TDHCA is committed to ensuring all rental developments funded with HOME are in compliance with federal and state rules and regulations. TDHCA’s compliance monitoring rules are in 10 TAC Chapter 10, Subchapter F.

While on site, monitors review resident files to ensure that households are income eligible under the HOME Program and that rents are properly restricted. Historically, TDHCA has inspected HOME developments to determine compliance with the Uniform Physical Condition Standards (“UPCS”). However, through recent trainings with HUD staff, TDHCA understands that UPCS is to be used only in the absence of local codes or when UPCS meets or exceeds all requirements in the state and local codes.

Therefore, TDHCA is updating its monitoring procedures to include a review of local code requirements to determine which inspection standard to use. Please note that all the properties included in this report were all inspected under the Uniform Physical Condition Standards.

Properties assisted with HOME funds may fall into egregious or ongoing non-compliance or have financial/operational issues that require further intervention by TDHCA. In these cases, the Asset

Management Division of TDHCA provides additional oversight and intervention activities to work toward a timely resolution of the identified issues. The Asset Manager enters into discussions with the Owner to determine the most effective workout/resolution strategy available. This includes coordination with compliance and loan servicing staff and may lead to referral to the Asset Resolution Committee of the agency for possible modification of the loan terms. The two primary goals in working with the Owners of HOME assisted developments during the asset resolution phase is to restore compliance with the Land Use Restrictive Agreement (LURA) and facilitate repayment of the loan under the originally agreed upon terms. If the Owner is unable or unwilling to restore compliance, after provided with extensive technical assistance or incurring financial penalties, TDHCA's options are generally limited to its rights of declaring default, foreclosing on the collateral, and attempting to identify a new party to undertake compliant administration of the property under a modified and extended LURA to achieve documented compliance during the required federal affordability period.

TDHCA strives to work cooperatively with owners to restore compliance. Before imposing consequences for noncompliance, alternative solutions are considered such as restructuring debt, intensive in-depth technical assistance, and/or requiring changes in management companies.

To reduce risk of noncompliance, prior to awarding any new funding, the Compliance Division conducts a previous participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance or any asset management concerns. If any issue(s) are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all necessary corrective action documentation to correct noncompliance.

TDHCA's enforcement provisions in 10 TAC §1.14 establish monetary penalties for owners who do not correct noncompliance violations at the end of the corrective action period. TDHCA has successfully brought developments into compliance through the administrative penalties process. TDHCA is continuing to conduct informal conferences with owners to address their compliance violations and restore compliance.

The following table reflects the results of on-site reviews and inspections conducted on HOME rental developments from February 1, 2013 through January 31, 2014. During this time period, TDHCA monitored 201 HOME rental developments. Of the 201, no noncompliance was identified at 101 properties. At 57 of the properties, some noncompliance was identified, but the owners corrected the issue. Twenty one of the reviews have not yet been closed. Twenty two of the properties have been referred for administrative penalties for failure to cure the issue identified. The table below outlines the developments monitored during this time period and current status.

PY 2013 HOME Program Property Inspections

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4692	1001203	Abilene Senior Village	5/16/2013	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
3200	530687	Alamo Plaza Apartments	10/24/2013	Household income above income limit upon initial occupancy; Failure to execute required lease provisions or exclude prohibited lease language (24 CFR §92.355 and §570.487(c)); UPCS Violation	Owner has been referred to TDHCA's Administrative Penalty Committee for enforcement for file issues; UPCS Corrective Action not due until 3/17/2014
2604	538092	Alpine Retirement Community	5/29/2013	Household income above income limit upon initial occupancy; Failure to provide Affirmative Marketing Plan; UPCS Violation	File issues Corrected; UPCS Corrective Action not due until 3/20/2014
870	1001076	Alta Vista I & II	8/19/2013	NONE	N/A
4006	531300	Alta Vista Village Retirement Community	1/17/2014	NONE	N/A
4802	1001494	Amber Stone Apts	9/17/2013	NONE	N/A
2605	539109	Angelica Homes Corp.	1/23/2014	Owner did not properly calculate utility allowance	Corrected
4724	1001242	Artisan at Port Isabel	3/21/2013	Gross rent exceeds limit; UPCS Violation	Corrected
1922	539119	Asbury Place Apartments	5/28/2013	Household income increased above 80% at recertification and owner failed to properly determine rent	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
4750	1001256	Auburn Square	7/23/2013	Owner did not properly calculate utility allowance	Corrected
4089	1000245	Bahia Palms Apartments	3/21/2013	NONE	N/A
2603	539111	Bavarian Manor Apartments	4/26/2013	NONE	N/A
3385	542070	Bayou Bend Apartments	6/27/2013	NONE	N/A
4303	1000428	Bayshore Manor Apartments	7/25/2013	UPCS Violation	Corrected
4301	1000432	Bel Aire Manor Apartments	1/9/2014	Failure to provide Affirmative Marketing Plan	Corrective Action not due until 4/27/14
2606	539115	Bentcreek Apartments	5/30/2013	NONE	N/A

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4494	1000884	Bluffs Landing Senior Village	4/16/2013	NONE	N/A
882	1000989	Bracketville Seniors Apartments	3/29/2013	NONE	N/A
2641	532300	Brazos Bend Villas	7/23/2013	NONE	N/A
3201	530627	Brentwood Apartments	1/16/2014	NONE	N/A
1616	536266	Brentwood Oaks Apartments	2/7/2013	UPCS Violation	Corrected
4093	1000244	Briarwood Apartments	7/26/2013	UPCS Violation	Corrected
4202	542076	Bridgeport Estates Phase II	10/23/2013	Failure to provide Affirmative Marketing Plan	Corrected
2655	538613	Brittons Place	6/27/2013	UPCS Violation	Corrected
4546	1001000	Brookhollow Manor	11/18/2013	Failure to provide Affirmative Marketing Plan; UPCS Violation	Corrected
1473	536263	Brownwood Apartments	11/20/2013	Noncompliance with tenant selection requirements (§92.253(d)(6)); Owner did not properly calculate utility allowance	File Response received and under review
2063	538622	Brownwood Apartments II	11/20/2013	Gross rent exceeds limit; UPCS Violation	File Response received and under review; UPCS Corrective Action not due until 3/23/2014
4514	1000962	Buena Vida Apartments	4/26/2013	NONE	N/A
4523	1000991	Cambridge Crossing	7/26/2013	NONE	N/A
4207	1000084	Canal Street Apartments	6/27/2013	NONE	N/A
2610	530707	Casa De Manana	4/18/2013	UPCS Violation	Corrected
4761	1001234	Casa Ricardo	4/16/2013	NONE	N/A
2671	534284	Cedar Ridge Apartments	8/20/2013	Household income above income limit upon initial occupancy	Owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
4003	531001	Cedar Ridge II	8/20/2013	Household income above income limit upon initial occupancy	Corrected
2611	536268A	Chateau Apartments	2/20/2013	Owner did not properly calculate utility allowance; Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	Corrected

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CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4617	1001216	Cherrywood Apartments (SEE COMMENTS)	8/6/2013	UPCS Violation	Corrected
4549	1001003	Chisum Trail Apartments	10/23/2013	NONE	N/A
2612	532322	Claremont Apartments	5/17/2013	Household income increased above 80% at recertification and owner failed to properly determine rent	Corrected
4300	1000434	Clifton Manor Apartments I and II	1/24/2014	NONE	N/A
2676	533303	Colorado City Homes	6/13/2013	NONE	N/A
2677	534341	Colorado City Homes II	6/13/2013	UPCS Violation	Corrected
1525	536264	Commonwealth Apartments	5/30/2013	NONE	N/A
2214	538621	Commonwealth, Phase II	5/30/2013	NONE	N/A
4499	1000879	Constellation Ranch	6/11/2013	NONE	N/A
4540	1001077	Constitution Court	2/22/2013	NONE	N/A
4572	1001112	Costa Mariposa	3/21/2013	UPCS Violation	Corrected
4749	1001246	Costa Tarragona II	4/17/2013	NONE	NA
4005	531102	Country Villa	4/30/2013	NONE	N/A
675	1001252	Country Village Apartments	3/19/2013	NONE	N/A
4611	1001134	Courtwood Apartments	7/22/2013	NONE	N/A
4522	1000968	Creek View Apartments	1/17/2014	UPCS Violation	Corrected
4824	1001589	Creek View Apartments II	1/17/2014	NONE	N/A
4542	1000986	Creekside Villas Senior Village	4/26/2013	NONE	N/A
4675	1001133	Crestmoor Park South Apartments	1/15/2014	Owner failed to correctly complete or document tenant's annual income recertification	File Corrective Action not due until 5/13/2014
4356	1000657	Crestmoor Park West Apartments	1/15/2014	NONE	N/A
1641	536279	Crestview Apartments	5/24/2013	UPCS Violation	UPCS Corrective Action not due until 4/6/2014

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4222	1000639	Cypress Creek at River Bend	10/23/2013	NONE	N/A
4001	530200	Dale Meadows	4/24/2013	UPCS Violation	Owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
2614	530657	Danville Estates	7/25/2013	Failure to provide Affirmative Marketing Plan	Corrected
2616	537605	Denver City Multi-family aka Sunshine Villa	8/14/2013	Household income above income limit upon initial occupancy; Owner did not properly calculate utility allowance	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
2668	532307	Doroteo N. Garza Homes	6/21/2013	UPCS Violation	Corrected
156	530717	Eagle Lake Gardens Apartments	10/24/2013	NONE	N/A
781	1000441	East Texas Apartments	5/30/2013	NONE	N/A
2681	532339	Ebenezer Senior Housing	11/22/2013	Owner did not properly calculate utility allowance	File Corrective Action not due until 3/16/2014
4380	1000655	El Paraiso Apartments	4/23/2013	NONE	N/A
1103	1001679	Elmwood Apartments	1/23/2014	NONE	N/A
4580	1001113	Encino Pointe	5/29/2013	NONE	N/A
4333	1000608	Estates of Bridgeport IVa	10/23/2013	Owner failed to correctly complete or document tenant's annual income recertification; Failure to provide Affirmative Marketing Plan	Corrected
4544	1000987	Evergreen at Morningstar	3/1/2013	NONE	N/A
4707	1001250	Evergreen at Richardson	2/28/2013	NONE	N/A
4387	1000659	Evergreen at Rockwall	2/28/2013	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
4662	1001126	Evergreen at Vista Ridge	3/1/2013	NONE	N/A
4552	1000998	First Huntington Arms	5/23/2013	NONE	N/A

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CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4515	1000969	Floresville Senior Housing	11/13/2013	NONE	N/A
4314	1000586	Floresville Square Apartments	11/13/2013	NONE	N/A
879	1000990	Fredericksburg Seniors	2/7/2013	NONE	N/A
2636	532305	Freeport Apartments	6/26/2013	UPCS Violation	Corrected
2619	531105	Garden Terrace Apartments	3/20/2013	UPCS Violation	Corrected
4408	1000660	Gardens of Mabank	1/17/2014	Failure to provide Affirmative Marketing Plan; Noncompliance with tenant selection requirements (waitlist); Owner did not properly calculate utility allowance	File Monitoring Letter under Review
4205	535247A	George Gervin - Garden Apartments	3/20/2013	Low-income unit used on a transient basis; Low-income unit used on a transient basis; UPCS Violation	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement; UPCS Corrected
720	1000239	Golden Manor Apartments	7/25/2013	UPCS Violation	Corrected
1596	537070	Granada Apartments	3/28/2013	Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	Corrected
2654	539099	Grandview Retirement Village	10/15/2013	Household income increased above 80% at recertification and owner failed to properly determine rent; Failure to provide Affirmative Marketing Plan	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
3384	542073	Green Manor Apartments	6/26/2013	UPCS Violation	Corrected
4302	1000433	Hamilton Manor Apartments	10/17/2013	NONE	N/A
18	530677	Heatherwilde Park Retirement Apartments	4/18/2013	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
4671	1001130	Heights at Corral	4/16/2013	NONE	N/A
1858	533504	Heritage at Dartmouth	2/21/2013	UPCS Violation	Corrected

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4683	1001129	Heritage Crossing	3/19/2013	NONE	N/A
2623	537602	Hillside Senior Community (aka: Hero Housing)	6/26/2013	NONE	N/A
4471	1001139	Holland House Apartments	2/21/2013	Household income above income limit upon initial occupancy; Household income above income limit upon initial occupancy; Failure to provide Affirmative Marketing Plan; UPCS Violation	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement; UPCS Corrected
4396	1000656	HomeTowne at Picadilly	4/17/2013	NONE	N/A
4650	1001128	Horizon Meadows Apartments	3/19/2013	NONE	N/A
4589	1001074	Huntington	7/24/2013	Owner did not properly calculate utility allowance	Corrected
4498	1001138	Hyatt Manor Apartments	9/17/2013	NONE	N/A
1406	535028	Jefferson Square Apartments	6/26/2013	NONE	N/A
2664	532331	Jose "Joe" Gonzalez Homes	4/30/2013	Household income above income limit upon initial occupancy; Owner failed to correctly complete or document tenant's annual income recertification; Noncompliance with tenant selection requirements (waitlist); UPCS Violation	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement; UPCS Corrected
2685	535004	Jourdanton Elderly Housing	4/26/2013	Owner did not properly calculate utility allowance	Corrected
2625	533345	Juan Linn Apts	3/21/2013	Household income above income limit upon initial occupancy; Noncompliance with lease requirements (§92.253-good cause evictions); Failure to provide special needs housing as required by LURA;	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
2626	536268	Keystone Apartments	6/21/2013	NONE	N/A
4479	1000882	Kingsville LULAC Manor Apartments	4/16/2013	NONE	N/A

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CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
3263	531099	La Mirage Apartments	5/30/2013	NONE	N/A
4098	1000238	La Mirage Villas	5/30/2013	NONE	N/A
369	531100	La Villita	4/24/2013	NONE	N/A
4823	1001307	Las Brisas Manor	3/29/2013	NONE	N/A
4665	1001143	Leander Station Senior Village	1/31/2014	NONE	N/A
4069	1000246	Lexington Court	7/24/2013	UPCS Violation	Corrective Action not due until 4/6/2014
4543	1000977	Lexington Court Phase II	7/24/2013	Household income above income limit upon initial occupancy	Owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
2631	533186	Lincoln Courts	8/12/2013	UPCS Violation	Corrected
1404	535003	Llano Square Apartments	1/16/2014	Household income above income limit upon initial occupancy	File Monitoring Letter under Review
2634	536272	Lockhart Housing Authority	4/25/2013	NONE	Corrected
1369	532304	Longview Commons	5/23/2013	NONE	N/A
4271	1000648	Los Ebanos Apartments - Zapata	4/30/2013	NONE	N/A
4771	1001241	Lufkin Pioneer Crossing	5/22/2013	NONE	N/A
4693	1001137	Lufkin Pioneer Crossing for Seniors	5/22/2013	NONE	N/A
4351	1000651	LULAC Amistad Apartments	3/19/2013	NONE	N/A
4782	1001497	Main Street Commons	1/17/2014	Failure to provide Affirmative Marketing Plan; Noncompliance with lease requirements (§92.253-good cause evictions)	Monitoring Letter under Review
2206	538620	May Road Apartments	7/25/2013	NONE	N/A
4751	1001233	Meadow Vista	5/14/2013	NONE	N/A
4463	1001496	Meadowlake Village Apartments	1/17/2014	Failure to provide Affirmative Marketing Plan	File Corrective Action not due until 6/9/2014
4732	1001306	Merritt Lakeside Senior Village	8/19/2013	NONE	N/A

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4313	1000555	Milam Creek Senior Village	7/25/2013	Failure to provide Affirmative Marketing Plan	Owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
4722	1001214	Milam Creek Senior Village II	7/25/2013	Owner did not properly calculate utility allowance; Gross rent exceeds limit; Violation of the Available Unit Rule; Failure to provide Affirmative Marketing Plan	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
4204	534501	Mineola Seniors Community Phase II	4/24/2013	NONE	N/A
4591	1001114	Mineral Wells Pioneer Crossing	5/15/2013	NONE	N/A
2704	533027	Mountain View Apartments	2/22/2013	UPCS Violation	Corrected
4547	1001001	Northview Apartments	7/23/2013	NONE	NA
2643	537601	Notre Dame Hills	6/27/2013	NONE	N/A
1904	538003	Nueces Bend at Two Rivers Place	12/20/2013	Noncompliance with tenant selection requirements (waitlist); Owner did not properly calculate utility allowance	File Corrective Action not due until 5/14/2014
4745	1001244	Oak Creek Townhomes	1/16/2014	UPCS Violation	Corrected
4690	1001141	Oakwood Apartments	11/21/2013	The property has not been giving a 30 day notice to vacate for nonpayment of rent as required by §92.253(c) ; UPCS Violation	The file review is in process of being closed; UPCS Corrected
1304	1001591	Oakwood Apartments	1/15/2014	Gross rent exceeds limit; Failure to provide Affirmative Marketing Plan	File Monitoring Letter under Review
2675	535247	Olton Multifamily Housing	8/14/2013	Household income above income limit upon initial occupancy; Household income above income limit upon initial occupancy; Failure to provide Affirmative Marketing Plan; UPCS Violation	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement; UPCS Corrected
3407	537076	Palestine Senior II	5/22/2013	NONE	N/A

Program Performance

Housing

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
2649	537073	Panola Seniors Community II	5/23/2013	Failure to provide Affirmative Marketing Plan; UPCS Violation	File issues Corrected; Owner has been referred to TDHCA's Administrative Penalty Committee for enforcement UPCS
4298	1000430	Park Place Apartments	7/24/2013	UPCS Violation	Corrected
4593	1001075	Park Ridge Apartments	1/17/2014	Owner did not properly calculate utility allowance	File Corrective Action not due until 6/9/2014
178	530737	Parkside Place Apartments	8/6/2013	UPCS Violation	Corrected
2652	535031	Parkview Place Apartments	4/17/2013	UPCS Violation	Corrected
4430	1000878	Parkwood Apartments	1/29/2014	NONE	N/A
4657	1001132	Pearland Senior Village	5/24/2013	NONE	N/A
3390	542069	Pecan Creek Apartments	10/16/2013	NONE	N/A
4255	1000431	Pecan Village fka University Place Apartments	7/25/2013	UPCS Violation	Corrected
4395	1000654	Pembroke Court	2/20/2013	NONE	N/A
3383	542072	Pine Meadows Apartments	6/27/2013	Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	Corrected
2653	539113	Piney Woods Home Team Affordable Housing, Inc	5/22/2013	Owner did not properly calculate utility allowance; Gross rent exceeds limit (908)	Owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
4780	1001506	Pioneer Crossing for Seniors Burkburnet	11/15/2013	NONE	N/A
2658	532315	Plainview II (Triplex)	8/14/2013	Household income above income limit upon initial occupancy; Owner did not properly calculate utility allowance; UPCS Violation	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement; Corrected
2659	538625	Prado II Apartments	6/28/2013	UPCS Violation	Corrected
4446	1000771	Prospect Point	5/20/2013	NONE	N/A

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4548	1001002	Quail Run Apartments	10/23/2013	Household income above income limit upon initial occupancy; Household income above income limit upon initial occupancy; UPCS Violation	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement; UPCS Corrected
2711	532318	Railroad Street Rental House	5/31/2013	Failure to provide Affirmative Marketing Plan	Corrected
180	530727	Raintree Apartments	4/24/2013	NONE	N/A
4763	1001235	Red Oak Apartments	10/17/2013	NONE	N/A
2618	534031	Rincon Point Apartments	3/19/2013	Household income above income limit upon initial occupancy; Household income above income limit upon initial occupancy; UPCS Violation	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement; UPCS Corrected
4701	1001255	Riverplace Apartments	2/26/2013	NONE	N/A
2713	539116	Riverview Apartments	5/30/2013	NONE	N/A
1785	537079	San Augustine Seniors Apt.	5/30/2013	NONE	N/A
2715	532334	San Jacinto Senior Housing	12/20/2013	Household income above income limit upon initial occupancy; Failure to provide Affirmative Marketing Plan; Owner did not properly calculate utility allowance ; UPCS Violation	File Corrective Action not due until 5/14/2014; UPCS Corrected
3326	538263	Santa Lucia Housing	9/17/2013	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
370	531101	Seven Points Apartments	1/20/2014	NONE	N/A
4487	1000881	Shady Oaks Apartments	4/16/2013	UPCS Violation	Corrected
679	1000243	SHADY OAKS APTS.	6/27/2013	UPCS Violation	Corrected
4554	1001006	SilverLeaf at Chandler	7/26/2013	NONE	N/A
4727	1001243	Silverleaf at Chandler II	7/26/2013	NONE	N/A
4402	1000652	Skyline Terrace	3/20/2013	UPCS Violation	Corrected

Program Performance

Housing

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
2680	537606	Southeast Texas Community Development Corp	11/21/2013	Owner failed to correctly complete or document tenant's annual income recertification; Household income above income limit upon initial occupancy	Corrective Action not due until 4/23/14
2693	530647	Special Needs Rental Housing	11/20/2013	Gross rent exceeds limit; Owner did not properly calculate utility allowance; UPCS Violation	File Monitoring Letter under Review; UPCS Corrective Action not due until 3/18/2014
4007	531301	Spring Garden Apartments IV	10/24/2013	Failure to provide Affirmative Marketing Plan	Corrected
4274	1000415	Spring Garden V	10/24/2013	Failure to provide Affirmative Marketing Plan; UPCS Violation	File Monitoring Letter under Review; UPCS Corrective Action not due until 5/5/2014
4312	1000417	Spring Terrace - Austin	3/21/2013	UPCS Violation	Corrected
2694	533300	Spur Triplex	8/14/2013	Household income above income limit upon initial occupancy; Owner did not properly calculate utility allowance	Corrected
4553	1000981	St. Charles Place	1/15/2014	NONE	N/A
2695	539114	St. Michael Estates	11/19/2013	NONE	N/A
4216	531114	Statewide CDC Scattered Sites Rental	7/25/2013	Owner has failed to respond to agency requests for monitoring reviews	Owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
2696	532303	Sterling Park Square	9/26/2013	NONE	N/A
4730	1001319	Sulphur Springs Pioneer Crossing for Seniors	4/25/2013	UPCS Violation	Corrected
1574	536265	Sunrise Village II	5/30/2013	Household income above income limit upon initial occupancy	Owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
2722	532336	Sunrise Village Phase I (HOME)	5/30/2013	NONE	N/A
2724	536292	Sutton Square Duplexes	5/17/2013	NONE	N/A
2726	533029	Tembell Home	1/30/2014	NONE	N/A
2719	536286	Temple College Housing Scholarship Program	1/31/2014	NONE	N/A
4555	1001007	The Mirabella	12/18/2013	NONE	N/A

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4803	1001492	The Overlook at Plum Creek	12/13/2013	Failure to provide Affirmative Marketing Plan	Corrective Action not due until 4/3/2014
4804	1001538	The Terrace at MidTowne	10/16/2013	Failure to provide Affirmative Marketing Plan	Corrected
1952	538006	Tierra Socorro Ltd.	6/27/2013	Household income increased above 80% at recertification and owner failed to properly determine rent	Corrected
2728	532316	Town Creek Homes	6/13/2013	NONE	N/A
2729	537072	Turtle Creek Townhomes	1/17/2014	UPCS Violation	Corrected
4394	1000646	Victoria Place Phase II	1/20/2014	NONE	N/A
4002	530201	Villa De Reposo - Encinal, Tx	4/29/2013	Owner did not properly calculate utility allowance; Gross rent exceeds limit; Failure to provide Affirmative Marketing Plan	Some file noncompliance uncorrected and owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
2731	535253	Villa De Reposo Pearsall, Tx	4/26/2013	Failure to execute required lease provisions or exclude prohibited lease language (24 CFR §92.253)	Corrected
2730	539110	Villa De Reposo San Luis Asherton, Tx	3/28/2013	NONE	N/A
4830	1001541	Villas of Giddings	10/24/2013	NONE	N/A
4059	1000242	Vista Hermosa Apartments	3/29/2013	NONE	N/A
2700	532321	Warren House Apartments	10/28/2013	NONE	N/A
2732	537603	West Avenue Apartments	10/30/2013	NONE	N/A
2702	535259	West Gate Apartments	8/15/2013	Owner did not properly calculate utility allowance; Household income above income limit upon initial occupancy; Owner failed to correctly complete or document tenant's annual income recertification	Owner has been referred to TDHCA's Administrative Penalty Committee for enforcement
1747	537078	Westwind Village	3/28/2013	UPCS Violation	Corrected
3387	542071	Willowchase Apartments	6/26/2013	UPCS Violation	Corrected

Program Performance

Housing

CMTS	HOME	Development	Date of File Review	Description of Noncompliance	Status of Noncompliance
4288	1000437	Windvale Park	7/26/2013	NONE	N/A
4573	1001106	Woodmont Apartments	8/15/2013	Owner failed to correctly complete or document tenant's annual income recertification; Household income above income limit upon initial occupancy;	Corrected

AFFIRMATIVE MARKETING AND MINORITY OUTREACH

In accordance with HOME regulations at 24 CFR §92.351 (a) and (b) and in furtherance of Texas' commitment to nondiscrimination and equal opportunity in housing, TDHCA has established procedures to affirmatively market units assisted under the HOME Investment Partnerships Program. These procedures are intended to further the objectives of Title VIII of the Civil Rights Act of 1968, the Fair Housing Amendments of 1988, and Executive Order 11063.

Department staff ensures that HOME Program administrators comply with the fair housing, accessibility, and affirmative marketing requirements of the program. The following actions are taken by TDHCA to ensure compliance.

- An application guide, which discusses these issues and includes guidance regarding the affirmative marketing plan requirements, is provided at the time of application.
- HOME Program administrators must submit an Affirmative Marketing Plan at application.
- TDHCA conducts training workshops for HOME administrators. These workshops include guidance regarding compliance with the Fair Housing, accessibility, and affirmative marketing requirements of the program.

Affirmative Marketing Actions

For applications consisting of five or more HOME-assisted units, the applicant is required to submit an Affirmative Marketing Plan in accordance with the HOME Final Rule (24 CFR §92.351). TDHCA's Compliance Division monitors for compliance with the requirements specified in the HOME Final Rule (24 CFR §92.351) and in 10 TAC Subchapter B. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status, or disability.

The marketing program outlines procedures by which applications will be solicited from eligible potential program participants, maintain records of efforts to affirmatively market program activities or available housing opportunities, and to develop a system for evaluating the affirmative marketing efforts.

Specific Actions

- Program administrators must ensure that the public, including potential beneficiaries of HOME-assisted housing, is informed that the HOME Program is administered under an established, affirmative marketing policy; applicable federal Fair Housing laws; and other applicable federal, state, and local housing laws. This policy must be promoted in the community through media and other outlets, and communicated to beneficiaries of housing that will be or has been assisted with HOME funds.
- Program administrators shall affirmatively market available housing in local newspapers and using other appropriate methods. All forms of program marketing should depict the Equal Housing Opportunity logo.

Program Performance

Housing

- To help ensure that available housing is affirmatively marketed to persons not likely to apply for such housing, Program administrators are encouraged to make HOME information available in non-English languages spoken by minority groups residing in or near the community. Furthermore, Program administrators are encouraged to distribute marketing materials to area social service agencies that work with minorities, disabled individuals, or other protected groups.

Affirmative Marketing Record Keeping

Program administrators are required to develop an affirmative marketing plan to identify persons who are the least likely to apply and how to reach those persons. Administrators must maintain documentation of their affirmative marketing activities. Program administrators must update their affirmative marketing plan annually throughout the contract term or period of affordability. TDHCA collects Fair Housing Sponsor Report data from each rental housing development program administrator annually. Program administrators use this information in preparing their affirmative marketing plan update.

Minority Outreach

Information on the number and dollar value of contracts for HOME projects completed during the reporting period to Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) is provided below.

HOME Projects Completed by Minority Business Enterprises, PY 2013

Contractor or Subcontractor Business Racial/Ethnic Code	Contracts Total	Contracts Percent of Total	Contracts Amount	Subcontracts Total	Subcontracts Percent of Total	Subcontracts Amount
American Indian/Alaska Native	0	0.00%	\$0.00	6	0.38%	\$424,246.00
Asian	0	0.00%	\$0.00	0	0.00%	\$0.00
Black/African American	15	5.23%	\$1,000,365.75	25	1.59%	\$307,347.00
Black/African American & White	2	0.70%	\$169,888.00	0	0.00%	\$0.00
Hispanic	11	3.83%	\$726,560.00	117	7.43%	\$773,700.66
White	258	89.90%	\$38,503,177.19	1419	90.15%	\$26,911,417.86
Unknown	1	0.35%	\$1,154,929.00	7	0.44%	\$27,771.00
Total	287	100.00%	\$41,554,919.94	1,574	100.00%	\$28,444,482.52

HOME Projects Completed by Women Business Enterprises, PY 2013

Gender Business Code	Contract Total	Contract Percent of Total	Contract Amount	Subcontracts Total	Subcontracts Percent of Total	Subcontracts Amount
Man Owned	286	99.65%	\$41,488,897.94	1,517	96.38%	\$28,091,640.52
Woman Owned	1	0.00%	\$66,022.00	57	3.62%	\$352,842.00
Totals	287	100.00%	\$41,554,919.94	1,574	100.00%	\$28,444,482.52

OTHER ACTIONS

This section describes actions by the Texas Department of Agriculture (TDA) and Texas Department of Housing and Community Affairs (TDHCA) to address the following: Obstacles to Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Gaps in Institutional Structure, Enhancing Coordination, Reducing and Ending Homelessness, and Furthering Fair Housing. The Department of State Health Services has reported on these topics in Part II.

MEETING UNDERSERVED NEEDS AND DEVELOPING AFFORDABLE HOUSING

The agencies have identified various obstacles that may affect the ability to meet underserved needs in Texas. They include the lack of affordable housing, lack of organizational capacity, lack of organizational outreach, local opposition to affordable housing, regulatory barriers to affordable housing, and area income characteristics (particularly in rural areas). The agencies take actions to mitigate these obstacles such as effectively using existing resources to administer programs, providing information resources to individuals and local areas, and coordinating resources. The following paragraphs outline specific actions taken by the program areas to meet underserved needs and develop affordable housing.

CDBG

Texas CDBG encourages affordable housing projects using several methods in the allocation of CDBG funds to the eligible communities that can participate in its programs, including favorable state scoring and regional prerogative to prioritize funding for housing infrastructure and rehabilitation. Each region is encouraged to set aside a percentage of the regional allocation for housing improvement projects, and housing applications are scored as high priority projects at the state level.

Currently, the primary method of promoting and supporting affordable housing is by providing the water and wastewater infrastructure for residential housing. The CDBG funding provides a cost savings for housing when CDBG funds are used to provide first-time water and wastewater services by installing water and sewer yardlines and paying impact and connection fees for qualifying residents.

CDBG funding also helps cities and counties study affordable housing conditions. The plans produced through CDBG planning contracts provide both valuable data concerning a city's or county's affordable housing stock and planning tools for expanding their affordable housing.

The most commonly cited obstacle to meeting the underserved community development needs of Texas cities (aside from inadequate funding) is the limited administrative capacity of the small rural towns and counties the CDBG Program serves. Rural areas may also have difficulty finding interested contractors who have the financial stability to wait a minimum of two weeks for payment after the work is complete. Contractors can earn more working in metropolitan areas with larger projects and without the location costs required to transport materials and equipment to rural communities. Texas CDBG staff offers technical assistance to communities and works with regulatory agencies as appropriate to resolve issues and promote successful CDBG projects.

The physical size and the diversity of the State of Texas can present challenges to understanding and meeting underserved needs in local communities. The TDA Field Offices have been established to better serve these communities by providing technical assistance and support to Nacogdoches, Houston, Lockney, Bédias, Marfa, San Juan, Uvalde, Woodsborough and San Angelo. In addition, the Colonia Self-Help Centers continue to address affordable housing needs in border counties by assisting qualifying colonia residents to finance, refinance, construct, improve or maintain a safe, suitable home in suitable areas.

HOME and ESG

The HOME Program provides grant funds, deferred forgivable loans, and repayable loans through units of local government, nonprofit and for-profit organizations, community housing development organizations (CHDOs), Councils of Governments, and public housing authorities (PHAs). These funds are primarily used to foster and maintain affordable housing by providing rental assistance, rehabilitation, or reconstruction of owner-occupied housing units, down payment and closing cost assistance for the acquisition of affordable single family housing, and funding for rental housing development or preservation of existing affordable or subsidized rental housing.

HOME funds may also be used in conjunction with the Housing Tax Credit Program to construct or rehabilitate affordable multifamily housing.

Regarding ESG, while TDHCA encourages the use of ESG funds to provide homeless prevention and rapid re-housing assistance, the majority of funds are utilized to provide operational assistance to emergency shelters, to assist persons at-risk of homelessness with rental assistance, and to house persons who are homeless. These funds meet the needs of local homeless populations.

PUBLIC HOUSING RESIDENT INITIATIVES

The future success of PHAs will center on ingenuity in program design, emphasis on resident participation towards economic self-sufficiency, and partnerships with other organizations to address the needs of this population. While the Departments do not have any direct or indirect jurisdiction over the management or operations of PHAs, it is important to maintain relationships with these service providers.

CDBG

Texas CDBG serves public housing areas through various funding categories as residents of PHAs qualify as low- to moderate-income beneficiaries for CDBG projects.

HOME and ESG

TDHCA publishes all Notices of Funding Availability on its website and sends notification of funding availability statewide through TDHCA's email subscriber lists. As PHAs have received homebuyer assistance and tenant-based rental assistance funds, information is provided to enable them to transition families toward homeownership or provide additional households with rental assistance and services to increase self-sufficiency.

Finally, PHAs, including those receiving HOME funds and those with Section 8 Homeownership programs, are targeted by TDHCA's Texas Statewide Homebuyer Education Program for training to provide homebuyer education opportunities and self-sufficiency tools for PHA residents.

PHA residents are eligible to receive assistance and services from ESG grantees.

In addition to HOME and ESG activities related to PHAs, TDHCA performs certifications of consistency. TDHCA, as required by 24 CFR §903.15, continues a certification process to ensure that the annual plans submitted by PHAs in an area without a local Consolidated Plan are consistent with the State of Texas's Consolidated Plan. For the PY 2013 reporting period, February 1, 2013, through January 31, 2014, TDHCA processed and approved 36 PHA certifications of consistency in a fair and impartial manner.

LEAD-BASED PAINT HAZARDS

DSHS informs the public that, “Lead enters your body when you swallow or breathe in lead dust or particles. Lead can be found in the air, water, food, dust and soil. Small amounts of lead can build up in the body and cause temporary or permanent damage.”² Lead-based paint can be found in housing built prior to 1978. According to the 2008-2012 American Community Survey, there are approximately 4.5 million homes in Texas built before 1980. This makes up approximately 45 percent of the total housing stock in Texas.

At the state level, DSHS has been charged with oversight of 25 Texas Administrative Code Chapter 295, Subchapter I, Texas Environmental Lead Reduction Rules (TELRR). The TELRR certifies persons and companies conducting lead inspections, lead risk assessments and lead abatements, and conducts enforcement-related activities in response to compliance inspections. The adherence to inspection and abatement standards is related to the extent of lead-based paint in that a majority of the housing in need of rehabilitation is likely housing built before 1978. By following these standards, the state is increasing the access to housing without lead-based paint hazards.

CDBG

In accordance with CDBG state regulations and the Lead-Based Paint Poisoning Prevention Act, CDBG has adopted a policy to eliminate as far as practicable the hazards of lead poisoning due to the presence of lead-based paint in any existing housing assisted under the CDBG. In addition, this policy prohibits the use of lead-based paint in residential structures constructed or rehabilitated with federal assistance. Abatement procedures should be included in the housing rehabilitation contract guidelines for each project and must appear in the approved work write-up documentation for all homes built prior to 1978 that will be rehabilitated, as outlined in the CDBG Project Implementation Manual.

HOME and ESG

The HOME Program increases the awareness of the hazards of lead-based paint by requiring screening for TBRA, homebuyer assistance and homeowner rehabilitation. Furthermore, single-family and multifamily development activities in HOME increase the access to lead-based-paint-free housing because they create new housing.

The HOME Program requires lead screening in housing built before 1978 for all HOME-eligible activities. Rehabilitation activities fall into three categories: 1) Requirements for federal assistance up to and including \$5,000 per unit; 2) Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit; and 3) Requirements for federal assistance over \$25,000 per unit.

Requirements for federal assistance up to and including \$5,000 per unit are: distribution of the pamphlet “Protect Your Family from Lead in Your Home” is required prior to renovation activities; notification within 15 days of lead hazard evaluation, reduction, and clearance must be provided; receipts for notification must be maintained in the administrator file; paint testing must be conducted to identify lead based paint on painted surfaces that will be disturbed or replaced or administrators may assume that lead

² <http://www.dshs.state.tx.us/info/#dangerous>

Other Actions

Lead-Based Paint

based paint exist; administrators must repair all painted surfaces that will be disturbed during rehabilitation; if lead based paint is assumed or detected, safe work practices must be followed; and clearance is required only for the work area.

Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit include all the requirements for federal assistance up to and including \$5,000 per unit and the following: a risk assessment must be conducted prior to rehabilitation to identify hazards in assisted units, in common areas that serve those units and exterior surfaces or administrators can assume lead based paint exist and; clearance is required for the completed unit, common areas which serve the units, and exterior surfaces where the hazard reduction took place.

Requirements for federal assistance over \$25,000 per unit included all the requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit and the following: if during the required evaluations lead-based paint hazards are detected on interior surfaces of assisted units, on the common areas that serve those units or on exterior surfaces including soils, then abatement must be completed to permanently remove those hazards; and if lead based paint is detected during the risk assessment on exterior surfaces that are not disturbed by rehabilitation then interim controls may be completed instead of abatement.

For ESG, TDHCA requires subrecipients to evaluate and reduce lead-based paint hazards for conversion, renovation, or rehabilitation projects funded with ESG funds, and tracks work in these efforts as required by the Lead-Based Paint Poisoning Prevention Act's implementing regulations at 24 CFR Part 35. During the annual contract implementation training, TDHCA provides ESG subrecipients with Department requirements and information related to lead-based paint regulations. TDHCA will require ESG funded subrecipients to determine if a housing unit was built prior to 1978, for households seeking ESG funded rent or rent deposit assistance whose household has a family member(s) 6 year of age or younger. If the housing unit is built prior to 1978, the ESG subrecipient will notify the household of the hazards of lead-based paint.

ESG subrecipients utilizing ESG funds for renovation, rehabilitation or conversion must comply with the Lead Based Paint Poisoning Prevention Act (42 USC, Chapter 63, §4831) and the Residential Lead-Based Paint Hazard Reduction Act of 1992 (42 USC, Chapter 63, §4852). Through renovation, rehabilitation or conversion, ESG increase access to shelter without lead-based paint hazards.

POVERTY-LEVEL HOUSEHOLDS

According to the American Community Survey for 2008 to 2012, Texas had a poverty rate of 17.4 percent during this time period compared to the national poverty rate of 14.9 percent. The federal government defined the poverty threshold in 2014 is \$23,850 for a family of four. Many of these poverty-level households can have worst-case housing needs such as severe cost burden, substandard housing and involuntary displacement. Poverty can be self-perpetuating, creating barriers to education, employment, health, and financial stability.

TDA, TDHCA, and DSHS have an important role in addressing Texas poverty. These agencies seek to reduce the number of Texans living in poverty, thereby providing a better future for all Texans. This means trying to provide long-term solutions to the problems facing people in poverty and targeting resources to those with the greatest need.

CDBG

A substantial majority of Texas CDBG funds are obligated to cities and counties under the funding competitions meeting the national objective to “principally benefit low and moderate income persons.” Texas CDBG encourages the funding of communities with a high percentage of persons in poverty through its application scoring. The CDBG projects funded under this national objective are required to serve 51% low to moderate income persons. In addition, the CDBG allocation formula used to distribute Community Development funds among regions includes a variable for poverty in the community distress scoring. The percentage of persons in poverty for each region is factored into the allocation formula in order to target funding toward communities with the greatest need.

In PY 2013, CDBG awarded 254 contracts under the National Objective of benefiting primarily low to moderate income persons. The \$75,871,400 in funds obligated for this National Objective in PY 2013 benefits 397,972 persons, of whom 230,757 are low- to moderate-income persons.

The CDBG economic development funds are instrumental in creating infrastructure and jobs. By creating and retaining jobs through assistance to businesses and then providing lower income people access to these jobs, CDBG can be a very effective anti-poverty tool. Providing jobs that offer workplace training and education, fringe benefits, opportunities for promotion, and services such as child care can further maximize the potential benefits. In addition, programs that improve infrastructure affords the opportunity to upgrade existing substandard housing (such as in the colonias) and build new affordable housing where none could exist before.

In accordance with 24 CFR §135.1, known as Section 3 of the Housing and Urban Development Act of 1968, as amended, Grant Recipients using CDBG funding for housing or other public construction are required, to the greatest extent feasible, to provide training and employment opportunities to lower income residents and contracting opportunities to businesses in the project area when those opportunities are “triggered” by HUD funding. CDBG provides Technical Assistance and program guidance on methods to be employed to attain Section 3 goals and closely monitors the results of those efforts. During PY 2011, CDBG enhanced the oversight and reporting of Section 3 requirements, with reporting now

Other Actions

Poverty

required both on an annual basis as well as when construction and non-construction contracts are executed.

HOME and ESG

Through the HOME Tenant-Based Rental Assistance Program, TDHCA assists households with rental subsidy and security and utility deposit assistance for a period not to exceed twenty-four months. As a condition to receiving rental assistance, households must participate in a self-sufficiency program, which can include job training, General Education Development (GED) classes, or drug dependency classes. The HOME Program enables households to receive rental assistance while participating in programs that will enable them to improve employment options and increase their economic independence and self-sufficiency. Rental assistance may be extended beyond the 24-month period subject to TDHCA's program rules and based on availability of funds.

The ESG Program funds activities that provide shelter, essential services, and rapid re-housing for homeless persons, as well as intervention services for persons threatened with homelessness. Essential services for homeless persons include medical and psychological counseling, employment counseling, substance abuse treatment, transportation, and other services. Rapid re-housing services for homeless persons include short and medium-term rental assistance, application fees, security deposits, utility deposits and payments, and moving costs. In PY 2013, the State committed \$5,512,223.98 for shelter and \$5,811,232.13 for rapid re-housing activities from the 2011 2nd allocation, 2012 and 2013 ESG. These services are intended to help homeless individuals and those with poverty-level incomes improve their conditions and achieve self-sufficiency.

For individuals threatened with homelessness, homelessness prevention funds can be used for short-term subsidies to defray rent and utility arrearages for households receiving late notices, security deposits, and payments to prevent foreclosure. In PY 2013, the State committed \$5,512,223.98 for shelter and \$5,811,232.13 for rapid re-housing activities from the 2011 2nd allocation, 2012 and 2013 ESG. These services are intended to assist very low income households and those with poverty-level incomes in avoiding becoming homeless.

COMPLIANCE

TDA and TDHCA ensure compliance with program and comprehensive planning requirements through various compliance measures.

CDBG

The monitoring function of TDA has four components: project implementation, contract management, audit, and monitoring compliance.

Project Implementation: Prior to the award of funds, each community is evaluated for compliance in prior contracts. The application scoring process at the state level includes a scoring factor for past performance on CDBG contracts. In addition, once a funding recommendation has been made the contract is routed through the Program Development, Operations, Legal, and Fiscal Operations Departments to verify that no outstanding issues in previously awarded contracts prevent the contract execution for the recommended award.

Contract Management: All open CDBG projects are assigned to a specific Regional Coordinator who is responsible for contract compliance and project management. All projects have formal contracts that include all federal and state requirements. Regional Coordinators monitor progress and compliance through formal reporting procedures. Program Specialists for Labor Standards and Environmental compliance also exist under the Project Management function. Additionally, all reimbursement requests require complete supporting documentation before payment is made.

Audit: The audit function is authorized by OMB A-133, which requires that governmental units and nonprofit organizations spending more than \$500,000 in either federal or state funds during their fiscal years ending after December 31, 2003, submit a copy of a Single Audit to the Agency. A Single Audit is required for desk review by TDA regardless of whether there are findings noted in the audit pertaining to CDBG funds, since it is an additional monitoring tool used to evaluate the fiscal performance of grantees.

Monitoring Compliance: The on-site programmatic reviews are conducted on every CDBG contract prior to close-out to ensure the contractual obligations of each grant are met. In September 2011, the CDBG Program implemented a new risk assessment methodology covering compliance monitoring of funded projects. The new process uses an objective risk assessment tool to evaluate the programmatic compliance risk of CDBG-funded projects.

Contracts scored according to the risk assessment tool will be grouped into a range of three categories: High Risk, Medium Risk, and Low Risk. Monitoring staff will conduct a risk assessment of CDBG contracts to determine whether monitoring reviews are to be performed onsite or as desk reviews. All High Risk contracts are to be monitored onsite. Medium Risk and Low Risk contracts are to be monitored as desk reviews, unless otherwise directed by CDBG management.

In addition, the risk category is used to determine the timeframe for monitoring the project. Contracts will be selected for monitoring according to their risk category and according to the approximate percentage of total CDBG funds drawn.

Other Actions

Compliance

The areas reviewed include procurement procedures paid with CDBG funds or with match dollars, accounting records including copies of cancelled checks, bank statements and general ledgers (source documentation is reviewed at the time of draw requests), equipment purchases and/or procurement for small purchases, on-site review of environmental records, review of any applicable construction contracts, file review of any applicable client files for rehabilitation services, review of labor standards and/or a review of local files if internal staff used for construction projects, and a review of documentation on hand pertaining to fair housing and civil rights policies.

In addition to the formal monitoring function described above, the staff of the Compliance unit communicates with the staff of the Project Management unit as needed to evaluate issues throughout the contract implementation phase of CDBG contracts in order to identify and possibly resolve contract issues prior to the monitoring phase of the project.

HOME and ESG

TDHCA has established oversight and monitoring procedures within the Compliance Division to ensure that activities are completed and funds are expended in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes. TDHCA's monitoring efforts are guided by both its responsibilities under the HOME and ESG programs and its affordable housing goals for the State of Texas. These monitoring efforts include the following:

- Identifying and tracking program and project results
- Identifying technical assistance needs of administrators
- Ensuring eligible expenditure of funds
- Documenting compliance with program rules
- Preventing fraud and abuse
- Identifying innovative tools and techniques that support affordable housing goals
- Ensuring quality workmanship in funded projects
- Long-term compliance

Identifying and Tracking Program and Project Results

HOME contract and project activities are tracked through the TDHCA Contract Database (CDB) system, including pending projects, funds drawn, and funds disbursed through the internet-based system, HUD's Integrated Disbursement and Information System (IDIS), and other reports generated as needed. The CDB provides information necessary to track the success of the program and identify process improvements and administrator training needs. IDIS tracks HOME Program data such as commitment and disbursement activities, the number of units developed, the number of families assisted, the ongoing expenditures of HOME funds, and beneficiary information.

Other resources utilized by TDHCA to track project results include asset management and a loan servicing division. If either of these areas identifies problems, steps are taken to resolve the issue, including project workouts and oversight of reserve accounts.

ESG project and contract activities are uploaded and tracked through TDHCA's website, which maintains an Oracle-based reporting system. This system maintains funds drawn, funds expended, performance data, and other reports as needed. ESG data such as commitment and disbursement activities, number of persons assisted, ongoing expenditures, and program activities are also tracked through HUD's IDIS.

Identifying Technical Assistance Needs of Subrecipients

Identification of technical assistance needs for HOME and ESG administrators is performed through analysis of administrator management practices, analysis of sources used by TDHCA to track technical assistance such as information captured in the Housing Contract System or the Community Affairs Contract System and division's database, review of documentation submitted, desk reviews based on the requirements identified in the Compliance Supplement and State Affordable Housing Program requirements, project completion progress, results of on-site audits and monitoring visits, and desk reviews conducted by Department staff.

Ensuring Timely Expenditure of Funds

TDHCA ensures adequate progress is made toward committing and expending HOME and ESG funds. Regular review of HUD reports, internal reports and data from IDIS is performed to assess progress of fund commitment and to ensure that all funds are committed within 24 months from the last day of the month in which HUD and TDHCA enter into an agreement. Performance deadlines for spending and matching funds are reviewed on a quarterly basis to track expenditure totals. HOME benchmark and set-aside requirements are also tracked.

Documenting Compliance with Program Rules

Compliance with program rules is documented through contract administration and formal monitoring processes. Staff documents compliance issues as part of their ongoing contract management reviews and notifies administrators of any noncompliance and required corrective action.

Contract monitoring is on-going throughout the contract period and/or the construction period. Monitoring reviews are scheduled and planned based on risk. Areas tested include specific program requirements, such as eligibility and program match. Federal cross-cutting requirements, such as financial, procurement, environmental, labor and fair housing are also included in the monitoring scope.

On-site monitoring reviews are conducted every one to three years as determined by federal requirements. HOME rental developments may be monitored more frequently if a development continues to have uncorrected noncompliance, change in ownership, or any other risk factors determined by the Division. An on-site monitoring file review consists of reviewing 20% percent of the HOME units or a minimum of 5 units. During a file review staff confirms household eligibility, rent restrictions, income recertification requirements and that lease agreements contain required tenant protections. In addition, the development's resident selection criteria, program forms, waiting list, affirmative marketing plan and

Other Actions

Compliance

utility allowance documentation is reviewed to ensure compliance with the program. Technical assistance is provided to on-site staff during the review. A Uniform Physical Conditions Standards (UPCS) inspection of the development, buildings, and units is also completed. The UPCS inspection is typically conducted one to three months after the onsite file review. UPCS inspections are conducted by Department staff or by outside inspectors contracted by TDHCA. All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and Department policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreements.

TDHCA has developed a set of standards for HOME administrators to follow to ensure that subcontractors and lower-tiered organizations entering into contractual agreements with administrators perform activities in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes.

TDHCA has taken a proactive approach to ensure HOME administrators with any uncorrected noncompliance are not eligible to receive any additional funding unless there are extenuating circumstances. TDHCA maintains a database to document an administrator's compliance history with rental housing developments. Prior to the award of any new funding the Compliance Division conducts a previous participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance. If any issues are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all necessary corrective action to correct noncompliance. If the HOME administrator does not correct noncompliance, the application for funding will be terminated. TDHCA adopted this rule which is outlined in further detail in 10 TAC §1.5. The compliance history is considered by TDHCA's Board prior to finalizing awards.

Preventing Fraud and Abuse

TDHCA monitors for potential fraud and mismanagement of funds through the assistance of written agreements with HOME administrators and review of supporting documentation throughout the HOME contract period to ensure that activities are eligible, through information gathered from outside sources and Department staff, and through onsite monitoring visits of HOME and ESG subrecipients. If fraud or mismanagement of funds is found, consequences for noncompliance are enforced and disallowed costs are refunded to TDHCA. Also, if fraud or mismanagement of funds is suspected, TDHCA will make referrals and work closely with HUD, the State Auditor's Office, the Inspector General, the Internal Revenue Service, and local law enforcement agencies as applicable.

Identifying Innovative Tools and Techniques that Support Affordable Housing Goals

Staff identifies innovative tools and techniques to support affordable housing goals by attending trainings and conferences, maintaining contact with other state affordable housing agencies, and through the HUD internet listserv and HUD website.

Ensuring Quality in Funded Projects

Ensuring the administrator provides the committed product, amenities, and compliance with accessibility is a Departmental priority. Division staff monitors for the quality of workmanship in HOME-funded projects through the inspection process. TDHCA staff conducts inspections to substantiate compliance

with program standards and application commitments and representations. Deficiencies and concerns are identified at pre-construction plan review, mid construction and final construction inspections. Construction inspections examine a sample of units based on size of the development, unit type and related risk factors. If any deficiencies or concerns are identified during these inspections, the HOME administrator is notified in writing and provided a corrective action period. In addition, technical assistance is available and provided during the entire construction process. All identified deficiencies require correction prior to retainage release and final inspection clearance for all HOME rental developments.

TDHCA staff is trained in the design standards and technical requirements of Section 504 of the Rehabilitation Act of 1973, Fair Housing Act, and Model Construction Codes including Energy Efficiency Standards. The tools and training provided to field inspection staff include comprehensive inspection checklist, annual training class and one-on-one training in the field to ensure accuracy and consistency. TDHCA is committed to ensuring all inspectors are trained thoroughly on the Division's procedures, expectations, and accessibility requirements.

Long-Term Compliance

The Compliance Division is responsible for long term monitoring of HOME rental developments. Long-term monitoring begins at the commencement of leasing. Performance is monitored through desk reviews and on-site monitoring visits and on-site physical inspections. Desk reviews are required to be submitted electronically through TDHCA's web-based Compliance Monitoring and Tracking System (CMTS) throughout the affordability period. All HOME rental developments are required to submit electronic quarterly desk reports during the initial lease up phase. Once a development has achieved 100% occupancy and is in compliance with all program rules and regulations, the development's reporting schedule is changed to an annual basis. All HOME rental developments are required to submit an electronic annual desk report and an Annual Owner's Compliance Report (AOCR) April 30 of each year.

At the commencement of leasing all HOME rental developments are scheduled for an on-site monitoring review. HOME developments are monitored throughout the affordability period. An on-site monitoring review consists of reviewing 20% percent, or 5 minimum, resident files to ensure compliance with income and rent restrictions and all other federal requirements. In addition, a physical inspection of the development, buildings, and units is completed. In 2007, the Division adopted HUD's Uniform Physical Condition Standards (UPCS) to ensure all rental developments are decent, safe, sanitary, in good repair and suitable for occupancy. The UPCS inspections are completed by a TDHCA Contractor, or Inspection staff. The physical inspection is not limited to health and safety issues, but also includes an on-going limited accessibility inspection with the construction requirements of American with Disabilities Act, Section 504 of the Rehabilitation Act of 1973, and the Fair Housing Act.

All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and Department policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreement. If a HOME rental development fails to comply with requirements as listed above, TDHCA has implemented enforcement procedures and administrative penalties described in 10 TAC §1.5.

Other Actions

Compliance

Risk Management

HOME contracts are monitored based on a risk assessment model that is updated on a quarterly basis or more frequently if required. Some of the elements of the Risk Assessment Model may include the type of activity, existence of a construction component, Davis-Bacon requirements, results of previous on-site visits, status of the most recent monitoring report, amount committed/expended, previous administrator experience, entity type, and Single Audit status. In addition to the results of the risk assessment survey, referrals from division staff are considered when determining in depth monitoring reviews or required technical assistance. An emphasis is placed on monitoring of contracts within the current draw period and contracts with projects in the affordability period as defined by HUD.

If complaints are received by TDHCA, they are considered a risk management element and will be reviewed in detail. Supplemental monitoring activities will be performed to ensure program compliance and detection of possible fraud or mismanagement.

The Risk Assessment Model is also implemented for ESG contracts. Some of the elements of the Risk Assessment Model include the following: length of time since last on-site visit, results of last on-site visit, status of most recent monitoring report, timeliness of grant reporting, total amount funded during assessment period, total amount funded for all TDHCA contracts during assessment period, number of TDHCA contracts funded during assessment period, and Single Audit Status. In addition to the results of the risk assessment survey consideration is also given to recommendations made from other TDHCA divisions regarding performance with other TDHCA-funded programs. Based on this assessment, higher ranking ESG subrecipients are prioritized for monitoring. This occurs on a quarterly basis.

Resolution Activities

TDHCA resolves findings and questioned costs arising from desk and on-site monitoring reviews. This work involves direct communication with contractors to obtain additional information and/or corrective action plans and involves the review and evaluation of submitted documentation. In the event questioned costs are determined to be unallowable per applicable cost principles, recovery is initiated through a formal recovery process.

INSTITUTIONAL STRUCTURE

Given that Texas is the second largest state in the union, TDA, TDHCA, and DSHS support the formation of partnerships in the provision of housing, housing-related, and community development endeavors to reach more people than one entity could do alone. Partnerships can help expand the geographic area that services reach, as well as leverage and layer funding to address the finite amount of financial resources available for affordable housing, community service, and community development.

TDA, TDHCA, and DSHS are primarily pass-through funding agencies and distribute federal funds to local entities that in turn provide assistance to households. Because of this, the agencies work with many housing and community development partners, including consumer groups, community-based organizations, neighborhood associations, community development corporations, councils of governments, community housing development organizations, community action agencies, real estate developers, social service providers, local lenders, investor-owned electric utilities, local government, nonprofits, faith-based organizations, property managers, state and local elected officials, and other state and federal agencies.

There are many benefits to these partnerships: risk and commitment are shared; the principle of reciprocity requires that local communities demonstrate an awareness of their needs and a willingness to participate actively in solving problems, therefore local communities play an active role in tailoring the project to their needs; partners are able to concentrate specifically on their area of expertise; and a greater variety of resources insure a well targeted, more affordable product.

CDBG

CDBG funds are awarded to non-entitlement units of general local government thereby providing these communities with financial resources to respond to its community development needs. Such may include planning; constructing community facilities, infrastructure, and housing; and implementing economic development initiatives. Each applicant to the CDBG Program is required through its citizen participation process to inform local housing organizations of its intention to apply for CDBG funding through the Texas CDBG and invite their input into the project selection process. Texas CDBG continues to coordinate with the Texas Department of Housing and Community Affairs, the Texas Water Development Board, Annual State Agency Meeting on Rural Issues, and the 24 Regional Councils of Governments to further its mission and target beneficiaries of CDBG funds through programs such as the Colonia Self-Help Centers, the Colonia Economically Distressed Areas Program, the Housing Tax Credit Program, and the Texas Capital Fund.

HOME and ESG

The HOME Program encourages partnerships in order to improve the provision of affordable housing. Organizations receiving HBA funds are required to provide homebuyer education classes to households directly, or coordinate with a local organization that will provide the education. In addition, organizations receiving TBRA funds must provide self-sufficiency services directly, or coordinate with a local organization that will provide the services. HOME staff also participates in workgroups with

Other Actions

Institutional Structure

representatives from many organizations. The workgroups focus on rural housing, disability, and health related issues around the state.

TDHCA welcomes collaborative applications and in the 2013 Notice of Funding Availability for ESG included additional points for true collaboratives. TDHCA also encourages all ESG subrecipients to develop partnerships with service providers in their area. ESG subrecipients are also required to participate in the local HMIS system with exceptions for victim and legal services providers, and are awarded points if an applicant can demonstrate participation in the local Continuum of Care.

REDUCING AND ENDING HOMELESSNESS

HUD's interim rule released in December 2011 directly relates to the replacement of the Emergency Shelter Grants Program (ESGP) with the Emergency Solutions Grant (ESG) Program. However, part of the new regulations affect the other three programs, such as the requirement to report on efforts to reduce and end homelessness (24 CFR §91.520(c)). These reporting requirements include (1) Reaching Out to Homeless Persons, (2) Emergency and Transitional Housing Needs, (3) Transition to Permanent Housing, and (4) Efforts to Avoid Homelessness. Below is the state's report on 24 CFR §91.520(c) for all programs.

Reaching out to Homeless Persons (especially unsheltered persons) and assessing their individual needs

CDBG

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically reach out to homeless persons. In order to maximize the available resources and prevent program duplication, CDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

HOME and ESG

HOME recipients are required to publicize availability of funds including, but not limited to, public announcements, pamphlets, brochures and newspapers advertisements. For HOME activities that could transition persons out of homelessness, such as TBRA, recipients have the option to reach out to homeless individuals while completing their publicity requirement.

The point-in-time count for 2013 showed that there were at least 29,615 homeless persons in Texas, with as many as 12,090 unsheltered. Texas has taken the following steps to increase outreach to homeless persons:

1. The State has provided incentives for ESG Program applicants to collaborate and provide comprehensive outreach and services for homeless persons.
2. The State released a NOFA that provided a grant to the Texas Homeless Network (THN). Through associated activities, THN is providing training and technical assistance needed by Texas CoCs resulting in full compliance with 24 CFR Part 578, including development of assessment systems that will improve the CoC's ability to reach out and coordinate assistance to homeless persons wherever they might seek assistance in a local or regional area.

Other Actions

Reducing and Ending Homelessness#

Addressing the emergency shelter and transitional housing needs of homeless persons

CDBG

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically address emergency and transitional housing needs. In order to maximize the available resources and prevent program duplication, CDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

HOME and ESG

TDHCA's ESG and HOME Programs make funding available annually that can be used to address emergency and transitional housing needs across the state. TDHCA is working to expand its network of providers by marketing the availability of the funds to entities that do not traditionally administer these programs during program specific workshops, public hearings, and other venues.

The point-in-time count for 2013 showed that there were 17,525 homeless persons in emergency shelter and transitional housing in Texas. Texas has taken the following steps to increase outreach to persons in emergency shelter and transitional housing:

1. To move people from emergency shelters and transitional housing into permanent housing, greater focus is placed on housing assistance and rapid re-housing in the State's ESG Program.
2. In FY 2012 and FY 2013, the State has identified funds for the operation of the Homeless Housing Services Program (HHSP). This program provides funds to the eight largest cities which are used to provide essential services, improve facilities and fund shelter operations.
3. The State released a NOFA that provided a grant to the Texas Homeless Network. Through associated activities, THN will provide training and technical assistance needed by Texas CoCs resulting in full compliance with 24 CFR Part 578, including development of centralized intake and coordinated assessment systems that will be used by various services providers within and outside of traditional homeless services providers, enabling them to better identify and address the needs of persons in emergency shelter and transitional housing.

Transition to Permanent Housing

CDBG

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically address the transition to permanent housing. In order to maximize the available resources and prevent program duplication, CDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

HOME and ESG

During PY 2013, TDHCA assigned two staff members, including staff of the HOME Division, to work on a grant in coordination with the Department of Aging and Disability Services that focuses on assisting

individuals with rental assistance following discharge from publicly funded institutions and systems of care including health-care facilities, mental health facilities, and foster care.

TDHCA has revised its program requirements in an effort to shift the focus of ESG interventions from providing emergency and transitional housing to increasing access to permanent housing. Further, TDHCA promotes long-term housing stability by providing participants with the economic resources and tools necessary for long-term housing stability. While in programs, participants receive case management and services designed to remove barriers to long-term housing stability such as job search, training, and GED classes. Participants also receive assistance in applying for unemployment, disability, and other cash and non-cash benefits.

Efforts to avoid homelessness

CDBG

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically address efforts to avoid homelessness. In order to maximize the available resources and prevent program duplication, CDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

HOME and ESG

TDHCA funds several entities that provide outreach and services to homeless populations. TDHCA's HOME Program funded four entities that reported assisting forty-one families at-risk of becoming homeless with rental assistance totaling over \$500,000.

The State has provided grants to the Texas Homeless Network to enable them to provide training and technical assistance to CoCs. Through associated activities, the CoCs will be equipped with a comprehensive assessment system that focuses on the direct needs of homeless individuals and families in the local or regional area. The coordinated assessment will result in the identification of persons who are at risk of homelessness and the provision of services from various services providers within and outside of traditional homeless services providers, with the goal of preventing those persons from becoming homeless.

AFFIRMATIVELY FURTHERING FAIR HOUSING

Detail on the state's progress to affirmatively further fair housing and address impediments identified in the Analysis of Impediments to Fair Housing Choice are included below followed by actions taken by TDA and TDHCA's programs included in the CAPER to affirmatively further fair housing.

The State completed and submitted to HUD an update to its 2003 Analysis of Impediments to Fair Housing Choice ("AI") during 2013. The plan was updated in two phases. *Analysis of Impediments to Fair Housing: Phase I Hurricane Impacted Communities* ("Phase 1") was approved by HUD on May 13, 2011 and addressed the sixty-two (62) county area affected by hurricanes Ike and Dolly, as provided for in a HUD-approved Conciliation Agreement resolving a fair housing complaint. The Phase 1 AI identified sixteen impediments to fair housing choice in four basic categories: education, training, planning, and enforcement:

Impediments to Fair Housing

1. Protected classes may experience disparities in home mortgage lending and high cost loans.
2. There is inadequate information available to the real estate community, governments and the public about fair housing requirements and enforcement procedures.
3. The public is not sufficiently aware of their Fair Housing rights and how to obtain the assistance necessary to protect those rights.
4. "Not in my Backyard" (NIMBYism) may be an impediment to fair housing in Texas communities.
5. Certain governmental policies and practices may not meet current HUD policy concerning affirmatively furthering fair housing. Jurisdictions should act to ensure that their policies affirmatively further fair housing, address mal-distribution of resources, and that they do not unnecessarily impact housing choice.
6. Governmental entities at all levels do not appear to have been proactive in the enforcement of both the Fair Housing Act and the obligation to affirmatively further fair housing. The State and subrecipients should implement a robust and effective structure for identifying and pursuing suspected violations.
7. Many local jurisdictions have zoning codes, land use controls, and administrative practices that may impede free housing choice and fail to affirmatively further fair housing.
8. Inadequate planning for re-housing after an emergency situation creates a situation where persons who are uninsured or under insured, low income, or special needs can be displaced for long periods of time.
9. There are impediments in public and private actions and private attitudes to housing choice for persons with disabilities.
10. There are barriers to mobility and free housing choice for Housing Choice Voucher holders including: inadequate tenant counseling services and mobility assistance, failure of PHAs to apply for the FMR pilot demonstration, and government policies, procedures, and regulations that tend to decrease participation by private housing providers and to restrict available housing to "racially or low-income populated neighborhoods" with little access to economic, educational, or other opportunity.
11. Loss of housing stock in Hurricanes Dolly and Ike compounded the shortage of affordable housing in disaster recovery areas. This shortage is particularly acute in safe, low poverty neighborhoods with access to standard public services, job opportunities and good schools.

12. Lack of financial resources for both individuals and housing providers limits Fair Housing choice. Using an effective program under Section 3 of the Housing and Urban Development Act of 1968 may help members of protected classes gain economic opportunities necessary to allow them to exercise fair housing choice.
13. Location and lack of housing accessibility and visitability standards within political jurisdictions limits fair housing choice for persons with disabilities.
14. Many colonias residents live in developments that have insufficient infrastructure and protections against flooding and are impacted by flooding beyond events like Hurricanes Dolly and Ike.
15. Minority neighborhoods in disaster areas are primarily served by non-regulated insurance companies that do not adhere to underwriting guidelines and may be discriminated against in the provision of insurance. Texas has passed aggressive statutes to prevent insurance “redlining.” National research indicates that protected classes face unwarranted disparities in the cost of insurance, the amount of coverage, and cancellation of policies without notice to the homeowner.
16. Many jurisdictions do not have adequate Analysis of Impediments to Fair Housing or Fair Housing Plans, and do not keep sufficient records of their activities.

The *State of Texas Plan for Fair Housing Choice – Phase 2 Analysis of Impediments* (“Phase 2”) was completed in the fall of 2013 and was submitted to HUD in November 2013. While the scope of Phase I analyzed data and information related to the disaster affected counties and provides action steps primarily for the use of CDBG-DR funding, Phase 2 is a comprehensive analysis for the entire State of Texas and provides impediments and action steps to be implemented in the use of all other HUD resources. However, the 16 impediments identified in the 63-county area covered under Phase 1 were analyzed to determine whether they were found statewide. Additional tasks undertaken in Phase 2 included conducting a review of state and local policies, practices, and codes that limit choice, zoning and land use practices, state access to housing through transportation, policies related to siting of new affordable housing, environmental issues in minority impacted areas, analysis of policies and statutes which perpetuate discrimination, public opposition about affordable housing, foreclosure patterns, and related items. The following impediments and observations were identified in Phase 2.

Impediments to Fair Housing

1. NIMBYism can create barriers to housing choice for protected classes in some communities.
2. There is inadequate information available to local governments, stakeholders and the public about fair housing requirements and programs to assist persons with disabilities and low income residents.
3. The public is not sufficiently aware of their Fair Housing rights and how to obtain the assistance necessary to protect those rights.
4. Protected classes may experience disparities in home mortgage loan denials and high cost loans.
5. Lack of accessible housing and visitability standards limits fair housing choice for persons with disabilities.
6. There are barriers to mobility and free housing choice for protected classes.

Observations

In some instances “observations” were made in conducting research for Phase 2 that are important to consider in administering the states programs but which did not meet the definition of an impediment. An “observation” is a fair housing issue that may create an impediment to fair housing choice; however, the research did not establish a direct link to a cause or effect (“nexus”) of an action on a protected class. The observations identified in Phase 2 are:

1. Racial and ethnic concentrations exist in many areas within Texas.
2. Municipal revenue structure may create barriers to housing choice.
3. Many jurisdictions do not have adequate Analysis of Impediments to Fair Housing or Fair Housing Plans.
4. Several laws which on their face pose no inconsistency with the laws regarding fair housing present opportunities for local decision-making and effectuation.

Highlights of activities taken statewide by TDHCA in 2013 to address the impediments in Phase 1 and Phase 2:

- Coordinating statewide affirmatively furthering fair housing activities;
- Memorandum of Understanding with the Texas Workforce Commission Civil Rights Division (TWCCRD) to streamline fair housing referrals;
- Developing web pages dedicated to fair housing available on TDHCA’s website;
- Providing fair housing training for staff and administrators;
- Developing and distributing Texas Fair Housing Educational Materials at events; and
- Implementing a fair housing marketing strategy that includes articles to be published in housing industry publications as well as public service announcements.

Highlights of activities taken statewide by TWCCRD in 2013 also to address the impediments:

- Redesign of TWCCRD’s fair housing web pages to include additional information and improve user friendliness;
- Conducting fair housing training for housing providers pursuant to terms of conciliation agreements for resolution of complaints;
- Investigating and closing 38% of cases as merit resolutions--defined as reasonable cause findings, conciliations and withdrawals with settlement;
- Applying for HUD Partnership Funds (approved) for a two-year outreach initiative focusing on “oil and gas” boom areas, with a designated outreach coordinator, on-site visits, print materials, and media placement;
- Applying for HUD Partnership Funds (approved) for a two-year enforcement initiative, including a mediator for an early mediation program, intake coordinator and additional legal assistance on investigations/litigation; and
- Investigating and closing a majority of mortgage lending cases as merit resolutions, and continuing education efforts.

The state is continuing to take steps to affirmatively further fair housing, and will expand on its activities related to the AI during 2014. For example, TDHCA is in the process of hiring a Fair Housing Team Lead that will be responsible for leading the efforts of a Fair Housing Team in the review of TDHCA's programs on an ongoing basis for areas where additional measures to affirmatively further fair housing can be implemented. This new team will build on the actions that have already been accomplished and provide additional resources and focus. The team will oversee a range of fair housing activities including a centralized location for the maintenance of the record of accomplishments for TDHCA under the AI, implementation of planned actions to address identified impediments, and recordkeeping related to those efforts. The Fair Housing Team will report directly to the Deputy Executive Director of Multifamily Finance and Fair Housing (a member of TDHCA's executive team) and will serve as a key point of contact for HUD's Office of Fair Housing and Equal Opportunity, in coordination with TDA and DSHS.

To see the updated 2010 AI including Phase 1 and Phase 2, go online at <http://www.tdhca.state.tx.us/program-services/fair-housing/analysis-impediments.htm>. Details on the recommended actions steps, specific actions taken to date to address the impediments, the timeline for actions to be taken, and the state agencies taking the action, are updated regularly and available on TDHCA's Fair Housing webpage page at <http://www.tdhca.state.tx.us/program-services/fair-housing/analysis-impediments-2010-1.htm>.

CDBG FAIR HOUSING ACTIVITIES

In compliance with 24 CFR §570.487, other applicable laws and related program requirements, the state has completed the required actions to affirmatively further fair housing. The State of Texas conducts training and provides educational material to the participating units of general local government on federal and state fair housing laws and procedures, including technical assistance. The following are examples of this performance:

Contractor Certifications

All applicants for the CDBG funds must certify that they will take action to affirmatively further fair housing. This certification must be signed and submitted with the initial application for funding and is also included in the contract, if awarded. This certification is discussed at the application workshops and is clearly noted in the application guides.

Planning Activities

Contracts awarded under the Planning and Capacity Building Fund are required to include fair housing elements in several planning components, including housing inventory analysis, capital improvement needs planning, analysis of zoning ordinances, and overall planning strategies.

Civil Rights and Fair Housing Technical Assistance

The Texas Community Development Block Grant Program has assigned a staff member to be responsible for the fair housing and civil rights requirements of the program. Staff addresses questions from the grantees and general public regarding civil rights and makes any appropriate referrals on an on-going basis.

Project Implementation Manual

A copy of the CDBG Project Implementation Manual was made available to all new grantees to assist them in the administration of project activities and to inform them of all the applicable laws and regulations. This manual includes a chapter regarding fair housing and a chapter on equal opportunity with detailed information, forms and checklists to ensure compliance with all regulations. This manual includes clear instructions so that city and county employees are able to understand and complete the necessary forms and requirements. CDBG Project Implementation Manual workshops held across the state provided opportunities for grantees and administrative consultants to clarify these requirements as needed. Beginning in PY 2009, administrative consultants must have attended a CDBG Project Implementation Manual Workshop in order to be certified to administer CDBG contracts.

Pre-Funding Site Visits

TDA staff conducted pre-funding site visits to all localities that were recommended for funding under the Community Development Fund. All CDBG grantees (contractor localities) are informed that they are required to conduct at least one fair housing activity during the contract period. During this personal visit the localities are informed of the Project Implementation Manual available on the TDA website. A list of acceptable fair housing activities, samples of Fair Housing Ordinances (also contained in the manual) and a checklist of reporting and record keeping requirements of the CDBG program are provided in the Manual. Grantees are encouraged to pass fair housing ordinances and to update existing fair housing ordinances to include all federally protected classes. The fair housing ordinance must include a penalty clause and the locality must have the staff and the capacity to enforce the ordinance.

Availability of Fair Housing Brochures

The Texas Community Development Block Grant Program are willing to provide copies of civil rights laws, various samples of public service announcements and fair housing ordinances, fair housing brochures, and technical assistance upon request.

Fair Housing Expenses

The CDBG utilizes funds from the technical assistance funding for the cost of providing fair housing technical assistance. This includes the cost of reproducing/printing fair housing brochures and memorandums; related postage; and the purchase of office supplies and materials. Additional funds were utilized on travel expenses to conferences and workshops as well as staff time.

Affirmatively Furthering Fair Housing (AFFH) Consultants and Guidance Resources

The agency in conjunction with the delivery of the disaster recovery funds has contracted with Fair Housing consultants. These consultants provide an additional Fair Housing resource to both agency staff and jurisdictions and their consultants. In addition, the agency has added many resources on Fair Housing resources to its web page to provide further guidance. These include copies of the HUD Fair Housing Planning Guide, links to the HUD Fair Housing Equal Opportunity web page, and links to EEOC laws and guidance.

Monitoring of Civil Rights Requirements

Texas CDBG administers on average between 800 and 1,000 open CDBG contracts throughout the year. Program Monitors review each contractor for civil rights requirements using a detailed checklist on civil rights and fair housing requirements. A review of the files includes, but is not limited to, the following:

- All bid documents and contracts must contain equal employment opportunity provisions including an equal opportunity plan.
- All Grant Recipients are required to publish a notice of non-discrimination in a general circulation newspaper in the affected community and complete a Section 504 self-evaluation review.
- Grant Recipients with 15 or more employees must have appointed a Section 504 coordinator, adopted grievance procedures, and notified all CDBG project participants that they must not discriminate on the basis of an individual's disability.
- The CDBG Program requires that each Grant Recipient appoint a Fair Housing/Equal Opportunity Officer to be responsible for the fair housing and civil rights program requirements, and to take any possible complaints and make referrals, as necessary.
- Each Grant Recipients is monitored closely to ensure that at least one fair housing activity was completed within the contract period.
- The project completion report must include a description of the fair housing activities conducted during the contract period.
- The project completion report also contains the documentation of beneficiaries by income level, gender, race, and ethnicity.

Evidence of the civil rights and fair housing activities performed must be well-documented and available for review at the locality. This evidence is reviewed by Program Monitors when conducting on-site monitoring visits. If documentation of these activities is not available at the time of the monitoring visit, the locality is provided with a written request for these documents and instructed to provide the evidence within 30 days. Contracts are not administratively closed until the civil rights and fair housing requirements are met.

Staff Outreach, Training, Conferences, and Workshops

The Texas Health and Human Services Commission's Civil Rights Office has the responsibility to investigate claims of discrimination; to conduct new, periodic, and special compliance reviews of offices, programs and contractors; to provide training and guidance; and to take other appropriate steps to ensure that programs and services do not discriminate.

The staff members of the TDA Field Offices attended various events to provide technical assistance regarding TDA programs and fair housing issues. These staff members provide technical assistance in housing, community and economic development, and capacity building, and provide health-care related information for the rural areas. The staff members also provide limited information on TDHCA's housing programs and refer communities to the appropriate office.

In addition to TDA Field Offices, Border Field Offices, operated by TDHCA's Office of Colonia Initiatives (OCI) and supported in part by CDBG funds, promote fair housing in border counties. The OCI

Other Actions

Affirmatively Furthering Fair Housing

staff provides one-on-one training and technical assistance on their housing and community affairs programs and services including Contract for Deed Conversion, Texas Bootstrap Loan Program, First-Time Homebuyer, and Contract for Deed Consumer Education. Furthermore, CDBG provides grants for colonia self-help centers in seven border counties. The centers provide on-site technical assistance and conduct community development activities, housing activities, public service activities, infrastructure improvements, outreach and education.

HOME FAIR HOUSING ACTIVITIES

This section describes TDHCA's efforts to affirmatively further fair housing through the HOME Program.

Monitoring and Compliance of the Fair Housing Requirements

TDHCA's Compliance Division is responsible for on-site monitoring of HOME administrators and all HOME rental developments. Compliance staff utilizes comprehensive checklists to review compliance with accessibility, fair housing, and affirmative marketing requirements.

- Accessibility requirements are monitored throughout the affordability period. All rental housing developments must comply with Section 504 of the Rehabilitation Act of 1973, the Fair Housing Act and the Americans with Disabilities Act. Prior to the commencement of construction or rehabilitation, a plan review is conducted by Department staff to assess compliance with the above mentioned accessibility standards. HOME rental developments also receive a mid-construction inspection. At the completion of construction or rehabilitation, a final construction inspection is performed to ensure compliance with accessibility requirements. If any deficiencies are noted, the owner is provided an opportunity to cure. Final retainage is not released until all deficiencies are corrected. A limited accessibility inspection is conducted with each on-site monitoring review to ensure the development's amenities and common areas continue to comply with applicable accessibility requirements.
- Fair Housing requirements are monitored by reviewing pertinent development documents. The property's written leasing criteria is reviewed to ensure objective standards are used for selecting tenants and for establishing applicant household eligibility to receive HOME assistance. Objective standards would include household income, rental history, credit history and criminal history. The criteria is also reviewed to ensure preferences do not discriminate on the basis of race, color, religion, sex, national origin, disability, or familial status.
- The Affirmative marketing plan and documentation of outreach efforts are reviewed in-depth during the on-site monitoring review. All HOME assisted properties containing 5 or more units must operate under an Affirmative Marketing Plan. The plan is reviewed to ensure it is property-specific and describes actions and marketing steps that will be utilized to provide information to attract eligible persons from all racial, ethnic, and gender groups in the housing market. The plan is required to be in writing and must specify methods for soliciting potential program applicants; such as persons least likely to apply and persons with disabilities. These groups must be identified and marketed to appropriately. Records of the Affirmative Marketing Plan are reviewed during the on-site monitoring visit to ensure the development is appropriately marketing to persons with

disabilities and service agencies that serve minority groups. Owners are also encouraged to make marketing materials in non-English languages spoken by minority groups residing in or near the community of the property.

Fair Housing Training

State rules regarding housing developments require owners and architects to attend at least five hours of Fair Housing training. TDHCA maintains a list of entities that provide ongoing training to ensure that training opportunities are shared with developers, architects, and TDHCA staff. In addition, TDHCA added additional Fair Housing information in training presentations including TDHCA's 1st Thursday income eligibility training, multi and single-family application and implementation workshops, and training provided for staff. TDHCA posts Fair Housing Training opportunities online on TDHCA's Fair Housing website and requires rental housing administrators to sign a certification that the Applicant has read and understands TDHCA's fair housing educational materials posted on TDHCA's website.

Public Education and Outreach

TDHCA developed a webpage dedicated to Fair Housing issues that is now available on TDHCA's website. The site contains several fair housing resources that the general public, elected officials, housing developers, mortgage brokers, and leasing/loaning agents can all benefit from to provide them with a greater understanding of the Federal and Texas Fair Housing Acts, and their impact on daily activities. TDHCA also designed a fair housing outreach campaign that included Fair Housing Information Notices as well as educational materials available to be provided during training events that TDHCA hosts and attends. TDHCA has also contacted other State entities in order to make TDHCA available as a resource for both education and research.

Efficient Use of Funds

To address the limited availability of funding for affordable housing, TDHCA enforces contract performance standards that allow TDHCA to deobligate funds from non-performing contracts and reprogram these funds for low-income housing. TDHCA also worked collaboratively with the state agencies administering HUD programs to update the State of Texas Plan for Fair Housing Choice: Analysis of Impediments. The result is a comprehensive, complete document identifying fair housing impediments across the state.

ESG FAIR HOUSING ACTIVITIES

This section describes TDHCA's efforts to affirmatively further fair housing through ESG.

ESG subrecipients, in providing rental assistance to homeless persons or persons who are at risk of homelessness due to eviction or due to loss of utilities, ensure that owners or renters are not discriminated against. TDHCA's ESG subrecipient contracts include a provision on discrimination and equal opportunity as follows: Title VI of the Civil Rights Act of 1964, (42 U.S.C. Sec. 2000d et seq.); 24 CFR Part 1, "Nondiscrimination in Federally Assisted Programs of the Department of Housing and Urban Development – Effectuation of Title VI of the Civil Rights Act of 1964"; Title VIII of the Civil Rights Act of 1968, "The Fair Housing Act of 1968" (42 U.S.C. Sec. 3601 et seq.) and implementing regulations; Executive Order 11063, as amended by Executive Order 12249, and 24 CFR Part 107,

Other Actions

Affirmatively Furthering Fair Housing

"Nondiscrimination and Equal Opportunity in Housing under Executive Order 11063." The failure or refusal of the Subrecipient to comply with the requirements of Executive Order 11063 of 24 CFR Part 107 shall be a proper basis for the imposition of consequences for noncompliance specified in 24 CFR §107.60.; The prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. §6101 *et seq.*) and implementing regulations at 24 CFR Part 146; The prohibitions against discrimination against otherwise qualified individuals with disabilities under Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. Sec. 794) and implementing regulations at 24 CFR Part 8.

Finally, TDHCA's monitoring of subrecipients includes a process where we review compliance with provisions of the McKinney-Vento Act, the ESG contract, and other federal or State regulations.

GOALS AND OBJECTIVES

This section describes those goals and objectives that pertain to the Community Development, Homeless, Housing activities. Non-Homeless Special Needs goals and objectives are included in Part II of this document.

COMMUNITY DEVELOPMENT GOALS AND OBJECTIVES: CDBG

The following includes the reported outputs of key and non-key measures for CDBG goals as reported to the Legislative Budget Board for Fiscal Year 2012.

Number of new community and economic development contracts awarded.

FY 2013 Target:	284
FY 2013 Actual:	245

Number of projected beneficiaries from new contracts awarded.

FY 2013 Target:	485,100
FY 2013 Actual:	480,100

Number of programmatic monitoring visits conducted.

FY 2013 Target:	392
FY 2013 Actual:	357

Number of jobs created/retained through contracts awarded.

This Performance measure was deleted

Number of Single Audit reviews conducted.

This Performance measure was deleted

HOMELESS AND HOUSING GOALS AND OBJECTIVES**ESG and HOME**

The goals below, taken from the TDHCA Strategic Plan, reflect program performance during State Fiscal Year 2013 based upon measures developed with the State's Legislative Budget Board and Governor's Office of Budget and Planning. The goals are also based upon Riders attached to TDHCA's Appropriations. State Fiscal Year 2013 covers the period September 1, 2012 to August 31, 2013. The following performance measures are distinct from the HUD Performance Indicators reported in each program section of this document, which measure performance during the 2013 Program Year, February 1, 2013 through January 31, 2014.

GOAL 1: TDHCA will increase and preserve the availability of safe, decent and affordable housing for very low-, low- and moderate-income persons and families.

Strategy 1.1

Provide federal mortgage loans and Mortgage Credit Certificates (MCCs), through the Single-Family Mortgage Revenue Bond Program

Strategy Measure	2013 Target	2013 Actual	% of Goal	2014 Target
Number of households assisted through the My First Texas Home Program	2,002	2,972	146.2%	2,144

Explanation of Variance: Restrictions on downpayment assistance (DPA) programs in non-government assisted loans, including a prohibition against seller-funded DPA, have made TDHCA assisted loans and MCC programs more attractive.

Strategy 1.2

Provide federal housing loans and grants through the HOME Investment Partnership (HOME) Program for affordable single family housing

Strategy Measure	2013 Target	2013 Actual	% of Goal	2014 Target
Number of households assisted with Single Family HOME Funds	580	928	160%	351

Explanation of Variance: Reservation System usage combined with the use of deobligated fund balances have increased the number of single family households served. It is not expected that the resources will support the program continuing to exceed the target in 2014.

Strategy 1.3

Provide funding through the HTF program for affordable single family housing

Strategy Measure	2013 Target	2013 Actual	% of Goal	2014 Target
Number of single-family households assisted through the Housing Trust Fund	206	460	223.30%	200

Explanation of Variance: The Housing Trust Fund has exceeded quarterly and annual target measures due to high-demand in the Amy Young Barrier Removal Program and the Homebuyer Assistance Program, plus increased availability of funds through deobligations for the Bootstrap Loan and Amy Young Barrier Removal programs. It is not expected that the resources will support the program being able to continue to exceed the target in 2014.

Strategy 1.4

Provide tenant-based rental assistance through Section 8 certificates and vouchers

Strategy Measure	2013 Target	2013 Actual	% of Goal	2014 Target
Number of households assisted through Statewide Housing Assistance Payments Program	1,100	1,151	104.64%	1,098

Explanation of Variance: None needed.**Strategy 1.5**

Provide federal tax credits to develop rental housing for very low-income and low-income households

Strategy Measure	2013 Target	2013 Actual	% of Goal	2014 Target
Number of multifamily households assisted through the Housing Tax Credit Program	6,031	9,238	153.18%	6,400

Explanation of Variance: The fourth quarter reflected the annual Competitive HTC awards. The figure for 9% credits is higher than expected due to staff's ability to disperse the credits more efficiently and thereby fund more developments. The 4% credits have also seen an unexpected increase in usage with the HUD 221(d)(4) program which has been the most attractive financing tool available to developers.

Strategy 1.6

Provide federal mortgage loans through the Multifamily Mortgage Revenue Bond Program

Strategy Measure	2013 Target	2013 Actual	% of Goal	2014 Target
Number of households assisted with the Multifamily Mortgage Revenue Bond Program	1,000	242	24.20%	1,150

Explanation of Variance: Economic conditions in the bond markets have made it difficult for developers to submit a financially feasible application for 4% credits and private activity bonds in 2013. Equity markets have seen favorable pricing; however, the economic conditions in the bond markets have not resulted in financing terms that would yield beneficial results. Moreover, the lack of available soft funds as an additional funding source, the low applicable percentage, and the limited qualification for the increase in eligible basis create a gap in financing for 4% HTC developments.

GOAL 2: TDHCA will promote improved housing conditions for extremely low-, very low- and low-income households by providing information and technical assistance.

Strategy 2.1

Provide information and technical assistance to the public through the Housing Resource Center

Strategy Measure	2013 Target	2013 Actual	% of Goal	2014 Target
Number of information and technical assistance requests completed	5,000	7,919	158.38%	7,000

Explanation of Variance: The number of informational and technical assistance requests handled by the Housing Resource Center (HRC) varies based on economic conditions across the state. Throughout SFY2012 and into SFY2013, the HRC has experienced a higher volume of phone requests than usual due in large part to the slow economic recovery.

Strategy 2.2

To assist colonias, border communities, and nonprofits through field offices, Colonia Self-Help Centers, and Department programs.

Strategy Measure (A)	2013 Target	2013 Actual	% of Goal	2014 Target
Number of technical assistance contacts and visits conducted by the field offices	900	1,207	134.11%	1,200

Explanation of Variance: The Office of Colonia Initiatives (OCI) exceeded quarterly and annual targeted performance measures for on-site technical assistance visits due to high demand stimulated by TDHCA’s first-come, first-served Reservation System model. A higher demand for the program has led to a subsequent increase in need for Technical Assistance amongst local governments and non-profit agencies.

GOAL 3: TDHCA will improve living conditions for the poor and homeless and reduce the cost of home energy for very low-income Texans.

Strategy 3.1

Administer homeless and poverty-related funds through a network of community action agencies and other local organizations so that poverty-related services are available to very low-income persons throughout the state.

Strategy Measure #1	2013 Target	2013 Actual	% of Goal	2014 Target
Number of persons assisted through homeless and poverty related funds	599,032	743,926	124.19%	652,055

Explanation of Variance: With many households experiencing unemployment or underemployment, there is an increasing demand for services.

Strategy Measure #2	2013 Target	2013 Actual	% of Goal	2014 Target
Number of persons assisted that achieve incomes above poverty level.	1,200	1,345	112.08%	1,100

Explanation of Variance: More CSBG subrecipients, as compared to last fiscal year, have assisted persons in transitioning out of poverty. Also, some subrecipients have had an increase in the number of persons transitioned out of poverty.

Strategy 3.2

Administer the state energy assistance programs by providing grants to local organizations for energy related improvements to dwellings occupied by very low-income persons and for assistance to very low-income households for heating and cooling expenses and energy-related emergencies.

Strategy Measure #1	2013 Target	2013 Actual	% of Goal	2014 Target
Number of Households Receiving Energy Assistance	146,545	212,497	445.93%	146,545

Explanation of Variance: The subrecipient network for the CEAP program, which provides utility payment assistance, has seen an increased number of CEAP applications and households served due to economic hardships in the eligible population and increased availability of funds.

Strategy Measure #2	2013 Target	2013 Actual	% of Goal	2014 Target
Number of dwelling units weatherized through Weatherization Assistance Program	2,594	5,315	204.90%	2,822

Explanation of Variance: TDHCA exceeded the FY 2013 target due to more efficient use of weatherization program resources and the availability of unexpended ARRA WAP funds in Q3 and Q4.

GOAL 4: TDHCA will ensure compliance with the Texas Department of Housing and Community Affairs' federal and state program mandates.

Strategy 4.1

The Compliance Division will monitor and inspect for Federal and State housing program requirements.

Strategy Measure	2013 Target*	2013 Actual	% of Goal	2014 Target
Total number of onsite reviews conducted	825	1,554	188.36%	825

Explanation of Variance: When the targets for this measure were projected, it was anticipated that file review and UPCS physical inspections would occur for a property in the same quarter, which is not the case. Because reviews and inspections may occur in different quarters, they would be reported separately; therefore, the numbers above are reflective of nonduplicative reviews in each quarter (i.e. Property A had a file review and a UPCS inspection in Q3, so it would be counted only once; whereas, Property B has a file review in Q3 and a UPCS inspection in Q4, so it would be counted in both Q3 and Q4).

**In accordance with HB1 of the 82nd Legislature, the Community Development Block Grant Disaster Recovery funding program previously administered by TDHCA was transferred to the Texas General Land Office (GLO). As a result of this program transfer, the performance measure targets for Strategy 4.1 were revised in November 2011 and therefore deviate from the agency's FY2012-2013 LAR.*

Strategy 4.2

The Compliance Division will administer and monitor federal and state subrecipient contracts for programmatic and fiscal requirements.

Strategy Measure	2013 Target*	2013 Actual	% of Goal	2014 Target
Total number of contract monitoring reviews conducted	150	181	120.67%	208

Explanation of Variance: None needed.

**In accordance with HB1 of the 82nd Legislature, the Community Development Block Grant Disaster Recovery funding program previously administered by TDHCA was transferred to the Texas General Land Office (GLO). As a result of this program transfer, the performance measure targets for Strategy 4.2 were revised in November 2011 and therefore deviate from the agency's FY2012-2013 LAR.*

GOAL 5: To protect the public by regulating the manufactured housing industry in accordance with state and federal laws.

Strategy 5.1

Provide services for Statement of Ownership and Location and Licensing in a timely and efficient manner.

Goals and Objectives

Homeless and Housing Goals

Strategy Measure	2013 Target	2013 Actual	% of Goal	2014 Target
Number of manufactured housing statements of ownership and location issued	70,000	68,590	97.99%	70,000

Explanation of Variance: None needed.

Strategy 5.2

Conduct inspections of manufactured homes in a timely manner.

Strategy Measure	2013 Target	2013 Actual	% of Goal	2014 Target
Installation Reports conducted	11,000	12,947	117.70%	10,000

Explanation of Variance: There was an increase in the number of installation reports received and processed.

Strategy 5.3

To process consumer complaints, conduct investigations and take administrative actions to protect the general public and consumers.

Strategy Measure #1	2013 Target	2013 Actual	% of Goal	2014 Target
Number of complaints resolved	600	479	79.83%	500

Explanation of Variance: TDHCA has received fewer complaints than targeted, resulting in fewer complaints needing resolution.

Strategy Measure #2	2013 Target	2013 Actual	% of Goal	2014 Target
Average time in days for complaint resolution	180	103	57.22%	180

Explanation of Variance: The average time for resolution is under the targeted projection, which is desirable.

Strategy Measure #3	2013 Target	2013 Actual	% of Goal	2014 Target
Number of jurisdictional complaints received	550	429	78.00%	450

Explanation of Variance: This measure is under the targeted projection because TDHCA is receiving fewer complaints than projected.

Riders 5 & 6 are established in legislation, as found in the General Appropriations Act.

Rider 5 (a): TDHCA will target its housing finance programs resources for assistance to extremely low-income households.

The housing finance divisions shall adopt an annual goal to apply \$30,000,000 of the divisions' total housing funds toward housing assistance for individuals and families earning less than 30 percent of median family income.

Rider 5 (a)	2013 Target	2013 Actual	% of Goal	2014 Target
Amount of housing finance division funds applied towards housing assistance for individuals and families earning less than 30 percent of median family income	\$30,000,000	\$50,672,983	168.91%	\$30,000,000

Explanation of Variance: The performance is higher than expected because the Rider 5 report captures actual incomes of households served by TDHCA and not projected income groups.

Note: For more information, see Rider 5 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act), 82nd Legislature, Regular Session.

Rider 5 (b): TDHCA will target its housing finance resources for assistance to very low-income households.

The housing finance divisions shall adopt an annual goal to apply no less than 20% of the division's total housing funds toward housing assistance for individuals and families earning between 31% and 60% of median family income.

Rider 5 (b)	2013 Target	2013 Actual	% of Goal	2014 Target
Percent of housing finance division funds applied towards housing assistance for individuals and families earning between 31% and 60% of median family income	20%	59.57%	297.83%	20%

Explanation of Variance: The majority of TDHCA housing programs serve households under 60% of median family income. The Rider 5 Report includes Section 8, HOME Single Family, HOME Multifamily, Housing Trust Fund Single Family, Housing Trust Fund Multifamily and Housing Tax Credit Programs.

Note: For more information, see Rider 5 of TDHCA's Appropriations as found in SB 1 (General Appropriations Act), 83rd Legislature, Regular Session.

Rider 6: TDHCA will provide contract for deed conversions for families who reside in a colonia and earn 60 percent or less of the applicable area median family income.

Help colonia residents become property owners by converting their contracts for deed into traditional mortgages.

Strategy Measure	2013 Target	2013 Actual	% of Goal	2014 Target
Amount of TDHCA funds applied toward contract for deed conversions for colonia families earning less than 60% of median family income.	100	0	0%	100

Explanation of Variance: The creation of Housing Trust Fund's Contract for Deed Conversion Program Assistance Grants will result in contract for deed conversions funded through the HOME Program for the first Quarter of State Fiscal Year 2014. The process of creating and rolling out the new Contract for Deed Conversion Program Assistance initiative during State Fiscal Year 2013 resulted in no conversions during that year.

Note: For more information, see Rider 6 of TDHCA's Appropriations as found in SB 1 (General Appropriations Act), 83rd Legislature, Regular Session.

The following TDHCA-designated goal addresses the housing needs of person with special needs.

HOME PROGRAM STATUTE REQUIREMENT: TDHCA will work to address the housing needs and increase the availability of affordable and accessible housing for persons with special needs.

Dedicate five percent (5%) of the HOME project allocation for benefits of persons with disabilities who live in any area of this state.

Goals and Objectives

Homeless and Housing Goals

Strategy Measure	2013 Target	2013 Actual	% of Goal	2014 Target
Amount of HOME project allocation awarded to applicants that target persons with disabilities.	\$1,201,497*	\$2,885,756	240.1%	\$1,201,497

Explanation of Variance: These include funds from the Persons with Disabilities Set-Aside and HOME general funds that were used to assist households with person with disabilities. It is important to note that while funds from the set-aside may be used anywhere in the state, HOME general funds may only be utilized in non-participating jurisdictions, those communities that do not receive funds directly from HUD. The goal was exceeded by Administrators accessing HOME Persons with Disabilities as well as general funds to serve households with a person with disabilities.

**The 2013 target was adjusted from \$1,350,000 to \$1,201,497 because of the reduction in HOME funding from HUD. The 2014 target will be adjusted to reflect the 5% of the actual allocation of 2014 funds from HUD.*



Part II: Consolidated Annual Performance and Evaluation Report for HOPWA

Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. **The Consolidated Annual Performance and Evaluation Report (CAPER) provide annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives**

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of

Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program Office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3C, Chart 1, Column [1] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An Individual or family who: (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360 (2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries remain in stable housing during the operating year. See Part5: Determining Housing Stability Outcome for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and

subleases the units to homeless or low-income tenants. By assuming the tenancy burden the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee or subrecipient to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is rental subsidy program similar to the Housing Choice Voucher program that grantees can

provide to help low-income households access affordable housing. The TBRA Voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual programs rules. The subsidy amount is determined in a part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A Veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

**Housing Opportunities for Person with AIDS (HOPWA)
Consolidated Annual Performance and Evaluation Report (CAPER)
Measuring Performance Outputs and Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. In Part 4, please submit a written narrative to questions a. through c., and the completion of Chart d. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number TXH-013-F999		Operating Year for this report From (mm/dd/yy) 02/01/2013 To (mm/dd/yy) 01/31/2014		
Grantee Name The State of Texas HOPWA Formula Program – Texas Department of State Health Services				
Business Address		P.O. Box 149347 Mail Code 1873		
City, County, State, Zip		Austin	Travis	TX 78714-9347
Employer Identification Number (EIN) or Tax Identification Number (TIN)		1-32-0113643-A2		
DUN & Bradstreet Number (DUNs):		807391511	Central Contractor Registration (CCR): Is the grantee’s CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 807391511	
*Congressional District of Grantee’s Business Address		10		
*Congressional District of Primary Service Area(s)		N/A		
*City(ies) and County(ies) of Primary Service Area(s)		Cities: N/A		Counties: N/A
Organization’s Website Address www.dshs.state.tx.us		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered. N/A		

* Service delivery area information only needed for program activities being directly carried out by the grantee

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsors, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient. If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Alamo Area Resource Center (AARC)		Parent Company Name, if applicable N/A		
Name and Title of Contact at Project Sponsor Agency	Howard Rogers			
Email Address	Howardr@aarcsa.com			
Business Address	903 West Martin, MS#18-2			
City, County, State, Zip,	San Antonio	Bexar	TX	78207
Phone Number (with area code)	(210) 358-9897		Fax Number (with area code) (210) 358-9953	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2583211			
DUN & Bradstreet Number (DUNs):	825117906			
Congressional District of Project Sponsor's Business Address	35			
Congressional District(s) of Primary Service Area(s)	15, 20, 21, 23, 28, 34, 35			
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities Atascosa, Bandera, Berghem, Bigfoot, Boerne, Campbellton, Castroville, Centerpoint, Charlotte, Christine, Comfort, Devine, Helotes, Jourdanton, Kendalia, La Coste, Leming, Lytle, Macdona, Mico, Moore, Natalia, Pipe Creek, Pleasanton, Poteet, Rio Medina, Somerset, Spring Branch, Von Ormy, Waring, Adkins, Cibolo, Converse, Elmendorf, Falls City, Floresville, Geronimo, Hobson, La Vernia, McQueeney, Marion, New Braunfels, Canyon Lake, Pandora, Panna Maria, Poth, Universal City, Saint Hedwig, Schertz, Seguin, Stockdale, Sutherland Springs, Bulverde, San Antonio, Fischer, Kingsbury, Staples, Hondo, Tarpley, and Yancey.		Counties San Antonio, Bexar, Comal, Wilson, Guadalupe, Gillespie, Kerr, Kendall, Frio, Medina, Atascosa, and Bandera.	
Total HOPWA contract amount for this Organization for the operating year	\$152,882			
Organization's Website Address http://www.aarcsa.com	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. Waitlisted clients are ranked on a first-come first-serve basis. Clients at the top of the list are contacted every six months in order to re-certify their need and interest in remaining on the waiting list.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Maverick County Hospital District (MCHD) (Contract effective 10/15/2012- Replaces UMC)	Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Eleira Bares		
Email Address	e.bares@mchdep.org		
Business Address	3406 Bob Rogers Dr., Ste. 140		
City, County, State, Zip,	Eagle Pass, Maverick County, TX 78852		
Phone Number (with area code)	830-757-4990		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-6000705	Fax Number (with area code) 830-757-4982	
DUN & Bradstreet Number (DUNs):	021330233		
Congressional District of Project Sponsor's Business Address	23		
Congressional District(s) of Primary Service Area(s)	23		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Eagle Pass, Del Rio, Crystal City, Rocksprings, Leakey, Uvalde, Carrizo Springs, and Cotulla.	Counties: Maverick, Val Verde, Kinney, Uvalde, Zavala, Dimmitt, Edwards, La Salle, and Real.	
Total HOPWA contract amount for this Organization for the operating year	\$27,045		
Organization's Website Address www.mchdep.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

Project Sponsor Agency Name Victoria City/County Health Department		Parent Company Name, if applicable			
Name and Title of Contact at Project Sponsor Agency		Paul M. Kelliher			
Email Address		pkelliher@vctx.org			
Business Address		2805 North Navarro			
City, County, State, Zip,		Victoria	Victoria	TX	77901
Phone Number (with area code)		361-572-0125		Fax Number (with area code) 361-578-7046	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-6002445			
DUN & Bradstreet Number (DUNs):		603165804			
Congressional District of Project Sponsor's Business Address		14			
Congressional District(s) of Primary Service Area(s)		14			
City(ies) and County(ies) of Primary Service Area(s)		Cities: Victoria, Cuero, Gonzales, Hallettsville, Goliad, Edna, and Port Lavaca.		Counties: Victoria, Gonzales, Lavaca, Dewitt, Jackson, Goliad, and Calhoun.	
Total HOPWA contract amount for this Organization for the operating year		\$72,900			
Organization's Website Address http://www.vctx.org/			Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>			If yes, explain in the narrative section how this list is administered.		

Project Sponsor Agency Name Community Action, Inc. of Central Texas		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Daniel Bustos, HOPWA Case Manager			
Email Address	dbustos@communityaction.com			
Business Address	204 S. Main Street			
City, County, State, Zip,	San Marcus	Hays	Texas	78667
Phone Number (with area code)	512-754-3510 x 36		Fax Number (with area code) 512-392-3530	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	741541726			
DUN & Bradstreet Number (DUNs):	037318342			
Congressional District of Project Sponsor's Business Address	14			
Congressional District(s) of Primary Service Area(s)	14,10,21,31			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Bastrop, Elgin, Round Rock, Georgetown, San Marcos, Lockhart, and Burnet		County(ies): of Primary Service Area Hays, Caldwell, Blanco, Bastrop, Lee, Llano, Fayette, Williamson, and Burnet	
Total HOPWA contract amount for this Organization for the operating year	\$53,934			
Organization's Website Address www.communityaction.com	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. Community Action maintains separate waiting list for STRMU and TBRA eligible clients, as needed. Eligible clients are: HIV positive, income eligible, and have an identified housing need. The waiting list will be updated every six months or as needed. As funding becomes available families with young children and the disabled will receive priority consideration for services.			

Project Sponsor Agency Name Project Unity		Parent Company Name, if applicable Unity Partners		
Name and Title of Contact at Project Sponsor Agency		Jeannie McGuire, President		
Email Address		jmcguire@project-unity.org		
Business Address		4001 E. 29 th Street, Suite 114		
City, County, State, Zip,		Bryan	Brazos	Texas 77805
Phone Number (with area code)		979-595-2800		Fax Number (with area code) 979-595-2901
Employer Identification Number (EIN) or Tax Identification Number (TIN)		742932865		
DUN & Bradstreet Number (DUNs):		030539121		
Congressional District of Project Sponsor's Business Address		17		
Congressional District(s) of Primary Service Area(s)		6,10,17		
City(ies) and County(ies) of Primary Service Area(s)		Cities: Bryan and College Station.	Counties: Brazos	
Total HOPWA contract amount for this Organization for the operating year		\$92,085		
Organization's Website Address www.projectunitytx.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<p>Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/></p> <p><i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/></p>		<p>If yes, explain in the narrative section how this list is administered.</p> <p>Each active application folder will be assigned an ordinal ranking at any given time based on the date of application completion.</p> <p>When a rental assistance slot becomes available (i.e., a client moves to Housing Choice Voucher funding, leaves the geographic area, dies, or is otherwise terminated from the program) the Case Manager will review all current applicants and determine if any have a relatively more serious and immediate need for housing than the client who is number one on the list. Consideration will be given with regard to housing safety, sanitation, space requirements, client immediate health condition, and other such negative concerns. If no one has a more serious need, the applicant in the first slot will be served with available funds. The applicant next in line will move up to the first position.</p>		

Project Sponsor Agency Name Shannon Supportive Health Services		Parent Company Name, if applicable Shannon Health		
Name and Title of Contact at Project Sponsor Agency	Crystal Conner, Coordinator of Sponsored Projects/Grants			
Email Address	crystalconner@shannonhealth.org			
Business Address	120 E. Harris			
City, County, State, Zip,	San Angelo	Tom Green	TX	76903
Phone Number (with area code)	325-657-5677		Fax Number (with area code) 325-481-6134	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	432038769			
DUN & Bradstreet Number (DUNs):	073150963			
Congressional District of Project Sponsor's Business Address	11, 23			
Congressional District(s) of Primary Service Area(s)	11			
City(ies) and County(ies) of Primary Service Area(s)	Cities: San Angelo, Eldorado, Sonora, Big Lake, Ozona, Sterling City, Brady, Menard, Junction, Mason, Mertzon, and Robert Lee.		Counties: Tom Green, Sutton, Crockett, Coke, Menard, Kimble, Mason and Irion.	
Total HOPWA contract amount for this Organization for the operating year	\$29,011			
Organization's Website Address www.shannonhealth.com	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. Priority given to clients who are homeless or in imminent danger of being homeless because of their increased health risks due to HIV/AIDS.			

Project Sponsor Agency Name United Way of the Greater Fort Hood Area		Parent Company Name, if applicable United Way of the Greater Fort Hood Area		
Name and Title of Contact at Project Sponsor Agency		Aaron Montemayor, Executive Director		
Email Address		uwgfha@centexbiz.rr.com		
Business Address		208 West Avenue A		
City, County, State, Zip,		Killeen	Bell	Texas 76541
Phone Number (with area code)		254-778-2495	Fax Number (with area code) 254-778-4302	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		741750544		
DUN & Bradstreet Number (DUNs):		16144021		
Congressional District of Project Sponsor's Business Address		31		
Congressional District(s) of Primary Service Area(s)		31		
City(ies) and County(ies) of Primary Service Area(s)		Temple, Belton, Harker Heights, and Nolanville.	Counties Bell	
Total HOPWA contract amount for this Organization for the operating year		\$35,350		
Organization's Website Address www.unitedway-gfha.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered. Based on "first come, first serve" that will also take into consideration the seriousness of an individual's need, with those having the most limited resources and immediacy of need taking priority over those who have other housing options, even though the current options may not meet all the client's expectations.		

Project Sponsor Agency Name Waco/McLennan County Public Health District		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Laurel Churchman, Program Administrator		
Email Address		LaurelC@ci.waco.tx.us		
Business Address		225 West Waco Drive		
City, County, State, Zip,		Waco	McLennan	Texas 76707
Phone Number (with area code)		254-750-5499	Fax Number (with area code) 254-750-5480	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		17460024684		
DUN & Bradstreet Number (DUNs):		075090779		
Congressional District of Project Sponsor's Business Address		17		
Congressional District(s) of Primary Service Area(s)		17		
City(ies) and County(ies) of Primary Service Area(s)		Cities Waco, Groesbeck, Hillsbro, Whitney, Bellmead, Woodway, Mart, McGregor, and Lorena.	Counties McLennan, Bosque, Falls, Freestone, Hill, and Limestone.	
Total HOPWA contract amount for this Organization for the operating year		\$103, 428		
Organization's Website Address www.waco-texas/cms-healthdepartment		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<p>Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/></p> <p><i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/></p>		<p>If yes, explain in the narrative section how this list is administered.</p> <p>The waiting list will be maintained using the following criteria: HIV positive; Income eligible; Identified housing need as determined by the needs assessment; and are unable to receive TBRA due to insufficient HOPWA funds. Prioritization of the waiting list will be based on the following criteria, in this order:</p> <ol style="list-style-type: none"> a. Homeless or at risk of homelessness, within the next 3 months. Priority focus on individuals who do not have family or friends to stay with; b. Substandard housing c. Payment of rent and utilities is larger than 50% of income <p>Upon determining priority between two or more clients, if the above criteria are similar, then the next determining factor to consider will be whether the client has any dependants in their care. If it is determined that all the eligible clients have dependents then the final deciding factor will be the date of application. The waiting list will be reviewed quarterly. TBRA and STRMU waiting lists are separate.</p>		

Project Sponsor Agency Name Dallas County Health and Human Services HOPWA Program Unit		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Kris Dance, HOPWA Supervisor			
Email Address	kdance@dallascounty.org			
Business Address	2377 N. Stemmons Freeway, Suite 200, LB 16			
City, County, State, Zip,	Dallas	Dallas	Texas	75207-2710
Phone Number (with area code)	214-819-2844		Fax Number (with area code) 214-819-1850	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-6000905			
DUN & Bradstreet Number (DUNs):	073128597			
Congressional District of Project Sponsor's Business Address	3, 5, 24, 26, 30, 32			
Congressional District(s) of Primary Service Area(s)	6			
City(ies) and County(ies) of Primary Service Area(s)	Cities Barry, Chatfield, Corsicana, Kerens, Powell, Rice, Blooming Grove, Dawson, Frost, Purdon, and Richland.		Counties Navarro	
Total HOPWA contract amount for this Organization for the operating year	\$534			
Organization's Website Address www.dallascounty.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered. The Dallas County Health and Human Services HOPWA waiting list for Navarro County is to be maintained on a first come, first served basis. The waiting list will reflect the name and date of which the eligible applicant is added to the waiting list. <u>Open Waiting List:</u> The waiting list is to remain open at all times, with the exception of creating false hope. As the waiting list grows and applicant's wait is for an unforeseeable amount of time, then the waiting list will close for a period of time. <u>Additions to the Waiting List:</u> Only eligible persons residing in the Navarro County area will be added to the waiting list.		

Project Sponsor Agency Name Your Health Clinic		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Gwynne Palmore, Executive Director		
Email Address		g.palmore@verizon.net		
Business Address		303 Sunset Blvd.		
City, County, State, Zip,		Sherman	Grayson	TX 75092
Phone Number (with area code)		903.891.1972	Fax Number (with area code) 903.892.6093	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		752395756		
DUN & Bradstreet Number (DUNs):		879477875		
Congressional District of Project Sponsor's Business Address		4		
Congressional District(s) of Primary Service Area(s)		4, 13, 26		
City(ies) and County(ies) of Primary Service Area(s)		Cities Denison, Gunter, Pottsboro, Sherman, Bells, Howe, Tom Bean, Whitewright, Van Alstyne, Collinsville, Gordonville, Sadler, Southmayd, Tioga, Whitesboro, Bailey, Bonham, Dodd City, Ector, Gober, Honey Grove, Ivanhoe, Ladonia, Leonard, Randolph, Ravenna, Savoy, Telephone, Trenton, Windom, Era, Gainesville, Lindsay, Muenster, Myra, Rosston, and Valley View.	Counties Grayson, Fannin, and Cooke.	
Total HOPWA contract amount for this Organization for the operating year		\$58,186		
Organization's Website Address www.arcot.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered. At the time that funds or spaces are not available, a waiting list will go into effect. This waiting list policy will be as follows: <u>First Come, First Served:</u> YHC's DSHS HOPWA waiting list for the above listed counties is to be maintained on a first come, first served basis. The waiting list will reflect the name and date of each eligible applicant as they are added onto the waiting list. All eligible applicants on the waiting list will complete a HOPWA application that will reflect the client's name and the date when this client was placed on the HOPWA waiting list.		

Project Sponsor Agency Name Triangle AIDS Network (Beaumont/Port Arthur HSDA)		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Dr. Diane Bass – Executive Director		
Email Address		diane@tanbmt.com		
Business Address		P. O. Box 12279		
City, County, State, Zip,		Beaumont	Jefferson	TX 77726
Phone Number (with area code)		409-832-8338		Fax Number (with area code) 409-832-0976
Employer Identification Number (EIN) or Tax Identification Number (TIN)		76-0226835		
DUN & Bradstreet Number (DUNs):		609896378		
Congressional District of Project Sponsor's Business Address		2		
Congressional District(s) of Primary Service Area(s)		2,8		
City(ies) and County(ies) of Primary Service Area(s)		Cities: Amelia, Atreco, Beaumont, Beauxart Gardens, Bevil Oaks, Borley Heights, Calder Highlands, Calder Terrace, Caldwell, Caldwell Acres, Central Gardens, Cheek, China, Dowling, Elizabeth, Fannett, Galloway, Gilburg, Gladys, Griffing Park, Groves, Guffey, Hamshire, Helbig, Higgins, Hillebrandt, Lakeview, Lovell Lake, Lucas, Meeker, Morey, Mount Evergreen, Nederland, Nome, Pear Ridge, Pine Crest, Pine Island, Port Acres, Port Arthur, Port Neches, Rosedale, Rosedale Acres, Sabine, Sabine Pass, Spindletop, Sunnyside, Taylor Landing, Viterbo, Voth, Walden, West Oakland, West Port Arthur, Zummo, Bancroft, Bland, Bridge City, Brownwood, Bruner, Connell, Cove, Doc Brown, Echo, Francis, Kinard Estates, Lakeview, Lakewood, Lemonville, Little Cypress, Maple Crest Acres, Mauriceville, Oilla, Orange, Orangefield, Peveto, Pine Forest, Pine Grove, Pinehurst, Ridgecrest, Rose City, Stark, Tulane, Vidor, West Bluff, West Orange, Batson, Bragg, Dies, Fletcher, Fresenius, Grayburg, Honey Island, Kountze, Lelavale, Lillard, Loeb, Lumberton, Nona, Pine Ridge, Pinewood Estates, Rose Hill Acres, Saratoga, Seth, Silsbee, Sour Lake, Thicket, Village Mills, and Votaw		Counties: Jefferson, Orange, and Hardin.
Total HOPWA contract amount for this Organization for the operating year		\$140,510		
Organization's Website Address www.tanbmt.com		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. A chronological waiting list for TBRA is maintained as applications are received. As a space becomes available, the next person on the list is notified by mail. A copy of the letter is also given to the applicant's case manager. A two-week period is given for a response. If there is no response by the applicant, the next person on the waiting list is notified. No waiting list for STRMU is maintained.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>				

Project Sponsor Agency Name TBD (Galveston HDSA) No current clients and until new contractor found, clients in these counties served by AIDS Foundation Houston, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency			
Email Address			
Business Address			
City, County, State, Zip,			
Phone Number (with area code)		Fax Number (with area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)			
DUN & Bradstreet Number (DUNs):			
Congressional District of Project Sponsor's Business Address		14	
Congressional District(s) of Primary Service Area(s)		14	
City(ies) and County(ies) of Primary Service Area(s)		Allenhurst, Ashwood, Bay City, Blessing, Buckeye, Caney, Cedar Lake, Clemville, Collegeport, Elmaton, Hawkinsville, Markham, Matagorda, Midfield, Palacios, Pledger, Sargent, Sugar Valley, Van Vleck, and Wadsworth	Counties Matagorda
Total HOPWA contract amount for this Organization for the operating year		\$6,690	
Organization's Website Address http://uwgcm.org		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered.	

Project Sponsor Agency Name AIDS Foundation Houston, Inc.		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Kelly Young, Chief Executive Officer		
Email Address		YoungK@AFHouston.org		
Business Address		6260 Westpark Dr #100		
City, County, State, Zip,		Houston	Harris	TX 77057
Phone Number (with area code)		713-623-6796 ext. 251		Fax Number (with area code) 713-623-4029
Employer Identification Number (EIN) or Tax Identification Number (TIN)		76-0073661		
DUN & Bradstreet Number (DUNs):		19-007-4179		
Congressional District of Project Sponsor's Business Address		7		
Congressional District(s) of Primary Service Area(s)		7		
City(ies) and County(ies) of Primary Service Area(s)		Cities Alleyton, Altair, Bernardo, Boedecker Junction, Borden, Chesterville, Columbus, Eagle Lake, Frelsburg, Garwood, Glidden, Hillcrest, Hoefler, Matthews, Mentz, Nada, Oakland, Provident City, Ramsey, Rayner Junction, Rock Island, Sheridan, Weimar, Crabbs Prairie, Dodge, Hawthorne, Huntsville, Loma, New Waverly, Phelps, Pine Hill, Pine Prairie, Riverside, San Jacinto, Boling, Bonus, Burr, Cane Junction, Danevang, Dinsmore, Don-Tol, East Bernard, Egypt, El Campo, Elm Grove, Glen Flora, Hillje, Hungerford, Iago, Jones Creek, Lane City, Lissie, Louise, Mackay, Magnet, New Taiton, Newgulf, Pierce, Sorrelle, and Wharton.		Counties Colorado, Walker and Wharton.
Total HOPWA contract amount for this Organization for the operating year		\$19,075		
Organization's Website Address www.AIDShelp.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. A separate waiting list will be utilized for STRMU and TBRA programs. The wait list will include a section regarding the client outcome. Date client removed from the waiting list; Reason client removed from the waiting list (client no longer in need, service provided, etc.).		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>				

Project Sponsor Agency Name Special Health Resources for Texas, Inc. (Longview/Tyler)		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Theresa Jones			
Email Address	thjones@shrt.net			
Business Address	2030 S. High Street			
City, County, State, Zip,	Longview	Gregg	Texas	75602
Phone Number (with area code)	903-234-0776 ext.55		Fax Number (with area code) 903-234-9769	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2405203			
DUN & Bradstreet Number (DUNs):	13-5826449			
Congressional District of Project Sponsor's Business Address	1			
Congressional District(s) of Primary Service Area(s)	1, 4, 5 (Cass county is in both TX-01/TX-04)			
City(ies) and County(ies) of Primary Service Area(s)	Cities Longview and Tyler.		Counties Anderson, Camp, Cherokee, Gregg, Harrison, Henderson, Marion, Panola, Rains, Rusk, Smith, Upshur, Van Zandt, and Wood.	
Total HOPWA contract amount for this Organization for the operating year	\$456,300			
Organization's Website Address www.shrt.net	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. The waiting list is reviewed and updated by the 20 th of each month with applicants being taken in the order placed on the waiting list. Clients with minor children will have priority over other applicants.			

Project Sponsor Agency Name Health Horizons of East Texas, Inc. (Lufkin/Nacogdoches HSDA)		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Dr. Wilbert Brown, Jr. Ed. D., Executive Director		
Email Address		drwilbertbrown@sbcglobal.net		
Business Address		412 North Street Suite F		
City, County, State, Zip,		Nacogdoches	Nacogdoches	TX 75961
Phone Number (with area code)		936-569-8240 Ext. 10		Fax Number (with area code) 936-569-2217
Employer Identification Number (EIN) or Tax Identification Number (TIN)		75-2335884		
DUN & Bradstreet Number (DUNs):		800809741		
Congressional District of Project Sponsor's Business Address		Nacogdoches		
Congressional District(s) of Primary Service Area(s)		17, 9, 19, 18		
City(ies) and County(ies) of Primary Service Area(s)		Cities Lufkin, Crockett, Jasper, Hemphill, San Augustine, Center, Trinity, Woodville, Newton, Nacogdoches, and Livingston.	Counties Angelina, Houston, Jasper, Sabine, San Augustine, Shelby, Trinity, Tyler, Newton, Nacogdoches, and Polk.	
Total HOPWA contract amount for this Organization for the operating year		\$134,375		
Organization's Website Address www.hhnet.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered. The agency will maintain a separate waiting list for clients who are eligible for STRMU and TBRA. Medical Case Managers will request that clients be moved to the top of the HOPWA waiting list pertaining to STRMU or TBRA who: <ul style="list-style-type: none"> • are living in unsafe conditions, • have major changes that may threaten homelessness (i.e. sudden and permanent loss of income), • are living in life-threatening living situations 		

Project Sponsor Agency Name Special Health Resources for Texas, Inc. (Texarkana/Paris HSDA)		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Theresa Jones, Program Administrator		
Email Address		thjones@shrt.net		
Business Address		P. O. Box 2709		
City, County, State, Zip,		Longview	Gregg	Texas 75606
Phone Number (with area code)		903-234-0776 ext. 55		Fax Number (with area code) 903-234-9769
Employer Identification Number (EIN) or Tax Identification Number (TIN)		75-2405203		
DUN & Bradstreet Number (DUNs):		13-5826449		
Congressional District of Project Sponsor's Business Address		TX-004		
Congressional District(s) of Primary Service Area(s)		TX-004		
City(ies) and County(ies) of Primary Service Area(s)		Cities Texarkana and Paris.	Counties Bowie, Cass, Delta, Franklin, Hopkins, Lamar, Morris, Red River, and Titus.	
Total HOPWA contract amount for this Organization for the operating year		\$78,250		
Organization's Website Address www.shrt.net		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered. The waiting list is reviewed and updated by the 20 th of each month with applicants being taken in the order placed on the waiting list. Clients with minor children will have priority over other applicants.		

Project Sponsor Agency Name Basin Assistance Services		Parent Company Name, if applicable Permian Basin Community Centers for MHMR		
Name and Title of Contact at Project Sponsor Agency		Renue Jones, Team Lead		
Email Address		Renue.Jones@PBMHMR.com		
Business Address		1118-B 12 th Street		
City, County, State, Zip,		Odessa	Ector	TX 79763
Phone Number (with area code)		432-580-0713	Fax Number (with area code) 432-580-0972	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		75-1401776		
DUN & Bradstreet Number (DUNs):		074145561		
Congressional District of Project Sponsor's Business Address		11		
Congressional District(s) of Primary Service Area(s)		11, 19, 23		
City(ies) <u>and</u> County(ies) of Primary Service Area(s)		Cities Andrews, Crane, Ackerly, Lamesa, O'Donnell, Gail, Garden City, Goldsmith, Odessa, Seminole, Seagraves, Denver City, Coahoma, Forsan, Big Spring, Mentone, Midland, Stanton, Fort Stockton, Iraan, Balmorhea, Pecos, Toyah, MacCamey, Rankin, Grandfalls, Monahans, Barstow, Pyote, Wickett, Kerit, and Wink.	Counties Andrews, Borden, Crane, Dawson, Ector, Gaines, Glasscock, Howard, Loving, Martin, Midland, Pecos, Reeves, Terrell, Upton, Ward, and Winkler.	
Total HOPWA contract amount for this Organization for the operating year		\$115,610		
Organization's Website Address www.pbmhmr.com		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered. Clients on waiting list are required to apply for the HCV Program housing and first come first served basis.		

Project Sponsor Agency Name Panhandle AIDS Support Organization		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Michael Timcisko, Chief Executive Officer		
Email Address		michael_PASO@suddenlinkmail.com		
Business Address		1501 SW 10th		
City, County, State, Zip,		Amarillo	Potter County	TX 79101
Phone Number (with area code)		(806) -372-1050		Fax Number (with area code) (806)-372-1067
Employer Identification Number (EIN) or Tax Identification Number (TIN)		75-2219593		
DUN & Bradstreet Number (DUNs):		883196024		
Congressional District of Project Sponsor's Business Address		13		
Congressional District(s) of Primary Service Area(s)		13,19		
City(ies) and County(ies) of Primary Service Area(s)		Cities Claude, Quitique, Silverton, Groom, Panhandle, Skellytown, White Deer, Dimmit, Hart, Nazareth, Childress, Dodson, Wellington, Dalhart, Texline, Hereford, Clarendon, Hedley, Howardwick, Lefors, McLean, Pampa, Estelline, Lakeview, Memphis, Turkey, Gruver, Spearman, Channing, Canadian, Fritch, Sanford, Stinnett, Borger, Darrouzett, Follett, Higgins, Booker, Cactus, Dumas, Sunray, Perryton, Booker, Adrian, Vega, Farwell, Bovina, Friona, Amarillo, Canyon, Happy, Miami, Stratford, Texhoma, Kress, Tulia, Mobeetie, Shamrock, and Wheeler.	Counties Armstrong, Brisco, Carson, Castro, Childress, Collingsworth, Dallam, Deaf Smith, Donley, Gray, Hall, Hansford, Hartley, Hemphill, Hutchinson, Lipscomb, Moore, Ochiltree, Oldham, Parmer, Potter, Randall, Roberts, Sherman, Swisher, and Wheeler.	
Total HOPWA contract amount for this Organization for the operating year		\$110,790		
Organization's Website Address www.panhandleaso.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered. Assistance is provided on a first-come first-serve basis through an eligibility/priority assessment.		

Project Sponsor Agency Name Project CHAMPS		Parent Company Name, if applicable South Plains Community Action Association, Inc		
Name and Title of Contact at Project Sponsor Agency	Leigh Arrington, Program Coordinator			
Email Address	larrington@spscaa.org			
Business Address	3307 Ave X			
City, County, State, Zip,	Lubbock	Lubbock County	TX	79411
Phone Number (with area code)	(806) -771-0736		Fax Number (with area code) (806)-771-3398	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-1230219			
DUN & Bradstreet Number (DUNs):	094254547			
Congressional District of Project Sponsor's Business Address	19			
Congressional District(s) of Primary Service Area(s)	13,19			
City(ies) and County(ies) of Primary Service Area(s)	Muleshoe, Morton, Whiteface, Crosbyton, Lorenzo, Ralls, Dickens, Spur, Floydada, Lockney, Post, Abernathy, Edmonson, Hale Center, Petersburg, Plainview, Anton, Levelland, Opdyke West, Ropesville, Smyer, Sundown, Earth, Amherst, Olton, Springlake, Sudan, Buffalo Springs Village, Idalou, Lubbock, New Deal, Ransom Canyon, Shallowater, Slaton, Wolfforth, New Home, O'Donnell, Tahoka, Wilson, Matador, Roaring Springs, Brownfield, Meadow, Wellman, Denver City, and Plains.		Counties Bailey, Cochran, Crosby, Dickens, Floyd, Garza, Hale, Hockley, King, Lamb, Lubbock, Lynn, Motley, Terry, and Yoakum.	
Total HOPWA contract amount for this Organization for the operating year	\$128, 970			
Organization's Website Address www.spscaa.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. Assistance is provided on a first-come first-serve basis through an eligibility/priority assessment.			

Project Sponsor Agency Name Sun City Behavioral Health Care		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Michelle Aponte-Pacheco, Director		
Email Address		mpacheco@ehnel Paso.org		
Business Address		2931 Montana Ave, Suite B		
City, County, State, Zip,		El Paso	El Paso County	TX 79903
Phone Number (with area code)		915-351-4659		Fax Number (with area code) 915-351-3643
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-2928744		
DUN & Bradstreet Number (DUNs):		021913286		
Congressional District of Project Sponsor's Business Address		16		
Congressional District(s) of Primary Service Area(s)		16, 23		
City(ies) and County(ies) of Primary Service Area(s)		Cities Alpine, Castolon, Hovey, Lajitas, Marathon, Terlingua, Titley, Kent, Lobo, Pine Springs, Van Horn, Anthony, Clint, El Paso, Horizon City, Socorro, Vinton, Acala, Arispe, Cornudas, Crusher, Dell City, Eagle Flat, Esperanza, Finlay, Fort Hancock, McNary, Mile High, Salt Flat, Sierra Blanca, Chispa, Fort Davis, Valentine, Candelaria, Casa Piedra, Indio, Marfa, Nopal, Ochoa, Plata, Polvo, Presidio, Quebec, Redford, Ruidosa, Ryan, Shafter, and Tinaja.		Counties Brewster, Culberson, El Paso, Hudspeth, Jeff Davis, and Presidio.
Total HOPWA contract amount for this Organization for the operating year		\$213,745		
Organization's Website Address www.emergencehealthnetwork.org		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Valley AIDS Council		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Mr. Dean G. Hall, Executive Director		
Email Address		dhall@vallevoids.org		
Business Address		418 E. Tyler Ave., Suite A		
City, County, State, Zip,		Harlingen	Cameron County	Texas 78550
Phone Number (with area code)		(956)428-2653 or (956) 428-9322		Fax Number (with area code) (956)428-0056
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-251-2591		
DUN & Bradstreet Number (DUNs):		002686186		
Congressional District of Project Sponsor's Business Address		15,27		
Congressional District(s) of Primary Service Area(s)		15,27		
City(ies) and County(ies) of Primary Service Area(s)		Cities Alamo, Alton, Bayview, Brownsville, Combes, Donna, Edcouch, Edinburg, Elsa, Hagill, Harlingen, Hidalgo, Indian Lake, La Blanca, La Feria, La Joya, La Villa, Laguna Heights, Laguna Vista, Lasara, Linn, Los Ebanos, Los Fresnos, Los Indios, Lozano, Lyford, McAllen, Mercedes, Mission, Monte Alto, Olmito, Palmhurst, Palmview, Penitas, Pharr, Port Isabel, Port Mansfield, Progreso, Progreso Lakes, Port Mansfield, Rancho Viejo, Raymondville, Rio Hondo, S Padre Isle, San Benito, San Juan, San Perlita, Santa Maria, Santa Rosa, Sebastian, South Padre Island, Sullivan City and Weslaco	Counties Cameron, Hidalgo, and Willacy.	
Total HOPWA contract amount for this Organization for the operating year		\$361,325		
Organization's Website Address www.vacinc.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered. The waiting list is based on a needs assessment and waitlisted according to their ranking.		

Project Sponsor Agency Name Coastal Bend Wellness Foundation		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Mr. Bill Jeron Hoelscher, Executive Director		
Email Address		billh@cbwellness.org		
Business Address		400 Mann Street, Suite 800		
City, County, State, Zip,		Corpus Christi	Nueces County	Texas 78401
Phone Number (with area code)		(361) 814-2001		Fax Number (with area code) (361) 814-1998
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-2429518		
DUN & Bradstreet Number (DUNs):		791954167		
Congressional District of Project Sponsor's Business Address		27, 15		
Congressional District(s) of Primary Service Area(s)		27, 15		
City(ies) and County(ies) of Primary Service Area(s)		Cities Agua Dulce, Alice, Aransas Pass, Armstrong, Austwell, Banquete, Bayside, Beeville, Ben Bolt, Benavides, Bishop, Calliham, Chapman Ranch, City by the Sea, Concepcion, Corpus Christi, Crp Christi, Dinero, Discroll, Edroy, Encino, Falfurrias, Freer, Fulton, George West, Gregory, Ingleside, Kenedy, Kingsville, Station, Lake City, Mathis, Mineral, Normanna, Oakville, Odem, Orange Grove, Pawnee, Pettus, Port Aransas, Portland, Premont, Realitos, Refugio, Riviera, Robstown, Rockport, San Diego, Sandia, Sarita, Sinton, Skidmore, Swinney, Switch, Taft, Three Rivers, Tilden, Tivoli, Tuleta, Tynan, Whitsett and Woodsboro.	Counties Aransas, Bee, Brooks, Duval, Jim Wells, Kenedy, Kleberg, Live Oak, McMullen, Nueces, Refugio, and San Patricio.	
Total HOPWA contract amount for this Organization for the operating year		\$374,244		
Organization's Website Address www.cbaf.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered. The waiting list is based on a needs assessment and waitlisted according to their ranking. Needs assessment is based on the following 1) Does the client have minor dependents living with him/her; 2) Does the client currently have housing; 3) The state of the clients health; 4) Does the client have the economic need. Each area is scored based on three (3) points, one (1) being the least amount of need and three (3) being the highest.		

Project Sponsor Agency Name City Of Laredo Health Department		Parent Company Name, if applicable City of Laredo		
Name and Title of Contact at Project Sponsor Agency		Mr. Manuel G. Sanchez, Jr., Program Coordinator		
Email Address		msanchez@ci.laredo.tx.us		
Business Address		2600 Cedar Avenue P.O. Box 2337		
City, County, State, Zip,		Laredo	Webb County	Texas 78042
Phone Number (with area code)		(956) 795-4941		Fax Number (with area code) (956) 795-2035
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-6001573		
DUN & Bradstreet Number (DUNs):		618150460		
Congressional District of Project Sponsor's Business Address		28		
Congressional District(s) of Primary Service Area(s)		28		
City(ies) and County(ies) of Primary Service Area(s)		Cities Bruni, Delmita, El Cenizo, Falcon, Falcon Heights, Fronton, Garciasville, La Grulla, Guerra, Hebbronville, Laredo, Lopeflo, Mirando City, Oilton, Rio Bravo, Rio Grande City, Roma, Salineno, San Isidro, San Ygnancio, Santa Elena, and Zapata	Counties Jim Hogg, Starr, Webb and Zapata.	
Total HOPWA contract amount for this Organization for the operating year		\$84,131		
Organization's Website Address www.cityoflaredo.com		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered. Clients on the waiting list for TBRA are given priority status if they meet the following criteria, regardless of date when services were requested: <ul style="list-style-type: none"> • Has an AIDS diagnosis • Has dependents under the age of 18 • Has exhausted the HOPWA program's short-term assistance cap and is in eminent risk of becoming homeless 		

Project Sponsor Agency Name Big County AIDS Resources (Abilene HSDA)		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Betty Sims, Executive Director		
Email Address		bsims@swbell.net		
Business Address		1109 Walnut Street, PO Box 1976		
City, County, State, Zip,		Abilene	Taylor	Texas 79604
Phone Number (with area code)		(325) 672-3077		Fax Number (with area code) (325) 672-3182
Employer Identification Number (EIN) or Tax Identification Number (TIN)		75-02235135		
DUN & Bradstreet Number (DUNs):		96-6501434		
Congressional District of Project Sponsor's Business Address		19		
Congressional District(s) of Primary Service Area(s)		11,13,19		
City(ies) and County(ies) of Primary Service Area(s)		Cities Bangs, Blanket, Brownwood, Early, Brookesmith, Grosvenor, Indian Creek, Lake Brownwood, Lake Sore, May, Shamrock Shores, Thrifty, Zephyr, Baird, Clyde, Cross Pains, Putnam, Eula, Coleman, Novice, Santa Ana, Burkett, Content, Echo, Fisk, Goldsboro, Gouldbusk, Leaday, Mozelle, Rockwood, Silver Valley, Talpa, Valera, Voss, Whon, Comanche, De Leon, Gustine, Energy Hasse, Proctor Sidney, Carbon, Cisco, Eastland, Gorman, Ranger, Rising Star, Desdemona, Olden, Roby, Rotan, Longworth, McCaulley, Raytown, Royston, Sylvester, Haskell, O'Brien, Rochester, Rule, Weinnert, Jud, Rolls, Sagerton, Anson, Hamlin, Hawley, Leuders, Stamford, Avoca, Radium, Tuxedo, Jayton, Girard, Benjamin, Goree, Knox City, Munday, Colorado City, Loraine, Westbrook Buford, Cuthbert, Latan, Clackwell, Roscoe, Sweetwater, Claytonville, Inadale, Maryneal, Nolan, Palava, Pyron, Wastella, Ballinger, Miles, Winters, Bethel, Blanton, Bradshaw, Crews, Drasco, Happy Valley, Hatchel, Norton, Pony, Pumphrey, Rowwena, Shep, Wilmeth, Wingate, Snyder, Clairemont, Dermott, Dunn, Fluvanna, Hermleigh, Ira, Union, Albany, Moran, Breckenridge, Caddo, Aspermont, Old Glory, Peacock, Abilene, Buffalo Gap, Impact, Lawn Merkel, Trent, Tuscola, Tye, Blair, Hamby, Noodle, Ovalo, Potosi, Stith, Throckmorton, Woodson.		Counties Brown, Callahan, Coleman, Comanche, Eastland, Fisher, Haskell, Jones, Kent Knox, Mitchell, Nolan, Runnels, Scurry, Shackelford, Stephens, Stonewall, Taylor, and Throckmorton.
Total HOPWA contract amount for this Organization for the operating year		\$97,535		
Organization's Website Address www.bartx.com		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name AIDS Outreach Center (Fort Worth HSDA)		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Shannon Hilgart, Associate Executive Director		
Email Address		shannonh@aoc.org		
Business Address		400 Beach Street		
City, County, State, Zip,		Fort Worth	Tarrant	Texas 76111
Phone Number (with area code)		(817) 335-1994		Fax Number (with area code) (817) 916-4661
Employer Identification Number (EIN) or Tax Identification Number (TIN)		75-2139336		
DUN & Bradstreet Number (DUNs):		78-1414842		
Congressional District of Project Sponsor's Business Address		12		
Congressional District(s) of Primary Service Area(s)		12,13,17 & 31		
City(ies) and County(ies) of Primary Service Area(s)		Cities Dublin, Stephenville, Bluff, Dale, Lingleville, Morgan Mill,, Cresson, DeCordova, Granbury, Kipan, Tolar, Paluxy, Gordon, Graford, Moneral, Wells, Mingus, Palo Pinto, Straw, Fort Wolters, Salesville, and Santo.		Counties Erath, Hood, Palo Pinto, and Somervell.
Total HOPWA contract amount for this Organization for the operating year		\$40,917		
Organization's Website Address www.aoc.org		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Wichita Falls County Health District		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Lou Franklin, Public Health Director			
Email Address	Lou.franklin@wichitafallstx.gov			
Business Address	1700 Third Street			
City, County, State, Zip,	Wichita Falls		Texas	76301
Phone Number (with area code)	(940) 761-7805		Fax Number (with area code) (940) 767-5242	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	1-75-6000-714-2000			
DUN & Bradstreet Number (DUNs):	05-9463133			
Congressional District of Project Sponsor's Business Address	13, 19			
Congressional District(s) of Primary Service Area(s)	13			
City(ies) and County(ies) of Primary Service Area(s)	Cities Archer, Burkburnett, Cottle, Clay, Electra, Foard, Graham, Hardeman, Henrietta, Iowa Park, Jacksboro, Montague, Paducah, Paducah, Seymour, Vernon, and Wichita.		Counties: Archer, Baylor, Bowie, Clay, Cottle, Foard, Hardeman, Jack, Wichita, Wilbarger, and Young.	
Total HOPWA contract amount for this Organization for the operating year	\$62,750			
Organization's Website Address www.health.wichitafallstx.gov	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered.			

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Chart 3

Subrecipient Name	Bexar County			Parent Company Name, if applicable
Name and Title of Contact at Subrecipient	Aurora M. Sanchez, Executive Director			
Email Address	asanchez@bexar.org			
Business Address	233 N. Pecos, Suite 590			
City, State, Zip, County	San Antonio	TX	Bexar	78207
Phone Number (with area code)	210-335-3421			Fax Number (include area code) 210-335-6755
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-6002039			
DUN & Bradstreet Number (DUNs):	070487020			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of Subrecipient's Business Address	20			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A			Counties: N/A
Total HOPWA Subcontract Amount of this Organization for the operating year	\$252,827			

Subrecipient Name	Brazos Valley Council of Governments (BVCOG)			Parent Company Name, if applicable
Name and Title of Contact at Subrecipient	Kristi Hanle, Program Manager			
Email Address	khanle@bvcog.org			
Business Address	P.O. Drawer 4128			
City, State, Zip, County	Bryan	TX	77805-4128	Brazos
Phone Number (with area code)	979-595-2800		Fax Number (include area code) 979-595-2815	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-1562020			
DUN & Bradstreet Number (DUNs):	010788610			
North American Industry Classification System (NAICS) Code	921190			
Congressional District of Subrecipient's Business Address	17			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$313,808			

Subrecipient Name	Dallas County Health and Human Services (DCHHS)			Parent Company Name, if applicable
Name and Title of Contact at Subrecipient	Karin Pettit			
Email Address	HIV_Grants@dallascounty.org			
Business Address	Dallas County Health and Human Services HIV Grants Management 2377 N. Stemmons Freeway, Suite 200			
City, State, Zip, County	Dallas	TX	75207	Dallas
Phone Number (with area code)	214-819-1841		Fax Number (include area code) 214-819-6023	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-6000905			
DUN & Bradstreet Number (DUNs):	073128597			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of Subrecipient's Business Address	26			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$58,720			

Subrecipient Name	Houston Regional HIV/AIDS Resource Group (HRG)	Parent Company Name, if applicable		
Name and Title of Contact at Subrecipient	Patrick Martin			
Email Address	pmartin@hivresourcegroup.org			
Business Address	500 Lovett Blvd., Ste 100			
City, State, Zip, County	Houston	TX	77006	Harris
Phone Number (with area code)	713-526-1016		Fax Number (include area code) 713-526-2369	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	760414232			
DUN & Bradstreet Number (DUNs):	876909847			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of Subrecipient's Business Address	7			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities : N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$835,200			

Subrecipient Name	Lubbock Regional MHMR	Parent Company Name, if applicable		
Name and Title of Contact at Subrecipient	Marcella Ford, Contracts Mgmt. Director			
Email Address	mford@lstarcarelubbock.org			
Business Address	P.O. Box 2828			
City, State, Zip, County	Lubbock	TX	79408	Lubbock
Phone Number (with area code)	806-767-1621		Fax Number (include area code) 806-766-0250	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-1297691			
DUN & Bradstreet Number (DUNs):	098786460			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of Subrecipient's Business Address	19			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$569,115			

Subrecipient Name	South Texas Development Council (STDC)	Parent Company Name, if applicable		
Name and Title of Contact at Subrecipient	John Keiser			
Email Address	jrkeiser@stdc.cog.tx.us			
Business Address	1002 Dicky Lane			
City, State, Zip, County	Laredo	TX	78044	Laredo
Phone Number (with area code)	956-722-3995		Fax Number (include area code) 956-722-2670	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-1666921-0			
DUN & Bradstreet Number (DUNs):	062390661			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of Subrecipient's Business Address	28			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$819,700			

Subrecipient Name	Tarrant County Health Department	Parent Company Name, if applicable Tarrant County		
Name and Title of Contact at Subrecipient	Margie Drake HIV Grants Manager			
Email Address	mdrake@tarrantcounty.com			
Business Address	1101 South Main Street, Suite 2500			
City, State, Zip, County	Ft. Worth	TX	76104	Tarrant
Phone Number (with area code)	(817) 321-4747		Fax Number (include area code) (817) 321-4737	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-6001170			
DUN & Bradstreet Number (DUNs):	068365220			
North American Industry Classification System (NAICS) Code	923120			
Congressional District of Subrecipient's Business Address	12			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$201,202			

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Subrecipient Name: N/A		Parent Company Name, if applicable		
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNS):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. Note: Text fields are expandable.

Situated within a comprehensive network of HIV care services, the Texas HOPWA Formula program addresses the unmet housing services needs of persons living with HIV (PLWH) and their families in Texas by providing housing assistance and supportive services to income-eligible individuals. These services are integrated with the larger Ryan White Program both in administration and service delivery, which in turn is integrated into the larger, multi-sectoral system for delivering treatment and care to these clients. The goals of the HOPWA program are to help low-income HIV-positive clients establish or maintain affordable and stable housing; to reduce the risk of homelessness; and to improve access to health care and supportive services. At the end of 2012, nearly 73,000 people in Texas were known to have HIV. It is estimated that an additional 17,000 people in Texas are living with HIV, but are currently unaware of their status. The number of Texans living with HIV increases each year and there are about 4,300 new HIV diagnoses and 930 deaths among PLWH per year since 2008.* Housing is a critical need for PLWH.† Additionally, a housing-specific goal of the National HIV/AIDS Strategy for the United States is to increase the percentage of Ryan White HIV/AIDS Program clients with permanent housing from 82% to 86% by 2015.‡

The Texas HOPWA Formula program is administered by the TB/HIV/STD and Viral Hepatitis Unit - HIV/STD Prevention and Care Services Branch of the Department of State Health Services (DSHS) and provides the following services:

Tenant-Based Rental Assistance (TBRA) program: The TBRA program provides tenant-based rental assistance to eligible individuals until they are able to secure other affordable and stable housing.

Short-Term Rent, Mortgage, and Utilities (STRMU) assistance program: The STRMU program provides short-term rent, mortgage, and utility payments to eligible individuals for a maximum of 21 weeks of assistance in a 52-week period.

Supportive Services (SS) program: The Supportive Services program provides case management, basic telephone service and assistance to purchase smoke detectors to eligible individuals.

Permanent Housing Placement Services (PHP): The PHP program provides assistance for housing placement costs which may include application fees, related credit checks, and reasonable security deposits necessary to move persons into permanent housing.

Areas of service coverage within jurisdiction: The HOPWA Formula program serves all 254 counties in Texas, which are represented by 26 HIV Service Delivery Areas (HSDA).

* <http://www.dshs.state.tx.us/hivstd/reports/default.shtm>, 2012 Texas STD and HIV Epidemiologic Profile

† 2008-2010 Texas Statement of Coordinated Need

‡ <http://www.whitehouse.gov/sites/default/files/uploads/NHAS.pdf>, July 2010

Grant Management: The DSHS HOPWA Project year is from February 1 through January 31. DSHS selects seven Administrative Agencies (AAs) across the state through a combination of competitive Requests for Proposal (RFP) and intergovernmental agency contracts. The AAs act as an administrative arm for DSHS by administering the HOPWA program locally. The AAs do not receive any HOPWA administrative funds from DSHS; all AA administrative costs are leveraged from other funding sources. The AAs, in turn, select HOPWA Project Sponsors through local competitive processes. DSHS reserves up to 3% of the total HOPWA award for the administrative costs of DSHS. Project Sponsors are allowed up to 7% of their Project Sponsor allocation amount for administrative costs. The HIV/STD Prevention and Care Branch have a team of consultants and managers that monitor the program activities of the AAs. This monitoring involves periodic site and technical assistance visits by the consultants, and the submission of monthly billing reports and quarterly progress reports by the Project Sponsors and AAs. AAs monitor the Project Sponsors' HOPWA program activities and are required to comply with applicable HUD regulations, the DSHS Program Manual, and their contractual Statement of Work.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

DSHS' 2013 HOPWA formula grant award was \$2,724,029, a decrease of \$106,661 from 2012. In the State's 2013 One Year Action Plan (OYAP), DSHS proposed to serve 405 TBRA, 545 STRMU, and 15 PHP households with assistance, and to provide 950 clients with supportive services. DSHS utilized an allocation formula based on prior allocations, historical expenditures, performance data, and reported waiting lists. In order to utilize the prior unexpended HOPWA funds and offset the federal sequestration reduction to meet increased need, contracts were executed for a total amount of \$3,050,572. Funds were allocated to address the housing needs in areas with greater evidence of unmet need for HOPWA services. During the project year, funds are reallocated between HOPWA activities within HSDAs to meet changing needs.

Overall HOPWA Expenditures

In the budget submitted on the 2013 OYAP, DSHS reserved \$25,375 for administrative expenses, which is significantly less than the 3% grantee administrative allowance of \$81,721 in order to redirect further funds to HOPWA activities. Because the HUD HOPWA award is not announced until after February 1, and DSHS must contract with the AAs prior to the February 1 project year start, contract budgets are initially based on level funding from the prior project year. The initial proposed 2013 HOPWA budget was based on level funding of \$2,830,690 but as previously noted, unexpended HOPWA funds were added resulting in \$3,050,572 in contracts and \$25,375 in DSHS for a total 2013 budget of \$3,075,947. Of the \$3,050,572 contractual budget, \$2,931,804 was reported as expended (96%). Program expenditure reports from the AAs are due to DSHS February 28, but contractually, AAs have until March 31st to submit final vouchers to DSHS for reimbursement. As a result, the expenditures reported in

this CAPER are not considered final and may be higher than initially reported. Data reported below is based on reallocations throughout the project year and may differ from the allocations reflected in the OYAP.

HOPWA Housing Subsidy Assistance Expenditures

For direct housing assistance (TBRA, STRMU, and PHP), \$2,339,697 was budgeted and \$2,285,384 was expended (95%). Individually, TBRA was budgeted at \$1,811,304 with \$1,800,563 expended (99%); STRMU was budgeted at \$514,245 with \$477,690 expended (93%); and PHP was budgeted at \$14,149 with \$7,131 expended (50%).

HOPWA Supportive Services Expenditures

The Supportive Services' budget was \$524,728, and \$469,448 was expended (89%). Because housing case management is sometimes combined with medical case management, a significant amount of housing supportive services is leveraged from other funding sources. Please refer to Part 2: Sources of Leveraging and Program Income for detail.

HOPWA Administrative Expenditures

Project Sponsors are permitted to use up to 7% of their contract allocation for administrative services, which is cumulatively \$213,540. Project Sponsors budgeted \$186,146 for administrative expenses (6%) in order to utilize more funds for direct services and expended \$176,971 of the actual budgeted amount (95%).

Waiting Lists

At the end of the 2013 project year, there were 103 clients on TBRA and 32 clients on STRMU waiting lists. Of the STRMU waitlisted clients, 16 were waiting for rental cost assistance, 9 for mortgage payment assistance, and 7 for utility costs assistance. The total number of clients on waiting lists for TBRA and STRMU increased from 96 in 2012 to 135 in 2013, which is a 41% increase. Many TBRA clients are dependent on HOPWA for extended periods of time because they are unable to transition to other affordable and stable housing (for many different reasons, as discussed further in this narrative), which can prevent new TBRA clients from receiving assistance and contribute to extended waitlists. Continued collaboration with the AAs and Project Sponsors to reduce waiting lists will again be a priority in 2014.

HOPWA Outputs

In the 2013 HOPWA project year, DSHS served 441 households with TBRA (109% of the 405 OYAP goal), 470 households with STRMU assistance (86% of the 545 OYAP goal), and 12 households with PHP assistance (80% of the 15 OYAP goal) for a total of 923 unduplicated households. Of the total 923 households served with TBRA, STRMU, and PHP, 907 households also received HOPWA-funded Supportive Services (95% of the 950 OYAP goal). All HOPWA clients receive housing supportive services at some level in order to receive assistance, but some supportive services for clients were leveraged with other funding sources and were not counted in this report. Overall, the HOPWA program was very successful in the 2013 project year.

Special Needs Clients and Beneficiaries

Fourteen new clients categorized as chronically homeless, of which three were veterans, served in the 2013 project year. Additionally, 24 veterans continued from the 2012 project year to receive housing assistance in the 2013 project

year. DSHS exceeded the OYAP goal of assisting 10 new clients categorized as chronically homeless clients by 140%. DSHS' HOPWA program also benefited an additional 796 household members, of which 87 were reported to be HIV-positive. This demonstrates that the Texas HOPWA program is essential to housing not only direct clients, but additional PLWH, which is a vital step in linkage and adherence to medical care. Many clients that have received housing assistance from the HOPWA program would have had no other means to obtain housing and care for themselves.

The DSHS HOPWA formula program serves the entire State of Texas and is a wrap-around for the six eligible metropolitan statistical areas (EMSA) that receive direct funding HOPWA funding from HUD (Austin, Dallas, El Paso, Fort Worth, Houston, and San Antonio). As a result, the state program serves all of the rural, less-populated areas of the state. The majority of DSHS HOPWA program clients are at 30% or less of household area median income (AMI). For 2013, 61% of clients were between 0-30% of AMI, 32% between 31-50% of AMI, and 7% between 51-80% of AMI.

The DSHS TB/HIV/STD/Viral Hepatitis Unit has released the 2012 Texas STD and HIV Integrated Epidemiologic Profile (Epi Profile) which states "...heightened rates of STD and HIV are seen in youth, racial/ethnic minorities, particularly Blacks, and gay men and other men who have sex with men (MSM). These groups are clearly more vulnerable to STDs and HIV on scales that have tremendous financial and social costs for Texas, and serious implications for the future health and well-being of persons living with these conditions." The majority of PLWH in Texas are racial and ethnic minorities. In 2013, 31% of total clients were Black (282), 40% Hispanic/Latino, and 2% other non-White. Overall, about 72-73% of clients were racial/ethnic minorities. Additionally, 60% of clients were male and 40% female in 2013.

The majority of clients were between 31 and 50 years old (58%) and 32% were 51 and older, corresponding with the Epi Profile, which reports that most PLWH are between 35 and 55 years old. Of the 796 beneficiaries (family members living with clients), 509 (64%) were under 18 years old.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Client outcome goals for housing stability, reducing risks of homelessness, and improving access to care were achieved for 2013. The majority of HOPWA clients had contact with case manager/benefits counselor with the schedule specified in client's individual service plan (96%, down from 98% in 2012) and had a housing plan for maintaining or establishing stable on-going housing (98%, up from 97% in 2012), as reported in the HOPWA Access to Care and Support Outcomes Chart. By the end of the 2013 HOPWA project year, 97% of TBRA (down from 99% in 2012) were living in stable housing, well above the 85% national goal. For STRMU households, 98% (same as 2012) were living in stable and temporarily stable housing with reduced risk of homelessness. Broken out, 48% were stable and 50% were temporarily stable with reduced risk of homelessness. Ending the project year with a combined

97% of TBRA and STRMU clients living in stable or temporarily stable housing with reduced risk of homelessness is a major achievement for the Texas HOPWA program.

Both the quantitative and qualitative data show that HOPWA services do improve clients' access to supportive services, health care, and improves health outcomes. By stabilizing client income and housing, several clients have started taking their medications again because they have access to funding to cover both their medications and basic necessities. DSHS saw several other significant outcome improvements from 2012 to 2013. Project Sponsors reported 99% (up from 95%) of HOPWA clients had contact with a primary health care provider; 83% (up from 66%) had medical insurance coverage or medical assistance compared; 90% (up from 79%) maintained sources of income; and 28% (up from 15%) secured an income-producing job.

HUD's Office of HIV/AIDS Housing (OHH) has set a goal of 80% for HOPWA clients who have "accessed and can maintain medical insurance/assistance." Although the proportion of clients with medical insurance/assistance (83%) is a significant improvement from last year and exceeds the OHH goal of 80%, Texas HIV clients continue to face many challenges in access to medical insurance/assistance. According to the U.S. Census Bureau, Texas still tops the nation for the highest rate of uninsured (23.8%), which means one in four Texans did not have insurance in 2011 (<http://www.census.gov/hhes/www/cpstables/032012/health/toc.htm> (Table H106)). DSHS estimates that 28% of health program beneficiaries are categorically ineligible for Medicaid or Medicare coverage due to citizenship. Texas Medicaid currently only covers children, pregnant women, and certain disabled adults up to 100% of the Federal Poverty Level (FPL). Due to the restrictive eligibility of the Texas Medicaid program, most HOPWA clients are excluded from Medicaid coverage. At this time, Texas is not expected to expand Medicaid, and citizens at 100% or less of FPL and non-citizens will not be eligible for subsidies to support purchase of insurance in the federal marketplace. Even after implementation of the Affordable Care Act (ACA), it is likely that more than 20% of HOPWA clients will not have insurance or access to medical assistance.

Project Sponsors continue to address long term goals with clients and help them establish a financial plan that can assist them with maintaining their housing. Some Project Sponsors report that the "Single Point of Access" model for "wrap-around" care continues to show excellent results in assisting clients in maintaining medical care and medication adherence. This model provides assistance to PLWH by providing medical, psychosocial, and educational support services in a central location. Many Project Sponsors continue to monitor medical appointment, medication, and/or treatment adherence for clients.

Project Sponsors also reported that clients receiving housing assistance through the HOPWA program showed improved compliance with medication treatment, and increased adherence to medical and counseling appointments (although transportation and lack of proper documentation were frequently cited as barriers to adherence).

Barriers reported indicate declines in the ability to obtain or maintain medical insurance, maintain income, and especially obtain employment, are partially due to a difficult economy in conjunction with rising costs of living (rent, deposits, utilities, food, transportation, etc.), high unemployment, no access to health insurance and/or decreased access to other supportive services such as the Housing Choice Voucher (HCV) program. The inability to access HCV, also known as the Section 8 program, is due to long or closed waiting lists, and in some cases, client non-compliance and ineligibility due to undocumented immigrant status.

Increased housing costs are evident as HOPWA expenditures per TBRA household averaged \$4,083 annually (up from \$3,777 in 2012) and \$1,016 per STRMU household annually (up from \$794 in 2012). Budget restraints continue to be a challenge for AAs and Project Sponsors, but considerable efforts to find viable solutions, and the “do more with less” approach, make a significant impact on supporting HOPWA clients, improving access to care, and preventing or reducing risk of homelessness. As federal funding decreases to local housing authorities and HOPWA programs, and restrictive federal regulations limit housing availability and affordability; these present additional barriers to moving clients off of the HOPWA program and into HCV and other housing programs, and also results in increased difficulties in meeting housing needs of new and continuing clients.

Of the 923 TBRA, STRMU, and PHP unduplicated clients, 459 continued from the prior year (50%); down from 55% in 2012.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

On the state level, DSHS collaborates with the Texas Department of Housing and Community Affairs (TDHCA) to produce the HOPWA Action Plan for the state’s Consolidated Plan for housing. Additionally, the DSHS HIV/STD Prevention and Care Services Branch competitively selects and contracts with seven AAs to administer the HOPWA formula program in Texas. AAs contract with the Project Sponsors for each HSDA under their jurisdiction to deliver the HOPWA services. AAs and Project Sponsors are part of an HIV care network supported with state formula funds and providers who provide a range of medical, psychosocial, and support services available to eligible individuals living with HIV. AAs regularly conduct a Needs Assessment for their HSDAs which include input and data from the community, clients, providers, other agencies, and subject matter experts. In each HSDA, Project Sponsors collaborate locally with these providers to assure that HOPWA clients have access to supportive services and health care. Project Sponsors also work to identify other agencies that may have direct contact with out-of-care individuals to refer clients to the HOPWA program. Furthermore, Project Sponsors continue to work closely and effectively with the local PHA offices to identify and establish relationships with other organizations and agencies that may have available resources. This ongoing collaboration provides access to organizations and programs such as the Housing Choice Voucher program, Shelter Plus Care, community health clinics, churches and private foundations, and Ryan White and HIV Planning Councils, to name a few. Project Sponsors leverage available funds from Ryan White and State Services grants to assist clients with housing needs, medical and non-medical case management, emergency utility assistance, mental health, transportation, and nutritional services to address the needs of eligible clients. As a result, an additional 14 TBRA clients and 17 STRMU clients, and 18 PHP clients were assisted with housing utilizing leveraged funds.

In one HSDA, staff designated to promote and enroll clients into the program have been doing so with measurable success and strong dedication. Staff has been out in the community engaging in outreach activities to ensure that agencies and locations where the target population congregate and visit have access to the HOPWA- related literature and information. These efforts, in conjunction with staff discussing HOPWA services with clients during face-to-face visits, has helped the program enroll more clients and serve more people and families in need of stable housing.

DSHS’ HIV Care Services Group staffs are currently working with HUD’s Community Planning and Development (CPD) staffs for a comprehensive two-day training and collaborative effort to take place in 2014.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Program complexity continues to be listed as a barrier. Technical assistance (TA) on HOPWA regulations would be helpful. Additionally, TA and guidance on how to address housing affordability and availability; client credit and criminal histories; undocumented residents/lack of identification; working with multiple-diagnosed and non-compliant clients; and working with re-entry populations in meeting their housing needs within HOPWA boundaries would greatly assist HOPWA Project Sponsors and clients as these are the most commonly reported issues that HOPWA clients face in locating and maintaining stable and affordable housing. Comprehensive information on HUD's restrictions and regulations for Housing Authorities concerning individuals with criminal histories would be helpful creating strategies to successfully house these individuals. Difficulty in obtaining utility rates for rural areas and exceptionally high rents in certain areas where the FMR can't keep up is a huge impediment. One project sponsor has been unable to contact a representative from the local housing authority, Section 8 Program, to establish an MOU for client coordination of services. The Program Coordinator has made multiple attempts and even left messages with the Section 8 Director, but as of this report, has not received a response. There is a need for assistance in establishing contacts with some local housing authorities for coordination and collaboration.

Lack of funding, closed, and/or lengthy waiting lists for the Housing Choice Voucher (HCV) program, in addition to the ineligibility of undocumented immigrant status, are the main reasons clients are waitlisted and continuously dependent on HOPWA. New information on how to address these issues and locate alternative housing resources is strongly needed to assist HOPWA clients.

How to collect and report leveraged funds and income to HUD's specifications in the CAPER is another area of assistance frequently requested by Project Sponsors. Technical assistance on the latest HOPWA CAPER and required data and charts would assist in ensuring data requirements are accurately reported because much of the newly required data was not previously collected and is new for DSHS, our Administrative Agencies, and Project Sponsors.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA Program, how they affected your program's ability to achieve the objectives and outcomes discussed, and actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Housing Availability and Housing Affordability were once again the most significant reason cited as a barrier to meeting program services goals, followed by Criminal Justice History, Rental History, and Multiple Diagnosed Issues, Eligibility, and Geography/Rural Access, and Discrimination/Confidentiality issues were also frequently cited. Less frequently cited, but still very important, were Credit History, HOPWA/HUD Regulations, and Rent Determinations/Fair Market Rents. There were several barriers categorized as "Other" which are discussed in more detail below.

In general, housing options are decreased by the absence of identification, proof of legal residency, credit history, and criminal history. Affordable housing continues to be an ongoing issue. Housing placement requires 2 ½ times income, the cost of living continues to rise (increases in rent, utilities, application fees, and security deposits) while clients’ incomes do not change, may decrease, or clients do not have an income. The HCV program is not offered in some cities or in many of the small counties, there are long waiting lists, the waiting lists have been closed to potential applicants, or the ineligibility of clients due undocumented immigrant status all of which result in cost-shifting to the HOPWA program.

HOPWA/HUD Regulations:

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input checked="" type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input checked="" type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Geography/Rural Access*	<input checked="" type="checkbox"/> Other, please explain further	

One of the biggest barriers DSHS encounters in administration of the HOPWA program is the increasing complexities of the program and reporting requirements, including the frequency of reporting requirement changes. Given that DSHS has a very minimal amount of funds reserved for program administration, it is difficult to continue to administer the HOPWA program as reporting becomes more complicated. Additionally, AAs do not receive any HOPWA funds to administer the HOPWA program and must leverage those dollars to perform administrative tasks including monitoring and supportive services. DSHS also leverages a significant amount of dollars to administer the HOPWA program so that more funds go to direct HOPWA services and more clients can receive assistance. Frequent changes to reporting requirements also present a recurring challenge to successfully automating reporting database systems. One solution would be to simplify the reporting and performance metrics to mirror other federal HIV programs’ reporting requirements and metrics, and also to limit changes. Another barrier is the short implementation timelines for those required changes, some of which become retro-active during the process due to greatly varied project years of grantees. Simplifying reporting requirements and performance metrics would also help resolve the issue of the limited amount of time given to prepare the CAPER, as reporting comes from Project Sponsors to AAs to DSHS and finally to TDHCA for a 15-day comment period before submitting to HUD. Cumulatively, these issues are a tremendous administrative burden on DSHS, the AAs, Project Sponsors, and TDHCA. Additionally, because reporting requirements change on the CAPER and in IDIS, DSHS systems and reports have to be modified to meet the new requirements which affect not only DSHS, but also the AAs and Project Sponsors. Furthermore, the IDIS upgrades have created duplicate work that didn’t exist before for activity reallocations. Previously, activities were set up under the Projects/Activities tab and did not require funding or goals data and then funding for those activities were loaded separately under the Funding/Drawdown tab. With the upgrade, funding, goals and eventually actual goals met (for households served and leveraged) have to be entered for each activity (and DSHS has over 100 activities) under the Projects/Activities tab and then funding is also entered under the Funding/Drawdown tab. When

* Please note that “Geography/Rural Access” is a new field on the CAPER so that is not a field on DSHS’ quarterly reports that are completed by the AAs and Project Sponsors.

a reallocation occurs, that data has to be updated under both tabs so is duplication of work and very time-consuming. The complexity of submitting the 5-Year Consolidated Plan (Con Plan) and One Year Action Plans through IDIS has increased tremendously. There are approximately 140 fields to be completed by DSHS for HOPWA with the Con Plan whereas before there was not nearly the amount of information required, and this has created an onerous administrative burden on DSHS.

Program complexity is also likewise listed as a barrier by the AAs and Project Sponsors. Another regulatory barrier is that STRMU benefits do not apply to those whom are already homeless. Furthermore, one Project Sponsor described their inability to use STRMU monies to pay for utility assistance. As reported last year, the city is a huge entity with many levels of bureaucratic processes where they have not been able to successfully set up systems to pay for such services, namely because we cannot establish individual program contracts with utility companies to make these payments for clients and we do not want to issue third-party checks to clients to pay for their bills. Many clients are unable to qualify for the HCV program due to undocumented immigrant status (as noted previously) and a lot of landlords that participate in the HCV program decline clients with criminal histories.

Client compliance with HOPWA and PHA requirements continue to be a recurring issue. Several clients have failed to either maintain current PHA applications and/or fail to keep appointments to qualify for assistance when they are contacted by PHA. Reasons for non-compliance include mental health, substance use, lack of transportation, and failure to accept PHA Low Rent Assistance because of desire to wait for Section 8 assistance. Case managers continue to work with clients, stressing the importance of maintaining eligibility and accepting PHA assistance when offered. These requirements are addressed in client care plans and in other HOPWA documentation. Some Project Sponsors have created a form for the HOPWA care plans that require a client to initial and agree to terms of their care plan, including requirements to maintain PHA eligibility and accept PHA assistance when offered. Case managers with some agencies make monthly contact with PHA to determine if TBRA clients have been called in for appointments and/or approved for assistance.

Also a common issue is housing that does not meet HOPWA standards and landlords are unwilling to do any improvements. Case managers try to place clients in alternate housing that meets standards, but those units are not always available or affordable. Local Housing Authorities (HA) set the utility rates but exclude many rural areas, making it difficult to calculate appropriate utility allowances and/or high utility costs are separate from the rent and clients are unable to afford utilities and TBRA does not pay for utilities that are separate from the rent. There are numerous apartments and houses being built in rural and the surrounding areas that do not meet housing quality standards (HQS); and many of the units that actually do meet HQS are far more expensive than HOPWA allows.

Housing Availability/Housing Affordability/Rent Determination/Fair Market Rent:

Many clients have a very limited amount of housing options. With the lack of subsidized housing, clients continue to apply and are put on the city/ local housing authority waiting list pending availability. The waiting lists grow longer and longer and discourages those who are on the waiting list. There is a need to continue to explore other available housing programs in the various areas.

Often times; rental amounts are much higher than the Fair Market Rent (FMR), which eliminates those units and geographical locations an option for affordable housing. A shortage of available units has landlords increasing prices to what the market will bear. Agencies continue to look for “move-in specials” for current clients who are facing rent

increases above FMR. Case managers often advocate for the clients by asking the landlords if any exceptions can be made, such as reducing or waiving some of the costs for deposits, first month's rent, and other fees. Some complexes are now asking for three times the rent amount in income to qualify which has created a greater barrier than in the past. Some solutions are to attempt to establish relationships with local housing providers to provide arrangements that include waiving deposits, application fees, credit histories, etc. Project Sponsors have encountered problems in maintaining these relationships as clients who have been placed have broken leases, trashed apartments, etc. Project Sponsors also work with clients to increase income but it's difficult in this challenging economy with so many unemployed people also looking for jobs.

Low income, fixed income, and lack of employment are barriers to clients attempting to secure housing. Clients are encouraged to live within their means and gain additional part-time work if appropriate. The cost of housing and living continues to increase and income, assistance, and benefits (e.g. SSDI, SSI) cannot keep up. The issue of affordable housing has been addressed at a city government level in some areas; for instance, the Texarkana Homeless Coalition has been working with HUD in providing some housing services through the Doorways Home grant, but there is still a lack of affordable and safe housing in the Texarkana HSDA. Also, clients that receive SSDI income do not have enough income to pay for rent and some landlords require a certain percentage of income to be approved. One solution has been to contact low income rental agencies. Colleges and universities in areas drive the cost of rent up as well, but clients are encouraged to repair their credit to work towards purchasing a home. Even if clients can find jobs, many are minimum wage and as the cost of living continues to increase, many clients (even with jobs that pay above minimum wage) are unable to make ends meet.

Booms and increased demands for housing due to the oil industry in West Texas and fracking in other areas of Texas have caused rents to increase dramatically and FMRs do not keep up with the rising rates, even with HUD's flexibility for a maximum of a 10% increase for an FMR. Substantial rent increases in these areas not only reduce the number of available suitable housing for HOPWA, but also reduce available housing for the HCV program use.

Due to an increase in violence in Piedras Negras, Mexico, across the international bridge from Eagle Pass, there has been an increase in Mexican nationals residing in Eagle Pass. This has made affordable housing more difficult to find. Project Sponsor is working with the local housing authority for a list of authorized landlords to increase number of potential housing units.

There is a lack on one-bedroom units available in many areas. As a result of one HCV program calling in clients from the waiting list, it was noted that several clients were only eligible for a one-bedroom unit could not find suitable housing.

Clients who do not have a secured income do not have access to the same housing availability as those who do. Housing complexes are now requiring a secure income in order to offer leases. Also, some apartments are not meeting the requirement for inspection and safety concerns

Eligibility Issues/Credit/Rental/Criminal History:

Eligibility continues to be a problem for transition to other programs as the HCV (Section 8) program is continuously closed and not taking applications, or there is a long wait list. Other local programs have had funding reduced or have lost funding and have closed altogether.

There are limited programs in the community that assist with long term permanent housing and clients without legal residency are a common issue. Eligibility at some local community programs require income taxes as proof or paycheck stubs; however, for clients who are undocumented yet work, run into problems with this policy because they do not have the employment proof for their wages to apply for long-term housing. Furthermore, there are a limited number of facilities in some areas that assist with long term or permanent housing for the majority of the age group served even though there are many housing programs in the community for the elderly.

Clients with poor credit history and irresponsible money spending habits have limited their chances to get housing and utilities. Many clients that have histories of evictions, broken leases, failure to clean up previous rental property prior to moving out and excessive damage to previous rental property make finding decent housing difficult. Agencies are working with the clients to encourage better decision making and financial management as well as developing a move-out plan to educate the clients on the importance of taking care of their rental property. Clients are encouraged to pay bills on time and set-up payment arrangements with their prior landlord to clear or improve their rental history. Agencies have established relationships with specific property landlords: particularly with “second chance” properties to place clients with poor rental histories into housing. Clients’ lack of rental history and monthly income prevent them from being approved for apartment leases. There is also a lack of jobs and some clients have a criminal history, so unemployment is high among client populations. Case managers urge clients to go to school to learn new skills to obtain employment and look for job opportunities that do not require background checks or employers that will hire on a case-by-case basis.

Many apartment complexes do background checks and exclude applicants with a criminal history. Working with individual landlords and complexes can be difficult. Clients who have committed felonies cannot apply for HCV program housing. Project Sponsors reach out to landlords to see if they would rent to people with previous criminal history and advocate for clients. Clients are also advised to look for housing for which landlords are more lenient or willing to work with them, even if they have a criminal record. There has been an increase in clients with felony criminal justice histories and the Housing Specialists work directly to build relationships with private landlords and have been able to place some clients with felony criminal histories.

Multiple Diagnosed Issues:

Clients with multiple diagnosed issues face multiple barriers when trying to access suitable housing. Project Sponsors work with several clients with multiple diagnosed issues including mental health, substance use, criminal justices histories, and eligibility issues that have made housing the clients difficult, if not impossible. Finding affordable housing for clients with substance abuse history in neighborhoods that are not involved in drug trade has been an issue. Developing relationships with landlords in order to access a broader range in neighborhoods can be a solution. Local Housing Choice Voucher (HCV)/Section 8 programs continue to operate at full capacity, with some programs not accepting applications for single member households, as many clients with multiple diagnosed issues are, but Case Managers continue to refer clients to other agencies when there is availability to enroll a very small number of individuals into those programs.

One client has been chronically homeless but housing situation has been difficult to change due to a range of issues including mental health (MH) problems (several recent admissions to MH treatment facilities), family issues (client is allowed no contact with family due to abusive behavior toward family), behavior issues (agency services have been modified due to abusive behaviors toward staff), money management issues (client does not use funds he has

available to him monthly to secure housing) and his criminal justice history (was denied apartment he did apply for due to his legal history). Agency staff has explored every avenue available to address housing issues and involved every other agency available to help the client stay off the streets. Client's behavior has resulted in his inability to access assistance through Salvation Army and per his family; many of the local hotels are refusing service to client for the same reason. Staff has tried to involve APS (will not assist because client has available finances and situation is a result of his failure to use funds to address living situation) and even attempted to get client to agree to temporary respite care in a nursing facility (client refused placement).

Geography/Rural Access:

For some clients living in rural areas, transportation to medical appointments, to obtain medication, and to meet with case managers are barriers as there is no public transportation and clients must rely on family and friends to drive them to visits and appointments. Also, there is a lack of HIV services in rural communities. While clients are advised of the option to move into areas where there is adequate public transportation and care, many live with family members who provide clients with a stable living environment and emotional support. There are also some challenges in finding households to benefit from HOPWA funds in rural counties. These challenges are mainly because of the lack of HIV services found in rural counties. There is also a lack of housing in rural areas, and it is difficult to find comparable housing to compare costs of rent.

Stigma in the rural communities can create barriers to meeting the needs of persons living with HIV/AIDS. One Project Sponsor continues to educate the communities about HIV and the transmission of HIV/AIDS to reduce the fear and stigma.

Section 8 housing is limited in some regions (including rural areas), and the waiting lists can extend into over a two-year waiting period. Not all counties in the service area have a housing department or available Section 8-approved housing. Working with numerous housing authorities throughout rural areas has been problematic. Outreach and locating clients in rural areas is difficult.

Discrimination/Confidentiality:

When landlords and/or tenants learn about clients' HIV status, the clients experience discrimination. In one instance, a client felt the need to find a new place to live. In another instance, there were threats made, putting the client and family in danger. The agency put the client in contact with a Fair Housing representative and a Legal Aid office, contacted the Office of Civil Rights, and encouraged the client to make a formal HIPAA violation complaint and report the incident. The Regional Office of Civil Rights provided good assistance on the legal issues and procedures. Another client was worried that by receiving payment from the TBRA program, his status would be disclosed to the landlord. Case manager discussed with client that rent payment would not identify what funds are used to pay for rent, and that any correspondence with the landlord would not make any reference to his status. Agencies are doing more education with clients about confidentiality practices so that it's not a barrier to someone seeking assistance.

Other:

AAs and Project Sponsors have experienced a lot of staff changes again this project year (staff leaving, promoted, or moved to a different area).

Clients' understanding of housing laws, leases, and/or applications, which is partly due to reading and comprehension skills and abilities, is an ongoing issue.

Encountering barriers in trying to link clients to needed services is commonplace for case managers in the social services field, especially case managers working with terminally ill people. HOPWA is a program that requires Project Sponsors to overcome many issues that could have impeded someone from being linked to a housing service and continue to encounter barriers that tend to hinder progress with successful linkages to HOPWA. An example is landlords typically shy away from working with public housing type programs. In spite of explaining to them how housing works, staff still encounters resistance from such individuals. Also, clients do not always comply in a timely manner to bring in the required documentation to process their applications and this tends to create unnecessary delays. Project Sponsors continue to work with the involved parties in both areas to achieve the expected success in helping as many people as possible.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The HCV programs in many areas are not accepting new applications, rarely approving old applications, have long wait lists, or the program is not offered in some of the rural areas. HCV waitlists can extend for over two years. As a result, clients remain longer on HOPWA which impedes progress on enrolling new clients when funding is limited. Although many of the Housing Choice Voucher (HCV) programs are currently closed or have lengthy waiting lists, some case managers have been able to get several TBRA clients on the wait lists in their respective counties.

There are some clients receiving long term housing whose medical health has prevented them from being able to work and contribute to their household income, thus preventing them from being able to exit out of TBRA. Also, some clients become too dependent on rental assistance via HOPWA with little or no interest in securing stable housing by applying for other programs or living on their own with no governmental support. Case managers encourage clients to be more self-sufficient. Furthermore, the DSHS HOPWA program requires clients to apply for all other possible housing assistance. Enforcing this requirement motivates clients to apply for Section 8 and other opportunities or face termination from the program.

Some areas have experienced an increase in the number of clients living below 200% of the Federal Poverty Level. As more individuals are diagnosed and access services, the demand for affordable, safe housing has increased while the funding available for housing assistance has remained flat. Project Sponsors make efforts to leverage HOPWA funds from other foundations to support housing assistance programs. Between 2010 and 2011, there has been an increase of 28 PLWH in one HSDA. Of these PLWH, most (55%) are coming in late to care and need many support services including housing. Utilizing Ryan White Program funds to supplement HOPWA funding has helped, but the funding is limited from both programs and future funding is uncertain.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

N/A

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in Unmet Needs for Persons with HIV/AIDS, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. *Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area’s Unmet Needs for HOPWA-eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	135
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	103
a. Tenant-Based Rental Assistance (TBRA)	32
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	16
• Assistance with rental costs	9
• Assistance with mortgage payments	7
• Assistance with utility costs.	N/A
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

<input type="checkbox"/> = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
<input type="checkbox"/> = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
<input type="checkbox"/> = Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
<input type="checkbox"/> = Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
<input type="checkbox"/> = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
<input type="checkbox"/> = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

NOTE: Be sure to report on the number of households supported with these leveraged funds as in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$50,900	Rental Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$218,880	Supportive Services with Medical/Non-Medical CM, transportation, food, RX, lab, OAMC, insurance assistance)	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program	\$38,600		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care	\$143,312		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Other Public: State Services	\$5,850		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: State Services	\$617,305	Supportive Services/Client Mgmt	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Local Assistance	\$900	Utilities	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants: Other Grants	\$25,000	Rent Deposits/Utilities	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: McCares, Private Donor, Foundation	\$5,215	Rental, Mortgage, & Utilities Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: Donations	\$850	Other Support	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$600,204		
TOTAL (Sum of all Rows)	\$1,707,016		

Please Note: DSHS also collects leveraged dollars AAs expended on administrative costs because AAs do not receive any HOPWA funding to administer the HOPWA program. For 2013, AAs reported a total of \$104,469 leveraged for HOPWA administrative costs, down from \$107,102 reported for 2012 and \$112,651 reported for 2011, and \$150,079 reported for 2010.

2. Program Income and Resident Rent Payments

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year by Activity Type

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	\$0

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	
3.	Total Program Income Expended (sum of Rows 1 and 2)	\$0

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	405	441	12	14	\$1,811,304	\$1,800,563
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	N/A	N/A				
2b.	Transitional/Short-term Facilities: Received Operating Subsidies (Households Served)	N/A	N/A				
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	N/A	N/A				
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	N/A	N/A				
4.	Short-Term Rent, Mortgage and Utility Assistance	545	470	20	17	\$514,245	\$477,690
5.	Permanent Housing Placement Services	15	12	21	18	\$14,149	\$7,131
6.	Adjustments for duplication (subtract)	0	0		0		
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Line 6; Columns e. and f. equal the sum of Rows 1-5)	965	923	53	49	\$2,339,698	\$2,285,384
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	N/A	N/A			N/A	N/A
9.	Stewardship Units subject to 3 or 10 year use agreements	N/A	N/A				
10.	Total Housing Developed (Sum of Rows 8 & 9)	N/A	N/A			N/A	N/A
Supportive Services		[1] Output: Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors that also delivered HOPWA housing subsidy assistance	950	907			\$524,728	\$469,448
11b.	Supportive Services provided by project sponsors that only provided supportive services.	N/A	N/A				
12.	Adjustment for duplication (subtract)	0	0				
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a & b minus Row 12; Columns e. and f. equal the sum of Rows 11a. and 11b.)	950	907			\$524,728	\$469,448
Housing Information Services		[1] Output: Households				[2] Output: Funding	
14.	Housing Information Services	N/A	N/A				

HOPWA Performance Planned Goal		[1] Output: Households				[2] Output: Funding	
15.	Total Housing Information Services						

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$25,375	\$25,375
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$186,146	\$176,971
20.	Total Grant Administration and Other Activities (Sum of Rows 17 – 20)					\$211,521*	\$202,346*
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$3,075,947*	\$2,957,179*

DSHS Note: For accuracy, DSHS reporting is based on unduplicated clients. Subtotalled and/or totaled dollar amounts may not be exact due to all expenses are reported to two decimal points but are rounded to nearest whole dollars for this chart.

*The 2013 allocation was \$2,724,029 but the budget included prior unexpended, for a total budget of \$3,075,947 with \$2,957,179 expended (96%). The administrative maximums are based on the budgeted/contracted amounts that include unexpended. The contractual allocations (award) for the Project Sponsors total \$3,050,572, which resulted in a maximum allowable of \$213,540 (7%) for project sponsor administration. Grantee administration maximum is \$81,722.

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Note: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 12.

Supportive Services		[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	907	\$469,448
4.	Child care and other child services		
5.	Education		

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	907	
16.	Adjustment for Duplication (subtract)	0	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	907	\$469,448

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., and f. equals the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u>	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Short-term mortgage, rent and/or utility (STRMU) assistance	470	\$477,690
b.	<u>Of the total STRMU reported on Row a</u> , total who received assistance with mortgage costs ONLY.	46	\$52,436
c.	<u>Of the total STRMU reported on Row a</u> , total who received assistance with mortgage and utility costs.	18	\$54,079
d.	<u>Of the total STRMU reported on Row a</u> , total who received assistance with rental costs ONLY	177	\$229,252

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	56	\$58,487
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	173	\$83,436

End of PART 3

Part 4: Summary of Performance Outcomes

In column 1, report the total number of eligible households that received HOPWA housing subsidy assistance, by type.

In column 2, enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column 3, report the housing status of all households that exited the program.

Data Check: The sum of Columns 2 (Number of Households Continuing) and 3 (Exited Households) equals the total reported in Column 1

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	441	339	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	55	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	2	
			5 Other Subsidy	22	
			6 Institution	1	
			7 Jail/Prison	5	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	8	
			9 Death	9	<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units	N/A	N/A	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

A. Transitional Housing Assistance

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year		[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Facilities/ Units	N/A	Total number of households that will continue in residences: N/A	N/A	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
				2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
				3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
				4 Other HOPWA		
				5 Other Subsidy		
				6 Institution		<i>Unstable Arrangements</i>
				7 Jail/Prison		
				8 Disconnected/unknown		
				9 Death		<i>Life Event</i>
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months				N/A		

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column 1.

In Column 2, identify the outcomes of the households reported in Column 1 either at the time that they were known to have left the STRMU program or through the project sponsor’s best assessment for stability at the end of the operating year.

In Column 3 provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a. report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b. report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The sum of Column 2 should equal the number of households reported in Column 1.

Assessment of Households that received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
470	Maintain Private Housing <u>without</u> subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	115	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	53	
	Other HOPWA Housing Subsidy Assistance	46	
	Other Housing Subsidy (PH)	10	
	Institution <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	217	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	7	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	6	
	Emergency Shelter/street	1	<i>Unstable Arrangements</i>
	Jail/Prison	2	
	Disconnected	7	
Death	6	<i>Life Event</i>	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year. <i>(e.g. households that received STRMU assistance in two consecutive operating years)</i>			230
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years <i>(e.g. households that received STRMU assistance in three consecutive operating years)</i>			146

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	923
b. Case Management	907
c. Adjustment for duplication (subtraction)	907
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	923
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

*These are unduplicated clients

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1e. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1c. and 1d.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	908		Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan.	887		Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan.	916		Access to Health Care
4. Accessed and maintained medical insurance/assistance.	769		Access to Health Care
5. Successfully accessed or maintained qualification for sources of income.	827		Sources of Income

Chart 1b. Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> MEDICAID Health Insurance Program, or use local program name MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> Veterans Affairs Medical Services AIDS Drug Assistance Program (ADAP) State Children's Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> Ryan White-funded Medical or Dental Assistance
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Chart 1b. Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> Earned Income Veteran's Pension Unemployment Insurance Pension from Former Job Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> Child Support Social Security Disability Income (SSDI) Alimony or other Spousal Support Veteran's Disability Payment Retirement Income from Social Security Worker's Compensation 	<ul style="list-style-type: none"> General Assistance (GA), or use local program name Private Disability Insurance Temporary Assistance for Needy Families (TANF) Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a. Row 1e. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Note: This includes jobs created by this project sponsor or obtained outside this agency.

Categories of Services Accessed	[1 For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	256	

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6=#)	Temporary Housing (2)	Unstable Arrangements (1+7+8=#)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	419	0	13	9
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	224	230	10	6
Total HOPWA Housing Subsidy Assistance	643	230	23	15

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

N/A for Texas DSHS

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of "Stewardship Units"

1. General information

HUD Grant Number(s)	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list. <input type="checkbox"/> Not confidential; information can be made available to the public.
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address.	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency	Contact Phone (with area code)

Program Performance

HOPWA

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<i>(person who can answer questions about the report and program)</i>	

End of PART 6

Part 7: Summary Overview of Grant Activities
A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Master Leased Units ONLY)

Note: Do not include in this section any individuals, beneficiaries, or households who received Supportive Services.

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	923

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Note: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	459
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	5
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	4
4.	Transitional housing for homeless persons	5
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	14
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	1
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	1
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	4
12.	Rented room, apartment, or house	309
13.	House you own	85
14.	Staying or living in someone else’s (family and friends) room, apartment, or house	42

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
15.	Hotel or motel paid for without emergency shelter voucher	3
16.	Other	5
17.	Don't Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	923

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	3	14

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals). **Note:** See definition of “HOPWA Eligible Person.”

Note: See definition of Transgender **Note:** See definition of Beneficiaries.

Note: The sum of each of the Charts b. & c. equal the total number of beneficiaries served with HOPWA housing subsidy assistance, in Chart a., Row 3.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (should equal the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	923
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance.	85
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	711
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	1719

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11 equals the total number of beneficiaries reported in Chart a., Row 4.

HOPWA Eligible Individuals						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
1.	Under 18	1	0	0	0	1
2.	18 to 30 years	40	49	0	0	89
3.	31 to 50 years	314	221	4	0	539
4.	51 years and Older	198	95	1	0	294
5.	Subtotal (Sum of Rows 1-4)	553	365	5	0	923
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
6.	Under 18	265	244	0	0	509
7.	18 to 30 years	56	57	0	0	113
8.	31 to 50 years	52	58	0	0	110
9.	51 years and Older	30	34	0	0	64
10.	Subtotal (Sum of Rows 6-9)	403	393	0	0	796
Total Beneficiaries						
11.	TOTAL* (Sum of Row 5 & 10)	956	758	5	0	1719

c. Race and Ethnicity*

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Also identified as Hispanic or Latino	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Also identified as Hispanic or Latino
1.	American Indian/Alaskan Native	7	1	1	0
2.	Asian	4	0	3	0
3.	Black/African American	282	0	295	4
4.	Native Hawaiian/Other Pacific Islander	2	0	0	0
5.	White	621	367	479	324
6.	American Indian/Alaskan Native & White	2	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	0	0	6	3
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	5	0	12	6
11.	Column Totals (Sum of Rows 1-10)	923	368	796	337

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA eligible individuals reported in Part 3A, Section 2, Chart a, Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income.

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 6 and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	562
2.	31-50% of area median income (very low)	296
3.	51-80% of area median income (low)	65
4.	Total (Sum of Rows 1-3)	923

Part 7: Summary Overview of Grant Activities
B. Facility-Based Housing Assistance

N/A for Texas DSHS

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Complete Charts 2a. Project Site Information, and 2b., Type of Capital Development Project Units, for all Development Projects, including facilities that were past development projects that continue to receive HOPWA operating dollars.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

1. Project Sponsor Agency Name (Required)

2. Capital Development

2a. Project Site Information for Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs)

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

2b. Number and Type of Capital Development Project Units (For Capital Development Projects only)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria.

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab					
Rental units rehabbed					
Homeownership units constructed (if approved)					

3. Units assisted in types of housing facility/units leased by sponsor

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b. indicate the type of facility and number of units in it.

Indicate the type and number of housing units in the facility, including master leased units or other scattered site units leased by the organization, categorized by the number of bedrooms per unit. *Note: The number units may not equal the total number of households served. Please complete separate charts for each housing facility assisted.*

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor		Total Number of <u>Units</u> Operated in the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility. Specify:					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement). Specify:		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (a. through d. minus e.)		

PART III: Public Participation

PUBLIC COMMENT PROCESS

In an effort to fully include the citizens of Texas in the Consolidated Planning process, TDHCA has designated a public comment period for this report of 15 days. The comment period began on Monday, March 31, 2014, and ended at 5:00 pm on Monday, April 14, 2014. An announcement of the public comment period was posted in the *Texas Register* on March 21, 2014 and on TDHCA's website. Copies of the draft were available online at www.tdhca.state.tx.us and, if requested, in writing.

TDHCA publicized its ability to accept comments in writing by email to elizabeth.yevich@tdhca.state.tx.us, by mail to Texas Department of Housing and Community Affairs, Housing Resource Center, PO Box 13941, Austin, TX 78711-3941 or by fax to 512-475-0070.

This public comment period gave the public an opportunity to comment on the CAPER which evaluates the performance of the past program year for four HUD programs: the Community Development Block Grant (CDBG) Program, Emergency Solutions Grant (ESG) Program, HOME Investment Partnerships (HOME) Program, and the Housing Opportunities for Persons with AIDS (HOPWA) Program. The CAPER is part of the overall requirements governing the State's consolidated planning process (24 CFR §91.520).

No public comment was received.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	TEXAS
Organizational DUNS Number	806781902
EIN/TIN Number	742610542
Identify the Field Office	FT WORTH
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	San Antonio/Bexar County CoC

ESG Contact Name

Prefix

First Name

Middle Name

Last Name

Suffix

Title

ESG Contact Address

Street Address 1

Street Address 2

City

State

ZIP Code

Phone Number

Extension

Fax Number

Email Address

ESG Secondary Contact

Prefix

First Name

Last Name

Suffix

Title

Phone Number

Extension

Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date 02/01/2013
Program Year End Date 01/31/2014

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: Opportunity Center for the Homeless
City: El Paso
State: TX
Zip Code: 79901,
DUNS Number: 969634914
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Project Vida
City: El Paso
State: TX
Zip Code: 79905,
DUNS Number: 791970320
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 413958

Subrecipient or Contractor Name: Advocacy Outreach
City: Elgin
State: TX
Zip Code: 78621,
DUNS Number: 836037655
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 645551

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Advocacy Resource Center for Housing

City: McAllen

State: TX

Zip Code: 78504,

DUNS Number: 061451063

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 150000

Subrecipient or Contractor Name: Caritas of Austin

City: Austin

State: TX

Zip Code: 78701,

DUNS Number: 803507292

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 105557

Subrecipient or Contractor Name: Child Crisis Center of El Paso

City: El Paso

State: TX

Zip Code: 79930,

DUNS Number: 161452651

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 63935

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Corpus Christi Hope House, Inc.

City: Corpus Christi

State: TX

Zip Code: 78404,

DUNS Number: 948815337

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 102970

Subrecipient or Contractor Name: Corpus Christi Metro Ministries, Inc.

City: Corpus Christi

State: TX

Zip Code: 78408,

DUNS Number: 112698584

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 150000

Subrecipient or Contractor Name: Faith Mission & Help Center, Inc.

City: Brenham

State: TX

Zip Code: 77833,

DUNS Number: 361035645

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 255212

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Families In Crisis, Inc.

City: Killeen

State: TX

Zip Code: 76540,

DUNS Number: 181990318

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 149995

Subrecipient or Contractor Name: Family Crisis Center, Inc.

City: Harlingen

State: TX

Zip Code: 78550,

DUNS Number: 164929598

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 271105

Subrecipient or Contractor Name: Family Place, The

City: Dallas

State: TX

Zip Code: 75209,

DUNS Number: 002933091

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 1055000

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Family Violence Prevention Services, Inc.

City: San Antonio

State: TX

Zip Code: 78209,

DUNS Number: 161804901

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 300000

Subrecipient or Contractor Name: Grayson County Juvenile Alternatives

City: Sherman

State: TX

Zip Code: 75091,

DUNS Number: 171707938

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 602685

Subrecipient or Contractor Name: Harmony House, Inc.

City: Houston

State: TX

Zip Code: 77007,

DUNS Number: 940478753

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 105557

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Highland Lakes Family Crisis Center

City: Marble Falls

State: TX

Zip Code: 78654,

DUNS Number: 110115060

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 63935

Subrecipient or Contractor Name: Johnson County Family Crisis Center

City: Cleburne

State: TX

Zip Code: 76033,

DUNS Number: 797327384

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 100000

Subrecipient or Contractor Name: Memorial Assistance Ministries

City: Houston

State: TX

Zip Code: 77080,

DUNS Number: 198431637

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 105557

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Northwest Assistance Ministries

City: Houston

State: TX

Zip Code: 77090,

DUNS Number: 789961943

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 452315

Subrecipient or Contractor Name: SafeHaven of Tarrant County

City: Hurst

State: TX

Zip Code: 76053,

DUNS Number: 786103085

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 452309

Subrecipient or Contractor Name: Bay Area Turning Point

City: Houston

State: TX

Zip Code: 77289,

DUNS Number: 946828167

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 65444

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Friendship of Women, Inc.

City: Brownsville

State: TX

Zip Code: 78521,

DUNS Number: 015226129

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 866790

Subrecipient or Contractor Name: Alliance of Community Assistance Ministries, Inc.

City: Houston

State: TX

Zip Code: 77056,

DUNS Number: 067630032

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 587505

Subrecipient or Contractor Name: Bay Area Homeless Services, Inc.

City: Baytown

State: TX

Zip Code: 77522,

DUNS Number: 001683478

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 245245

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Bridge Over Troubled Waters, Inc., The

City: Pasadena

State: TX

Zip Code: 77501,

DUNS Number: 174065052

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 82066

Subrecipient or Contractor Name: Career and Recovery Resources, Inc.

City: Houston

State: UT

Zip Code: 77004,

DUNS Number: 070137294

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 452685

Subrecipient or Contractor Name: Catholic Charities Archdiocese of Galveston-Houston

City: Houston

State: TX

Zip Code: 77006,

DUNS Number: 125303896

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 149949

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: City of Amarillo

City: Amarillo

State: TX

Zip Code: 79105,

DUNS Number: 065032807

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 401028

Subrecipient or Contractor Name: City of Beaumont

City: Beaumont

State: TX

Zip Code: 77701,

DUNS Number: 073901118

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 215493

Subrecipient or Contractor Name: City of Denton

City: Denton

State: TX

Zip Code: 76205,

DUNS Number: 071380190

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 969151

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Covenant House Texas

City: Houstron

State: TX

Zip Code: 77006,

DUNS Number: 151249349

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 105265

Subrecipient or Contractor Name: East Texas Crisis Center, Inc.

City: Tyler

State: TX

Zip Code: 75711,

DUNS Number: 122354533

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 114747

Subrecipient or Contractor Name: El Paso County

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 098970403

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 105557

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: El Paso Human Services

City: El Paso

State: TX

Zip Code: 79995,

DUNS Number: 801931093

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 104547

Subrecipient or Contractor Name: Family Abuse Center, Inc.

City: Waco

State: TX

Zip Code: 76702,

DUNS Number: 956512610

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 347186

Subrecipient or Contractor Name: Grapevine Relief and Community Exchange

City: Grapevine

State: TX

Zip Code: 76099,

DUNS Number: 782231559

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 79854

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Harris County

City: Houston

State: TX

Zip Code: 77054,

DUNS Number: 072206378

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 105557

Subrecipient or Contractor Name: Houston Area Womens Center

City: Houston

State: TX

Zip Code: 77019,

DUNS Number: 021497276

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 255557

Subrecipient or Contractor Name: La Posada Povidencia

City: San Benito

State: TX

Zip Code: 78586,

DUNS Number: 610343464

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 575898

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Love I.N.C. of Nacogdoches

City: Nacogdoches

State: TX

Zip Code: 75963,

DUNS Number: 126667216

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 150000

Subrecipient or Contractor Name: Matagorda County Womens Center

City: Bay City

State: TX

Zip Code: 77404,

DUNS Number: 800512840

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 302315

Subrecipient or Contractor Name: Fort Bend County Womens Center

City: Richmond

State: TX

Zip Code: 77406,

DUNS Number: 13424549

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 639919

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Mid-Coast Family Services, Inc.

City: Victoria

State: TX

Zip Code: 77901,

DUNS Number: 790072524

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 188436

Subrecipient or Contractor Name: Panhandle Crisis Center

City: Perryton

State: TX

Zip Code: 79070,

DUNS Number: 627372220

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 75102

Subrecipient or Contractor Name: Port Cities Rescue Mission Ministries

City: Port Arthur

State: TX

Zip Code: 77641,

DUNS Number: 783975774

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 105557

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Randy Sams Outreach Shelter, Inc.

City: Texarkana

State: TX

Zip Code: 75501,

DUNS Number: 111879656

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 104515

Subrecipient or Contractor Name: Savation Army Abilene

City: Abilene

State: TX

Zip Code: 79602,

DUNS Number: 080654473

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 150000

Subrecipient or Contractor Name: Salvation Army Corpus Christi

City: Corpus Christi

State: TX

Zip Code: 78403,

DUNS Number: 080617504

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 132419

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Salvation Army Fort Worth Mabee Center

City: Fort Worth

State: TX

Zip Code: 76103,

DUNS Number: 124732699

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 250000

Subrecipient or Contractor Name: Salvation Army Kerrville

City: Kerrville

State: TX

Zip Code: 78029,

DUNS Number: 080608446

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 105553

Subrecipient or Contractor Name: Salvation Army Lubbock

City: Lubbock

State: TX

Zip Code: 79408,

DUNS Number: 828097894

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 105557

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Salvation Army McAllen

City: McAllen

State: TX

Zip Code: 78501,

DUNS Number: 830704834

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 150000

Subrecipient or Contractor Name: Salvation Army Odessa

City: Odessa

State: TX

Zip Code: 79761,

DUNS Number: 080666998

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 128490

Subrecipient or Contractor Name: Salvation Army Texarkana

City: Texarkana

State: TX

Zip Code: 71854,

DUNS Number: 124154969

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 150000

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Salvation Army Waco

City: Waco

State: TX

Zip Code: 76703,

DUNS Number: 124736104

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 105557

Subrecipient or Contractor Name: San Antonio Family Endeavors

City: San Antonio

State: TX

Zip Code: 78228,

DUNS Number: 118914498

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 549968

Subrecipient or Contractor Name: San Antonio Metropolitan Ministries, Inc.

City: San Antonio

State: TX

Zip Code: 78216,

DUNS Number: 150403012

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 602685

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Service of the Emergency Aid Resource Center for the Homeless

City: Houston

State: TX

Zip Code: 77002,

DUNS Number: 785823600

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 905000

Subrecipient or Contractor Name: Shelter Agencies for Families in East Texas

City: Mount Pleasant

State: TX

Zip Code: 75456,

DUNS Number: 024049913

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 149380

Subrecipient or Contractor Name: The Womens Home

City: Houston

State: TX

Zip Code: 77006,

DUNS Number: 007936896

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 100510

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Twin City Mission

City: Bryan

State: TX

Zip Code: 77805,

DUNS Number: 010801827

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 62313

Subrecipient or Contractor Name: Wellsprings Village, Inc.

City: Houston

State: TX

Zip Code: 77231,

DUNS Number: 112375378

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 105557

Subrecipient or Contractor Name: Williamson Burnet County Opportunities, Inc.

City: Georgetown

State: TX

Zip Code: 78626,

DUNS Number: 070483532

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 63935

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Womens Center of East Texas, Inc.

City: Longview

State: TX

Zip Code: 75606,

DUNS Number: 607663622

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 138296

Subrecipient or Contractor Name: Womens Shelter of East Texas, Inc.

City: Lufkin

State: TX

Zip Code: 75902,

DUNS Number: 164747693

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 200000

Subrecipient or Contractor Name: Womens Shelter of South Texas

City: Corpus Christi

State: TX

Zip Code: 78463,

DUNS Number: 627362429

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 105552

Subrecipient or Contractor Name: Opportunity Center for the Homeless

City: El Paso

State: TX

Zip Code: 79901,

DUNS Number: 969634914

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 366480

Subrecipient or Contractor Name: Youth and Family Alliance dba Lifeworks

City: Austin

State: TX

Zip Code: 78704,

DUNS Number: 137614244

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 647703

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	375
Children	471
Don't Know/Refused/Other	0
Missing Information	0
Total	846

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	404
Children	363
Don't Know/Refused/Other	0
Missing Information	0
Total	767

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	4,106
Children	2,356
Don't Know/Refused/Other	0
Missing Information	0
Total	6,462

Table 3 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	803
Children	177
Don't Know/Refused/Other	11
Missing Information	2
Total	993

Table 4 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	30,075
Children	12,843
Don't Know/Refused/Other	890
Missing Information	4,787
Total	48,595

Table 5 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	15,111
Female	12,024
Transgender	11
Don't Know/Refused/Other	1,378
Missing Information	0
Total	28,524

Table 6 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	12,853
18-24	5,819
25 and over	23,534
Don't Know/Refused/Other	1,112
Missing Information	0
Total	43,318

Table 7 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
Veterans	1,337	12	11	215
Victims of Domestic Violence	14,033	149	400	2,682
Elderly	735	5	11	106
HIV/AIDS	160	3	43	16
Chronically Homeless	4,336	0	61	241

Persons with Disabilities:

Severely Mentally Ill	5,508	2	83	326
Chronic Substance Abuse	2,828	0	98	208
Other Disability	498	53	84	361
Total (Unduplicated if possible)	29,435	224	791	4,155

Table 8 – Special Population Served

Table 1: Household Information for Homeless Prevention Activities

Note: For 2011 2nd allocation and 2012 we did collect this data as a total but we did not break it down by categories, HP, Rapid Re-Housing, Shelter or Street Outreach. The numbers reported here are for 2013 only.

Table 2: Household Information for Rapid Re-Housing Activities

Note: For 2011 2nd allocation and 2012 we did collect this data as a total but we did not break it down by categories, HP, Rapid Re-Housing, Shelter or Street Outreach. The numbers reported here are for

2013 only.

Table 3: Shelter Information

Note: For 2011 2nd allocation and 2012 we did collect this data as a total but we did not break it down by categories, HP, Rapid Re-Housing, Shelter or Street Outreach. The numbers reported here are for 2013 only.

Table 4: Street Outreach

Note: For 2011 2nd allocation and 2012 we did collect this data as a total but we did not break it down by categories, HP, Rapid Re-Housing, Shelter or Street Outreach. The numbers reported here are for

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	9
Number of New Units - Conversion	0
Total Number of bed-nights available	871,676
Total Number of bed-nights provided	689,282
Capacity Utilization	79.08%

Table 9 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Street Outreach

- number of persons to be assisted;
- number of persons to be provided with case management;
- number of persons who increase non-cash benefits, and;
- number of persons who will be placed in temporary, transitional or permanent housing.

Emergency Shelter

- number of persons to be assisted;
- number of persons to be provided with case management, and;
- number of persons who will exit to temporary, transitional housing destinations or permanent housing destinations.

Homelessness Prevention and Rapid Re-housing

- number of persons to be assisted;
- number of persons to be provided with housing stability case management services;
- number of persons who will increase their non-cash benefits;
- number of persons who will have an increase in income at program exit, and;

number of persons who will exit to permanent housing destinations.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
-			
Expenditures for Rental Assistance	275,292	731,592	168,729
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	255,206	395,797	16,999
Expenditures for Housing Relocation & Stabilization Services - Services	184,517	398,540	78,594
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	715,015	1,525,929	264,322

Table 10 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
-			
Expenditures for Rental Assistance	221,150	870,700	292,204
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	120,541	572,767	51,325
Expenditures for Housing Relocation & Stabilization Services - Services	136,193	426,349	186,443
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	477,884	1,869,816	529,972

Table 11 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
-			
Essential Services	236,210	981,654	297,959
Operations	294,523	812,846	252,045
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	530,733	1,794,500	550,004

Table 12 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 2012	FY 2013
-			
HMIS	100,984	287,789	97,797
Administration	55,159	167,676	86,140
Street Outreach	51,080	334,335	117,538

Table 13 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2011	FY 2012	FY 2013
9,053,720	1,879,775	5,645,710	1,528,235

Table 14 - Total ESG Funds Expended

11f. Match Source

	FY 2011	FY 2012	FY 2013
-			
Other Non-ESG HUD Funds	197,352	148,841	98,981
Other Federal Funds	62,467	933,990	282,256
State Government	0	495,835	9,263
Local Government	301,587	162,386	189,141
Private Funds	567,456	2,203,784	462,757
Other	894,460	2,354,734	803,956
Fees	0	0	0
Program Income	0	32,840	0
Total Match Amount	2,023,322	6,332,410	1,846,354

Table 15 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2011	FY 2012	FY 2013
19,255,806	3,903,097	11,978,120	3,374,589

Table 16 - Total Amount of Funds Expended on ESG Activities