

*2013 State of Texas  
Consolidated Annual Performance  
and Evaluation Report  
Reporting on Program Year 2012*



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## INTRODUCTION

The Texas Department of Housing and Community Affairs (TDHCA), which administers the HOME Investment Partnerships and Emergency Shelter Grant/Emergency Solutions Grant programs, and the Texas Department of Agriculture (TDA), which administers the Community Development Block Grant Program, have completed the *2013 State of Texas Consolidated Annual Performance and Evaluation Report: Reporting on Program Year 2012*. The Texas Department of State Health Services (DSHS), which administers the Housing Opportunities for Persons with AIDS Program, completed its Consolidated Annual Performance and Evaluation Report, which is incorporated in this document.

This report is required as part of the US Department of Housing and Urban Development's (HUD's) Consolidated Planning process and is outlined specifically in 24 CFR §91.520. The Consolidated Planning process covers four HUD formula grant programs: Community Development Block Grant (CDBG), Emergency Shelter Grants Program (ESGP), HOME Investment Partnerships (HOME) Program and the Housing Opportunities for Persons with AIDS (HOPWA) Program. The Emergency Solutions Grant Program (ESG), which replaces the Emergency Shelter Grants Program, had its interim rules released in December 2011. Due to the transition from ESGP to ESG program, both programs will be included in this report.

This report is an integral part of HUD's Consolidated Planning process, which requires TDHCA and TDA, and DSHS (Departments) to evaluate their accomplishments over the past program year. The information contained in the Consolidated Annual Performance and Evaluation Report (CAPER) helps the Departments evaluate how well they met stated goals in the *2012 One Year Action Plan* and the *2010-2014 State of Texas Consolidated Plan*, and objectives when developing future plans. The CAPER reports on Program Year 2012 (February 1, 2012, through January 31, 2013).

The CAPER is organized into the following sections:

- Introduction. This section includes an overview of the report and the outlining federal legislation.
- Part I: Consolidated Annual Performance and Evaluation Report for CDBG, ESG, ESGP & HOME
  - Program Performance. This section includes PY 2012 performance data for the CDBG, ESGP, and HOME programs, as outlined in 24 CFR §91.520(a), the interim rules released by HUD in December 2011. Program-specific sections of legislation, including 24 CFR §91.520(d) for CDBG, 24 CFR §91.520(g) for Emergency Solutions Grant Program and 24 CFR §91.520(e) for HOME are included in this section. This does not include 24 CFR §91.520(f) for HOPWA which is reported separately in Part II.
  - Other Actions. This section reports the “other actions indicated in the strategic plan and the action plan,” as directed by 24 CFR §91.520(a) and addressing 24 CFR §91.520(b) and (c). These actions include Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Institutional Structure, Reducing and Ending Homelessness and Affirmatively Furthering Fair Housing. This does not include HOPWA which is reported separately in Part II.
  - Goals and Objectives. This section reports on the goals and objectives for each program area except for HOPWA.

- Part II: Consolidated Annual Performance and Evaluation Report for HOPWA
  - HOPWA Consolidated Annual Performance and Evaluation Report.
  
- Part III: Citizen Participation
  - Citizen Participation. This section describes the citizen participation for the document, Part I and II.



## LEGISLATION

### §91.520 (Interim Rule Released by HUD in December 2011)

(a) *General.* Each jurisdiction that has an approved consolidated plan shall annually review and report, in a form prescribed by HUD, on the progress it has made in carrying out its strategic plan and its action plan. The performance report must include a description of the resources made available, the investment of available resources, the geographic distribution and location of investments, the families and persons assisted (including the racial and ethnic status of persons assisted), actions taken to affirmatively further fair housing, and other actions indicated in the strategic plan and the action plan. This performance report shall be submitted to HUD within 90 days after the close of the jurisdiction's program year.

(b) *Affordable housing.* The report shall include an evaluation of the jurisdiction's progress in meeting its specific objective of providing affordable housing, including the number and types of families served. This element of the report must include the number of extremely low-income, low-income, moderate-income, middle-income, and homeless persons served.

(c) *Homelessness.* The report must include, in a form prescribed by HUD, an evaluation of the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

(1) Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs;

(2) Addressing the emergency shelter and transitional housing needs of homeless persons;

(3) Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time

that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again; and

(4) Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are

(i) Likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health-care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or

(ii) Receiving assistance from public or private agencies that address.

(d) *CDBG.* For CDBG recipients, the report shall include a description of the use of CDBG funds during the program year and an assessment by the jurisdiction of the relationship of that use to the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities that were identified. This element of the report must specify the nature of and reasons for any changes in its program objectives and indications of how the jurisdiction would change its programs as a result of its experiences. This element of the report also must include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

## *Legislation*

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(e) *HOME*. For HOME participating jurisdictions, the report shall include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, an assessment of the jurisdiction's affirmative marketing actions and outreach to minority-owned and women-owned businesses, and data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics.

(f) *HOPWA*. For jurisdictions receiving funding under the Housing Opportunities for Persons With AIDS program, the report must include the number of individuals assisted and the types of assistance provided.

(g) *ESG*. For jurisdictions receiving funding under the ESG program provided in 24 CFR Part 576, the report, in a form prescribed by HUD, must include the number of persons assisted, the types of assistance provided, and the project or program outcomes data measured under the performance standards developed in consultation with the Continuum(s) of Care.

(h) *Evaluation by HUD*. HUD shall review the performance report and determine whether it is satisfactory. If a satisfactory report is not submitted in a timely manner, HUD may suspend funding until a satisfactory report is submitted, or may withdraw and reallocate funding if HUD determines, after notice and opportunity for a hearing, that the jurisdiction will not submit a satisfactory report.

(i) The report will include a comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives.



## PART I: Consolidated Annual Performance and Evaluation Report for CDBG, ESGP, ESG & HOME PROGRAM PERFORMANCE

This section reports on the PY 2012 (February 1, 2012-January 31, 2013) performance in the Community Development, Homeless and Housing categories, excluding Non-Homeless Special Needs which will be reported in Part II of this publication. Each section reports on the following subjects, as required by 24 CFR §91.520(a):

- Description of the resources made available
- Investment of the available resources
- Geographic distribution and location of investments
- Families and persons assisted

The Community Development section reporting on the CDBG program includes the additional provisions of 24 CFR §91.520(d), which requires that the report include (1) a description of the use of CDBG funds during the program year, (2) an assessment of the relationship of that use to the priorities and specific objectives identified in the plan, (3) the nature of and reasons for any changes in program objectives, and (4) indications of how TDA would change the program as a result of its experiences. The description of the use of CDBG funds is included in the “Investment of Available Resources” part of the CDBG program section.

In PY 2012, the State administered both the Emergency Shelter Grants Program and the Emergency Solutions Grant Program. In July of 2012, the Emergency Solutions Grants Program replaced the Emergency Shelter Grants Program. This report includes program information for the Emergency Shelter Grants Program (ESGP), and it references 24 CFR §91.520(g), which requires that the report include for the Emergency Solutions Grant Program (ESG) (1) the number of persons assisted, (2) the types of assistance provided, and (3) the project or program outcomes measured under the performance standards developed in consultation with the Continuum(s) of Care.

The Housing section reporting on the HOME Program includes the additional provisions of 24 CFR §91.520(e), which requires that the report include (1) the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, (2) an assessment of the jurisdiction’s affirmative marketing actions and outreach to minority-owned and women-owned businesses, and (3) data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics. The data on the use of program funds and number of projects are included in the “Investment of Available Resources” part of the HOME Program section, while owner and tenant characteristics are included in the “Families and Persons Assisted” part.

In accordance with the guidelines from HUD, the State complies with the Community Planning and Development (CPD) Outcome Performance Measurement System. The performance measures targets, including the objectives and outcomes, are described in the One Year Action Plan chapter of the 2010-

## *Program Performance*

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### *Introduction*

*2014 State of Texas Consolidated Plan.* The State's performance regarding the targets for PY 2012 are reported in this document.

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

The objective of the Community Development Block Grant Program (CDBG) is “the development of viable communities by providing decent housing, suitable living environments, and expanding economic opportunities, principally for persons of low and moderate income (0-80 percent of Area Median Family Income (AMFI)).” Due to a state Legislative action effective October 1, 2011, TDA administers the “non-entitlement” or “states and small cities program.” Under this program, HUD allocates CDBG funds directly to the State, which, in turn, allocates funds to small, non-metropolitan cities (populations of less than 50,000) and rural counties. Large metropolitan communities (populations of 50,000 or more), known as “entitlement areas,” receive their CDBG funding directly from HUD. The demographics and rural character of Texas have shaped a state CDBG program that focuses on providing basic sanitary infrastructure to small rural communities in outlying areas. Eligible activities include sanitary sewer systems, water treatment improvements, disaster relief and urgent needs projects, housing, drainage and flood control, street improvements, and economic development.

### DESCRIPTION OF RESOURCES

This section describes CDBG funding that was available for PY 2012.

#### ***PY 2012 Funding***

The following table lists the amount of funds available for PY 2012 through the HUD allocation, distributed according to the CDBG 2012 Action Plan. 2012 Total State Allocation: \$59,537,991

**PY 2012 CDBG State Allocation**

<b>Fund</b>	<b>2012 Percent</b>	<b>Amount Available</b>
Community Development Fund	<b>61.71%</b>	<b>\$36,740,894.00</b>
Texas Capital Fund		
Colonia Fund	<b>14.51%</b>	<b>\$8,638,962.00</b>
Colonia Construction and Planning Fund		
Colonia EDAP Fund	<b>6.64%</b>	<b>\$3,953,799.00</b>
Colonia Self-Help Centers Fund	<b>3.36%</b>	<b>\$2,000,000.00</b>
Planning And Capacity Building Fund	<b>2.5%</b>	<b>\$1,488,450.00</b>
Disaster Relief / Urgent Need Fund	<b>1.00%</b>	<b>\$595,380.00</b>
TxCDBG STEP Fund	<b>4.10%</b>	<b>\$2,441,058.00</b>
Administration/Technical Assistance – 3%	<b>3.01%</b>	<b>\$1,793,309.00</b>
Administration Funds – \$100,000	<b>3.00%</b>	<b>\$1,786,139.00</b>
<b>Total 2012 Allocation</b>	<b>100.00%</b>	<b>\$59,537,991.00</b>

#### ***PY 2012 Activities***

Each activity proposed for funding under CDBG must address one or more of the following three national program objectives:

- Principally benefit low and moderate income persons. (At least 51 percent of the identified beneficiaries must have an income of less than 80 percent of the area median family income).

- Aid in the prevention or elimination of slum and/or blighted areas.
- Meet other community development needs of particular urgency which represent an immediate threat to the health and safety of residents of the community.

Activities are funded under the following program categories:

#### **Community Development Fund**

Grants are awarded on a competitive basis to address public facilities and housing needs such as sewer and water system improvements, street and drainage improvements, and housing rehabilitation activities.

#### **Texas Capital Fund**

Grants are awarded on a competitive basis based on objective scoring to eligible communities to address economic development needs by providing infrastructure and real estate improvements in support of businesses willing to create/retain jobs. This fund also includes the Main Street and Downtown Revitalization (MS/DRP) programs that provide matching grant funds for public infrastructure to foster and stimulate economic development in rural downtown areas. Communities eligible for the Main Street program must be a designated Texas Main Street Community.

#### **Colonia Fund**

Construction and planning grants are awarded on a competitive basis for community development projects such as sewer, water, and housing rehabilitation to county applicants for projects in unincorporated “eligible colonia” areas located within 150 miles of the Texas-Mexico border and outside metropolitan areas. Eligible colonias are identifiable, unincorporated communities lacking one or more basic services such as potable water supply, adequate sewage systems, and decent, safe and sanitary housing. This fund also includes legislative set asides to provide grants for the operation of colonia self-help centers located in seven Texas-Mexico border counties and for Economically Distressed Areas Program (EDAP) grants on an “as-needed” basis to provide water and sewer connections on projects funded by the Texas Water Development Board.

#### **Planning/Capacity Building Fund**

Grants are awarded on a statewide competitive basis to assist eligible cities and counties in planning activities that assess local needs, develop strategies to address local needs, build or improve local capacity, or address other needed local planning elements. Emphasis is placed on housing analysis, mapping, and public infrastructure planning.

#### **Disaster Relief/Urgent Need Fund**

Assistance is available to localities impacted by a natural disaster or an urgent need situation. Disaster Relief Funds address damages caused by natural disasters such as floods or tornadoes following an emergency declaration by the President or Governor. In 2012, “natural disaster” was expanded to include drought. Urgent need assistance is available for unanticipated and dangerous local situations, contingent upon the availability of funds.

**Texas Small Towns Environment Program (STEP) Fund**

Grants are awarded on a statewide competitive basis to cities and counties to assist communities willing to solve water and sewer problems by utilizing self-help techniques. This approach encourages local support such as volunteer labor and donated materials and/or equipment.

**Renewable Energy Demonstration Pilot Program Fund**

Grants up to \$500,000 are awarded annually on a competitive basis to non-entitlement cities and counties, with priority given to projects that use wind power, solar power or other renewable energy technologies to reduce electricity costs for water and wastewater treatment systems.

**INVESTMENT OF RESOURCES**

This section describes CDBG funding commitments that were made during the reporting period, using PY 2012 funds as well as program income and deobligated funds from prior program years.

**PY 2012 Funding Commitments**

For PY 2012, the CDBG program committed \$66,837,912 through 225 grants.

**Total Amount of Funds Committed during PY 2012**

<b>Programs</b>	<b>No. of Awards</b>	<b>2012 Allocation</b>	<b>Prior Year - Allocation</b>	<b>Prior Year - Deobligated</b>	<b>Program Income</b>	<b>2012 Total Obligation</b>
Community Development Fund	136	\$36,638,894		\$0		\$36,638,894
Colonia Construction Fund	11	\$3,545,140	\$1,954,368			\$5,499,508
Colonia Self-Help Centers	3	\$1,000,000	\$1,665,114	\$534,886		\$3,200,000
Disaster Relief / Urgent Need	15	\$592,524	\$17,646			\$610,170
Texas Capital Fund	6	\$535,752	\$968,025			\$1,503,777
Planning / Capacity Building Fund	1			\$500,000		\$500,000
<b>STEP Fund</b>	<b>225</b>	<b>\$50,585,156.00</b>	<b>\$11,691,522.00</b>	<b>\$4,181,005.45</b>	<b>\$380,228.55</b>	<b>\$66,837,912.00</b>
Renewable Energy Demonstration Pilot Program	136	\$36,638,894		\$0		\$36,638,894
<b>Total</b>	<b>11</b>	<b>\$3,545,140</b>	<b>\$1,954,368</b>			<b>\$5,499,508</b>

**Matching Requirements**

Match requirements vary by funding category:

- Matching funds are required for the Texas Capital Fund and Planning/Capacity Building Fund contracts.
- Projects with matching funds receive a scoring advantage for other fund categories – the Community Development Fund (if selected as a scoring criteria by the Regional Review Committee), Colonia Construction Fund, Colonia Planning Fund - Area Studies, and Renewable Energy Demonstration Pilot Program.

## Program Performance

### Community Development

- Matching funds are neither a requirement nor scoring factor for the STEP Fund, Colonia Planning Fund-Comprehensive Studies, and Colonia Self-Help Centers.

For PY 2012, the \$66,837,912 in CDBG funds was matched by 34.28%, or \$22,909,790.00 in local commitments.

#### Matching Funds Committed by Grantees, Contracts Awarded in PY 2012

Programs	No. of Awards	Amount of Grant Awards	Amount of Match Funds
Community Development Fund	136	\$36,638,894	\$5,842,660
Colonia Construction Fund	11	\$5,499,508	\$297,080
Colonia Self-Help Centers Fund	25	\$8,698,193	\$2,500,000
Disaster Relief / Urgent Need	15	\$610,170	\$117,085
Texas Capital Fund	6	\$1,503,777	\$0
Planning / Capacity Building Fund	1	\$500,000	\$75,000
STEP Fund	<b>225</b>	\$66,837,912	\$22,909,790
Renewable Energy Demonstration Pilot Program	136	\$36,638,894	\$5,842,660
<b>Total</b>	<b>11</b>	<b>\$5,499,508</b>	<b>\$297,080</b>

The CDBG staff continues to work with the U.S. Department of Agriculture and Texas Water Development Board on projects that leverage funds from multiple agencies to take full advantage of available resources.

### DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2012 funds were distributed and the location of CDBG awards.

#### Allocation Formula

The CDBG program distributes funds using both statewide competitions and regional competitions. The Community Development Fund uses a specific formula based on population, poverty, and unemployment to distribute funds on a biennial basis to each of the 24 Council of Government regions across the state. Applicants compete within each region for the funds allocated to that area. Regional competitions ensure that funds are distributed across the state and allow each region to establish its own priorities for selecting applications for funding within the scope of the program.

All other CDBG funds are available to eligible cities and counties through statewide competitive processes. A statewide competition in the smaller funding categories provides for standardized consideration and funding of the most competitive applications regardless of the project location.

#### Award Locations

PY 2012 CDBG awards were made in the following areas of the state:

County	Number of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
ANDERSON	1	\$150,000.00		**
ANGELINA	2	\$550,000.00	4,468	2,367

Program Performance

Community Development

County	Number of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
ARANSAS	1	\$300,000.00	2,178	1,286
ARCHER	1	\$275,000.00	272	216
ATASCOSA	2	\$550,000.00	146	96
BASTROP	2	\$625,000.00	41,303	19,384 *
BAYLOR	2	\$700,000.00	4,082	1,850 *
BEE	2	\$797,036.00	773	525
BELL	4	\$987,308.00	19,287	6,898 *
BOWIE	3	\$677,923.00	447	334
BROOKS	1	\$500,000.00	48	40
BROWN	1	\$38,760.00	1,553	835
BURLESON	2	\$550,000.00	981	643
BURNET	2	\$573,193.00	1,266	544 *
CALDWELL	1	\$275,000.00	85	85
CALHOUN	4	\$1,176,000.00	2,062	1,152 **
CAMERON	6	\$2,345,912.00	27,640	19,912
CASTRO	1	\$166,261.00	16	11
CHAMBERS	1	\$350,000.00	1,589	848
CHEROKEE	2	\$550,000.00	1,505	1,006
CLAY	1	\$275,000.00	394	272
COKE	1	\$32,565.00	987	581
COLORADO	1	\$150,000.00		**
CONCHO	2	\$550,000.00	1,377	566 *
COOKE	1	\$125,000.00	368	191
CORYELL	1	\$275,000.00	1,645	895
COTTLE	1	\$123,872.00	129	102
CROSBY	5	\$1,550,850.00	7,920	4,610 *
DALLAM	1	\$750,000.00	100	51
DAWSON	1	\$350,000.00	230	124
DELTA	1	\$275,000.00	63	62
DENTON	2	\$479,674.00	4,459	2,408
DEWITT	1	\$128,350.00	51	42
DICKENS	3	\$1,050,000.00	2,104	993 *
DIMITT	1	\$500,000.00	151	138
DUVAL	2	\$498,000.00	3,358	1,837
EASTLAND	2	\$625,000.00	4,819	2,703
EL PASO	1	\$291,368.00	31	17
ELLIS	3	\$457,565.00	1,852	1,406 **
FALLS	1	\$300,000.00	1,496	772
FANNIN	2	\$242,203.00	124	85
FAYETTE	3	\$575,000.00	187	118 **
FISHER	1	\$34,140.00	1,546	835
FLOYD	1	\$150,000.00		**
FOARD	1	\$275,000.00	115	94
GALVESTON	1	\$350,000.00	1,502	979
GARZA	1	\$350,000.00	1,198	374 *
GRAYSON	5	\$1,031,100.00	336	228
GREGG	4	\$853,050.00	1,275	786
HARDIN	1	\$275,000.00	714	379
HARRISON	1	\$350,000.00	138	99
HARTLEY	1	\$275,000.00	70	44
HASKELL	2	\$625,000.00	515	297 *
HENDERSON	2	\$307,565.00	954	590
HIDALGO	1	\$1,000,000.00	3,378	2,533

## Program Performance

### Community Development

County	Number of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
HILL	3	\$950,000.00	12,750	4,938 *
HOPKINS	1	\$275,000.00	35	30
HOUSTON	1	\$191,176.00	5,113	3,332
HUDSPETH	1	\$291,368.00	500	318
HUNT	3	\$588,765.00	2,812	1,716
HUTCHINSON	1	\$275,000.00	217	124
IRION	2	\$399,000.00	906	548
JACKSON	1	\$263,000.00	213	143
JASPER	1	\$275,000.00	958	566
JEFFERSON	1	\$275,000.00	436	229
JIM HOGG	1	\$481,006.00	87	78
JOHNSON	1	\$275,000.00	641	426
KARNES	2	\$625,000.00	1,048	450 *
KAUFMAN	2	\$625,000.00	13,914	8,195 *
KENDALL	1	\$275,000.00	2,256	1,617
KERR	1	\$500,000.00	80	71
KIMBLE	1	\$97,000.00		**
LA SALLE	1	\$275,000.00	14	8
LAMAR	2	\$396,709.00	574	403
LAMB	2	\$625,000.00	2,377	1,358 *
LEE	1	\$325,000.00	25	14
LEON	1	\$273,638.00	716	387
LIBERTY	4	\$1,485,705.00	14,666	8,713
LIMESTONE	2	\$480,124.00	4,831	2,686
LIPSCOMB	1	\$275,000.00	436	224
LIVE OAK	4	\$2,134,900.00	146	103
LLANO	1	\$350,000.00	3,232	1,266 *
LYNN	1	\$275,000.00	465	244
MATAGORDA	1	\$350,000.00	312	271
MAVERICK	1	\$95,324.00	12	12
MCCULLOCH	1	\$300,000.00	20	11
MEDINA	3	\$825,000.00	5,328	2,847
MENARD	1	\$47,265.00	1,622	934
MIDLAND	1	\$500,000.00	224	224
MITCHELL	1	\$275,000.00	678	395
MONTGOMERY	1	\$275,472.00	4,036	2,893
MOORE	1	\$275,000.00	880	492
NACOGDOCHES	2	\$550,000.00	26,357	13,532
NAVARRO	4	\$1,078,500.00	3,093	1,968
ORANGE	2	\$511,884.00	1,788	1,098
PALO PINTO	3	\$1,300,000.00	793	421
PANOLA	2	\$497,950.00	780	486
PARKER	2	\$329,405.00	4,642	3,604
PECOS	1	\$500,000.00	661	380
PRESIDIO	1	\$291,368.00	2,045	1,126
RAINS	1	\$275,000.00	604	399
REAL	3	\$850,000.00	1,158	918 * **
RED RIVER	2	\$425,000.00	310	166**
REEVES	1	\$350,000.00	542	370
REFUGIO	1	\$47,265.00	1,657	959
ROBERTS	1	\$275,000.00	375	220
ROBERTSON	2	\$425,000.00	807	454



County	Number of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
RUSK	4	\$977,035.00	2,928	1,765 **
SABINE	1	\$350,000.00	1,095	363
SAN PATRICIO	3	\$1,614,900.00	2,899	1,524
SAN SABA	1	\$275,000.00	2,896	2,104
SCHLEICHER	1	\$499,527.00	54	48
SHACKELFORD	1	\$275,000.00	232	140
SMITH	1	\$349,525.00	232	162
STARR	3	\$725,229.00	4,371	3,339
STONEWALL	1	\$275,000.00	943	488
TARRANT	2	\$305,740.00	2,130	1,158
TAYLOR	1	\$350,000.00	360	207 *
TOM GREEN	1	\$200,000.00	77	77
TYLER	2	\$550,000.00	2,404	1,269
UPSHUR	1	\$150,000.00		**
UVALDE	2	\$520,090.00	6,263	3,645
VAL VERDE	2	\$880,083.00	46,660	24,611
VAN ZANDT	1	\$150,000.00		**
WALLER	1	\$350,000.00	1,664	1,037
WARD	1	\$350,000.00	135	76
WEBB	2	\$1,700,000.00	2,096	1,993
WHARTON	1	\$750,000.00	57	30
WILLACY	3	\$1,572,709.00	466	381
WILLIAMSON	2	\$625,000.00	1,206	718 *
WILSON	1	\$350,000.00	23,910	9,857 *
WISE	1	\$328,765.00	58	30
ZAVALA	2	\$539,790.00	7,208	5,176
<b>Total</b>	<b>225</b>	<b>\$66,837,912</b>		

\* Includes one or more projects funded under the Urgent Need National Objective

\*\* County participated in Main Street/Downtown Revitalization program, and the beneficiaries have not been reported for Elimination of Slum and Blight National Objective

## FAMILIES AND PERSONS ASSISTED

This section describes the households and persons assisted with CDBG funds.

### Anticipated Persons Served with PY 2012 Funding

For contracts that were awarded with PY 2012 funds, there are 391,803 total anticipated beneficiaries, of which 55 percent were low- and moderate-income persons.

#### Estimated Beneficiaries, Contracts Awarded in PY 2012

FUND	Proposed Beneficiaries	Proposed LMI Beneficiaries
Community Development Fund	217,033	127,570
Colonia Construction Fund	2,002	1,572
Colonia Self-Help Centers Fund	19,975	16,465
Disaster Relief / Urgent Need	119,373	51,102
Texas Capital Fund	1,152	609
Planning / Capacity Building Fund	29,855	17,004

FUND	Proposed Beneficiaries	Proposed LMI Beneficiaries
STEP Fund	1,078	754
Renewable Energy Demonstration Pilot Program	1,335	732
<b>TOTAL</b>	<b>391,803</b>	<b>215,808</b>

### Actual Persons Served in PY 2012

For contracts closed during PY 2012, 757,241 persons actually received service through CDBG contracts.

#### Actual Beneficiaries, Contracts Closed in PY 2012

Programs	Total Beneficiaries	Total LMI Beneficiaries
Community Development Fund	269,668	169,435
Colonia Construction Fund	1,421	1,228
Colonia Economically Distressed Areas Program	1,265	1,265
NBC	43	43
Disaster Relief / Urgent Need	247,614	134,058
Planning / Capacity Building Fund	74,325	41,483
STEP Fund	3,792	2,352
Texas Capital Fund	102,450	68,501
Pilot Program	2,856	1,728
Others	69	58
<b>Total</b>	<b>703,503</b>	<b>420,151</b>

A significant number of contracts assisted communities affected by natural disasters and were funded under the Urgent Need national objective. The following section addresses contracts funded under the national objective to primarily benefit low/moderate income persons.

#### Income Status of Persons Assisted

The CDBG program collects information on beneficiaries according to low/moderate income status. Most funding categories require applications to benefit a minimum of 51% low or moderate income persons. Disaster Relief/Urgent Need Fund applicants can qualify under the urgent need national objective without a low/moderate income benefit; however many of these projects do benefit primarily low to moderate income persons and only those contracts are included in the CDBG low/moderate income national objective reporting and are included below. CDBG awards well over the required 70% of grant funds to projects benefitting primarily low or moderate-income persons.

The table below reflects contracts closed during PY 2012 that meet the low/moderate income national objective. Not included in the table below are the 24 contracts which met the urgent need or slum/blight national objectives, including the Downtown Revitalization and Main Street Program contracts in the Texas Capital Fund and certain Disaster Relief contracts, and are not included in the table below.

**Income Status of Actual Beneficiaries,  
Contracts Closed in PY 2012 under the LMI National Objective\***

<b>Programs</b>	<b>Total Beneficiaries</b>	<b>Total LMI Beneficiaries</b>	<b>% LMI</b>
Community Development Fund	269,668	169,435	62.8%
Colonia Construction Fund	1,421	1,228	86.4%
Colonia Economically Distressed Areas Program	1,265	1,265	100.0%
Disaster Relief / Urgent Need	89,480	72,314	80.8%
Texas Capital Fund	63,159	50,336	79.7%
Planning / Capacity Building Fund	74,325	41,483	55.8%
STEP Fund	3,792	2,352	62.0%
Pilot Programs	2,856	1,728	60.5%
Other	112	101	90.2%
<b>Total</b>	<b>506,078</b>	<b>340,242</b>	<b>67.2%</b>

Reported beneficiaries include only contracts meeting the low/moderate income national objective.

**Racial and Ethnic Status of Persons Assisted**

The racial and ethnic status of persons receiving assistance for contracts closed in PY 2012 is reported below.

**Racial and Ethnic Status of Beneficiaries, Contracts Closed in PY 2012**

Race / Ethnicity	Persons Assisted Non-Hispanic	Persons Assisted Hispanic	Total	Percent
White	322,299	243,844	566,143	80.47%
Black / African American	64,845	1,064	65,909	9.37%
Asian	3,832	230	4,062	0.58%
American Indian/Alaska Native	2,881	798	3,679	0.52%
Native Hawaiian/Other Pacific Islander	214	47	261	0.04%
Black/African American and White	14,191	45,360	59,551	8.46%
Asian and White	799	55	854	0.12%
American Indian/Alaska Native and White	462	96	558	0.08%
American Indian/Alaska Native and Black/African American	2,131	81	2,212	0.31%
Asian/Pacific Islander	256	18	274	0.04%
Other Race or Multi-Race	-	-	-	-%
<b>Total</b>	<b>411,910</b>	<b>291,593</b>	<b>703,503</b>	<b>100.0%</b>

**ACCOMPLISHMENTS IN HIGH PRIORITY AREAS**

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

**Non-Housing Community Development Priority Needs Summary Table**

Priority Community Development Needs	Priority Need Level
PUBLIC FACILITY NEEDS	Medium
INFRASTRUCTURE IMPROVEMENT	High
Solid Waste Disposal Improvements	Medium
Drainage and Flood Control Improvements	High
Water System Improvements	High
Street and Bridge Improvements	High
Sewer System Improvements	High
PUBLIC SERVICE NEEDS	Medium
ECONOMIC DEVELOPMENT NEEDS	High
OTHER COMMUNITY DEVELOPMENT NEEDS	Medium
PLANNING	High

**Specific Accomplishments**

The following goals address the high priority needs identified above. Activities undertaken during the PY 2012 period that accomplish these goals are described below.

**INFRASTRUCTURE IMPROVEMENTS**

Encourage projects that address basic human needs such as water, sewer and housing; projects that provide a first-time public facility or service; and projects designed to bring existing services up to at least state minimum standards as set by the applicable regulatory agency.

Overall, 66% of funds awarded by CDBG (excluding local administrative costs) address basic human needs.

- CDBG funded 136 projects under the Community Development Fund in PY 2012, obligating 54.8% of the total annual CDBG funding. Over 72% CD funds awarded address basic human needs.
- The STEP Fund is designed to make a large impact by leveraging local resources and self-help volunteer labor to install needed water and sewer facilities at a cost that is affordable for the assisted communities. All STEP construction funds address basic human needs. CDBG awarded 6 STEP grants during this reporting period, obligating \$1,503,777 for projects to benefit 1,078 persons of which 754 or 69.9% are low and moderate income persons.

**Contracts Awarded in PY 2012 by Activity – Selected Funds**

Activity	CD Funds	% of CD Funds	STEP Funds	% of STEP Funds
Water/Sewer Facilities	\$25,819,386	70%	\$1,333,365	88.67%
Housing Rehabilitation	\$700,974	2%	\$12,000	0.80%
Drainage	\$123,321	0%		
Streets	\$5,748,785	16%		
Other Facilities	\$1,363,515	4%		
Administration	\$2,882,913	8%	\$158,412	
Total	\$36,638,894		\$1,503,777	
Basic Human Needs	\$26,520,360		\$1,345,365	
<b>All Construction Dollars</b>	<b>\$33,755,981</b>		<b>\$1,345,365</b>	

**ECONOMIC DEVELOPMENT**

Provide funds for economic development and business expansion in rural communities. Fund economic development projects that create or retain jobs.

In PY 2012, CDBG funded 29 contracts under the Texas Capital Fund for a total of \$10,187,370 in CDBG assistance. The \$8,540,370 obligated for real estate and infrastructure projects is expected to create or retain 1,098 jobs in rural communities, with at least 51% of those jobs created or retained by low- and moderate-income workers. The estimated average cost per job created or retained through these contracts is \$7,778. The Texas Capital Fund awarded several contracts in PY2012 that committed to creating a large amount of jobs therefore the cost per job created or retained is very low. An additional

## *Program Performance*

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### *Community Development*

\$1,897,000 was awarded to contracts under the Main Street Program and the Downtown Revitalization Program to stimulate economic development in rural Texas downtown areas..

For existing contracts that were closed during the reporting period, the Texas Capital Fund provided economic development assistance to 33 communities expending \$9,699,667.02 in CDBG funds and \$12,281,800.00 in matching funds.

Fourteen (14) of the closed contracts provided \$7,042,708.71 for infrastructure or real estate to create or retain 559 jobs with 406 of the new jobs created or retained by low or moderate income workers. Contracts funded under CDBG economic development are required to create or retain one job for every \$25,000 in CDBG funds expended. The 14 contracts closed during PY 2012 expended \$12,598.76 for each job created.

Texas CDBG administered two additional programs during PY 2012 to promote economic development. Both programs provided grant funds to communities, which in turn made loans to small businesses: the Micro-Enterprise Loan Fund targeted toward businesses with five or fewer employees, and the Small Business Loan Fund which benefits businesses with 100 or fewer employees. The loan recipients commit to creating or retaining jobs in rural communities and to ensuring that 51% or more of those are jobs filled by low or moderate income persons. Texas CDBG closed all remaining contracts under these fund categories during PY 2012.

In addition to job creation activities, the Texas Capital Fund expended \$688,403.92 in five (5) communities under the Main Street Program, and \$1,968,554.39 in 14 communities under the Downtown Revitalization Program.

### **HOUSING IMPROVEMENTS**

Provide assistance to low to moderate income households by providing direct housing rehabilitation and infrastructure to support affordable housing.
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CDBG administers housing assistance contracts that provide rehabilitation, acquisition, clearance, new construction, and provision of other facilities through the Community Development Fund and the Colonia Fund. These contracts include a maximum cost of \$25,000 for each rehabilitated housing unit according to Texas CDBG program requirements.

In PY 2012, CDBG awarded three contracts through the Community Development Fund and the Colonia Fund that included \$745,824 in housing assistance for 90 low to moderate income persons. In addition, three contracts totaling \$3,200,000 were awarded through the Colonia Self-Help centers, with \$2,668,700 of that amount going to housing rehabilitation construction. Those three contracts are expected to serve 116 households with all 387 beneficiaries being low to moderate income.

Displacement for these contracts is limited to voluntary participants and displacement costs are not eligible for reimbursement or included in project narratives. All households, businesses, or other entities impacted by a CDBG-assisted housing project, along with their needs and preferences, were identified by the locality during the homeowner application process, and only those homeowners choosing to participate were displaced in any way.

Texas CDBG also administered Housing Infrastructure Fund projects, which funded infrastructure construction in support of new affordable housing developments. During PY 2012, CDBG closed the final four contracts to support 32 new housing units benefitting 66 beneficiaries of which 39 are low to moderate income persons.

Provision of yardlines for first-time water or sewer services is the most common housing rehabilitation activity in the Texas CDBG program. During PY 2012, CDBG funded 20 contracts through three different grant programs to provide water or sewer services on private property, including installing new water and sewer yardlines, replacing yardlines, and installing on-site sewer facilities. The \$1,589,879 in CDBG assistance is expected to benefit 1,152 low to moderate income persons. Private property improvements installed to benefit persons that are not low to moderate income may be included in the project but must be funded through local or other private funds.

### **COLONIA IMPROVEMENTS**

Provide support for colonia communities, including funding for public improvements through a Colonia Construction Fund and Colonia Economically Distressed Areas Program, funding for planning through a Colonia Planning Fund, and Self-Help Centers established in border counties.

The Colonia Fund is the second largest program administered by Texas CDBG. In 2012 CDBG funded 12 Colonia Fund-Construction (CFC) projects totaling \$5,499,508 which will benefit 2,002 persons, of which 1,572 are low- to moderate income persons.

All CFC funds awarded in PY 2012, excluding local administrative costs, address basic human needs. Nine of the CFC contracts provided \$1,622,729 in housing rehabilitation activities including first time public water or public sewer facilities replacement of failing on-site sewer facilities, and housing repairs. These nine contracts are expected to benefit 547 low to moderate income persons.

A rider to TDA's state appropriation retains 2.5% of the total CDBG appropriation for the operation of colonia self-help centers in seven border counties, in addition to the 10% federally mandated colonia set-aside. The activities of the self-help centers are overseen by the Texas Department of Housing and Community Affairs Office of Colonia Initiatives (TDHCA-OCI). Separately, three border field offices, operated by TDHCA-OCI staff and supported in part by CDBG funds, are located in El Paso, Edinburg and Laredo to provide technical assistance to area residents and other interested parties. The TDHCA-OCI staff continues to provide technical assistance and disseminate information regarding available programs administered by TDHCA that could assist in addressing colonia issues and other local priority needs.

Three (3) grants were awarded through the Colonia Self-Help Center Fund in 2012 for a total of \$3,200,000. These will benefit 20,362 people, with 16,852 being low- to moderate- income.

**Colonia Fund Activities, Contracts Awarded in PY 2012**

Activity	CFC	CSH	Colonia Fund Total	% of Colonia Fund Total
Water/Sewer Facilities	\$3,269,659		\$3,269,659	37.58%
Housing Rehabilitation	\$1,622,729	\$2,668,700	\$4,291,429	49.33%
Public Services	\$161,481	\$201,300	\$362,781	4.17%
Administration	\$445,639	\$330,000	\$775,639	8.92%
<b>Total</b>	<b>\$5,499,508</b>	<b>\$3,200,000</b>	<b>\$8,699,508</b>	
Basic Human Needs	\$4,892,388	\$2,668,700	\$7,561,088	
<b>All Construction Dollars</b>	<b>\$3,269,659</b>	<b>\$2,870,000</b>	<b>\$6,139,659</b>	

**DISASTER RELIEF / URGENT NEED**

Provide assistance for the recovery from natural disasters and fund projects that resolve threats to the public health and/or safety of local residents in rural areas.

During this reporting period, 25 grants were awarded for Disaster Relief/Urgent Need Fund projects. The \$8,698,193 obligated for these contracts will provide urgently needed assistance or alleviate the impacts of natural disasters for 92,373 Texans. An estimated 51,102 of the total beneficiaries for these projects are persons with low and moderate income.

**PLANNING**

Provide assistance to local governments in rural areas, emphasizing planning activities that primarily address problems in the areas of public works and housing assistance.

Texas CDBG awarded 15 grants totaling \$610,170 for planning and capacity building projects. These projects are expected to benefit 29,855 persons including 17,004 low- and moderate-income persons. The 2012 planning projects primarily address public works and housing planning elements and leverage an estimated \$117,085 in other funding.

**Persons with Disabilities**

TDA accomplished the following to address the needs of persons with disabilities during PY 2012:

- Localities wishing to address the needs of persons with disabilities may include removal of architectural barriers as an eligible activity in an application for CDBG assistance. In PY 2012, funds awarded under the Texas Capital Fund Main Street and Downtown Revitalization Program provided accessible ramps and sidewalks among other improvements, and certain housing rehabilitation projects included improvements to make the beneficiary’s home accessible.
- Texas CDBG and all grantees are required to comply with federal and state non-discrimination regulations and monitored for Section 504 compliance.

**RELATIONSHIP BETWEEN USE OF FUNDS AND PROGRAM OBJECTIVES**

The purpose of the Texas Community Development Block Grant Program (CDBG) continues to be the development of viable communities by providing decent housing and a suitable living environment and by expanding economic opportunities, principally for persons of low and moderate income. The funding

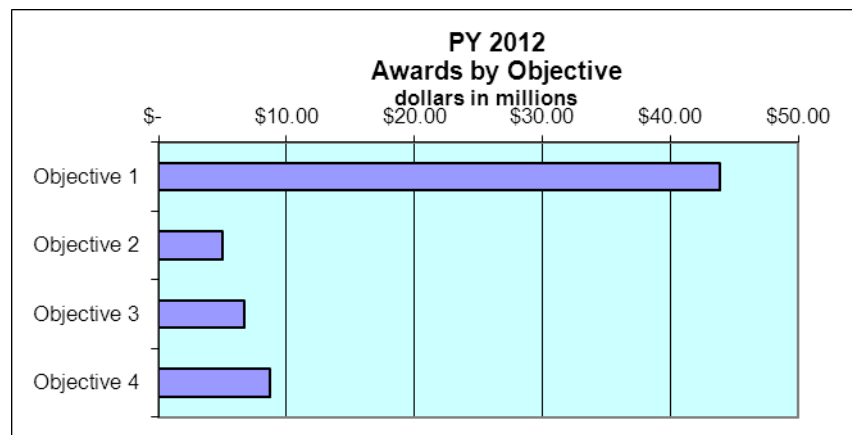


allocations among the CDBG programs and the activities funded within those programs reflect the following state development objectives and priorities:

The objectives of the Texas Community Development Block Grant Program are as follows:

- Objective 1: To improve public facilities to meet basic human needs, principally for low and moderate income persons.
- Objective 2: To improve housing conditions, principally for persons of low and moderate income.
- Objective 3: To expand economic opportunities by creating or retaining jobs, principally for low and moderate income persons.
- Objective 4: To provide assistance and public facilities to eliminate conditions hazardous to the public health and of an emergency nature.

**PY 2012 Awards by Objective\***



\* Activities may meet more than one objective.

The largest percentage of the funds obligated during this period were used to address Objective 1, the *basic human needs* of water, sewer, and housing. Objective 2 *housing conditions* is addressed through several funds according to local priorities. Objective 3 *job creation and retention* was addressed under the Texas Capital Fund. Through the Disaster Relief/Urgent Need Fund, the State continues to address Objective 4 *disaster relief* to provide assistance to meet the needs resulting from the disaster situations that impact Texas during each program year. The graph above charts CDBG funds according to the objective(s) met by funded activities.

### CHANGES IN PROGRAM OBJECTIVES

The Texas Department of Agriculture (TDA) does not intend to make any changes to the program objectives described above. The changes in funding categories implemented in PY 2010 which relate to the program objectives are discussed in the next section.

The proposed 2013 Action Plan for the Texas Community Development Block Grant (CDBG) Program was presented for public comment at four (4) public hearings in the following cities: Austin, Lubbock and San Antonio. The Austin hearing was also conducted as a webinar.

The following are comments received for the proposed 2013 CDBG Action Plan relative to changing program objectives or related funding:

- The maximum application amount for the Texas Capital Fund's Texas Main Street Program and Downtown Revitalization Program should be raised from \$150,000 to \$200,000.
- REDPP projects should continue to be funded. The pilot project has had insufficient time to demonstrate its merits.
- Eliminate the STEP Fund to 1.5% of the allocation and re-focus on the Community Development and Planning Funds.
- Allow the Department to use PCB planning funds for other purposes. Allow the Department to study the use of TxCDBG funds for planning activities with the purpose of broadening the manner in which these funds can be used.
- Continue STEP funding for communities.

### **PROGRAM CHANGES BASED ON EXPERIENCES**

The following changes were implemented during the program year to further improve the program:

#### **Disaster Relief Funding for Drought Related Improvements**

Rural Texas has been severely impacted by ongoing drought conditions across the state. In response, TDA evaluated the situation and determined that projects to address drought conditions and provide new or improved sources of water could qualify for CDBG funding under the Urgent Need National Program Objective, broadening the Disaster Relief Fund from its traditional use for projects to repair or replace infrastructure damaged during a natural disaster. Governor Rick Perry has renewed the disaster declaration for drought conditions on a county-by-county basis, paving the way for over twenty communities to receive funding in PY 2012 for projects such as new or rehabilitated water wells, intake extensions, and system interconnection lines.

#### **Streamlined Guidelines for Texas Capital Fund**

Prior to October 1, 2011, the Texas Capital Fund was administered by the Texas Department of Agriculture while the majority of the TxCDBG program was housed by the Texas Department of Rural Affairs. Since the Texas legislature joined the two agencies and brought these sections of the TxCDBG program under one roof, TDA has worked to coordinate documentation requirements and streamline procedures for the entire program. In January 2013, TDA released an updated policy for the local administration of Texas Capital Fund projects, intended to improve efficiency and eliminate redundancy at both the state and local levels.

### Closeout of Program Years

- With the PY 2012 PER, TDA will request the closeout of PY 1998, following the successful closure of the final contract funded through PY 1998 funds. TDA anticipates requesting closeout of PYs 1996, 1999, and 2000 in the near future.
- Subrecipient Repayment Agreements: Certain grant recipients have been required to return some or all grant funds, which must then be re-obligated and expended to close the program year. Two repayment agreements were completed during PY 2012 and TDA is re-obligating and expending those funds promptly. Six additional agreements are scheduled to be completed during PY 2013.

The following changes are underway or represent potential changes from existing initiatives:

- **Positive working relationships with program stakeholders.**
  - TxCDBG staff is part of several interagency workgroups with a focus on infrastructure improvement grants across Texas. The groups are working toward greater cooperation among the funding agencies on complex projects requiring funding or approval from two or more sources.
  - The program continued to provide comprehensive training to the Regional Review Committees that score the CD/CDS applications, while working with the RRCs and HUD to develop a revised method of local scoring that meets all HUD requirements.
- **Technical Assistance.** CDBG staff provided training for communities and administrative consultants throughout the state, including:
  - 2012 TxCDBG Project Implementation Manual;
  - Project Implementation Workshops based on the current Project Implementation Manual;
  - Environmental Issues Training Workshops;
  - 2012 Action Plan Workshops;
  - Regional Review Committee Meetings held in the 24 COGS;
  - Census and Survey Methodology Workshops; and
  - Application Workshops for the Community Development Fund Texas Capital Fund, and Planning & Capacity Building Fund

### HUD PERFORMANCE MEASURES

Texas CDBG has implemented the HUD Performance Outcome Measurement System Applications submitted for PY 2012 funding and closeout documents submitted during PY 2012 were required to identify the Objective (1. Creating Suitable Living Environments; 2. Providing Decent Affordable Housing; or 3. Creating Economic Opportunities) and the Outcome (1. Availability/Accessibility; 2. Affordability; or 3. Sustainability) addressed by the project.

The table below shows the performance measures identified for activities awarded in PY 2012:

**Performance Measures, Activities Awarded in PY 2012**

<b>Performance Measure Identified</b>	<b>Proportion of Activities - Projected</b>	<b>Proportion of Activities - Actual</b>
Activities to create Suitable Living Environments	-	-
through Availability/Accessibility	42.20%	46.50%
through Affordability	16.80%	2.30%
through Sustainability	29.90%	38.70%
	88.80%	87.50%
Activities to provide Decent Housing	-	-
through Affordability	0.40%	1.20%
	0.40%	1.20%
Activities to create Economic Opportunities	-	-
through Availability/Accessibility	4.50%	0.00%
through Affordability	1.90%	0%
through Sustainability	4.50%	11.30%
	<b>10.80%</b>	<b>11.30%</b>

**MINORITY OUTREACH**

The Texas Department of Agriculture and its individual units have been successful in hiring qualified minority staff.

- The minority labor force percentage for all Statewide Agencies, provided by the Texas Workforce Commission 2011-2012 Equal Employment Opportunity and Minority Hiring Practices Report (January 2013) is 52.3 percent; the Texas Department of Agriculture percentage of minority employees is 41.98 percent.
- The female labor force percentage for all Statewide Agencies is 56 percent; the Texas Department of Agriculture percentage of female employees is 50 percent.

**Summary of Minority Business Enterprise Activities**

The Texas Facilities Commission (TFC) provides business services including maintaining the Centralized Master Bidders List, which includes the Historically Underutilized Businesses (HUB) List, as well as a list dedicated only to HUB listings. All Contractor localities can obtain a copy of this list of minority-owned businesses through TFC. These businesses have been certified through the State’s TFC program. Contact numbers and website addresses are included in the TxCDBG Implementation Manual.

The directory can assist CDBG contractors in identifying minority- and women-owned businesses that provide goods and services in their immediate area and in the state. The online directory also provides an opportunity for local minority- and women-owned businesses to sign-up for HUB certification through the Internet.

The Texas Community Development Block Grant Program continues to require that all grantees submit Minority Business Enterprise information, including gender information, on each contract over \$10,000 as those contracts are executed. Instructions for reporting CDBG contractors are provided in the TxCDBG Project Implementation Manual. The information from these reports is compiled and reported annually to the HUD Regional Office in Fort Worth.

The state reviews the performance of all CDBG grantees and monitors the compliance with the required civil rights laws. All bid documents and contracts must contain equal opportunity provisions and Section 504 requirements must be in place to avoid discrimination on the basis of disability. The state also enhanced the existing oversight and reporting of Section 3 requirements during PY2011 and provided continued technical assistance on these requirements in PY 2012.

CDBG staff closely monitors the Contractor files for the following program requirements:

- Were equal opportunity guidelines followed in advertising vacancies, such as posting job vacancies and including equal opportunity language?
- Does the city/county have a written Section 3 Policy (or equivalent)? Is it followed? Has Section 3 information been properly reported?
- Did the city/county implement procedures that allow individuals with disabilities to obtain information concerning the existence and location of accessible services, activities and facilities?
- Did the city/county adopt 504 grievance procedures that incorporate due process standards and allow for prompt resolution of complaints?
- Has the city/county adopted and enforced a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individual engaged in nonviolent civil rights demonstrations, and a policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location which is the subject of such nonviolent civil rights demonstration within its jurisdiction?
- Has the city/county provided in the closeout reports the final beneficiaries for the project beneficiaries broken out, by race, ethnicity, gender, and low/moderate income status?

If evidence of the above program requirements was not found in the files, the locality is allowed 30 days to provide the information, or complete the activity and submit proof of compliance.

**Minority Business Enterprise Participation October 1, 2011 to September 30, 2012\***

<b>Funds/Contracts</b>	<b>Subcontracted by CDBG Grant Recipients</b>	<b>Subcontracted to MBEs</b>	<b>% Subcontracted to MBEs</b>
Amount of Funds	\$101,820,453	\$15,772,337	15.5%
Number of Contracts	1,007	163	16.9%

\* Reported on a biennial basis

## HOMELESS: EMERGENCY SHELTER GRANTS PROGRAM AND EMERGENCY SOLUTIONS GRANTS PROGRAM

TDHCA has administered the Emergency Shelter Grants Program (ESGP) since 1987. On July 5, 2012 HUD informed TDHCA that the Substantial Amendment to the 2011 One Year Action Plan was approved by HUD. With this approval, TDHCA began administering the Emergency Solutions Grants Program (ESG) alongside the ESGP.

The state's strategy to help homeless persons and persons at risk of homelessness includes:

- community outreach efforts to ensure that homeless persons and persons at risk of homelessness are aware of available services;
- provision of funding to support emergency shelter and permanent housing programs;
- helping homeless persons make the transition to permanent housing and independent living through comprehensive case management;
- provision of assistance to prevent homelessness; and
- supporting efforts to address and prevent homelessness.

Emergency shelter and permanent housing needs of homeless persons are addressed by utilizing ESGP and ESG grant funds to provide support to organizations that provide emergency services, shelter, and permanent housing to homeless persons and families. ESGP and ESG subrecipients assess the needs of homeless persons and those persons assisted to prevent homelessness through a case management system. To ensure that homelessness prevention funds are used appropriately and efficiently, ESGP and ESG subrecipients are encouraged to maximize all community resources when providing homelessness prevention assistance.

The ESG focuses greater attention on preventing homelessness and re-housing persons who are currently homeless by providing limited support to organizations for emergency services and shelter and placing greater emphasis on homelessness prevention and rapid re-housing.

The objectives of the ESGP and ESG programs are to:

- improve the quality of emergency shelters for the homeless;
- make additional emergency shelters available;
- assist in meeting the cost of operating and maintaining emergency shelters;
- provide essential services so that homeless individuals and families have access to the assistance they need to improve their situations;
- provide emergency intervention assistance to prevent homelessness for individuals and families at risk of homelessness; and
- provide intervention to rapidly re-house homeless individuals and families.

## DESCRIPTION OF RESOURCES

This section describes ESGP and ESG funding that was available for PY 2012. It is important to point out that the state received an initial allocation of PY 2011 funding under the auspices of the ESGP, and then received a second allocation of PY 2011 funding, under the auspices of the ESG.

### *PY 2011 and PY 2012 Funding*

The following ESGP and ESG resources were made available in PY 2012.

#### **PY 2011 State ESGP First Allocation**

Total 2011 State ESGP Allocation	\$5,171,449
State Administration (\$)	
Shared Administration	\$4,000
Reserved State Administration	\$245,572
Regional Obligation	\$4,916,877
Re-obligated 2010 ESGP funds	\$259,978
Total Funds Obligated (does not include administrative funds)	\$5,176,855

#### **PY 2011 State ESG Second Allocation**

Total 2011 State ESG Allocation	\$2,908,940
State Administration (\$)	
Shared Administration	\$109,085
Reserved State Administration	\$109,085
Regional Obligation	\$2,690,770
Re-obligated ESG funds	\$0
Total Funds Obligated (does not include administrative funds)	\$2,690,770

#### **PY 2012 State ESG Allocation**

Total 2012 State ESG Allocation	\$9,129,511
State Administration (\$)	
Shared Administration	\$342,357
Reserved State Administration	\$342,357
Regional Obligation	\$8,444,797
Re-obligated ESG funds	\$0
Total Funds Obligated (does not include administrative funds)	\$8,444,797

### *PY 2012 Activities*

The following activities are performed with ESGP and ESG PY 2011 and PY 2012 funding obligated in PY 2012:

- Provision of Street Outreach, including (but not limited to):

## *Program Performance*

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### *Homeless*

- a. engagement (contact with homeless persons where they live and congregate); and
- b. case management.
- Provision of Emergency Shelter and essential services \*, including (but not limited to):
  - a. case management;
  - b. food cards for homeless clients;
  - c. medical and psychological counseling and supervision;
  - d. employment counseling;
  - e. nutritional counseling;
  - f. substance abuse treatment and counseling;
  - g. assistance in obtaining other federal, state, and local assistance;
  - h. other services such as child care, transportation, job placement, and job training; and staff salaries necessary to provide the above services.
- Payment of maintenance, operation, and furnishings costs.
- Provision of Homelessness Prevention, Rapid Re-housing, and Housing Relocation and Stabilization services, including but not limited to:
  - a. Short and medium-term rental assistance;
  - b. Financial assistance to pay housing owners, utility companies, and other third parties;
  - c. housing placement;
  - d. assistance in obtaining other federal, state, and local assistance;
  - e. other services such as child care, transportation, job placement, and job training; and
  - f. staff salaries necessary to provide the above services.
- Developing and implementing homelessness prevention activities as per Sec. 414 of the McKinney-Vento Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act.

\*Services must be provided pursuant to Sec. 414 of the McKinney-Vento Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. Sec. 11374), which requires ESG-funded services to be provided in a non-discriminatory manner.

## INVESTMENT OF RESOURCES

This section describes ESGP and ESG funding commitments made with PY 2011 and PY 2012 funding obligated in PY 2012

### *PY 2011 and PY 2012 Funding Commitments*

ESGP Funds received for PY 2011 First Allocation were awarded in May 2011. The awarded contracts began on September 1, 2011 and ended on August 31, 2012. For PY 2011, ESGP committed \$5,171,449 through 44 grants, including shared administrative funds. The Department received a second PY 2011 allocation under the auspices of the ESG totaling \$2,908,940. These funds were awarded in December 2011. The State ESG contracts using PY 2011 Second Allocation funds began on September 1, 2012, and



will end August 31, 2013, corresponding with the Texas State Fiscal Year (FY). For PY 2011 Second Allocation, ESG committed \$2,799,855 (FY 2011 funds) through 29 grants, including shared administrative funds.

**PY 2011 State ESGP First Allocation Funding Commitments**

Funding Commitments	State FY 2011
Contract Dates	9/1/11-8/31/12
Number of Grant Recipients, Statewide	44
State ESGP Allocation	\$5,171,449
State Administration	\$254,572*
Funds Committed	\$4,916,877
Reobligated FY 2010 ESGP funds	\$259,978
<b>Total Allocated</b>	<b>\$5,176,855</b>

\* Excludes \$4,000 of the State administration funds shared with units of local government.

**PY 2011 State ESG Second Allocation Funding Commitments**

Funding Commitments	State FY 2012
Contract Dates	9/1/12-8/31/13
Number of Grant Recipients, Statewide	29
State ESG Allocation	\$2,908,940
State Administration	\$109,085*
Funds Committed	\$2,799,855
Reobligated ESG Funds	\$0
<b>Total Allocated</b>	<b>\$2,799,855</b>

\* Excludes \$109,085 of the State administration funds shared with awardees.

ESG funds received for PY 2012 were awarded in June 2012. The State ESG contracts using PY 2012 funds began on October 1, 2012, and will end September 30, 2013. For PY 2012, ESG committed \$8,787,154 through 38 grants, including shared administrative funds.

**PY 2012 State ESG Funding Commitments**

Funding Commitments	State FY 2012
Contract Dates	10/1/12-9/30/13
Number of Grant Recipients, Statewide	38
State ESGP Allocation	\$9,129,510
State Administration	\$342,356*
Funds Committed	\$8,787,154
Re-obligated ESG Funds	\$0
<b>Total Allocated</b>	<b>\$8,787,154</b>

\* Excludes \$342,356 of the State administration funds shared with awardees.

**PY 2011 State ESGP First Allocation Funding Commitments by Activity**  
(2/1/12-8/31/12\*)

Activity	Funding Amount	Percentage
Rehabilitation	\$0	0%
Street Outreach	\$947,406.07	19.27%
Shelter	\$2,672,792.60	54.36%
Homeless Prevention	\$1,296,677.76	26.37%
Administration shared w/local govts	\$0	0%
<b>Total Funds Committed</b>	<b>\$4,916,876.43</b>	<b>100%</b>

(\* Includes 2 contracts of prior year unexpended funds that end 4/30/13)

**PY 2011 State ESG Second Allocation Funding Commitments by Activity**  
(10/1/12-1/31/13)

Activity	Funding Amount	Percentage
Administration (shared with subrecipients)	\$87,185.71	3.11%
Emergency Shelter	\$863,060.18	30.83%
HMIS	\$141,189.00	5.04%
Homelessness Prevention	\$1,039,130.61	37.11%
Rapid Re-Housing	\$593,838.50	21.21%
Street Outreach	\$75,451.00	2.70%
<b>Total Funds Committed</b>	<b>\$2,799,855</b>	<b>100%</b>

**PY 2012 State ESG Funding Commitments by Activity**  
(10/1/12-1/31/13)

Activity	Funding Amount	Percentage
Administration (shared with subrecipients)	\$259,251.66	2.95%
Emergency Shelter	\$2,674,470.80	30.44%
HMIS	\$426,250.97	4.85%
Homelessness Prevention	\$2,080,012.05	23.67%
Rapid Re-Housing	\$2,866,378.63	32.62%
Street Outreach	\$480,790.23	5.47%
<b>Total Funds Committed</b>	<b>\$8,787,154.34</b>	<b>100%</b>

*Matching Requirements*

In 24 CFR §576.51 of the ESGP regulations and 24 CFR §576.201 of the ESG regulations state that each grantee must match the funding provided by HUD. These matching funds must be provided after the date

of the grant award to the grantee. Each subrecipient is responsible for the match requirement. Match must be provided in an amount equal to or greater than the grant award. ESGP and ESP applicants identify the source and amount of match they intend to provide if they are chosen for funding. They report monthly on the amount of match provided. The Department monitors review the match documentation during each monitoring visit. TDHCA conducts a desk review at the close out of each contract to ensure that each ESGP and ESG recipient has provided an adequate amount of match during the contract period.

The following tables reflect match contributions.

**Match Contributions for PY 2011 First Allocation ESGP funds**  
(First Allocation 2/1/12-8/31/12)

Source	Dollar Value
Donations (cash)	\$1,644,503.72
Fees	0
Lease/Rent	\$478,755.73
Local Government	\$22,622.86
Other	\$646,143.80
Other Federal Funds	\$29,636.00
Other Non-ESG HUD Funds	\$134,498.38
Private Funds	\$428,619.80
Program Income	0
Salaries	\$571,542.93
State Government	0
Volunteers (@ \$5/Hour)	\$171,474.04
<b>Total</b>	<b>\$4,128,070.26</b>

Note: Match contributions for PY 2011 First Allocation are only partially reported because the amount reported only covered 7 months (2/1/12-8/31/12) of a 12 month contract.

**Match Contributions for PY 2011 Second Allocation ESG funds**  
(10/1/12-1/31/13)

Source	Dollar Value
Fees	0
Local Government	\$22,622.86
Other	\$394,182.49
Other Federal Funds	\$29,636.00
Other Non-ESG HUD Funds	\$134,498.38
Private Funds	\$428,619.80
Program Income	0
State Government	0
<b>Total</b>	<b>\$1,009,559.53</b>

Note: Match contributions for PY 2011 Second Allocation are only partially reported because the amount reported only covered 4 months (10/1/12-1/31/13) of a 12 month contract.

**Match Contributions for PY 2012 ESG funds**  
(10/1/12-1/31/13)

Source	Dollar Value
Fees	0
Local Government	\$52,996.00
Other	\$1,027,236.06
Other Federal Funds	\$544,254.43
Other Non-ESG HUD Funds	\$181,542.28
Private Funds	\$789,416.59
Program Income	\$672.77
State Government	\$294,154.00
<b>Total</b>	<b>\$2,890,272.13</b>

Note: Match contributions for PY 2012 are only partially reported because the amount reported only covered 4 months (10/1/12-1/31/13) of a 12 month contract.

*Continuum of Care Activities and Input on Performance Measures*

Historically, Texas has not received all of the Continuum of Care (CoC) funds HUD had targeted for the State due to a lack of viable applications. To address this, TDHCA provided General Revenue funds to the Texas Homeless Network (THN) to provide statewide technical assistance and training to organizations and communities interested in forming homeless coalitions and in applying for CoC funds. As a direct result of this investment, in March of 2012, THN was notified that seven new project proposals were awarded HUD CoC funds from the 2011 competition for a total of \$3,200,000.

The agencies that received funding for projects are:

1. **North Texas Youth Connection**, a transitional living program for homeless youth age 16-18, single adult men and women up to age 24 and teen mothers and fathers up to age 24. The program will offer a residential transitional living component and a scattered site housing component.
2. **Salvation Army Galveston**, a transitional housing program serving homeless individuals offering 3 four-bed units for men and 2 three-bed units for women at its new shelter.
3. **Salvation Army Galveston**, a permanent supportive housing project that will provide 10 one-bedroom apartments for individuals and 5 three-bedroom apartments for families in Galveston County.
4. **Shelter Agencies For Families in East Texas, Inc.**, The Doors of Empowerment II, a transitional housing program targeting homeless poverty victims of domestic violence. The program will provide scattered-site rental assistance for 13 households in Delta, Lamar and Red River Counties.
5. **Stragent Foundation – Project Open D.O.R.S.**, a transitional housing program for young adults ages 18 to 25 who have aged out of foster care and/or are living on the streets. The program provides services in a 23 county area of Northeast Texas.
6. **Families In Crisis, Inc.**, a transitional scattered-site housing program providing 20 units. The program will serve domestic and sexual violence survivors and veterans, as well as individuals and families experiencing homelessness or at-risk of becoming homeless.

7. **Williamson-Burnet County Opportunities, Inc.**, a transitional housing program that will provide supportive services for 10 households.

In November of 2012, THN submitted a Continuum of Care application to HUD requesting \$6,200,000 on behalf of 19 organizations submitting 23 renewal project applications. On March 13, 2013, HUD announced awards for 20 of the projects, totaling \$3,521,802.

As part of the ESG Application requirements, organizations applying for ESG funds must describe their involvement in homeless coalitions coordinating the provision of services to the homeless and at-risk populations in their area. The increase in 2011 HUD CoC renewal and new project applications further demonstrates that local care providers are making great strides in coordinating their efforts and adopting comprehensive “continuum of care” practices.

A majority of ESG applicants include case management and information and referral in their range of services, while a significant number of communities have formed local homeless coalitions and social services coordinating councils as part of the Continuum of Care concept.

TDHCA continues to consult with CoCs regarding all facets of ESG. On October 25, 2012, TDHCA published a survey to seek comments from Continuum of Care members in the State of Texas on the topics of allocation of funding, performance standards and HMIS policies and procedures. A total of 9 organizations from 7 different CoCs provided their input. TDHCA carefully reviewed all input received and revised the performance measures for the 2013 Emergency Solutions Grant Program Notice of Funding Availability (NOFA) contracts based on the comments received.

### *HMIS Requirements*

In applications submitted to TDHCA for FY 2011 TDHCA ESGP and the FY 2012 ESG, applicants were required to certify that the applicant organization will meet HUD’s standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information. As of August 2004, TDHCA has required all ESGP and ESG subrecipients receiving HUD McKinney-Vento Act program funds which are located in a Continuum of Care jurisdiction to participate in an HMIS. Pursuant to 24 CFR §576.107 of the ESG regulations, an exception is made for victim services providers and legal services providers which allows them to report client data using a comparable database. ESGP and ESG subrecipients located in a Continuum of Care jurisdiction must coordinate and report client-level data to the administrator for the Continuum of Care coalition in their area. Furthermore, TDHCA has notified ESGP and ESG subrecipients that failure to coordinate with appropriate contacts to facilitate the HMIS or comparable system implementation may result in withholding of ESGP and ESG contract funds.

## DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2011 and PY 2012 funds were distributed and the location of ESGP and ESG awards. TDHCA administers the funds in a manner consistent with the McKinney-Vento Act, as amended (42 USC Sec 11371 *et seq.*). According to 24 CFR §576.35, states must make ESGP funds

## *Program Performance*

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### *Homeless*

available (*i.e.*, award funds to successful applicants) to units of general local government or nonprofit organizations within 65 days of the date of the grant award issued by HUD. This regulation also requires states to obligate (*i.e.* implement a contract) all ESGP funds within 180 days of the date of the grant award. For ESG, 24 CFR §576.203 requires that states obligate the entire grant, minus the state's administrative portion, within 60 days from the date that HUD signs the grant agreement. In order to comply with these deadlines, TDHCA begins the application process several months in advance of receiving the dated grant award from HUD. All contracts are issued for a 12 month period in order to ensure that the full allocation is spent within 24 months of the time the funds are awarded to grant recipients. If any funds remain unexpended after the contract period, either through a supplemental appropriation, return of funds, or recapture, or if prior year funds become available, the remaining funds may be used to make additional awards to ESG agencies already awarded ESG funds.

### *Fund Distribution Methodology*

TDHCA obligated PY 2011 ESGP and ESG funds and PY 2012 ESG funds through a statewide competitive application process. TDHCA funded 44 projects with FY 2011 (9/1/11-8/31/12) ESGP funds. 29 projects with FY 2011 (9/1/11-8/31/12) ESG funds, and 38 projects with FY 2012 (9/1/12-8/31/13) ESG funds. TDHCA reserved ESGP and ESP funds for each of the 13 TDHCA Uniform State Service Regions using a formula based on the percentage of poverty population in each region (as reported by the US Census Bureau's 2009 Small Area Income and Poverty Estimates [SAIPE]). TDHCA awarded funds to units of general local government and to private nonprofit organizations that had local government approval to operate a project that assists homeless individuals.

In awarding PY 2011 ESGP funds, TDHCA established funding guidelines at a minimum of \$30,000 and a maximum of \$100,000, with collaborative projects awarded up to \$600,000. TDHCA made available up to 30 percent of the total ESGP allocation for homeless prevention activities, 30 percent for the provision of essential services, 10 percent for operations administration, 5 percent for state administration which is shared with subrecipients that are cities or counties, and the remainder of the funds for rehabilitation, maintenance or operations.

In awarding PY 2011 ESG funds, TDHCA established funding guidelines at a minimum of \$30,000 and a maximum of \$100,000, with collaborative projects awarded up to \$600,000. To remain within the limit of 60 percent of the total PY 2011 ESGP and ESG allocation budgeted for street outreach and emergency shelter (combined). TDHCA imposed a limit of up to 42 percent of the PY 2011 ESG budget for these combined budget categories. TDHCA made available 3.75 percent for operations administration, and the remainder of the funds for rehabilitation, maintenance and operations.

In awarding PY 2012 ESG funds, TDHCA established funding guidelines at a minimum of \$75,000 and a maximum of \$150,000, with collaborative projects awarded up to \$600,000. TDHCA imposed a limit of up to 60 percent of the PY 2012 ESG budget for street outreach and emergency shelter combined. TDHCA made available 3.75 percent for operations administration for collaborative applicants and 3.25 percent for single applicants, and the remainder of the funds for rehabilitation, maintenance and operations.

**PY 2011 ESGP First Allocation Regional Funding Distribution**

<b>TDHCA Service Region</b>	<b>Percent of Poverty Population</b>	<b>Number of Counties</b>	<b>Fund Distribution per Region*</b>
1 - High Plains	3.33%	41	\$178,701
2 - Northwest Texas	2.01%	30	\$0
3 - Metroplex	23.09%	19	\$1,134,243
4 - Upper East Texas	4.39%	23	\$260,000
5 - Southeast Texas	3.25%	15	\$135,820
6 - Gulf Coast	21.81%	13	\$1,071,284
7 - Capital	5.82%	10	\$280,000
8 - Central Texas	4.86%	20	\$274,284
9 - Alamo	8.50%	12	\$404,000
10 - Coastal Bend	3.34%	19	\$199,999
11 -South Texas Border	13.22%	16	\$593,671
12 - West Texas	2.04%	30	\$125,000
13 - Upper Rio Grande	4.34%	6	\$259,875
<b>Total</b>	<b>100%</b>	<b>254</b>	<b>\$4,916,877</b>

\* This represents the amount of PY 2011 ESGP funds awarded in PY 2011. The final percentage allocated to each region does not coincide with the original percentage of poverty population, because of award process and ineligible applications in some regions. The amount includes \$4,000 of state administration funds shared with awardees.

**PY 2011 ESG Second Allocation Regional Funding Distribution**

TDHCA Service Region	Percent of Poverty Population	Number of Counties	Fund Distribution per Region*
1 - High Plains	3.33%	41	\$264,337
2 - Northwest Texas	2.01%	30	\$0
3 - Metroplex	23.09%	19	\$51,514
4 - Upper East Texas	4.39%	23	\$159,459
5 - Southeast Texas	3.25%	15	\$105,557
6 - Gulf Coast	21.81%	13	\$1,081,021
7 - Capital	5.82%	10	\$233,427
8 - Central Texas	4.86%	20	\$210,869
9 - Alamo	8.50%	12	\$105,553
10 - Coastal Bend	3.34%	19	\$208,522
11 -South Texas Border	13.22%	16	\$0
12 - West Texas	2.04%	30	\$0
13 - Upper Rio Grande	4.34%	6	\$379,596
Total	100%	254	\$2,799,855

\* This represents the amount of PY 2011 ESG funds awarded in PY 2011. The final percentage allocated to each region does not coincide with the original percentage of poverty population, because of award process and ineligible applications in some regions. The amount includes \$109,085 of state administration funds shared with awardees.

**PY 2012 Regional Funding Distribution**

TDHCA Service Region	Percent of Poverty Population	Number of Counties	Fund Distribution per Region*
1 - High Plains	3.33%	41	\$317,350
2 - Northwest Texas	2.01%	30	\$150,000
3 - Metroplex	23.09%	19	\$1,957,364
4 - Upper East Texas	4.39%	23	\$414,128
5 - Southeast Texas	3.25%	15	\$225,000
6 - Gulf Coast	21.81%	13	\$1,890,532
7 - Capital	5.82%	10	\$554,927
8 - Central Texas	4.86%	20	\$434,138
9 - Alamo	8.50%	12	\$850,338
10 - Coastal Bend	3.34%	19	\$300,000
11 -South Texas Border	13.22%	16	\$1,150,279
12 - West Texas	2.04%	30	\$128,490
13 - Upper Rio Grande	4.34%	6	\$414,608
Total	100%	254	\$8,787,154

\* This represents the amount of PY 2012 ESG funds awarded in PY 2012. The final percentage allocated to each region does not coincide with the original percentage of poverty population, because of award process and ineligible applications in some regions. The amount includes \$45,647 of state administration funds shared with collaborative applicants.

*Award Locations*

ESGP and ESG awards were made in the following areas of the state. The amounts reported reflect actual allocations per contract cycle.



**PY 2011 First Allocation ESGP Awards by Region**

<b>Legal Name of Applicant</b>	<b>Region</b>	<b>City</b>	<b>Home County</b>	<b>Award Amount</b>
Advocacy Outreach	11	Elgin	Bastrop	100,000.00
Bexar County	9	San Antonio	Bexar	100,000.00
Bishop Enrique San Pedro Ozanam Center, Inc., The	11	Brownsville	Cameron	100,000.00
Bread of Life, Inc.	6	Houston	Harris	190,940.00
Catholic Charities of the Rio Grande Valley	11	San Juan	Hidalgo	100,000.00
Center Against Family Violence, Inc.	13	El Paso	El Paso	60,000.00
East Texas Crisis Center	4	Tyler	Smith	100,000.00
Families In Crisis, Inc.	8	Killeen	Bell	99,980.00
Family Abuse Center	8	Waco	McLennan	85,031.00
Family Crisis Center, Inc.	11	Harlingen	Cameron	94,559.00
Family Violence Prevention Services, Inc.	9	San Antonio	Bexar	100,000.00
Grayson County Juvenile Alternatives, Inc.	3	Sherman	Grayson	599,988.00
Hope, Inc.	3	Denton	Denton	89,800.00
Humble Area Assistance Ministries	6	Humble	Harris	100,000.00
Johnson County Family Crisis Center	3	Cleburne	Johnson	100,000.00
La Posada Home, Inc.	13	El Paso	El Paso	99,875.00
Loaves & Fishes of the Rio Grande Valley, Inc.	11	Harlingen	Cameron	100,000.00
Love I.N.C. of Nacogdoches	5	Nacogdoches	Nacogdoches	100,000.00
Matagorda County Women's Crisis Center, Inc.	6	Bay City	Matagorda	99,970.00
Mid-Coast Family Services, Inc.	10	Victoria	Victoria	99,999.00
Mission Granbury, Inc.	3	Granbury	Hood	93,755.00
Montrose Counseling Center, Inc.	6	Houston	Harris	100,000.00
Northwest Assistance Ministries	6	Houston	Harris	80,374.00
Panhandle Crisis Center, Inc.	1	Perryton	Ochiltree	78,701.00
Presbyterian Night Shelter	3	Fort Worth	Tarrant	100,000.00
Safe Haven of Tarrant County	3	Fort Worth	Tarrant	51,950.00
Salvation Army - Big Spring	12	Big Spring	Howard	50,000.00
Salvation Army - Corpus Christi	10	Corpus Christi	Nueces	100,000.00
Salvation Army - McAllen	11	McAllen	Hidalgo	99,780.00
Salvation Army - Fort Worth Mabee Center	3	Fort Worth	Tarrant	98,750.00
Salvation Army - Odessa	12	Odessa	Ector	75,000.00
Salvation Army - San Antonio	9	San Antonio	Bexar	100,000.00
Salvation Army - Tyler	4	Tyler	Smith	100,000.00
SEARCH	6	Houston	Harris	400,000.00
Seton Home	9	San Antonio	Bexar	100,000.00
Shelter Agencies For Families in East Texas,	4	Mt. Pleasant	Titus	60,000.00

## Program Performance

### Homeless

Legal Name of Applicant	Region	City	Home County	Award Amount
Inc.				
Sin Fronteras Organizing Project	13	El Paso	El Paso	100,000.00
Travis County Domestic Violence and Sexual Assault Survival Center dba SafePlace	7	Austin	Travis	80,000.00
Twin City Mission	8	Bryan	Brazos	89,273.00
Westside Homeless Partnership	6	Houston	Harris	100,000.00
Wintergarden Women's Shelter, Inc.	11	Carrizo Springs	Dimmit	99,332.00
Women's Protective Services of Lubbock, Inc.	1	Lubbock	Lubbock	100,000.00
Women's Shelter of East Texas, Inc.	5	Lufkin	Angelina	35,820.00
Youth and Family Alliance dba LifeWorks	7	Austin	Travis	100,000.00
Sin Fronteras Organizing Project	13	El Paso	El Paso	100,000.00
<b>TOTAL</b>				<b>\$4,912,877</b>

\*Contract period 9/1/2011-8/31/2012. The amount does not include \$4,000 of state administration funds shared with local government.

### PY 2011 Second Allocation ESG Awards by Region

Legal Name of Applicant	Region	City	Home County	Award Amount
Bay Area Homeless Services, Inc.	6	Baytown	Harris	\$99,560
Bay Area Turning Point	6	Houston	Harris	\$65,444
Bridge Over Troubled Waters, Inc.	6	Pasadena	Harris	\$82,066
Caritas of Austin	7	Austin	Travis	\$105,557
Child Crisis Center of El Paso	13	El Paso	El Paso	\$63,935
City of Amarillo	1	Amarillo	Potter	\$158,780
Corpus Christi Hope House, Inc.	10	Corpus Christi	Nueces	\$102,970
Covenant House Texas	6	Houston	Harris	\$105,265
El Paso Human Services, Inc.	13	El Paso	El Paso	\$104,547
El Paso Regional County - General Assistance Office + 1 (MHMR)	13	El Paso	El Paso	\$105,557
Faith Mission and Help Center, Inc	8	Brenham	Washington	\$105,312
Fort Bend County Women's Center	6	Richmond	Fort Bend	\$100,391
Harmony House, Inc.	6	Houston	Harris	\$105,557
Harris County Community Services Department	6	Houston	Harris	\$105,557
Highland Lakes Family Crisis Center	7	Marble Falls	Burnet	\$63,935
Houston Area Women's Center	6	Houston	Harris	\$105,557
Memorial Assistance Ministries	6	Houston	Harris	\$105,557
Opportunity Center for the Homeless	13	El Paso	El Paso	\$105,557
Port Cities Rescue Mission Ministries	5	Port Arthur	Jefferson	\$105,557
Randy Sams' Outreach Shelter, Inc.	4	Texarkana	Bowie	\$104,515
Sabine Valley Regional MHMR Center CTR dba Community Healthcare	4	Longview	Gregg	\$54,944
SafeHaven of Tarrant County	3	Fort Worth	Tarrant	\$51,514

Legal Name of Applicant	Region	City	Home County	Award Amount
Salvation Army - Kerrville	9	Kerrville	Kerr	\$105,553
Salvation Army - Lubbock	1	Lubbock	Lubbock	\$105,557
Salvation Army - Waco	8	Waco	McLennan	\$105,557
Wellsprings Village	6	Houston	Harris	\$105,557
Williamson-Burnet County Opportunities, Inc.	7	Georgetown	Williamson	\$63,935
Women's Shelter of South Texas	10	Corpus Christi	Nueces	\$105,552
Women's Home, The	6	Houston	Harris	\$100,510
<b>TOTAL</b>				<b>\$2,799,855*</b>

\*Contract period 9/1/2012-8/31/2013. The amount includes \$109,085 of state administration funds shared with awardees.

**PY 2012 ESG Awards by Region**

Legal Name of Applicant	Region	City	Home County	Award Amount
Advocacy Outreach	7	Elgin	Bastrop	\$343,236
Advocacy Resource Center for Housing (ARCH)	11	McAllen	Hidalgo	\$150,000
Bay Area Homeless Services, Inc.	6	Baytown	Harris	\$145,685
Career and Recovery Resources	6	Houston	Harris	\$452,685
Catholic Charities Archdiocese of Galveston-Houston	6	Houston	Harris	\$149,949
City of Amarillo	1	Amarillo	Potter	\$242,248
City of Denton	3	Denton	Denton	\$472,140
Corpus Christi Metro Ministries, Inc.	10	Corpus Christi	Nueces	\$150,000
East Texas Crisis Center, Inc	4	Tyler		\$114,748
Families in Crisis	8	Killeen	Bell	\$149,995
Family Abuse Center, Inc.	8	Waco	McLennan	\$284,143
Family Crisis Center, Inc.	11	Harlingen	Cameron	\$271,105
Family Endeavors, Inc	9	San Antonio	Bexar	\$97,653
Family Place, The	3	Dallas	Dallas	\$452,685
Family Violence Prevention Services, Inc.	9	San Antonio	Bexar	\$150,000
Fort Bend County Women's Center, Inc.	6	Richmond	Fort Bend	\$539,528
Friendship of Women, Inc.	11	Brownsville	Cameron	\$298,892
Grapevine Relief And Community Exchange (GRACE)	3	Grapevine	Tarrant	\$79,854
Grayson County Juveniles Alternatives dba North Texas Youth Connection	3	Sherman	Grayson	\$602,685
Houston Area Women's Center	6	Houston	Harris	\$150,000
Johnson County Family Crisis Center	3	Cleburne	Johnson	\$100,000
La Posada Providencia	11	San Benito	Cameron	\$280,282
Love In the Name of Christ of Nacogdoches	5	Nacogdoches	Nacogdoches	\$150,000
Mid-Coast Family Services	10	Victoria	Victoria	\$150,000

## Program Performance

### Homeless

Legal Name of Applicant	Region	City	Home County	Award Amount
Opportunity Center for the Homeless	13	El Paso	El Paso	\$260,923
Panhandle Crisis Center, Inc.	1	Perryton	Ochiltree	\$75,102
Project Vida	13	El Paso	El Paso	\$153,685
SafeHaven of Tarrant County	3	Hurst	Tarrant	\$150,000
Salvation Army - Abilene	2	Abilene	Taylor	\$150,000
Salvation Army - Forth Worth Mabee Center	3	Fort Worth	Tarrant	\$100,000
Salvation Army - McAllen	11	McAllen	Hidalgo	\$150,000
Salvation Army - Odessa	12	Odessa	Ector	\$128,490
Salvation Army - Texarkana	4	Texarkana	Bowie	\$150,000
San Antonio Metropolitan Ministries, Inc	9	San Antonio	Bexar	\$602,685
SEARCH Homeless Services	6	Houston	Harris	\$452,685
Shelter agencies For Families in East Texas dba SAFE-T	4	Mt Pleasant	Titus	\$149,380
Women's Shelter of East Texas, Inc. dba Janelle Grum Family Crisis Center of East Texas	5	Lufkin	Lufkin	\$75,000
Youth and Family Alliance dba LifeWorks	7	Austin	Travis	\$211,690
<b>TOTAL</b>				<b>\$8,787,154</b>

\*Contract period 10/1/2012-9/30/2013. The amount does include \$342,356 of state administration funds shared with awardees.

## FAMILIES AND PERSONS ASSISTED

This section describes the households assisted with ESGP and ESP funds.

### *Anticipated Households Served with PY 2011 and PY 2012 Funding*

The ESGP and ESG Programs do not project the number of anticipated households to be served. Please see the next section for information on the actual number of persons served in PY 2012.

### *Actual Households Served in PY 2012*

This section reports on the actual households served in PY 2012 (February 1, 2012, through January 31, 2013) through current contracts encompassing two fiscal years: FY 2011 and FY 2012. These contracts were originally awarded in 2011 and 2012, and assisted persons during the PY 2012 reporting period. There were 40,769 total beneficiaries reported in PY 2012 through these contracts. Of those served, 7,972 were homeless and 45,854 persons received non-residential services, including homelessness prevention assistance.

#### **Persons Assisted with FY 2011(First Allocation) ESGP (period covered 2/1/2012-8/31/2012)**

Category	Activity	Unduplicated Persons Served	Total Funds Expended
Homeless Assistance	Case Management	13,117	\$594,585

Category	Activity	Unduplicated Persons Served	Total Funds Expended
	<i>Emergency Shelter</i>	16,713	\$1,394,398
Homeless Assistance		<b>29,830</b>	<b>\$1,988,983</b>
Homeless Prevention	<i>Legal Services</i>	287	
	<i>Mediation</i>	28	
	<i>Payment to prevent Foreclosure</i>	1	
	<i>Rent/Utility Deposits</i>	849	
	<i>Rental Assistance</i>	2,707	
	<i>Utility Assistance</i>	1,045	
Homeless Prevention		<b>4,917</b>	<b>\$943,401</b>
<b>TOTAL</b>		<b>*22,731</b>	<b>\$2,932,384</b>

**Note:** Total Unduplicated Persons is an unduplicated count among all categories of assistance.

**Persons Assisted with FY 2011 (Second Allocation) ESG**  
(period covered 10/1/2012-1/31/2013)

Activity	Unduplicated Persons Served	Total Funds Expended
Street Outreach	92	\$3,250
Emergency Shelter	4,648	\$312,012
Homelessness Prevention	896	\$170,194
Rapid Re-Housing	423	\$85,033
<b>HMIS</b>		<b>\$42,504</b>
<b>Administration</b>		<b>\$25,424</b>
<b>Total</b>	<b>*5,333</b>	<b>\$638,417</b>

**Note:** Total Unduplicated Persons is an unduplicated count among all categories of assistance

**Persons Assisted with FY 2012 ESG**  
(period covered 10/1/2012-1/31/2013)

Activity	Unduplicated Persons Served	Total Funds Expended
Street Outreach	1,141	\$97,575
Emergency Shelter	10,143	\$791,266
Homelessness Prevention	1,161	\$526,491

## Program Performance

### Homeless

Activity	Unduplicated Persons Served	Total Funds Expended
Rapid Re-Housing	575	\$442,738
<b>HMIS</b>		\$118,067
<b>Administration</b>		<b>\$73,648</b>
<b>Total</b>	<b>*12,705</b>	<b>\$2,049,785</b>

*Note: Total Unduplicated Persons is an unduplicated count among all categories of assistance*

Regarding Section CR-65 in the attached printouts from the HUD's Integrated Disbursement and Information System (IDIS):

- the information requested in Tables 4a-c was not collected in the requested form during the report period. The Department has started collecting this data for the next report period.
- The information reported in Table 4d reflects ESGP and ESG funds.
- The information in Table 7 was not collected in the requested form during the report period. The information reflects a cumulative number of persons served and is not an unduplicated count. The Department has started collecting this data in the requested form for the next report period.

The table below reports program performance measures as required by HUD CPD guidelines. ESG Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard.

**Performance Measures, PY 2012**  
**(FY 2011 1<sup>st</sup> ESGP allocation, FY 2011 2<sup>nd</sup> allocation ESG, and 2012 ESG**  
**for the time period of 2/1/12-1/31/13)**

Outcomes and Objectives	Performance Indicators	Expected Number of Persons	Actual Number of Persons
<b>SL-1</b> Availability/ Accessibility and Create a Suitable Living Environment	Provide funding to support the provision of emergency and/or transitional shelter to homeless persons	5,472	45,854
<b>DH-2</b> Affordability and Provide Decent Housing	The provision of non-residential services including homelessness prevention assistance	11,110	7,972

### Racial and Ethnic Status of Persons Assisted

The racial and ethnic status of the 40,769 total individuals receiving assistance in PY 2012 is reported below.

**Racial Status of Persons Assisted in PY 2012  
(FY 2011 1<sup>st</sup> ESGP allocation, FY 2011 2<sup>nd</sup> allocation ESG, and 2012 ESG  
for the time period of 2/1/12-1/31/13)**

<b>Race</b>	<b>Persons Assisted</b>	<b>Percent</b>
White	25,990	63.75%
Black or African-American	11,882	29.14%
Asian	189	0.46%
American Indian or Alaska Native	194	0.48%
Native Hawaiian or Other Pacific Islander	97	0.24%
Multi-racial	2,079	5.10%
Don't Know/ Refused	230	0.56%
Information Missing	108	0.26%
<b>Total</b>	<b>40,769</b>	<b>100.00%</b>

Of 40,769 total persons, 14,881 persons, or 36.50% percent, are of Hispanic or Latino origin. The breakdown of this population is below.

**Ethnicity of Persons Assisted in PY 2012  
(FY 2011 1<sup>st</sup> ESGP allocation, FY 2011 2<sup>nd</sup> allocation ESG, and 2012 ESG  
for the time period of 2/1/12-1/31/13)**

<b>Ethnicity</b>	<b>Persons Assisted</b>	<b>Percent</b>
Hispanic/Latino	14,881	36.50%
Non-Hispanic/Non-Latino	25,593	62.78%
Don't Know/ Refused	171	0.42%
Information Missing	124	0.30%
<b>Total</b>	<b>40,769</b>	<b>100.00%</b>

**Income Status of Persons Assisted**

Of the 40,769 persons assisted, approximately 100% percent would be extremely low income

**Income Status of Persons Assisted in PY 2012**

<b>Income Level</b>	<b>Persons Assisted</b>	<b>Percent</b>
Extremely Low Income (0-30% AMFI)	40,769	100
Very Low Income (31-50% AMFI)	0	0
Low Income (51-80% AMFI)	0	0
Moderate Income (81-95%)	0	0
Higher than 95%	0	0
<b>Total</b>	<b>40,769</b>	<b>100%</b>

## ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

### Homeless Populations Needs Summary Table

Populations	Priority Need Level
Homeless Population	H
Families	H
Chronic Substance Abusers	H
Seriously Mentally Ill	H
Persons with HIV/AIDS	H
Victims of Domestic Violence	H
Youth	H
Rural	H
General Homeless	H

### Subpopulations of Persons Assisted

Populations	Number Served
Chronic Substance Abusers	2,706
Severely Mentally Ill	2,239
Persons with HIV/AIDS	156
Victims of Domestic Violence	15,154
Chronically Homeless	5,198
Persons with Other Disabilities	2,535
Veterans	830
Elderly (age 62 and over)	765

Note: Persons may be reported in multiple categories.

The following 2011 ESGP (9/1/2011-8/31/2012) award recipients are targeting several of the priority homeless populations identified above. The table reflects the primary target population; however, the majority of the subrecipients serve other populations. The exception would be subrecipients who serve domestic violence victims or youth.

### Priority Homeless Populations Served by 2011 ESGP Award Recipients

Target Population	Number of Subrecipients	Percent
All Homeless	12	27.27%
At-Risk Homeless	10	22.72%
Domestic Violence Victims	16	36.36%
Youth	2	4.55%
Persons with HIV/AIDS	0	0%
Mentally Ill	0	0%
Other single Women who are Homeless	2	4.55



Target Population	Number of Subrecipients	Percent
Other Asylum seekers, Asylees, Immigrants	2	4.55
Homeless Families	0	0%
Other Homeless Men	0	0%
Other Women and Children	0	0%
Total Subrecipients	44	100%

**Priority Homeless Populations Served by 2011 2<sup>nd</sup> allocation and 2012 ESG Award Recipients**

Target Population	Number of Subrecipients	Percent
All Homeless	37	
At-Risk Homeless	37	
Chronically Homeless	25	
Domestic Violence Victims	32	
Youth	11	
Persons with HIV/AIDS	13	
Mentally Ill		
Other single Women who are Homeless		
Other Asylum seekers, Asylees, Immigrants		
Homeless Families		
Other Homeless Men		
Other Women and Children		
Elderly	15	
Persons with Disabilities	22	
Persons with Alcohol or Other Addictions	18	
Veterans	17	
Other	17	
Total Subrecipients	67	100%
Note; The table represents 2011 2 <sup>nd</sup> allocation and 2012 allocation and also represents a duplicate count in that a subrecipient may serve more than one target population.		
Other represents subrecipients serving releases/ex-offenders, homeless pregnant women, homeless families and children, victims of sexual assault or stalking or child abuse.		

*Specific Accomplishments*

While the Department considers all homeless populations to be a priority, the awards process gives some preference for populations with higher barriers such as severe mental illness and ex-offenders, and to applicants who serve rural areas, ESGP and ESG funds are awarded on a competitive basis. The services provided by ESGP and ESG subrecipients during the FY 2012 period addressed the high priority needs identified above. The information in the table reflects the primary target population of ESGP and ESG subrecipients. Most ESGP and ESG subrecipients also serve other populations and most of the shelters serving all homeless populations would include persons who are mentally ill, persons who are chronic substance abusers, and persons with HIV/AIDS.

## *Program Performance*

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### *Homeless*

#### *Persons with Disabilities*

In order to meet the needs of persons with disabilities, the Department's ESGP and ESG subrecipients must make their facilities accessible to persons with disabilities.

ESGP and ESG subrecipients submit an annual Integrated Disbursement and Information System (IDIS) Report, and in that report, agencies state the percentage of persons assisted on an average day who meet a variety of identified characteristics, including the percentage of persons who are physically disabled. However, because a percentage is reported by each subrecipient and is not aggregated by the Department, the Department is unable to report a statewide number or percent of persons with disabilities assisted.

## HOUSING: HOME INVESTMENT PARTNERSHIPS PROGRAM

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock. HOME strives to meet both the short-term goal of increasing the supply and the availability of affordable housing and the long-term goal of building partnerships between State and local governments and, private and nonprofit organizations to strengthen their capacity to meet the housing needs of low income Texans.

### DESCRIPTION OF RESOURCES

This section describes HOME funding that was available for PY 2012.

#### *PY 2012 Activities*

For PY 2012, TDHCA was allocated \$24,284,636 in HOME funds by HUD.

#### **PY 2012 HOME State Allocation and Funding Plan**

<b>Plan</b>	<b>Funding Amount</b>	<b>Percentage of Total Annual Allocation</b>
Total HOME Allocation for PY 2012	\$24,284,636	100%
Less Administration Funds (10 percent of allocation, not subject to Regional Allocation Formula)	\$2,428,464	10%
Less CHDO Project Funds Set Aside (15 percent of allocation, subject to Regional Allocation Formula)	\$3,642,695	15%
Less CHDO Operating Expenses Set Aside (5 percent of CHDO Set Aside, not subject to Regional Allocation Formula)	\$182,135	1%
Less Persons with Disabilities Housing Programs (not subject to Regional Allocation Formula)	\$1,214,232	5%
Less Set Aside for Contract for Deed (CFD) Conversions (not subject to Regional Allocation Formula)	\$2,000,000	7%
Less Set Aside for Rental Housing Development Program (subject to Regional Allocation Formula)	\$9,471,008	40%
Remaining Project Funds (subject to Regional Allocation Formula)	<b>\$5,346,102</b>	22%
Estimated Program Income <sup>1</sup>	\$3,000,000	n/a
Total HOME Funds subject to the Regional Allocation Formula (includes Estimated Program Income)	<b>\$18,459,805</b>	n/a

<sup>1</sup>Preliminary estimate included in the 2012 One-Year Action Plan, Funding Plan.

**Summary of Project Funds Subject to Regional Allocation Formula (RAF)**

Activity	Funding Amount	% of Available Funding
Homebuyer Assistance (All Activities)	\$1,780,252	33.3%
HRA (formerly OCC)	\$1,780,252	33.3%
TBRA	\$1,764,214	33.3%
Total	\$5,346,102	100.00%

*PY 2012 Activities*

HUD regulations allow the HOME Program to serve a variety of activities such as homeowner rehabilitation, homebuyer assistance, tenant-based rental assistance, and rental housing development assistance. The PY 2012 allocation funded the following activities.

Homeowner Rehabilitation Assistance

Rehabilitation or reconstruction cost assistance, in the form of grants or loans, is provided to eligible homeowners for the repair or reconstruction of their existing homes. The homes must be the principal residence of the homeowner throughout the established affordability period. Housing that is constructed or rehabilitated with HOME funds must meet all applicable local codes, rehabilitation standards, ordinances, and zoning ordinances at the time of project completion. In the absence of a local code for new construction or rehabilitation, HOME-assisted new construction or rehabilitation must meet, as applicable, the International Residential Code (IRC), Texas Minimum Construction Standards (TMCS) and be in compliance with the basic access standards in new construction, established by Texas Government Code §2306.514. This statutory requirement applies to any applicants utilizing federal or state money administered by TDHCA in the construction of affordable single- family homes for low and very-low income families and individuals.

Homebuyer Assistance With or Without Rehabilitation

Down payment and closing costs, and contract for deed conversion assistance may be provided to homebuyers for the acquisition of affordable single-family housing based on the family’s needs. This activity may also be used for the following:

- Construction costs associated with architectural barrier removal in assisting homebuyers with disabilities by modifying a home purchased with HOME assistance to meet their accessibility needs.
- Acquisition and rehabilitation costs associated with contract for deed conversions to serve colonia residents.

Eligible homebuyers may receive loans up to \$20,000 per household for down payment and closing costs, in the form of a 2nd or 3rd lien, at zero percent interest, with a 10-year deferred-forgivable loan term.

Homebuyer assistance loans are to be repaid at the time of resale of the property, transfer of any interest in the property, lease of the property, default under the terms of the loan, refinance of the first lien, or repayment of the first lien. The Department has elected to utilize the recapture provision under 24 CFR §92.254(a)(5)(ii) as its primary method of enforcing compliance with the applicable HOME requirements if a sale or foreclosure occurs or if the owners no longer occupy the property as their principal residence or breach any other HOME requirement. If a situation occurs where the recapture provisions will not apply, the Department will utilize and comply with the resale provisions under 24 CFR §92.254(a)(5)(i).

At the completion of the assistance, all properties must meet the Texas Minimum Construction Standards, Housing Quality Standards, or local building codes, as applicable. Compliance with the basic standards in new construction, established by Texas Government Code §2306.514 is also required for any applicants utilizing federal or State money administered by TDHCA in the construction of affordable single family homes for low and very-low income families and individuals.

### Tenant-Based Rental Assistance

Rental subsidy and security and utility deposit assistance is provided to tenants, in accordance with written tenant selection policies, for a period not to exceed twenty-four months, but may be renewed, subject to meeting the Department's program rules and the availability of HOME funds. TBRA assistance is portable which allows the assisted tenant to live in the dwelling unit of their choice with a right to continued assistance with the condition that assisted families participate in a self-sufficiency program.

### Rental Housing Development

Awards for eligible applicants are to be used for acquisition, construction, and rehabilitation of affordable multifamily rental housing. Owners are required to make the units available to extremely low, very low, and low income families, and must meet long-term rent restrictions and maintain property standards.

### CHDO Set-Aside

A minimum of 15 percent of the annual HOME allocation is reserved for CHDOs. CHDO set-aside projects are owned, developed, or sponsored by the CHDO, and result in the development of rental units or homeownership. Development includes projects that have a construction component, either in the form of new construction or the rehabilitation of existing units.

Activities funded in support of Subchapter GG of Texas Government Code §2306 which was created to provide low interest rate or interest-free loans to promote the development of new, high-quality, residential housing, are funded under the CHDO set-aside. These activities provide alternatives to substandard colonias, and housing options affordable to individuals and families of extremely low and very low income who would otherwise move into substandard colonias.

### Contract for Deed Conversions Set-Aside

In 2009, the 81st Legislature passed Appropriations Rider 6 to TDHCA's appropriation, which requires TDHCA to set-aside no less than \$4 million for the biennium on contract for deed conversions for families that reside in a colonia, and earn 60 percent or less of the applicable area median family income

## *Program Performance*

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### *Housing*

(AMFI) and the home converted must be their primary residence throughout the established affordability period. The intent of this program is to help colonia residents become property owners by converting their contracts for deeds into traditional mortgages. Properties proposed for this initiative must be located in a colonia as defined in Texas Government Code §2306 or as published in the Department's program rules.

### Persons with Disabilities Set-Aside

Pursuant to Texas Government Code §2306.111(c)(2) in its administration of federal housing funds provided to the state under the Cranston-Gonzalez National Affordable Housing Act, five percent of these funds shall be expended for the benefit of persons with disabilities who live in any area of the state. Approximately \$1.2 million of directed assistance for persons with disabilities is eligible for activities including Rental Housing Development, TBRA, and HBA with optional rehabilitation activities.

## INVESTMENT OF RESOURCES

This section describes HOME funding commitments that were made with PY 2012 funds.

TDHCA receives an annual HOME allocation from HUD, which is then awarded to units of local government, public housing authorities (PHAs), community housing development organizations (CHDOs), and other nonprofits and for-profits eligible to receive HOME funds from the State. TDHCA provides technical assistance through on-site visits, webinars, and workshops to all administrators of HOME funds to ensure that all participants meet and follow the State program rules and federal regulations, and continues to provide technical assistance during the implementation of the HOME programs.

HOME funds are reserved for persons at or below 80 percent of the area median family income as defined by HUD. By HUD regulations, 15 percent of TDHCA's total HOME allocation must be set-aside for CHDOs.

### *PY 2012 Funding Commitments*

During 2012, TDHCA awarded a total of \$76,078,767 in HOME funds including program income and additional de-obligated funds in the following manner:

**Total Amount of Funding Committed for PY 2012**

Activity	Project Funds Awarded*	Admin/Oper Exp. Funds Awarded
2012 HUD HOME Allocation and Reprogrammed Funds Committed	\$39,135,920.88	\$1,682,514.38
Less CHDO (15% of Allocation)	\$3,300,000	—
Less CHDO Operating Exp. (5% of CHDO)	—	\$50,000
Less MF Rental Housing Development Program	\$28,815,786	\$ 0.00
Less Contract for Deed	\$309,078.30	\$15,074.72
Persons with Disabilities set Aside	\$2,682,334.13	\$88,058.91

\*PY 2012 Funding Commitments include Project Funds Awarded and Admin/Oper Exp. Funds Awarded

**Summary of Project Funds Subject to Regional Allocation Formula for PY 2012**

Activity	HOME Allocation	Project Funds Awarded	Admin/Oper Exp. Funds Awarded
Homebuyer Assistance	\$1,780,252	<b>\$2,895,159.74</b>	\$88,039.61
Homeowner Rehabilitation(Includes Program Income and Homeowner Rehabilitation Disaster Relief)	\$1,780,252	<b>\$31,780,160.14</b>	\$1,195,634.60
Tenant-Based Rental Assistance	\$1,764,214	<b>\$4,210,603.00</b>	\$358,928

**Award of HOME Funds by Activity PY 2012\***

Activity	Amount	Percentage
Homebuyer Assistance	\$3,514,436.04	4.73%
Homeowner Rehabilitation Assistance	\$32,837,717.14	44.20%
Tenant-Based Rental Assistance	\$4,234,603.00	5.70%
CHDO Operating Expense	\$50,000.00	0.07%
Rental Housing Development	\$30,353,852.00	40.86%
CHDO Single Family Development	\$300,000.00	0.40%
CHDO Rental Development	\$3,000,000.00	4.04%
<b>Totals</b>	<b>\$74,290,608.18</b>	<b>100.00%</b>

(\*Includes Administration and Reprogrammed Funds)

*Matching Requirements*

TDHCA provides matching contributions from several sources for HOME funds drawn down from the State HOME Investment Trust Funds Treasury account within the PY. The following sources may be utilized:

- Proceeds from the sale of single or multifamily mortgage revenue bonds issued by TDHCA.
- Match contributions from TDHCA non-federal funds to affordable housing projects that are not HOME-assisted but that meet the requirements as specified in 24 CFR §92.219(b)(2).
- Eligible match contributions from State recipients and subrecipients, as specified in 24 CFR §92.220.

TDHCA annually submits a separate HOME match report, HUD 40107-A, which lists matching funds and sources provided by each HOME project.



## DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2012 funds were distributed and the location of HOME awards.

### *Allocation Formula*

The HOME program is implemented through State and local governments called participating jurisdictions which are States, units of general local governments, including consortia and urban counties, which receive funds directly from HUD. Texas Government Code §2306.111(c) mandates that TDHCA is to allocate no less than 95 percent of HOME funds to serve households located in a non-participating jurisdiction. In addition, the Department must use 5 percent of the HOME funds to serve persons with disabilities.

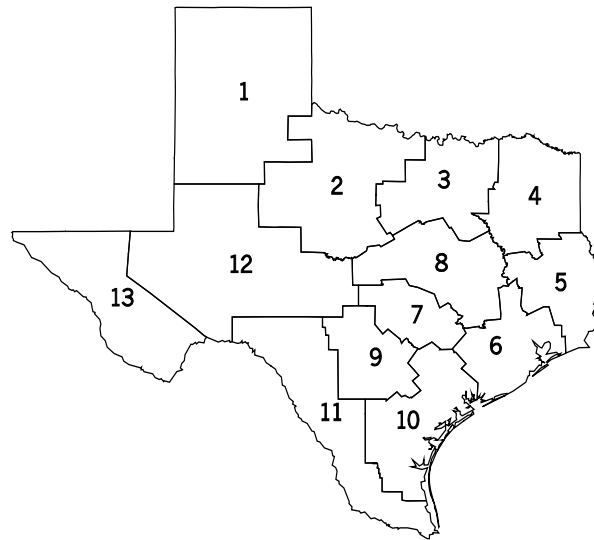
In the One Year Action Plan, TDHCA had a goal of allocating a minimum of 20 percent of the annual HOME allocation to applicants serving persons with special needs. Persons with “special needs” include homeless persons, elderly persons, persons with disabilities, persons with AIDS and AIDS-related diseases, victims of domestic violence, persons with alcohol and/or drug addictions, colonia residents, and migrant farmworkers. Eligible activities include homebuyer assistance, homeowner rehabilitation, and tenant-based rental assistance.

### Regional Allocation Formula

Texas Government Code §2306.111(d) mandates that TDHCA allocate housing funds awarded in the HOME, Housing Trust Fund, and Housing Tax Credit (HTC) programs using a formula developed by TDHCA. As a result, a large portion of the HOME funds were awarded in early 2012 using the Regional Allocation Formula (RAF) developed pursuant to Texas Government Code §2306.111. PY 2012 funding associated with the following set-asides was not distributed through the RAF: Contract for Deed Conversions and Persons with Disabilities Set-Aside.

Texas Government Code §2306.1112 establishes TDHCA’s Executive Award and Review Advisory Committee. HOME funding recommendations for contract awards made in 2012 were presented to this committee prior to recommendation to TDHCA’s Governing Board.

State Service Regions Map



**Regional Allocation of HOME Funds PY 2012**  
(Includes Administration and Reprogrammed<sup>1</sup> Funds)

Region	Amount	% of Total Amount	Proposed Units to be Assisted	% of Total Units to be Assisted
1	\$3,712,426.00	5.00%	56	3.66%
2	\$3,969,249.00	5.34%	59	3.86%
3	\$7,004,903.39	9.43%	200	13.09%
4	\$11,205,925.21	15.08%	127	8.31%
5	\$2,120,785.00	2.85%	87	5.69%
6	\$2,548,420.88	3.43%	65	4.25%
7	\$16,283,844.29	21.92%	369	24.15%
8	\$2,845,056.00	3.83%	45	2.95%
9	\$3,112,301.00	4.19%	136	8.90%
10	\$6,642,309.00	8.94%	104	6.81%
11	\$9,469,877.34	12.75%	180	11.78%
12	\$2,727,513.00	3.67%	41	2.68%
13	\$1,377,498.07	1.85%	18	1.18%
Multiregional	\$1,220,500.00	1.64%	41	2.68%
CHDO Operating Funds	\$50,000.00	0.07%	0	0
<b>Total</b>	<b>\$74,290,608.18</b>	<b>100.00%</b>	<b>1528</b>	<b>100.00%</b>

<sup>1</sup>includes program income and deobligated monies

*Award Locations*

PY 2012 HOME awards were made in the following areas of the state. These numbers include administration dollars awarded to the contractor.

**PY 2012 HOME Awards and Estimated Units by Region**

Regions Served	Administrator Name	Counties Served	Amount	Total Units
	WREM Literacy Group, Inc.		\$50,000.00	
1	City of Skellytown	CARSON	\$541,200.00	6
1	OPG High Plains Partners, LLC	MOORE	\$500,000.00	13
1	City of Lockney	FLOYD	\$541,200.00	6
1	City of Olton	LAMB	\$541,200.00	6
1	City of Muleshoe	BAILEY	\$451,000.00	5
1	Stonebridge of Kelsey Park	LUBBOCK	\$356,266.00	11
1	City of Smyer	HOCKLEY	\$169,846.00	2
1	City of Turkey	HALL	\$176,714.00	2
1	City of Memphis	HALL	\$435,000.00	5
2	Central Texas MHMR Services dba The Center for Life Resources	EASTLAND	\$7,392.00	1
2	City of Ballinger	RUNNELS	\$347,892.00	4
2	City of Seymour	BAYLOR	\$84,127.00	1
2	Montague County	MONTAGUE	\$64,638.00	1
2	Villas of Brownwood Apartments II, LP	BROWN	\$1,500,000.00	16
2	City of Holliday	ARCHER	\$541,200.00	6
2	City of Anson	JONES	\$562,000.00	6
2	City of Rising Star	EASTLAND	\$562,000.00	6
2	OPG Saddlebrook Partners, LLC	WICHITA	\$300,000.00	18
3	1500 MLK, LLC	PALO PINTO	\$280,356.00	19
3	Chicory Court Lake Dallas, LP	DENTON	\$3,000,000.00	28
3	City of Wolfe City	HUNT	\$562,000.00	6
3	Kaufman Leased Housing Associates I, LP	KAUFMAN	\$300,000.00	4
3	City of McKinney	COLLIN	\$10,000.00	1
3	Habitat for Humanity of South Collin County	COLLIN	\$80,888.60	1
3	Easter Seals of Central Texas	ELLIS	\$20,000.00	1
3	Easter Seals of Central Texas	DALLAS	\$206,032.25	10
3	City of Waxahachie	ELLIS	\$58,500.00	3
3	City of Pilot Point	DENTON	\$68,554.41	1
3	City of Corsicana	NAVARRO	\$442,337.00	5
3	City of Commerce	HUNT	\$271,716.00	3
3	City of Bonham	FANNIN	\$181,144.00	2
3	Affordable Housing of Parker County	WISE	\$243,430.00	28
3	Affordable Housing of Parker County	TARRANT	\$4,463.00	1
3	Affordable Housing of Parker County	PARKER	\$544,064.00	78
3	City of Honey Grove	FANNIN	\$421,633.13	5
3	City of Terrell	KAUFMAN	\$309,785.00	4
4	Lamar County	LAMAR	\$260,585.00	3

## Program Performance

### Housing

Regions Served	Administrator Name	Counties Served	Amount	Total Units
4	City of Kilgore	GREGG	\$175,296.00	2
4	City of Malakoff	HENDERSON	\$479,564.00	6
4	City of Palestine	ANDERSON	\$524,428.00	6
4	City of Paris	LAMAR	\$703,419.96	9
4	City of Roxton	LAMAR	\$90,572.00	1
4	City of Sulphur Springs	HOPKINS	\$271,716.00	3
4	Delta County	DELTA	\$178,544.00	2
4	City of Edgewood	VAN ZANDT	\$89,372.00	1
4	City of Trinidad	HENDERSON	\$244,369.51	3
4	City of Bloomburg	CASS	\$79,650.00	1
4	City of Jacksonville	CHEROKEE	\$528,240.00	6
4	City of Annona	RED RIVER	\$267,216.00	3
4	City of Gladewater	GREGG	\$350,682.00	4
4	City of Berryville	ANDERSON	\$83,865.00	1
4	City of Carthage	PANOLA	\$472,180.74	6
4	City of Chandler	HENDERSON	\$178,744.00	2
4	City of Clarksville	RED RIVER	\$71,740.00	1
4	City of Cooper	DELTA	\$140,740.00	2
4	City of Detroit	RED RIVER	\$271,716.00	3
4	City of Atlanta	CASS	\$479,685.00	6
4	City of Gilmer	UPSHUR	\$562,000.00	6
4	Heartland Village, Ltd.	HOPKINS	\$1,510,000.00	16
4	City of Rusk	CHEROKEE	\$562,000.00	6
4	City of Troup	SMITH	\$562,000.00	6
4	City of Ore City	UPSHUR	\$562,000.00	6
4	City of Lindale	SMITH	\$562,000.00	6
4	City of New Summerfield	CHEROKEE	\$562,000.00	6
4	City of Bullard	SMITH	\$381,600.00	4
5	Ingenious Living Bridge City, LLC	ORANGE	\$250,000.00	17
5	Orange Leased Housing Associates I, LP	ORANGE	\$300,000.00	3
5	Lufkin ITPP Housing I, LP	ANGELINA	\$390,000.00	14
5	Burke Center	NACOGDOCHES	\$111,755.00	10
5	Spindletop Center	JEFFERSON	\$28,639.00	7
5	City of Nacogdoches	NACOGDOCHES	\$73,852.00	1
5	City of Crockett	HOUSTON	\$350,592.00	4
5	Texas Department of Housing and Community Affairs	JEFFERSON	\$1,000.00	1
5	Burke Center	JASPER	\$9,936.00	1
5	Burke Center	ANGELINA	\$151,562.00	11
5	Buckner Children & Family Ser., Inc., dba Buckner Family Place	NACOGDOCHES	\$6,156.00	1
5	Buckner Children & Family Ser., Inc., dba Buckner Family Place	ANGELINA	\$167,861.00	13
5	Burke Center	POLK	\$16,488.00	1
5	City of Center	SHELBY	\$262,944.00	3
6	City of Huntsville	WALKER	\$432,934.00	5
6	WREM Literacy Group, Inc.	WALLER	\$445,725.00	5
6	Tri-County MHMR-DbA Tri-	MONTGOMERY	\$39,923.00	7

Housing

Regions Served	Administrator Name	Counties Served	Amount	Total Units
	County Services			
6	Southeast Texas Housing Finance Corporation	MATAGORDA	\$21,000.00	1
6	Southeast Texas Housing Finance Corporation	HARRIS	\$21,000.00	1
6	Southeast Texas Housing Finance Corporation	GALVESTON	\$210,000.00	10
6	Easter Seals of Central Texas	HARRIS	\$55,998.88	3
6	Buckner Children & Family Ser., Inc., dba Buckner Family Place	MONTGOMERY	\$80,870.00	6
6	Southeast Texas Housing Finance Corporation	BRAZORIA	\$117,970.00	6
6	Freeport VB Housing, LP	BRAZORIA	\$220,000.00	3
6	Parkview Place Senior Housing Limited Partnership	WALKER	\$41,000.00	9
6	WREM Literacy Group, Inc.	WALLER	\$300,000.00	3
6	City of East Bernard	WHARTON	\$562,000.00	6
7	THF Chandler Place, Ltd.	BLANCO	\$2,000,000.00	21
7	Creek View, Ltd.	BLANCO	\$2,000,000.00	19
7	Allegre Point Partners, Ltd.	TRAVIS	\$2,000,000.00	38
7	Creek View, Ltd.	BLANCO	\$1,450,000	16
7	HVM Taylor, Ltd.	WILLIAMSON	\$1,036,854.00	20
7	DDC Merritt Legacy, Ltd.	WILLIAMSON	\$2,000,000.00	42
7	HPD SG LP	WILLIAMSON	\$1,300,000.00	35
7	THF Georgetown Gateway Northwest, Ltd.	WILLIAMSON	\$2,000,000.00	37
7	Combined Community Action, Inc.	FAYETTE	\$6,648.00	1
7	Texas Department of Housing and Community Affairs	BASTROP	\$20,000.00	1
7	Combined Community Action, Inc.	CALDWELL	\$20,088.00	1
7	Travis County Housing Finance Corporation	TRAVIS	\$214,462.00	15
7	Texas Department of Housing and Community Affairs	WILLIAMSON	\$80,000.00	4
7	Texas Department of Housing and Community Affairs	TRAVIS	\$94,999.00	5
7	Texas Department of Housing and Community Affairs	HAYS	\$54,999.00	3
7	Texas Department of Housing and Community Affairs	BASTROP	\$20,000.00	1
7	Texas Department of Housing and Community Affairs	WILLIAMSON	\$730,121.29	38
7	Texas Department of Housing and Community Affairs	HAYS	\$160,000.00	8
7	Easter Seals of Central Texas	WILLIAMSON	\$13,501.00	1
7	Easter Seals of Central Texas	TRAVIS	\$303,194.00	18
7	Combined Community Action, Inc.	FAYETTE	\$10,968.00	1
7	Combined Community Action, Inc.	BASTROP	\$219,473.00	13
7	Texas Department of Housing and Community Affairs	TRAVIS	\$248,999.00	13

## Program Performance

### Housing

Regions Served	Administrator Name	Counties Served	Amount	Total Units
7	Combined Community Action, Inc.	BASTROP	\$86,547.00	5
7	Easter Seals of Central Texas	BASTROP	\$32,943.00	1
7	Combined Community Action, Inc.	HAYS	\$38,592.00	2
7	Combined Community Action, Inc.	LEE	\$2,424.00	1
7	Community Partnership for the Homeless DBA Green Doors	TRAVIS	\$25,279.00	2
7	Community Partnership for the Homeless DBA Green Doors	WILLIAMSON	\$7,348.00	2
7	Easter Seals of Central Texas	TRAVIS	\$43,000.00	2
7	Combined Community Action, Inc.	TRAVIS	\$63,405.00	3
8	City of Gatesville	CORYELL	\$165,250.00	2
8	City of Lometa	LAMPASAS	\$172,140.00	2
8	City of Moody	MCLENNAN	\$81,100.00	1
8	Temple Housing Authority	BELL	\$30,000.00	2
8	PK Northwood Apartments, LP	GRIMES	\$618,170.00	10
8	PK Oakwood Apartments, LP	MADISON	\$484,863.00	11
8	City of Madisonville	MADISON	\$562,000.00	6
8	PK Elmwood Apartments, LP	LEON	\$369,733.00	8
8	CDG Housing Bryan, Ltd.	BRAZOS	\$361,800.00	3
9	The Residences of Solms Village, LP	COMAL	\$1,900,000.00	16
9	Housing Authority of the City of New Braunfels	COMAL	\$19,060.00	4
9	Housing Authority of the City of New Braunfels	COMAL	\$39,095.00	6
9	New Braunfels Community Resources (FKA Ellis Comm Resources Inc)	COMAL	\$896,975.00	99
9	Easter Seals of Central Texas	BEXAR	\$21,500.00	1
9	City of Poteet	ATASCOSA	\$89,000.00	1
9	Center for Health Care Services	BEXAR	\$76,671.00	8
9	Hill Country Home Opportunity Council, Inc.	KERR	\$70,000.00	1
10	Coastal Bend Center for Independent Living	NUECES	\$222,967.00	24
10	City of Freer	DUVAL	\$260,100.00	3
10	Rural Economic Assistance League, Inc.	JIM WELLS	\$356,000.00	4
10	Community Action Corporation of South Texas	JIM WELLS	\$445,300.00	5
10	Coastal Bend Center for Independent Living	ARANSAS	\$4,332.00	1
10	City of Odem	SAN PATRICIO	\$89,000.00	1
10	Community Action Corporation of South Texas	SAN PATRICIO	\$451,800.00	5
10	City of Sinton	SAN PATRICIO	\$562,000.00	6
10	City of Rockport	ARANSAS	\$562,000.00	6
10	City of Orange Grove	JIM WELLS	\$562,000.00	6
10	PK Cottonwood Apartments, LP	SAN PATRICIO	\$340,810.00	9
10	City of Agua Dulce	NUECES	\$562,000.00	6
10	City of Ingleside	SAN PATRICIO	\$562,000.00	6

Regions Served	Administrator Name	Counties Served	Amount	Total Units
10	TGO Independence Village, LP	CALHOUN	\$1,100,000.00	16
10	City of George West	LIVE OAK	\$562,000.00	6
11	City of Roma	STARR	\$541,200.00	6
11	VDC Los Fresno Reserve I Homes, LP	CAMERON	\$2,000,000.00	42
11	Sunrise Terrace LP	CAMERON	\$1,444,000	14
11	VDC San Benito Reserve I Homes, LP	CAMERON	\$2,000,000.00	31
11	Community Development Corporation of Brownsville	CAMERON	\$1,728,992.04	28
11	The Care Program, Inc	HIDALGO	\$129,000.00	6
11	Starr County	STARR	\$21,500.00	1
11	Willacy County	WILLACY	\$42,965.00	5
11	Dimmit County	DIMITT	\$422,860.00	5
11	Coastal Bend Center for Independent Living	CAMERON	\$16,488.00	5
11	City of Roma	STARR	\$175,886.58	3
11	City of Lyford	WILLACY	\$130,478.31	2
11	City of Lyford	WILLACY	\$463,959.86	7
11	San Benito Housing Authority	CAMERON	\$11,177.00	1
11	Community Development Corporation of Brownsville	CAMERON	\$341,370.55	24
12	City of Eldorado	SCHLEICHER	\$337,700.00	4
12	Midland Community Development Corporation	MIDLAND	\$59,750.00	3
12	Concho Valley Community Action Agency	SUTTON	\$78,269.00	1
12	City of Eden	CONCHO	\$597,860.00	7
12	Buckner Children & Family Ser., Inc., dba Buckner Family Place	MIDLAND	\$134,639.00	9
12	Crane County	CRANE	\$436,895.00	5
12	City of McCamey	UPTON	\$541,200.00	6
12	City of Seminole	GAINES	\$541,200.00	6
13	El Paso Collaborative for Community and Economic Development	EL PASO	\$188,500.00	2
13	AYUDA, Inc.	EL PASO	\$91,207.30	1
13	AYUDA, Inc.	EL PASO	\$178,000.00	2
13	Culberson County	CULBERSON	\$525,554.00	6
13	El Paso Collaborative for Community and Economic Development	EL PASO	\$93,425.00	1
13	El Paso Collaborative for Community and Economic Development	EL PASO	\$217,871.00	2
13	El Paso Collaborative for Community and Economic Development	EL PASO	\$82,940.77	4
Multiregional	Central Texas Opportunities, Inc.	MCCULLOCH, BROWN, CALLAHAN, COLEMAN,	\$334,500.00	15

## Program Performance

### Housing

Regions Served	Administrator Name	Counties Served	Amount	Total Units
		COMANCHE, EASTLAND, RUNNELS		
Multiregional	Central Texas Opportunities, Inc.	MCCULLOCH, BROWN, CALLAHAN, COLEMAN, COMANCHE, EASTLAND, RUNNELS	\$324,000.00	20
Multiregional	Central Texas Opportunities, Inc.	MCCULLOCH, BROWN, CALLAHAN, COLEMAN, COMANCHE, EASTLAND, RUNNELS	\$562,000.00	6
		<b>Totals</b>	<b>\$73,396,608.18</b>	<b>1528</b>

## FAMILIES AND PERSONS ASSISTED

This section describes the households assisted with HOME funds.

### *Anticipated Households Served with PY 2012 Funding*

For activities that were awarded with PY 2012 funds, there are 1,528 total anticipated units.

#### **PY 2012 Estimated Funds and Units** (Including Administration and Reprogrammed Funds\*)

Activity	Total Estimated Units	Total Funding
Owner – Homebuyer Assistance (all activities)	172	\$3,590,475.65
Owner – Homeowner Rehabilitation Assistance	382	\$33,509,351.74
Owner – CHDO Development Single Family	3	\$300,000.00
Renter – Tenant-Based Rental Assistance	412	\$4,595,451.24
Renter – Rental Housing Development	559	\$33,353,852.00
CHDO Operating	0	\$50,000.00
<b>Total:</b>	<b>1528</b>	<b>\$74,290,608.18</b>

\* includes program income and deobligated funds

### *Actual Households Served in PY 2012*

This section reports on the actual units completed in PY 2012 (February 1, 2012, through January 31, 2013) through current HOME agreements. These activities were originally awarded in 2006 through 2011, and units were completed during the PY 2012 reporting period. There were 1,426 total units completed in PY 2012 through these awards.



**Actual Units Completed in PY 2012 by Activity**

Activity	Total Units	Total Disbursed
Owner – CHDO Single Family Development	9	\$846,477.65
Owner – Homebuyer Assistance	214	\$3,775,918.24
Owner – Homeowner Rehabilitation Assistance	176	\$12,060,269.05
Renter – Tenant-Based Rental Assistance	388	\$2,724,308.00
Renter – CHDO Rental Development	<b>83</b>	<b>\$8,000,000</b>
Renter – Rental Development	556	\$30,298,534.10
<b>Totals</b>	<b>1426</b>	<b>\$57,705,507.04</b>

**Special Needs Population Assisted Units Completed in PY 2012**

Special Needs Group	Units Assisted	% of Units
Alcohol and Drug Addiction	1	0.14%
Colonias	16	2.21%
Elderly Populations	274	37.85%
Homeless Populations	146	20.17%
Migrant Farmworkers	0	0.00%
Persons With Disabilities	247	34.12%
Persons with HIV/AIDS	28	3.87%
Victims of Domestic Violence	12	1.66%
Public Housing Residents	0	0.00%

**Racial Composition of Assisted Units Completed in PY 2012**

Race	Units Assisted	Percent of Units
American Indian/Alaska Native	1	0.13%
American Indian/Alaska Native & Black/African American	1	0.13%
American Indian/Alaska Native & White	4	0.51%
Asian	10	1.27%
Asian & White	2	0.25%
Black/African American	129	16.39%
Black/African American & White	3	0.38%
Native Hawaiian/Other Pacific Islander	0	0.00%
Other Multi Racial	12	1.52%
White	625	79.42%
<b>Total</b>	<b>787</b>	<b>100.00%</b>

**Hispanic Origin of Assisted Units Completed in PY 2012**

Ethnicity	Units Assisted	Percent of Units
Hispanic and American Indian/Alaska Native & Black/African American	1	0.13%
Hispanic and Other Multi Racial	5	0.64%
Hispanic and White	307	39.01%
Not Hispanic and American Indian/Alaska Native	1	0.13%
Not Hispanic and American Indian/Alaska Native & White	4	
Not Hispanic and Asian	10	1.27%
Not Hispanic and Asian & White	2	
Not Hispanic and Black/African American	129	16.39%
Not Hispanic and Black/African American & White	3	0.38%
Not Hispanic and Other Multi Racial	7	0.89%
Not Hispanic and White	318	40.41%

**Income Status of Owner/Renter Units Completed in PY 2012**

Income Category	Number of Units	% of Units
Extremely Low Income (0-30% AMFI)	463	32.47%
Very Low Income (31-50% AMFI)	106	7.43%
Low Income (51-60% AMFI)	513	35.97%
Low Income (61-80% AMFI)	344	24.12%
<b>Total</b>	<b>1,426</b>	<b>100.00%</b>

**Income Status of Units Completed in PY 2012 by Activity**

<b>Income Status</b>	<b>AMFI 0-30%</b>	<b>AMFI 31-50%</b>	<b>AMFI 51-60%</b>	<b>AMFI 61-80%</b>	<b>Total</b>
Owner – Homebuyer Assistance	5	13	37	158	213
Owner – Homeowner Rehabilitation	75	46	36	20	177
Owner - CHDO Single Family Development	0	2	6	1	9
Renter – Tenant-Based Rental Assistance	298	45	34	11	388
Renter – Rental Housing Development	85	0	400	154	639
<b>Totals</b>	<b>463</b>	<b>106</b>	<b>513</b>	<b>344</b>	<b>1426</b>

CPD Outcome Performance Measurement

The table below reports program performance measures as required by HUD CPD guidelines. HOME Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard and represent actual activities closed-out during PY 2012, including those from contracts awarded from 2007 through 2008. The table delineates 1) the number of anticipated units based on the award of dollars during the Program Year and 2) the number of actual units produced (closed activities) during the Program Year. For rental activities, it is not uncommon for units to be reported as a completed as many as 2 to 3 years after award. Additionally, actual units from closed contracts may include partial units on a contract or units from a previous year, de-obligated and reprogrammed funds, or units funded with program income. It is difficult to measure progress of actual units from closed contracts with either the units proposed in the Funding Plan or those anticipated units awarded during the Program Year, as the data is not necessarily related. Additionally, the chart reflects revised performance measures for the number of units anticipated for PY 2012.

**HOME Program Performance Measures, PY 2012**

<b>Outcomes and Objectives</b>	<b>Performance Indicators</b>	<b>Expected Number</b>	<b>Actual Number</b>	<b>Percentage</b>
DH-2	No. of rental units assisted through new construction and rehabilitation	524	556	106%
DH-2	No. of tenant-based rental assistance units	223	388	174%
DH-2	No. of existing homeowners assisted through homeowner rehabilitation assistance	42	176	419%
DH-2	No. of first-time homeowners assisted through homebuyer assistance	168	214	127%

ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and program objectives.

**Housing Priority Summary Needs Table**  
**H=High, M=Medium, L= Low, N=No Such Need**

	Priority Housing Needs	Priority Need Level 0-30%	Priority Need Level 31-50%	Priority Need Level 51-80%
Renter Elderly HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Small Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Large Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
All Other HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Owner	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H

*Specific Accomplishments*

This section describes specific HOME Program activities undertaken during PY 2012 that address high priority needs. Please see the “Goals and Objectives” section for detailed information about HOME Program goals and objectives, which also address these needs.

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock. Beginning in PY 2012, the HOME Program funds are awarded utilizing a Reservation System for single family activities which is first-come, first-served and based on funding availability, and multifamily activities are awarded under a competitive application cycle, as defined in each Notice of Funding Availability (NOFA). Threshold and/or scoring criteria are included in each NOFA to meet statutory or federal requirements and program or Department goals, such as income and special population assistance targets. Additionally, the HOME Program

addresses high priority needs areas by making available ninety-five percent (95%) of its annual HUD allocation to rural areas of Texas, pursuant to Texas Government Code §2306.111(c)(1).

### *Persons with Disabilities*

In order to address the needs of Persons with Disabilities, the HOME Program accomplished the following during PY 2012.

Pursuant to Texas Government Code §2306.111(c)(2) five percent (5%) of HOME Program funds, were made available to persons with disabilities living in any area of the state. As a part of the 2011 allocation, the Department established a Persons with Disabilities (PWD) set-aside equal to 5% of the HOME award, to support the housing needs of this community in Texas.

### ON-SITE INSPECTIONS

On-site monitoring reviews of affordable HOME rental developments are conducted in accordance with 24 CFR §92.504(d) of the HOME Final Rule. The Department is committed to ensuring all rental developments funded with HOME are in compliance with federal and state rules and regulations. The Department's compliance monitoring rules are in 10 TAC, Chapter 10, Subchapter E.

While onsite, monitors review resident files to ensure that households are income eligible under the HOME program and that rents are properly restricted. In addition a Uniform Physical Condition Standards (UPCS) inspection is performed. If noncompliance is identified, owners are notified in writing and provided a 90 day corrective action period.

Properties assisted with HOME funds may fall into egregious or ongoing non-compliance or have financial/operational issues that require further intervention by the Department. In these cases, the Asset Management Division of the Department provides additional oversight and intervention activities to work toward a timely resolution of the identified issues. The Asset Manager enters into discussions with the Owner to determine the most effective workout/resolution strategy available. This includes coordination with compliance and loan servicing staff and may lead to referral to the Asset Resolution Committee of the agency for possible modification of the loan terms. The two primary goals in working with the Owners of HOME assisted developments during the asset resolution phase is to restore compliance with the Land Use Restrictive Agreement (LURA) and facilitate repayment of the loan under the originally agreed upon terms. If the Owner is unable or unwilling to restore compliance, after provided with extensive technical assistance or incurring financial penalties, the Department's options are generally limited to its rights of declaring default, foreclosing on the collateral, and attempting to identify a new party to undertake compliant administration of the property under a modified and extended LURA to achieve documented compliance during the required Federal affordability period. The Department strives to work cooperatively with owners to restore compliance. Before imposing sanctions, alternative solutions are considered such as restructuring debt, intensive in-depth technical assistance, and/or requiring changes in management companies.

## *Program Performance*

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### *Housing*

The Department strives to work cooperatively with owners to restore compliance. Before imposing consequences for noncompliance, alternative solutions are considered such as restructuring debt, intensive in-depth technical assistance, and/or requiring changes in management companies.

To reduce risk of noncompliance, prior to awarding any new funding, the Compliance Division conducts a previous participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance. If any issue(s) are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all necessary corrective action documentation to correct noncompliance. If the HOME administrator does not correct, the application for funding will be terminated.

The Department's enforcement provisions in 10 TAC, Chapter 1, Subchapter A §1.14 establish monetary penalties for owners who do not correct noncompliance violations at the end of the corrective action period. The Department has successfully brought developments into compliance through the administrative penalties process. The Department is continuing to conduct informal hearings with owners to address their compliance violations and restore compliance.

The following table reflects the results of on-site reviews and inspections conducted on HOME rental developments from February 1, 2012 through January 31, 2013. During this time period, TDHCA monitored 179 HOME rental developments. Of the 179, no noncompliance was identified at 64 properties. At 85 of the properties, some noncompliance was identified, but the owner has corrected the issue. The department is awaiting corrective action from 21 of the properties. Nine of the properties have been referred for administrative penalties for failure to cure the issue identified. The table below outlines the developments monitored during this time period and their current status.

**PY 2012 HOME Program Property Inspections**

HOME	CMTS	Development	Last Onsite	Description of Noncompliance	Status of Noncompliance
1000654	4395	Pembroke Court Apts.	2/6/2012	UPCS violation	Corrected
539111	2603	Bavarian Manor Apts.	2/6/2012	None	N/A
537072	2729	Turtle Creek Townhomes	2/9/2012	UPCS violation	Corrected
1000968	4522	Creek View Apts.	2/9/2012	UPCS violation	Corrected
1000434	4300	Clifton Manor Apts. I and II	2/14/2012	None	N/A
1000657	4356	Crestmoor Park West Apts.	2/22/2012	Failure to provide affirmative marketing plan and UPCS violation	Corrected
1000981	4553	St. Charles Place Apts.	2/22/2012	Household income above income limit upon initial occupancy and Failure to provide affirmative marketing plan	Corrected
1000987	4544	Evergreen at Morningstar Apts.	2/22/2012	None	N/A
1001126	4662	Evergreen at Vista Ridge Apts.	2/22/2012	None	N/A
1001133	4675	Crestmoor Park South Apts.	2/22/2012	None	N/A
538003	1904	Nueces Bend at Two Rivers Place	2/23/2012	UPCS violation	Corrected
530627	3201	Brentwood Apts.	2/23/2012	Household income above income limit upon initial occupancy; Owner failed to correctly complete or document tenant's annual income recertification and UPCS violation	Corrected
1001007	4555	The Mirabella Apts.	2/23/2012	None	N/A
1001250	4707	Evergreen at Richardson Apts.	2/23/2012	None	N/A
542069	3390	Pecan Creek Apts.	2/28/2012	UPCS violation	Corrected
1000238	4098	La Mirage Villas	2/28/2012	None	N/A
533027	2704	Mountain View Apts.	2/29/2012	UPCS violation	Corrected
531105	2619	Garden Terrace Apts.	3/8/2012	UPCS violation	Corrected
1000656	4396	HomeTowne at Picadilly Apts.	3/8/2012	Owner failed to correctly complete or document tenant's annual income recertification and UPCS violation	Corrected

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HOME	CMTS	Development	Last Onsite	Description of Noncompliance	Status of Noncompliance
1000652	4402	Skyline Terrace	3/8/2012	UPCS violation	Corrected
537073	2649	Panola Seniors Community II	3/21/2012	None	N/A
534389	2606	Bentcreek Apts.	3/26/2012	UPCS violation	Corrected
537078	1747	Westwind Village Apts.	3/26/2012	None	N/A
539112	2706	Weldon Blackard Rental	3/26/2012	Household income above income limit upon initial occupancy; Failure to execute required lease provisions and Owner failed to correctly complete or document tenant's annual income recertification	Some file noncompliance uncorrected and owner has been referred to the Department's Administrative Penalty Committee for enforcement
534501	4204	Mineola Seniors Community Phase II	3/27/2012	UPCS violation	Corrected
537070	1596	Granada Apts.	3/28/2012	Gross rent(s) exceed rent limits and UPCS violation	Corrected
1001001	4547	Northview Apts.	3/28/2012	UPCS violation	Corrected
1000989	882	Bracketville Seniors Apts.	3/28/2012	None	N/A
1001255	4701	Riverplace Apts.	3/29/2012	Failure to provide affirmative marketing plan and UPCS violation	Corrected
1000429	4299	Country Square Apts.	3/30/2012	Failure to provide affirmative marketing plan	Corrected
530677	18	Heatherwilde Park Retirement Apts.	3/30/2012	None	N/A
538090	2662	Primrose Estates	4/4/2012	UPCS violation	Corrected
531100	369	La Villita Apts	4/13/2012	UPCS violation	Corrected
531099	3263	La Mirage Apts.	4/13/2012	None	Corrected
532329	2663	Tomas H. Molina Homes	4/18/2012	UPCS violation	Corrected
531102	4005	Country Villa Apts.	4/18/2012	UPCS violation	Corrected
534031	2618	Rincon Point Apts.	4/18/2012	UPCS violation	Uncorrected. Owner has been referred to the Department's Administrative penalty Committee for enforcement.



HOME	CMTS	Development	Last Onsite	Description of Noncompliance	Status of Noncompliance
533504	1858	Heritage at Dartmouth Apts.	4/19/2012	UPCS violation	Corrected
530707	2610	Casa De Manana Apts.	4/19/2012	UPCS violation	Corrected
533302	2709	Robstown Homes	4/20/2012	UPCS violation	Corrected
1000882	4479	Kingsville LULAC Manor Apts.	4/20/2012	Failure to provide affirmative marketing plan and UPCS violation	Corrected
1001130	4671	Heights at Corral Apts.	4/20/2012	None	N/A
1000417	4312	Spring Terrace	4/24/2012	UPCS violation	Corrected
542075	4056	Grand Montgomery Court	4/24/2012	None	N/A
531300	4006	Alta Vista Village Retirement Community	4/25/2012	Failure to provide affirmative marketing plan	Corrected
1000884	4494	Bluffs Landing Senior Village	4/25/2012	None	N/A
535004	2685	Jourdanton Elderly Housing Apts.	4/26/2012	UPCS violation	Corrected
1000998	4552	First Huntington Arms Apts.	4/27/2012	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
1000881	4487	Shady Oaks Apts.	4/27/2012	None	N/A
1000648	4271	Los Ebanos Apts.	4/30/2012	UPCS violation	Corrected
1000651	4351	LULAC Amistad Apts.	4/30/2012	UPCS violation	Corrected
1000655	4380	El Paraiso Apts.	4/30/2012	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
1000441	781	East Texas Apts.	5/2/2012	UPCS violation	Corrected
1000988	4540	Constitution Court Apts.	5/2/2012	Household income above income limit upon initial occupancy	Corrected
530727	180	Raintree Apts.	5/9/2012	None	N/A
537076	3407	Palestine Senior Apts. II	5/10/2012	None	N/A

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HOME	CMTS	Development	Last Onsite	Description of Noncompliance	Status of Noncompliance
536265	1574	Sunrise Village II Apts.	5/11/2012	Failure to provide affirmative marketing plan and UPCS violation	Affirmative marketing noncompliance uncorrected and owner has been referred to the Department's Administrative Penalty Committee for enforcement. Corrected UPCS
532336	2722	Sunrise Village Phase I	5/11/2012	Failure to provide affirmative marketing plan and UPCS violation	Affirmative marketing noncompliance uncorrected and owner has been referred to the Department's Administrative Penalty Committee for enforcement. UPCS violation corrected
539119	1922	Asbury Place Apts.	5/11/2012	UPCS violation	Corrected
539116	2713	Riverview Apts.	5/11/2012	None	N/A
1000962	4514	Buena Vida Apts.	5/11/2012	None	N/A
1000986	4542	Creekside Villas Senior Village Apts.	5/11/2012	None	N/A
1001141	4690	Oakwood Apts.	5/11/2012	None	N/A
537079	1785	San Augustine Seniors Apt.	5/15/2012	Owner failed to correctly complete or document tenant's annual income recertification and UPCS violation	Corrected
1000990	879	Fredericksburg Seniors Apts.	5/15/2012	None	N/A
1001113	4580	Encino Pointe Apts.	5/17/2012	None	N/A
536264	1525	Commonwealth Apts.	5/23/2012	UPCS violation	Corrected
538621	2214	Commonwealth, Phase II	5/23/2012	UPCS violation	Corrected
535031	2652	Parkview Place Apts.	5/23/2012	UPCS violation	Corrected
1001139	4471	Holland House Apts.	5/23/2012	None	N/A

HOME	CMTS	Development	Last Onsite	Description of Noncompliance	Status of Noncompliance
1001129	4683	Heritage Crossing Apts.	5/23/2012	None	N/A
539098	2630	Levelland Multi-family	5/24/2012	Owner has failed to respond to agency requests for monitoring review	Corrected
535247B	2646	Olton Multifamily Apts.	5/24/2012	Owner failed to respond to agency request for monitoring review	Corrected
1001112	4572	Costa Mariposa Apts.	5/24/2012	Owner failed to correctly complete or document tenant's annual income recertification and UPCS violation	Corrected
1001137	4693	Lufkin Pioneer Crossing for Seniors Apts.	5/24/2012	None	N/A
1001128	4650	Horizon Meadows Apts.	5/25/2012	UPCS violation	Corrected
1000879	4499	Constellation Ranch Apts.	5/25/2012	None	N/A
1001074	4589	Huntington Apts.	6/8/2012	None	N/A
538613	2655	Brittons Place	6/14/2012	None	N/A
1000084	4207	Canal Street Apts.	6/14/2012	None	N/A
538006	1952	Tierra Socorro Apts.	6/20/2012	Owner failed to correctly complete or document tenant's annual income recertification and UPCS violation	Corrected
1001216	4617	Cherrywood Apts.	6/20/2012	UPCS violation	Corrected
1001132	4657	Pearland Senior Village Apts.	6/21/2012	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
530737	178	Parkside Place Apts.	6/22/2012	UPCS violation	Corrected
1000991	4523	Cambridge Crossing Apts.	6/22/2012	Household income above income limit upon initial occupancy	Uncorrected. Owner has been referred to the Department's Administrative penalty Committee for enforcement

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HOME	CMTS	Development	Last Onsite	Description of Noncompliance	Status of Noncompliance
536266	1616	Brentwood Oaks Apts.	6/25/2012	Gross rent(s) exceed rent limits and UPCS violation	Corrected
1000771	4446	Prospect Point Apts.	6/25/2012	UPCS violation	Corrected
533186	2631	Lincoln Courts Apts.	6/25/2012	Household income above income limit upon initial occupancy; Failure to provide affirmative marketing plan and UPCS violation	Corrected; UPCS Corrective Action due 3.28.2013
542071	3387	Willowchase Apts.	6/26/2012	UPCS violation	Corrected
535028	1406	Jefferson Square Apts.	6/26/2012	None	N/A
542073	3384	Green Manor Apts.	6/26/2012	None	N/A
1000243	679	Shady Oaks Apts.	6/27/2012	UPCS violation	Corrected
542072	3383	Pine Meadows Apts.	6/27/2012	UPCS violation	Corrected
542070	3385	Bayou Bend Apts.	6/27/2012	UPCS violation	Corrected
1001114	4591	Mineral Wells Pioneer Crossing Apts.	6/28/2012	UPCS violation	Corrected
534142	2672	Chandler Place Apts.	6/29/2012	Owner failed to correctly complete or document tenant's annual income recertification and UPCS violation	Corrected
538088	2684	Hayden Ridge Apts.	6/29/2012	Owner failed to correctly complete or document tenant's annual income recertification and UPCS violation	Corrected
532300	2641	Brazos Bend Villas Apts.	6/29/2012	None	N/A
1000437	4288	Windvale Park Apts.	6/29/2012	None	N/A

HOME	CMTS	Development	Last Onsite	Description of Noncompliance	Status of Noncompliance
1000609	4336	Hayden Ridge Phase II	6/29/2012	Household income above income limit upon initial occupancy; Owner failed to correctly complete or document tenant's annual income recertification and UPCS violation	Some file noncompliance uncorrected and owner has been referred to the Department's Administrative Penalty Committee for enforcement. UPCS violation corrected
1001254	944	Heritage Square Apts.	7/10/2012	UPCS violation	Corrected
1000430	4298	Park Place Apts.	7/11/2012	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
1001134	4611	Courtwood Apts.	7/13/2012	None	N/A
1001135	4612	Hillwood Apts.	7/13/2012	None	N/A
538620	2206	May Road Apts.	7/18/2012	Failure to provide affirmative marketing plan	Corrected
1001203	4692	Abilene Senior Village	7/18/2012	None	N/A
532304	1369	Longview Commons Apts.	7/20/2012	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
542068	3389	Mira Vista Apts.	7/20/2012	UPCS violation	Corrected
1000244	4093	Briarwood Apts.	7/20/2012	Failure to provide affirmative marketing plan	Corrected
1001076	870	Alta Vista I & II Apts.	7/20/2012	None	N/A
1000246	4069	Lexington Court	7/23/2012	None	N/A
532305	2636	Freeport Apts.	7/25/2012	Owner failed to correctly complete or document tenant's annual income recertification and UPCS violation	Corrected

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<b>HOME</b>	<b>CMTS</b>	<b>Development</b>	<b>Last Onsite</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
1000977	4543	Lexington Court Phase II Apts.	7/26/2012	None	N/A
1000428	4303	Bayshore Manor Apts.	7/30/2012	Failure to provide affirmative marketing plan and UPCS violation	Corrected
536279	1641	Crestview Apts.	7/30/2012	None	N/A
1000239	720	Golden Manor Apts.	7/31/2012	Failure to provide affirmative marketing plan and UPCS violation	Corrected
1000431	4255	Pecan Village Apts.	7/31/2012	Failure to provide affirmative marketing plan and UPCS violation	Corrected
1001106	4573	Woodmont Apts.	8/21/2012	Household income above income limit upon initial occupancy and UPCS violation	Corrected
535094	4318	East Elmira at Park Avenue	8/22/2012	Household income above income limit upon initial occupancy	Corrected
536292	2724	Sutton Square Duplexes	8/24/2012	UPCS violation	Corrected
534284	2671	Cedar Ridge Apts.	8/29/2012	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
531001	4003	Cedar Ridge Apts. II	8/29/2012	Owner failed to correctly complete or document tenant's annual income recertification and UPCS violation	Corrected
1001006	4554	SilverLeaf at Chandler Apts.	8/30/2012	UPCS violation	Corrected
1000878	4430	Parkwood Apts.	8/30/2012	None	N/A
1001138	4498	Hyatt Manor Apts.	8/30/2012	None	N/A
534276	2683	Golden Age Home Apts.	8/31/2012	UPCS violation	Corrected
1001214	4722	Milam Creek Senior Village II Apts.	8/31/2012	Owner failed to correctly complete or document tenant's annual income recertification	Corrected

HOME	CMTS	Development	Last Onsite	Description of Noncompliance	Status of Noncompliance
531103	2635	Medina Court Senior Apts.	8/31/2012	UPCS violation	Response under review
1001000	4546	Brookhollow Manor Apts.	9/5/2012	UPCS violation	Corrected
537606	2680	Southeast Texas Community	9/10/2012	Owner failed to correctly complete or document tenant's annual income recertification; Gross rent(s) exceed rent limits; Failure to provide affirmative marketing plan and UPCS violation	UPCS violation corrected. All other issues uncorrected. Owner has been referred to the Department's Administrative Penalty Committee for enforcement.
538610	2615	Denton Affordable Housing Corporation	9/18/2012	None	N/A
530607	2613	Crowley Retirement Village Apts.	9/19/2012	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
1001235	4763	Red Oak Apts.	9/19/2012	Household income above income limit upon initial occupancy; Failure to provide affirmative marketing plan and UPCS violation	Some file noncompliance uncorrected and owner has been referred to the Department's Administrative Penalty Committee for enforcement. UPCS corrective action response under review.
530687	3200	Alamo Plaza Apts.	9/20/2012	Failure to provide affirmative marketing plan and UPCS violation	Affirmative marketing noncompliance uncorrected and owner has been referred to the Department's Administrative Penalty Committee for enforcement. UPCS Corrective action due 4.24.2013
1000639	4222	Cypress Creek at River Bend Apts.	10/12/2012	None	N/A

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HOME	CMTS	Development	Last Onsite	Description of Noncompliance	Status of Noncompliance
530717	156	Eagle Lake Gardens Apts.	10/24/2012	None	N/A
1000586	4314	Floresville Square Apts.	10/24/2012	None	N/A
539117	4087	NCDO II Apts.	10/25/2012	UPCS violation	Corrected
538089	2720	Spring Garden Apts. II	10/25/2012	Waitlist violation	Corrective action due 4.11.2013
530617	2721	Spring Garden Apts. III	10/25/2012	Waitlist violation	Corrective action due 4.11.2013
1000415	4274	Spring Garden V Apts.	10/25/2012	Waitlist violation	Corrective action due 4.8.2013
1000370	4307	Bridgeport IV Apts.	10/25/2012	Waitlist violation	Corrective action due 4.8.2013
538263	3326	Santa Lucia Apts.	10/25/2012	None	N/A
1001002	4548	Quail Run Apts.	10/26/2012	None	N/A
537603	2732	West Avenue Apts.	11/7/2012	UPCS violation	Corrective action due 4.16.2013
532325	2710	Colonias Del Valle Project	11/13/2012	Household income above income limit upon initial occupancy; Owner failed to correctly complete or document tenant's annual income recertification; Gross rent(s) exceed rent limits and Owner did not properly calculate utility allowances	Corrective action due 5.21.2013
1001003	4549	Chisum Trail Apts.	11/15/2012	Failure to provide affirmative marketing plan and UPCS violation	Corrected. UPCS corrective action due 4.23.2013
532306	2665	Francisco G. "Paco" Zarate Homes	11/15/2012	Household income above income limit upon initial occupancy and Failure to provide affirmative marketing plan	Corrective action due 4.19.2013
1000660	4408	Gardens of Mabank Apts.	11/19/2012	Owner failed to correctly complete or document tenant's annual income recertification	Corrective action due 4.28.2013



HOME	CMTS	Development	Last Onsite	Description of Noncompliance	Status of Noncompliance
536294	2686	Leaning Oaks Seniors Apts.	12/3/2012	Failure to provide affirmative marketing plan	Corrected
1000968	4522	Creek View Apts.	12/3/2012	None	N/A
532334	2715	San Jacinto Senior Housing Apts.	12/10/2012	None	N/A
538003	1904	Nueces Bend at Two Rivers Place	12/13/2012	None	N/A
1001007	4555	The Mirabella Apts.	12/13/2012	None	N/A
531101	370	Seven Points Apts.	12/19/2012	UPCS violation	Corrected
1001138	4498	Hyatt Manor Apts.	12/19/2012	UPCS violation	Corrected.
536288	2733	Whitney Retirement Village Apts.	12/19/2012	Failure to provide affirmative marketing plan	Corrective action due 4.28.2013
542069	3390	Pecan Creek Apts.	12/19/2012	None	N/A
1000434	4300	Clifton Manor Apts. I and II	12/19/2012	None	N/A
1000646	4394	Victoria Place Phase II	12/20/2012	UPCS violation	Corrected
532340	2707	North Athens Homes	12/20/2012	Failure to provide affirmative marketing plan	Corrective action due 4.28.2013
538622	2063	Brownwood Apts. II	1/15/2013	UPCS violation	Corrected
536263	1473	Brownwood Apts.	1/15/2013	Failure to provide affirmative marketing plan	Corrective action due 4.28.2013
1001141	4690	Oakwood Apts.	1/16/2013	Failure to provide affirmative marketing plan and UPCS violation	Corrective action due 5.1.2013
1001244	4745	Oak Creek Townhomes	1/17/2013	Failure to provide affirmative marketing plan	Corrected
535003	1404	Llano Square Apts.	1/17/2013	UPCS violation	Corrective action due 3.28.2013
1001075	4593	Park Ridge Apts.	1/17/2013	None	N/A
534339	2718	Southwest Village Apts.	1/18/2013	None	N/A
537072	2729	Turtle Creek Townhomes	1/18/2013	None	N/A
1001143	4665	Leander Station Senior Village Apts.	1/18/2013	None	N/A

*Program Performance*

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<b>HOME</b>	<b>CMTS</b>	<b>Development</b>	<b>Last Onsite</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
536270	2725	Tanner Point Apts.	1/23/2013	Owner failed to correctly complete or document tenant's annual income recertification	Corrective action due 5.1.2013
530627	3201	Brentwood Apts.	1/24/2013	None	N/A
1000981	4553	St. Charles Place Apts.	1/24/2013	None	N/A
1001133	4675	Crestmoor Park South Apts.	1/25/2013	Failure to provide affirmative marketing plan and UPCS violation	Corrective action due 5.5.2013
1000657	4356	Crestmoor Park West Apts.	1/25/2013	None	N/A
536297	2638	Supportive Housing Program	1/30/2013	None	N/A
533308	2701	Webb Street Revitalization	1/31/2013	UPCS violation	Corrected
536293	2601	Autumn Springs Senior Apts.	1/31/2013	Failure to provide affirmative marketing plan	Corrective action due 5.9.2013
535249	2624	Hill Street Project	1/31/2013	None	N/A

## AFFIRMATIVE MARKETING AND MINORITY OUTREACH

In accordance with HOME regulations at 24 CFR §92.351 (a) and (b) and in furtherance of Texas' commitment to nondiscrimination and equal opportunity in housing, TDHCA has established procedures to affirmatively market units assisted under the HOME Investment Partnerships Program. These procedures are intended to further the objectives of Title VIII of the Civil Rights Act of 1968, the Fair Housing Amendments of 1988, and Executive Order 11063.

Department staff ensures that HOME Program administrators comply with the fair housing, accessibility, and affirmative marketing requirements of the program. The following actions are taken by TDHCA to ensure compliance.

- An application guide, which discusses these issues and includes guidance regarding the affirmative marketing plan requirements, is provided at the time of application.
- HOME Program administrators must submit an Affirmative Marketing Plan at application.
- A technical guide, which discusses these issues, is provided to all HOME Program administrators.
- TDHCA conducts training workshops for HOME administrators. These workshops include guidance regarding compliance with the Fair Housing, accessibility, and affirmative marketing requirements of the program.

### *Affirmative Marketing Actions*

For applications consisting of five or more HOME-assisted units, the applicant is required to submit an Affirmative Marketing Plan in accordance with the HOME Final Rule (24 CFR §92.351). The Department's Compliance and Asset Oversight Division monitors for compliance with the requirements specified in the HOME Final Rule (24 CFR §92.351) and in 10 Texas Administrative Code §10.612. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status, or disability.

The marketing program outlines procedures by which applications will be solicited from eligible potential program participants, maintain records of efforts to affirmatively market program activities or available housing opportunities, and to develop a system for evaluating the affirmative marketing efforts.

### Specific Actions

- Program administrators must ensure that the public, including potential beneficiaries of HOME-assisted housing, is informed that the HOME program is administered under an established, affirmative marketing policy; applicable federal Fair Housing laws; and other applicable federal, state, and local housing laws. This policy must be promoted in the community through media and other outlets, and communicated to beneficiaries of housing that will be or has been assisted with HOME funds.

## Program Performance

### Housing

- Program administrators shall affirmatively market available housing in local newspapers and using other appropriate methods. All forms of program marketing should depict the Equal Housing Opportunity logo.
- To help ensure that available housing is affirmatively marketed to persons not likely to apply for such housing, Program administrators are encouraged to make HOME information available in non-English languages spoken by minority groups residing in or near the community. Furthermore, Program administrators are encouraged to distribute marketing materials to area social service agencies that work with minorities, disabled individuals, or other protected groups.

### Affirmative Marketing Record Keeping

Program administrators are required to develop an affirmative marketing plan to identify persons who are the least likely to apply and how to reach those persons. Administrators must maintain documentation of their affirmative marketing activities. Program administrators must update their affirmative marketing plan annually throughout the contract term or period of affordability. TDHCA collects Fair Housing Sponsor Report data from each rental housing development program administrator annually. Program administrators use this information in preparing their affirmative marketing plan update.

### Minority Outreach

Information on the number and dollar value of contracts for HOME projects completed during the reporting period to Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) is provided below.

#### HOME Projects Completed by Minority Business Enterprises, PY 2012

Contractor or Subcontractor Business Racial/Ethnic Code	Contracts Total	Contracts Percent of Total	Contracts Amount	Subcontracts Total	Subcontracts Percent of Total	Subcontracts Amount
American Indian/Alaska Native	0	0.00%	0.00	4	0.48%	16,000.00
Asian	0	0.00%	0.00	0	0.00%	0.00
Black/African American	3	2.22%	52,585.00	23	2.75%	379,682.00
Hispanic	8	5.93%	3,782,279.00	85	10.18%	2,747,830.59
White	121	89.63%	47,346,496.99	723	86.59%	16,316,507.69
Unknown	3	2.22%	12,092.86	0	0.00%	0.00

**HOME Projects Completed by Women Business Enterprises, PY 2012**

<b>Gender Business Code</b>	<b>Contract Total</b>	<b>Contract Percent of Total</b>	<b>Contract Amount</b>	<b>Subcontracts Total</b>	<b>Subcontracts Percent of Total</b>	<b>Subcontracts Amount</b>
Man Owned	135	100.00%	\$51,193,453.85	817	97.84%	\$19,322,139.28
Woman Owned	0	0.00%	\$0.00	18	2.16%	\$137,881.00
Totals	135	100.00%	\$51,193,453.85	835	100.00%	\$19,460,020.28

## **OTHER ACTIONS**

This section describes actions by the Texas Department of Agriculture (TDA) and Texas Department of Housing and Community Affairs (TDHCA) to address the following: Obstacles to Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Gaps in Institutional Structure, Enhancing Coordination, Reducing and Ending Homelessness, and Furthering Fair Housing. The Department of State Health Services has reported on these topics in Part II.

### **MEETING UNDERSERVED NEEDS AND DEVELOPING AFFORDABLE HOUSING**

The agencies have identified various obstacles that may affect the ability to meet underserved needs in Texas. They include the lack of affordable housing, lack of organization capacity, lack of organizational outreach, local opposition to affordable housing, regulatory barriers to affordable housing, and area income characteristics (particularly in rural areas). The agencies take actions to mitigate these obstacles such as effectively using existing resources to administer programs, providing information resources to individuals and local areas, and coordinating resources. The following paragraphs outline specific actions taken by the program areas to meet underserved needs and develop affordable housing.

#### **CDBG**

Texas CDBG encourages affordable housing projects using several methods in the allocation of CDBG funds to the eligible communities that can participate in its programs, including favorable state scoring and regional prerogative to prioritize funding for housing infrastructure and rehabilitation. Each region is encouraged to set aside a percentage of the regional allocation for housing improvement projects, and housing applications are scored as high priority projects at the state level.

Currently, the primary method of promoting and supporting affordable housing is by providing the water and wastewater infrastructure for residential housing. The CDBG funding provides a cost savings for housing when CDBG funds are used to provide first-time water and wastewater services by installing water and sewer yardlines and paying impact and connection fees for qualifying residents.

CDBG funding also helps cities and counties study affordable housing conditions. The plans produced through CDBG planning contracts provide both valuable data concerning a city's or county's affordable housing stock and planning tools for expanding their affordable housing.

The most commonly cited obstacle to meeting the underserved community development needs of Texas cities (aside from inadequate funding) is the limited administrative capacity of the small rural towns and counties the CDBG program serves. Rural areas may also have difficulty finding interested contractors who have the financial stability to wait a minimum of two weeks for payment after the work is complete. Contractors can earn more working in metropolitan areas with larger projects and without the location costs required to transport materials and equipment to rural communities. Texas CDBG staff offers technical assistance to communities and works with regulatory agencies as appropriate to resolve issues and promote successful CDBG projects.

The physical size and the diversity of the State of Texas can present challenges to understanding and meeting underserved needs in local communities. The TDA Field Offices have been established to better serve these communities by providing technical assistance and support in Bédias, Bishop, Uvalde, and La Grange, Kountze, and Nacogdoches. In addition, the Colonia Self-Help Centers continue to address affordable housing needs in border counties by assisting qualifying colonia residents to finance, refinance, construct, improve or maintain a safe, suitable home in suitable areas.

### **HOME and ESGP**

The HOME Program provides grant funds, deferred forgivable loans, and repayable loans through units of local government, nonprofit and for-profit organizations, community housing development organizations (CHDOs), and public housing authorities (PHAs). These funds are primarily used to foster and maintain affordable housing by providing rental assistance, rehabilitation, or reconstruction of owner-occupied housing units, down payment and closing cost assistance for the acquisition of affordable single family housing, and funding for rental housing development or preservation of existing affordable or subsidized rental housing.

HOME funds may also be used in conjunction with the Housing Tax Credit Program to construct or rehabilitate affordable multifamily housing.

Regarding ESGP and ESG, while TDHCA encourages the use of ESGP funds to provide affordable transitional housing and the use of ESG funds to provide homeless prevention and rapid re-housing assistance, the majority of funds are utilized to provide emergency shelters, to assist persons at-risk of homelessness with rental assistance, and to house persons who are homeless. These funds meet the needs of local homeless populations.

## **PUBLIC HOUSING RESIDENT INITIATIVES**

The future success of PHAs will center on ingenuity in program design, emphasis on resident participation towards economic self-sufficiency, and partnerships with other organizations to address the needs of this population. While the Departments do not have any direct or indirect jurisdiction over the management or operations of PHAs, it is important to maintain relationships with these service providers.

### **CDBG**

Texas CDBG serves public housing areas through various funding categories as residents of PHAs qualify as low- to moderate-income beneficiaries for CDBG projects.

### **HOME, ESGP and ESG**

TDHCA publishes all Notices of Funding Availability on its website and sends notification of funding availability statewide through the Department's email subscriber lists. As PHAs have received homebuyer assistance and tenant-based rental assistance funds, information is provided to enable them to transition families toward homeownership or provide additional households with rental assistance and services to increase self-sufficiency.

Finally, PHAs, including those receiving HOME funds and those with Section 8 Homeownership programs, are targeted by TDHCA's Texas Statewide Homebuyer Education Program for training to provide homebuyer education opportunities and self-sufficiency tools for PHA residents.

PHA residents are eligible to receive assistance and services from ESGP and ESG grantees.

In addition to HOME and ESGP activities related to PHAs, TDHCA performs certifications of consistency. TDHCA, as required by 24 CFR §903.15, continues a certification process to ensure that the annual plans submitted by PHAs in an area without a local Consolidated Plan are consistent with the State of Texas's Consolidated Plan. For the PY 2012 reporting period, February 1, 2012, through January 31, 2013, TDHCA processed and approved 35 PHA certifications of consistency in a fair and impartial manner.



## LEAD-BASED PAINT HAZARDS

DSHS informs the public that, “Exposure to lead (especially lead dust) has been shown to be particularly dangerous to children, mainly because they play on the floor and engage in a great deal of hand-to-mouth activity. Children under the age of six are of special concern because their developing brains and other organs can easily be damaged by lead, and even small amounts of lead can lead to lower IQs and learning disabilities.”<sup>1</sup> Lead-based paint can be found in housing built prior to 1978. According to the 2007-2011 American Community Survey, there are approximately 4.5 million homes in Texas built before 1980. This makes up approximately 46 percent of the total housing stock in Texas.

At the state level, DSHS has been charged with oversight of 25 Texas Administrative Code Section 295, Texas Environmental Lead Reduction Rules (TELRR). The TELRR certifies persons and companies conducting lead inspections, lead risk assessments and lead abatements, and conducts enforcement-related activities in response to compliance inspections. The adherence to inspection and abatement standards is related to the extent of lead-based paint in that a majority of the housing in need of rehabilitation is likely housing built before 1978. By following these standards, the state is increasing the access to housing without lead-based paint hazards.

### **CDBG**

In accordance with CDBG state regulations and the Lead-Based Paint Poisoning Prevention Act, TxCDBG has adopted a policy to eliminate as far as practicable the hazards of lead poisoning due to the presence of lead-based paint in any existing housing assisted under the TxCDBG. In addition, this policy prohibits the use of lead-based paint in residential structures constructed or rehabilitated with federal assistance. Abatement procedures should be included in the housing rehabilitation contract guidelines for each project and must appear in the approved work write-up documentation for all homes built prior to 1978 that will be rehabilitated, as outlined in the TxCDBG Project Implementation Manual.

### **HOME, ESGP and ESG**

The HOME Program increases the awareness of the hazards of lead-based paint by requiring screening for TBRA, homebuyer assistance and homeowner rehabilitation. Furthermore, single-family and multifamily development activities in HOME increase the access to lead-based-paint-free housing because they create new housing.

The HOME Program requires lead screening in housing built before 1978 for all HOME-eligible activities. Rehabilitation activities fall into three categories: 1) Requirements for federal assistance up to and including \$5,000 per unit; 2) Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit; and 3) Requirements for federal assistance over \$25,000 per unit.

Requirements for federal assistance up to and including \$5,000 per unit are: distribution of the pamphlet “Protect Your Family from Lead in Your Home” is required prior to renovation activities; notification within 15 days of lead hazard evaluation, reduction, and clearance must be provided; receipts for notification must be maintained in the administrator file; paint testing must be conducted to identify lead

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<sup>1</sup> <http://www.dshs.state.tx.us/elp/assessment.shtm#assessfaqs>

## *Other Actions*

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### *Lead-Based Paint*

based paint on painted surfaces that will be disturbed or replaced or administrators may assume that lead based paint exist; administrators must repair all painted surfaces that will be disturbed during rehabilitation; if lead based paint is assumed or detected, safe work practices must be followed; and clearance is required only for the work area.

Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit include all the requirements for federal assistance up to and including \$5,000 per unit and the following: a risk assessment must be conducted prior to rehabilitation to identify hazards in assisted units, in common areas that serve those units and exterior surfaces or administrators can assume lead based paint exist and; clearance is required for the completed unit, common areas which serve the units, and exterior surfaces where the hazard reduction took place.

Requirements for federal assistance over \$25,000 per unit included all the requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit and the following: if during the required evaluations lead-based paint hazards are detected on interior surfaces of assisted units, on the common areas that serve those units or on exterior surfaces including soils, then abatement must be completed to permanently remove those hazards; and if lead based paint is detected during the risk assessment on exterior surfaces that are not disturbed by rehabilitation then interim controls may be completed instead of abatement.

For ESGP and ESG, TDHCA requires subrecipients to evaluate and reduce lead-based paint hazards for conversion, renovation, or rehabilitation projects funded with ESGP and ESG funds, and tracks work in these efforts as required by the Lead-Based Paint Poisoning Prevention Act's implementing regulations at 24 CFR Part 35. During the annual contract implementation training, TDHCA provides ESGP and ESG subrecipients with Department requirements and information related to lead-based paint regulations. The Department will require ESGP and ESG funded subrecipients to determine if a housing unit was built prior to 1978, for households seeking ESGP and ESG funded rent or rent deposit assistance whose household has a family member(s) 6 year of age or younger. If the housing unit is built prior to 1978, the ESGP and ESG subrecipient will notify the household of the hazards of lead-based paint.

ESGP and ESG subrecipients utilizing ESGP and ESG funds for renovation, rehabilitation or conversion must comply with the Lead Based Paint Poisoning Prevention Act (42 USC, Chapter 63, §4831) and the Residential Lead-Based Paint Hazard Reduction Act of 1992 (42 USC, Chapter 63, §4852). Through renovation, rehabilitation or conversion, ESGP and ESG increase access to shelter without lead-based paint hazards.

## POVERTY-LEVEL HOUSEHOLDS

According to the American Community Survey for 2007 to 2011, Texas had a poverty rate of 17.0 percent during this time period compared to the national poverty rate of 14.3 percent. The federal government defined the poverty threshold in 2012 is \$23,050 for a family of four. Many of these poverty-level households can have worst-case housing needs such as severe cost burden, substandard housing and involuntary displacement. Poverty can be self-perpetuating, creating barriers to education, employment, health, and financial stability.

TDA, TDHCA, and DSHS have an important role in addressing Texas poverty. These agencies seek to reduce the number of Texans living in poverty, thereby providing a better future for all Texans. This means trying to provide long-term solutions to the problems facing people in poverty and targeting resources to those with the greatest need.

### **CDBG**

A substantial majority of Texas CDBG funds are obligated to cities and counties under the funding competitions meeting the national objective to “principally benefit low and moderate income persons”. Texas CDBG encourages the funding of communities with a high percentage of persons in poverty through its application scoring. The CDBG projects funded under this national objective are required to serve 51% low to moderate income persons. In addition, the CDBG allocation formula used to distribute Community Development funds among regions includes a variable for poverty in the community distress scoring. The percentage of persons in poverty for each region is factored into the allocation formula in order to target funding toward communities with the greatest need.

In PY 2012, TxCDBG awarded 225 contracts under the National Objective of benefiting primarily low to moderate income persons. The \$59,742,719 in funds obligated for this National Objective in PY 2012 benefits 282,926 persons, of whom 171,077 are low to moderate income persons.

The TxCDBG economic development funds are instrumental in creating infrastructure and jobs. By creating and retaining jobs through assistance to businesses and then providing lower income people access to these jobs, CDBG can be a very effective anti-poverty tool. Providing jobs that offer workplace training and education, fringe benefits, opportunities for promotion, and services such as child care can further maximize the potential benefits. In addition, programs that improve infrastructure affords the opportunity to upgrade existing substandard housing (such as in the colonias) and build new affordable housing where none could exist before.

In accordance with 24 CFR §135.1, known as Section 3 of the Housing and Urban Development Act of 1968, as amended, Grant Recipients using CDBG funding for housing or other public construction are required, to the greatest extent feasible, to provide training and employment opportunities to lower income residents and contracting opportunities to businesses in the project area when those opportunities are “triggered” by HUD funding. TxCDBG provides Technical Assistance and program guidance on methods to be employed to attain Section 3 goals and monitors closely monitors the results of those efforts. During PY 2011, TxCDBG enhanced the oversight and reporting of Section 3 requirements, with

## *Other Actions*

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### *Poverty*

reporting now required both on an annual basis as well as when construction and non-construction contracts are executed.

### **HOME, ESGP and ESG**

Through the HOME Tenant-Based Rental Assistance Program, TDHCA assists households with rental subsidy and security and utility deposit assistance for a period not to exceed twenty four months. As a condition to receiving rental assistance, households must participate in a self-sufficiency program, which can include job training, GED classes, or drug dependency classes. The HOME Program enables households to receive rental assistance while participating in programs that will enable them to improve employment options and increase their economic independence and self-sufficiency. Rental assistance maybe extended beyond the 24-month period subject to the Department's program rules and based on availability of funds.

The ESGP and ESG Programs fund activities that provide shelter, essential services, and rapid re-housing for homeless persons, as well as intervention services for persons threatened with homelessness. Essential services for homeless persons include medical and psychological counseling, employment counseling, substance abuse treatment, transportation, and other services. Rapid re-housing services for homeless persons include short and medium-term rental assistance, application fees, security deposits, utility deposits and payments, and moving costs. In PY 2012, for ESGP 2011 1<sup>st</sup> allocation, \$2,672,792.60 was committed to shelter, \$947,406.07 to street outreach. For 2011 2<sup>nd</sup> allocation and 2012 ESG, the State committed \$3,537,530.98 for shelter and \$3,460,217.13 for rapid re-housing activities. These services are intended to help homeless individuals and those with poverty-level incomes improve their conditions and achieve self-sufficiency.

For individuals threatened with homelessness, homelessness prevention funds can be used for short-term subsidies to defray rent and utility arrearages for households receiving late notices, security deposits, and payments to prevent foreclosure. In PY 2012, for ESGP 2011 1<sup>st</sup> allocation, the State committed \$1,296,677.76 for homelessness prevention activities and for 2011 2<sup>nd</sup> allocation and 2012 ESG the State committed \$3,119,142.66. These services are intended to assist very low income households and those with poverty-level incomes in avoiding becoming homeless.

## COMPLIANCE

TDA and TDHCA ensure compliance with program and comprehensive planning requirements through various compliance measures.

### **CDBG**

The monitoring function of TDA has four components: project implementation, contract management, audit, and monitoring compliance.

**Project Implementation:** Prior to the award of funds, each community is evaluated for compliance in prior contracts. The application scoring process at the state level includes a scoring factor for past performance on CDBG contracts. In addition, once a funding recommendation has been made the contract is routed through the Program Development, Operations, Legal, and Fiscal Operations Departments to verify that no outstanding issues in previously awarded contracts prevent the contract execution for the recommended award.

**Contract Management:** All open CDBG projects are assigned to a specific Regional Coordinator who is responsible for contract compliance and project management. All projects have formal contracts that include all federal and state requirements. Regional Coordinators monitor progress and compliance through formal reporting procedures. Program Specialists for Labor Standards and Environmental compliance also exist under the Project Management function. Additionally, all reimbursement requests require complete supporting documentation before payment is made.

**Audit:** The audit function is authorized by OMB A-133, which requires that governmental units and nonprofit organizations spending more than \$500,000 in either federal or state funds during their fiscal years ending after December 31, 2003, submit a copy of a Single Audit to the Agency. A Single Audit is required for desk review by TDA regardless of whether there are findings noted in the audit pertaining to CDBG funds, since it is an additional monitoring tool used to evaluate the fiscal performance of grantees.

**Monitoring Compliance:** The on-site programmatic reviews are conducted on every CDBG contract prior to close-out to ensure the contractual obligations of each grant are met. In September 2011, the CDBG program implemented a new risk assessment methodology covering compliance monitoring of funded projects. The new process uses an objective risk assessment tool to evaluate the programmatic compliance risk of CDBG-funded projects.

Contracts scored according to the risk assessment tool will be grouped into a range of three categories: High Risk, Medium Risk, and Low Risk. Monitoring staff will conduct a risk assessment of CDBG contracts to determine whether monitoring reviews are to be performed onsite or as desk reviews. All High Risk contracts are to be monitored onsite. Medium Risk and Low Risk contracts are to be monitored as desk reviews, unless otherwise directed by TxCDBG management.

In addition, the risk category is used to determine the timeframe for monitoring the project. Contracts will be selected for monitoring according to their risk category and according to the approximate percentage of total CDBG funds drawn.

## *Other Actions*

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### *Compliance*

The areas reviewed include procurement procedures paid with CDBG funds or with match dollars, accounting records including copies of cancelled checks, bank statements and general ledgers (source documentation is reviewed at the time of draw requests), equipment purchases and/or procurement for small purchases, on-site review of environmental records, review of any applicable construction contracts, file review of any applicable client files for rehabilitation services, review of labor standards and/or a review of local files if internal staff used for construction projects, and a review of documentation on hand pertaining to fair housing and civil rights policies.

In addition to the formal monitoring function described above, the staff of the Compliance unit communicates with the staff of the Project Management unit as needed to evaluate issues throughout the contract implementation phase of CDBG contracts in order to identify and possibly resolve contract issues prior to the monitoring phase of the project.

### **HOME, ESGP, and ESG**

TDHCA has established oversight and monitoring procedures within the Compliance division to ensure that activities are completed and funds are expended in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes. TDHCA's monitoring efforts are guided by both its responsibilities under the HOME, ESGP and ESG programs and its affordable housing goals for the State of Texas. These monitoring efforts include the following:

- Identifying and tracking program and project results
- Identifying technical assistance needs of administrators
- Ensuring eligible expenditure of funds
- Documenting compliance with program rules
- Preventing fraud and abuse
- Identifying innovative tools and techniques that support affordable housing goals
- Ensuring quality workmanship in funded projects
- Long-term compliance

### **Identifying and Tracking Program and Project Results**

HOME contract and project activities are tracked through the TDHCA Contract Database (CDB) system, including pending projects, funds drawn, and funds disbursed through the internet-based system, HUD's IDIS, and other reports generated as needed. The CDB provides information necessary to track the success of the program and identify process improvements and administrator training needs. IDIS tracks HOME Program data such as commitment and disbursement activities, the number of units developed, the number of families assisted, the ongoing expenditures of HOME funds, and beneficiary information.

Other resources utilized by TDHCA to track project results include asset management and a loan servicing division. If either of these areas identifies problems, steps are taken to resolve the issue, including project workouts and oversight of reserve accounts.

ESGP and ESG project and contract activities are tracked through TDHCA's website, which maintains an Oracle-based reports system. This system maintains funds drawn, funds expended, performance data, and other reports as needed. ESGP and ESG data such as commitment and disbursement activities, number of persons assisted, ongoing expenditures, and program activities are also tracked through HUD's IDIS.

### **Identifying Technical Assistance Needs of Subrecipients**

Identification of technical assistance needs for HOME, ESGP and ESG administrators is performed through analysis of administrator management practices, analysis of sources used by TDHCA to track technical assistance such as information captured in the Housing Contract System or the Community Affairs Contract System and division's database, review of documentation submitted, desk reviews based on the requirements identified in the Compliance Supplement and State Affordable Housing Program requirements, project completion progress, results of on-site audits and monitoring visits, and desk reviews conducted by Department staff.

### **Ensuring Timely Expenditure of Funds**

TDHCA ensures adequate progress is made toward committing and expending HOME, ESGP and ESG funds. Regular review of HUD reports, internal reports and data from IDIS is performed to assess progress of fund commitment and to ensure that all funds are committed within 24 months from the last day of the month in which HUD and TDHCA enter into an agreement. Performance deadlines for spending and matching funds are reviewed on a quarterly basis to track expenditure totals. HOME benchmark and set-aside requirements are also tracked.

### **Documenting Compliance with Program Rules**

Compliance with program rules is documented through contract administration and formal monitoring processes. Staff documents compliance issues as part of their ongoing contract management reviews and notifies administrators of any noncompliance and required corrective action.

Contract monitoring is on-going throughout the contract period and/or the construction period. Monitoring reviews are scheduled and planned based on risk. Areas tested include specific program requirements, such as eligibility and program match. Federal cross-cutting requirements, such as financial, procurement, environmental, labor and fair housing are also included in the monitoring scope.

On-site monitoring reviews are conducted every one to three years as determined by federal requirements. HOME rental developments may be monitored more frequently if a development continues to have uncorrected noncompliance, change in ownership, or any other risk factors determined by the Division. An on-site monitoring file review consists of reviewing 20% percent of the HOME units or a minimum of 5 units. During a file review staff confirms household eligibility, rent restrictions, income recertification requirements and that lease agreements contain required tenant protections. In addition, the development's resident selection criteria, program forms, waiting list, affirmative marketing plan and utility allowance documentation is reviewed to ensure compliance with the program. Technical assistance is provided to on-site staff during the review. A Uniform Physical Conditions Standards (UPCS) inspection of the development, buildings, and units is also completed. The UPCS inspection is typically conducted one to three months after the onsite file review. UPCS inspections are conducted by

## *Other Actions*

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### *Compliance*

Department staff or by outside inspectors contracted by the Department. All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and Department policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreements.

TDHCA has developed a set of standards for HOME administrators to follow to ensure that subcontractors and lower-tiered organizations entering into contractual agreements with administrators perform activities in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes.

TDHCA has taken a proactive approach to ensure HOME administrators with any uncorrected noncompliance are not eligible to receive any additional funding unless there are extenuating circumstances. The Department maintains a database to document an administrator's compliance history with rental housing developments. Prior to the award of any new funding the Compliance Division conducts a previous participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance. If any issues are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all necessary corrective action to correct noncompliance. If the HOME administrator does not correct noncompliance, the application for funding will be terminated. TDHCA adopted this rule which is outlined in further detail in 10 TAC, Chapter 1, Subchapter A, §1.5. The compliance history is considered by TDHCA's Board prior to finalizing awards.

### **Preventing Fraud and Abuse**

TDHCA monitors for potential fraud and mismanagement of funds through the assistance of written agreements with HOME administrators and review of supporting documentation throughout the HOME contract period to ensure that activities are eligible, through information gathered from outside sources and Department staff, and through onsite monitoring visits of HOME and ESGP subrecipients. If fraud or mismanagement of funds is found, consequences for noncompliance are enforced and disallowed costs are refunded to TDHCA. Also, if fraud or mismanagement of funds is suspected, TDHCA will make referrals and work closely with HUD, the State Auditor's Office, the Inspector General, the Internal Revenue Service, and local law enforcement agencies as applicable.

### **Identifying Innovative Tools and Techniques that Support Affordable Housing Goals**

Staff identifies innovative tools and techniques to support affordable housing goals by attending trainings and conferences, maintaining contact with other state affordable housing agencies, and through the HUD internet listserv and HUD website.

### **Ensuring Quality in Funded Projects**

Ensuring the administrator provides the committed product, amenities, and compliance with accessibility is a Departmental priority. Division staff monitors for the quality of workmanship in HOME-funded projects through the inspection process. TDHCA staff conducts inspections to substantiate compliance with program standards and application commitments and representations. Deficiencies and concerns are identified at pre-construction plan review, mid construction and final construction inspections. Construction inspections examine a sample of units based on size of the development, unit type and



related risk factors. If any deficiencies or concerns are identified during these inspections, the HOME administrator is notified in writing and provided a corrective action period. In addition, technical assistance is available and provided during the entire construction process. All identified deficiencies require correction prior to retainage release and final inspection clearance for all HOME rental developments.

TDHCA staff is trained in the design standards and technical requirements of Section 504, Rehabilitation Act of 1973, Fair Housing Act, and Model Construction Codes including Energy Efficiency Standards. The tools and training provided to field inspection staff include comprehensive inspection checklist, annual training class and one-on-one training in the field to ensure accuracy and consistency. TDHCA is committed to ensuring all inspectors are trained thoroughly on the Division's procedures, expectations, and accessibility requirements.

### **Long-Term Compliance**

The Compliance Division is responsible for long term monitoring of HOME rental developments. Long-term monitoring begins at the commencement of leasing. Performance is monitored through desk reviews and on-site monitoring visits and on-site physical inspections. Desk reviews are required to be submitted electronically through the Department's web-based Compliance Monitoring and Tracking System (CMTS) throughout the affordability period. All HOME rental developments are required to submit electronic quarterly desk reports during the initial lease up phase. Once a development has achieved 100% occupancy and is in compliance with all program rules and regulations, the development's reporting schedule is changed to an annual basis. All HOME rental developments are required to submit an electronic annual desk report and an Annual Owner's Compliance Report (AOCR) April 30 of each year.

At the commencement of leasing all HOME rental developments are scheduled for an on-site monitoring review. HOME developments are monitored throughout the affordability period. An on-site monitoring review consists of reviewing 20% percent, or 5 minimum, resident files to ensure compliance with income and rent restrictions and all other federal requirements. In addition, a physical inspection of the development, buildings, and units is completed. In 2007, the Division adopted HUD's Uniform Physical Condition Standards (UPCS) to ensure all rental developments are decent, safe, sanitary, in good repair and suitable for occupancy. The UPCS inspections are completed by Department Contractor, or Inspection staff. The physical inspection is not limited to health and safety issues, but also includes an on-going limited accessibility inspection with the construction requirements of Section 504, Rehabilitation Act of 1973, and the Fair Housing Act.

All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and Department policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreement. If a HOME rental development fails to comply with requirements as listed above, the Department has implemented enforcement procedures and administrative penalties described in 10 TAC, Chapter 1, Subchapters A §1.5.

#### **Risk Management**

HOME contracts are monitored based on a risk assessment model that is updated on a quarterly basis or more frequently if required. Some of the elements of the Risk Assessment Model may include the type of activity, existence of a construction component, Davis-Bacon requirements, results of previous on-site visits, status of the most recent monitoring report, amount committed/expended, previous administrator experience, entity type, and Single Audit status. In addition to the results of the risk assessment survey, referrals from division staff are considered when determining in depth monitoring reviews or required technical assistance. An emphasis is placed on monitoring of contracts within the current draw period and contracts with projects in the affordability period as defined by HUD.

If complaints are received by TDHCA, they are considered a risk management element and will be reviewed in detail. Supplemental monitoring activities will be performed to ensure program compliance and detection of possible fraud or mismanagement.

The Risk Assessment Model is also implemented for ESGP and ESG programs. Some of the elements of the Risk Assessment Model include the following: length of time since last on-site visit, results of last on-site visit, status of most recent monitoring report, timeliness of grant reporting, total amount funded during assessment period, total amount funded for all TDHCA contracts during assessment period, number of TDHCA contracts funded during assessment period, and Single Audit Status. In addition to the results of the risk assessment survey consideration is also given to recommendations made from other TDHCA divisions regarding performance with other TDHCA funded programs. Based on this assessment, higher ranking ESGP and ESG subrecipients are prioritized for monitoring. This occurs on a quarterly basis.

#### **Resolution Activities**

The Department resolves findings and questioned costs arising from desk and on-site monitoring reviews. This work involves direct communication with contractors to obtain additional information and/or corrective action plans and involves the review and evaluation of submitted documentation. In the event questioned costs are determined to be unallowable per applicable cost principles, recovery is initiated through a formal recovery process.

## INSTITUTIONAL STRUCTURE

Understanding that no single entity will be able to address the enormous needs of the State of Texas, TDA, TDHCA, and DSHS support the formation of partnerships in the provision of housing, housing-related, and community development endeavors. This is especially important considering that the limited amount of financial resources available for affordable housing, community service, and community development activities can be a major obstacle for a single agency to try to address the needs of the state, partnering with other organizations, as well as fund layering and leveraging, helps to stretch those funds that are available.

TDA, TDHCA, and DSHS are primarily pass-through funding agencies and distribute federal funds to local entities that in turn provide assistance to households. Because of this, the agencies work with many housing and community development partners, including consumer groups, community based organizations, neighborhood associations, community development corporations, councils of governments, community housing development organizations, community action agencies, real estate developers, social service providers, local lenders, investor-owned electric utilities, local government, nonprofits, faith-based organizations, property managers, state and local elected officials, and other state and federal agencies.

There are many benefits to these partnerships: risk and commitment are shared; the principle of reciprocity requires that local communities demonstrate an awareness of their needs and a willingness to participate actively in solving problems, therefore local communities play an active role in tailoring the project to their needs; partners are able to concentrate specifically on their area of expertise; and a greater variety of resources insure a well targeted more affordable product.

### **CDBG**

CDBG funds are awarded to non-entitlement units of general local government thereby providing these communities with financial resources to respond to its community development needs. Such may include planning; constructing community facilities, infrastructure, and housing; and implementing economic development initiatives. Each applicant to the CDBG program is required through its citizen participation process to inform local housing organizations of its intention to apply for CDBG funding through the Texas CDBG and invite their input into the project selection process. Texas CDBG continues to coordinate with the Texas Department of Housing and Community Affairs, the Texas Water Development Board, Annual State Agency Meeting on Rural Issues, and the 24 Regional Councils of Governments to further its mission and target beneficiaries of CDBG funds through programs such as the Colonia Self-Help Centers, the Colonia Economically Distressed Areas Program, the Housing Tax Credit Program, and the Texas Capital Fund.

### **HOME, ESGP and ESG**

The HOME Program encourages partnerships in order to improve the provision of affordable housing. Organizations receiving HBA funds are required to provide homebuyer education classes to households directly, or coordinate with a local organization that will provide the education. In addition, organizations receiving TBRA funds must provide self-sufficiency services directly, or coordinate with a local

## *Other Actions*

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### *Institutional Structure*

organization that will provide the services. HOME staff also participates in workgroups with representatives from many organizations. The workgroups focus on rural housing, disability, and health related issues around the state.

TDHCA welcomes collaborative applications and in the 2012 Notice of Funding Availability has included additional points for true collaborative. The Department also encourages all ESGP and ESG subgrantees to develop partnerships with service providers in their area. ESGP and ESG subgrantees are also required to participate in the local HMIS system with exceptions for victim and legal services providers, and are awarded points if an applicant can demonstrate participation in the local Continuum of Care.

## REDUCING AND ENDING HOMELESSNESS

HUD's interim rule released in December 2011 directly relates to the replacement of the Emergency Shelter Grants Program with the Emergency Solutions Grant Program. However, part of the new regulations affect the other three programs, such as the requirement to report on efforts to reduce and end homelessness (24 CFR §91.520(c)). These reporting requirements include (1) Reaching Out to Homeless Persons, (2) Emergency and Transitional Housing Needs, (3) Transition to Permanent Housing, and (4) Efforts to Avoid Homelessness.

TDHCA is administering the Emergency Solutions Grant Program and implementing appropriate adjustments to HOME and CDBG for the new requirements. Below is the state's report on 24 CFR §91.520(c) for all programs.

*Reaching out to Homeless Persons (especially unsheltered persons) and assessing their individual needs*

### **CDBG**

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically reach out to homeless persons. In order to maximize the available resources and prevent program duplication, TxCDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

### **HOME and ESG**

HOME recipients are required to publicize availability of funds including, but not limited to, public announcements, pamphlets, brochures and newspapers advertisements. For HOME activities that could transition persons out of homelessness, such as TBRA, recipients have the option to reach out to homeless individuals while completing their publicity requirement.

The point-in-time count for 2012 showed that there were at least 34,052 homeless persons in Texas, with as many as 16,551 unsheltered. Texas has taken the following steps to increase outreach to homeless persons:

1. The State has provided incentives for ESG program applicants to collaborate and provide comprehensive outreach and services for homeless persons.
2. The State has identified funds for the release of a NOFA that will offer a grant to organizations that will design and deploy a working model for a comprehensive intervention system that coordinates holistic community services and focuses on the direct needs of homeless individuals and families in the local or regional area. Increased coordination between the Continua of Care (CoCs) and various services providers within and outside of traditional homeless services providers will make it easier for local organizations to reach out and coordinate assistance to homeless persons wherever they might seek assistance in a local or regional area.

## *Other Actions*

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### *Reducing and Ending Homelessness*

#### *Addressing the emergency shelter and transitional housing needs of homeless persons*

##### **CDBG**

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically address emergency and transitional housing needs. In order to maximize the available resources and prevent program duplication, TxCDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

##### **HOME and ESG**

The Department's ESG and HOME Programs make funding available annually that can be used to address emergency and transitional housing needs across the state. The Department is working to expand its network of providers by marketing the availability of the funds to entities that do not traditionally administer these programs during program specific workshops, public hearings, and other venues.

The point-in-time count for 2012 showed that there were 11,788 homeless persons in emergency shelter and transitional housing in Texas. Texas has taken the following steps to increase outreach to persons in emergency shelter and transitional housing:

1. To move people from emergency shelters and transitional housing into permanent housing, greater focus is placed on housing assistance and rapid re-housing in the State's ESG program.
2. In FY 2012 and FY 2013, the State has identified funds for the operation of the Homeless Housing Services Program (HHSP). This program provides funds to the eight largest cities which they use to provide essential services, improve their facilities and fund shelter operations.
3. The State has identified funds for the release of a NOFA that will offer a grant to organizations that will design and deploy a working model for a comprehensive intervention system that coordinates holistic community services and focuses on the direct needs of homeless individuals and families in the local or regional area. The coordination will result in a centralized intake and coordinated assessment system that will be used by various services providers within and outside of traditional homeless services providers, enabling them to better identify and address the needs of persons in emergency shelter and transitional housing.

#### *Transition to Permanent Housing*

##### **CDBG**

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically address the transition to permanent housing. In order to maximize the available resources and prevent program duplication, TxCDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

**HOME and ESG**

During PY 2012, the Department assigned two staff members, including staff of the HOME Division, to work on a grant in coordination with the Department of Aging and Disability Services that focuses on assisting individuals with rental assistance following discharge from publicly funded institutions and systems of care including health-care facilities, mental health facilities, and foster care.

Since the start of the ESG, TDHCA has revised its program requirements in an effort to shift the focus of ESG interventions from providing emergency and transitional housing to increasing access to permanent housing. Further, TDHCA promotes long-term housing stability by providing participants with the economic resources and tools necessary for long-term housing stability. While in programs, participants receive case management and services designed to remove barriers to long-term housing stability such as job search, training, and GED classes. Participants also receive assistance in applying for unemployment, disability, and other cash and non-cash benefits.

*Efforts to avoid homelessness***CDBG**

Texas CDBG addresses several national priorities including supporting the needs of the low-moderate income populations. However, the program is not designed to specifically address efforts to avoid homelessness. In order to maximize the available resources and prevent program duplication, TxCDBG remains focused on its specific objectives recognizing there exist other programs better suited and developed to address this important and sensitive issue.

**HOME and ESG**

The Department funds several entities that provide outreach and services to homeless populations. The Department's HOME Program funded four entities reported that reported assisting forty-one families at risk of becoming homeless with rental assistance totaling over \$500,000.

The State has identified funds for the release of a NOFA that will offer a grant to organizations that will design and deploy a working model for a comprehensive intervention system that coordinates holistic community services and focuses on the direct needs of homeless individuals and families in the local or regional area. The coordination will result in the identification of persons who are at risk of homelessness and the provision of services from various services providers within and outside of traditional homeless services providers, with the goal of preventing those persons from becoming homeless. Further, the State has identified funds for the release of a NOFA that will offer a grant to organizations that will work with 501(c) organizations that serve the needs of persons experiencing homelessness in various capacities and enhance the State's ability to more fully draw down the unutilized CoC funding for the State of Texas. This increased funding will provide the support necessary for organizations to operate more robust homeless prevention and rapid re-housing programs across the state.

## AFFIRMATIVELY FURTHERING FAIR HOUSING

Detail on the state's progress to affirmatively further fair housing and address impediments identified in the Analysis of Impediments to Fair Housing Choice are included below followed by actions taken by TDA and TDHCA's programs included in the CAPER to affirmatively further fair housing.

### **Impediments to Fair Housing**

The State is in the process of updating its 2003 Analysis of Impediments to Fair Housing Choice ("AI") in two phases, known as Phase 1 and Phase 2. The *Analysis of Impediments to Fair Housing: Phase I Hurricane Impacted Communities* ("Phase 1") was approved by HUD on May 13, 2011 and addressed the sixty-two (62) county area affected by hurricanes Ike and Dolly, as provided for in a HUD-approved Conciliation Agreement resolving a fair housing complaint. The Phase 1 AI identified 16 impediments to fair housing choice in four basic categories: education, training, planning, and enforcement. The following impediments were identified in Phase 1.

1. Protected classes may experience disparities in home mortgage lending and high cost loans.
2. There is inadequate information available to the real estate community, governments and the public about fair housing requirements and enforcement procedures.
3. The public is not sufficiently aware of their Fair Housing rights and how to obtain the assistance necessary to protect those rights.
4. "Not in my Backyard" (NIMBY) may be an impediment to fair housing in Texas communities.
5. Certain governmental policies and practices may not meet current HUD policy concerning affirmatively furthering fair housing. Jurisdictions should act to ensure that their policies affirmatively further fair housing, address mal-distribution of resources, and that they do not unnecessarily impact housing choice.
6. Governmental entities at all levels do not appear to have been proactive in the enforcement of both the Fair Housing Act and the obligation to affirmatively further fair housing. The State and subrecipients should implement a robust and effective structure for identifying and pursuing suspected violations.
7. Many local jurisdictions have zoning codes, land use controls, and administrative practices that may impede free housing choice and fail to affirmatively further fair housing.
8. Inadequate planning for re-housing after an emergency situation creates a situation where persons who are uninsured or under insured, low income, or special needs can be displaced for long periods of time.
9. There are impediments in public and private actions and private attitudes to housing choice for persons with disabilities.
10. There are barriers to mobility and free housing choice for Housing Choice Voucher holders including: inadequate tenant counseling services and mobility assistance, failure of PHAs to apply for the FMR pilot demonstration, and government policies, procedures, and regulations that tend to decrease participation



by private housing providers and to restrict available housing to “racially or low-income populated neighborhoods” with little access to economic, educational, or other opportunity.

11. Loss of housing stock in Hurricanes Dolly and Ike compounded the shortage of affordable housing in disaster recovery areas. This shortage is particularly acute in safe, low poverty neighborhoods with access to standard public services, job opportunities and good schools.

12. Lack of financial resources for both individuals and housing providers limits Fair Housing choice. Using an effective program under Section 3 of the Housing and Urban Development Act of 1968 may help members of protected classes gain economic opportunities necessary to allow them to exercise fair housing choice.

13. Location and lack of housing accessibility and visitability standards within political jurisdictions limits fair housing choice for persons with disabilities.

14. Many colonias residents live in developments that have insufficient infrastructure and protections against flooding and are impacted by flooding beyond events like Hurricanes Dolly and Ike.

15. Minority neighborhoods in disaster areas are primarily served by non-regulated insurance companies that do not adhere to underwriting guidelines and may be discriminated against in the provision of insurance. Texas has passed aggressive statutes to prevent insurance “redlining.” National research indicates that protected classes face unwarranted disparities in the cost of insurance, the amount of coverage, and cancellation of policies without notice to the homeowner.

16. Many jurisdictions do not have adequate Analysis of Impediments to Fair Housing or Fair Housing Plans, and do not keep sufficient records of their activities.

Although these impediments were only identified in the sixty-two (62) county area affected by hurricanes Ike and Dolly, the state has begun to take actions statewide, particularly in the areas of education and training. Highlights include:

- Assigning staff to the fair housing and Section 3 activities, and coordinating statewide affirmatively furthering fair housing activities;
- Entered into a Memorandum of Understanding with the Texas Workforce Commission Civil Rights Division to streamline fair housing referrals;
- Developing web pages dedicated to fair housing now available on TDHCA’s website;
- Providing fair housing training for staff and administrators;
- Developing and distributing Texas Fair Housing Educational Materials at events; and
- Implementing a fair housing marketing strategy that includes articles to be published in housing industry publications as well as public service announcements.

Details on the recommended actions steps related to the 16 impediments, specific actions taken to date to address the impediments, the timeline for actions to be taken, and the state agencies taking the action, are updated regularly and available on TDHCA’s Fair Housing webpage page at

## *Other Actions*

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### *Affirmatively Furthering Fair Housing*

<http://www.tdhca.state.tx.us/program-services/fair-housing/analysis-impediments-2010-1.htm>. Future planned actions include wider distribution of fair housing materials, additional training for TDHCA awardees and staff on the basics of fair housing and availability of resources, and Fair Housing Act training requirements for awardees, consultants, and local officials. Many of these actions will follow completion of the Phase 2 AI to allow for consistency across the state related to action steps under the updated Analysis of Impediments.

Preliminary work on Phase 2, the *State of Texas Plan for Fair Housing Choice: Analysis of Impediments*, began in late 2011 and in-depth research and widespread outreach occurred statewide throughout 2012. The scope of work for Phase 2 requires the development of a plan that is cohesive with Phase 1, so that they work together as two parts for a whole AI for the state of Texas. For example, each of the 16 impediments identified in the 63-county area covered under Phase 1 will be analyzed to determine whether they are found statewide. Additional tasks under Phase 2 include conducting a review of state and local policies, practices, and codes that limit choice, zoning and land use practices, state access to housing through transportation, policies related to siting of new affordable housing, environmental issues in minority impacted areas, analysis of policies and statutes which perpetuate discrimination, public opposition about affordable housing, foreclosure patterns, and related items.

In summer 2011, TDHCA procured a qualified firm to prepare Phase 2 – BBC Research & Consulting, Inc. (BBC). BBC has experience in the development of over thirty (30) AIs nationwide and has extensive consolidated planning, housing strategy, and market study experience. The BBC team has significant experience in the State of Texas, and more than 10 years of experience conducting AIs and has developed a good working relationship with several cities and agencies in the state, as well as with HUD.

The state has also taken action to ensure that the Phase 2 AI will be drafted through a clear, transparent, and open process. Stakeholders were involved early in the process to ensure that a broad, representative sample of Texans has the opportunity to participate. Beginning in February of 2012, residents were surveyed about their experiences with fair housing issues. The random-sample survey was supplemented with an oversample of protected classes, as well as online and paper surveys for further input from citizens of Texas. The State also undertook a process of reaching out to as many stakeholders as possible. A stakeholder survey was fielded in April and May of 2012. Furthermore, ten online focus groups took place between April and June, followed by ten in-person focus groups in June and July, giving stakeholders more chances to have their say. In order to ensure depth and breadth in the results, individual stakeholders were interviewed throughout the public outreach process. Finally, five public hearings will be conducted around Texas in the spring of 2013 after the release of the draft Phase 2 AI in order to receive public comment before the final product is submitted in mid-2013.

The state is committed to ensuring that the process for updating the AI will be inclusive and comprehensive, and that the resulting AI will include realistic recommendations to address fair housing barriers in Texas. Throughout the development of the AI, TDHCA will post updates on the progress on TDHCA's fair housing webpage.

To see the 2003 Analysis of Impediments to Fair Housing report, entire 2010 Phase 1 AI, details on the progress to address impediments in the Phase 1 AI, and recent activities related to Phase 2, go online at <http://www.tdhca.state.tx.us/program-services/fair-housing/analysis-impediments.htm>. Actions taken to

address impediments in the fully updated AI will be reported in CAPER once the update of the AI is complete.

## Texas Workforce Commission Civil Rights Division

### *Background*

The Texas Workforce Commission–Civil Rights Division (TWCCRD), formerly known as the Texas Commission on Human Rights (TCHR), which was created by the Texas Legislature in 1983, was the first state agency to receive interim substantial equivalency certification by the U.S. Department of Housing and Urban Development (HUD) in 1990 under the 1988 amendments to the Federal Fair Housing Laws. The duties, functions, and responsibilities of TCHR were transferred to TWCCRD on March 1, 2004.

TWCCRD implements the Fair Housing Assistance Program (FHAP) throughout the state of Texas. Working with HUD and local FHAPS in Austin, Corpus Christi, Fort Worth, Dallas, and Garland, TWCCRD is directly involved in preventing and eliminating discriminatory housing practices through the enforcement of the Texas Fair Housing Act, as amended. Codified in Texas Property Code, Chapter 301, this statute prohibits discrimination in housing transactions because of race, color, religion, sex, familial status, national origin and disability.

TWCCRD also conducts education and outreach programs in an effort to increase the public’s knowledge and awareness of fair housing rights and responsibilities, improve complaint processing, and reduce housing discrimination.

### Summary of Impediments

#### *Discrimination based on Fair Housing for those with Disabilities*

In state fiscal year 2012, 40% of cases closed statewide (includes cases closed cooperatively by HUD, TWCCRD and local FHAP agencies) were closed with no cause findings. No reasonable cause findings are issued when the evidence gathered by TWCCRD during the investigation shows Respondents did not commit a discriminatory housing practice as alleged in the complaint. Twenty percent were successfully conciliated and 28.5% were withdrawals with settlement.

TWCCRD, exclusive of HUD and local FHAP agencies, closed 31.9% of its cases with no reasonable cause findings, 31.7% were successfully conciliated, and 21% were withdrawals with settlement. In one case, TWCCRD found reasonable cause to believe named Respondents engaged in discriminatory housing practices and issued a charge.

More than half (52.7%) of cases closed by TWCCRD and 48.7% of cases closed statewide were closed with merit resolutions, which are defined as cases with outcomes favorable to complainants. Housing merit resolutions include reasonable cause findings, successful conciliations, and withdrawals with settlement.

## *Other Actions*

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### *Affirmatively Furthering Fair Housing*

An analysis of the cases closed with merit resolutions shows that 48% were based on disability. Although age is not a protected basis under the Texas Fair Housing Act, many of our Complainants who filed based on disability were elderly.

### *Efforts to Alleviate Discrimination Based on Disabilities*

Making housing unavailable or constructing multi-family housing that is not accessible to people with disabilities is prohibited under the Texas Fair Housing Act. Refusing to allow a person with a disability to make reasonable modifications or refusing to make a reasonable accommodation in rules, policies, practices, or services that may be necessary to afford the person full enjoyment of the property is also prohibited.

Merit resolutions on disability cases included benefits to housing consumers such as making housing available that was otherwise unavailable; retrofitting properties to make them accessible and usable; amending housing policies, procedures, and practices to ensure housing consumers are treated fairly; and attending fair housing training to ensure housing consumers are not harmed by discriminatory practices.

### *Specific Discrimination Based on Fair Housing for Seniors with Disabilities*

Although age is not a protected basis under the Texas Fair Housing Act, many of the complaints resolved by TWCCRD based on disability were filed by the elderly. The U.S. Census Bureau's 2010 American Community Survey on Disability estimates 11.6% of Texans have a disability. The estimates by age show as the population ages, the percentage of Texans who are disabled increases. For the population under the age of five, 0.6% are estimated to be disabled; between 5 and 17 years, 5.5% are estimated to be disabled; and for 18 to 64 years, 10.1% are estimated to be disabled. Texans 65 years and older have the highest estimate at 40.6%.

According to Texas Department of Aging and Disability Services (DADS) Texas State Plan on Aging Fiscal Years 2011-2013, DADS conducted a comprehensive update of a 2004 assessment survey of older Texans in 2008. Among the findings, safe and affordable housing were identified as priority needs.

At some point in their lives, many Texans will either experience or have a loved one experience a disability and as a result, their equal access to housing opportunities may be affected.

TWCCRD will continue to collaborate with TDHCA in its enforcement and outreach efforts to housing consumers, housing providers, state and local government agencies, and other fair housing stakeholders. Special emphasis will be placed on prevention of housing discrimination based on disability and in the areas of making housing available, compliance with design and construction requirements, reasonable modifications, and reasonable accommodations.

### *Discrimination based on Fair Housing and Race*

The Texas Fair Housing Act prohibits discrimination in the setting of different terms, conditions, or privileges in rental and sales transactions because of race. Refusing to sell or rent because of race after a bona fide offer is also prohibited.

An analysis of the fiscal year 2012 cases closed by TWCCRD with merit resolution shows that 23% were based on race, involving individuals of Black or African American descent.

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*Affirmatively Furthering Fair Housing*

In the cases closed with merit resolutions based on race, benefits to housing consumers consisted of making housing available that was otherwise unavailable; amending housing policies, procedures, and practices to ensure housing consumers are treated fairly; and attending fair housing training to ensure housing consumers are not harmed by discriminatory practices.

TWCCRD will continue to collaborate with TDHCA in its enforcement and outreach efforts to housing consumers, housing providers, state and local government agencies, and other fair housing stakeholders. Special emphasis will be placed on prevention of housing discrimination based on race and in the area of and terms, conditions, and privileges. Special emphasis will also focus on refusal to rent or sell.

*Discrimination Based on Ignorance of Fair Housing Laws*

The TWCCRD continues to encounter housing consumers and housing providers who are unaware of fair housing rights and responsibilities.

*Efforts to Alleviate Discrimination Based on Ignorance of Fair Housing Laws*

From 2005 to 2008, TWCCRD utilized outreach grant funding from HUD to educate people living in Texas cities and counties throughout the state. Partnering with state and local governments, agencies, private housing providers, public housing providers, and other fair housing partners, TWCCRD distributed fair housing informational materials throughout the state in both English and Spanish. These materials informed housing consumers about their fair housing rights and housing providers about their fair housing responsibilities. TWCCRD continues to use available resources to provide education and outreach to housing consumers and housing providers by partnering with TDHCA, state and local government agencies, and other fair housing stakeholders.

*Discrimination Based On Homeowner Insurance Industry*

During fiscal year 2012, there were no homeowner insurance complaints filed with TWCCRD.

## CDBG FAIR HOUSING ACTIVITIES

In compliance with 24 CFR §570.487, other applicable laws and related program requirements, the state has completed the required actions to affirmatively further fair housing. The State of Texas conducts training and provides educational material to the participating units of general local government on federal and state fair housing laws and procedures, including technical assistance. The following are examples of this performance:

### **Contractor Certifications**

All applicants for the CDBG funds must certify that they will take action to affirmatively further fair housing. This certification must be signed and submitted with the initial application for funding and is also included in the contract, if awarded. This certification is discussed at the application workshops and is clearly noted in the application guides.

### **Civil Rights and Fair Housing Technical Assistance**

The Texas Community Development Block Grant Program has assigned a staff member to be responsible for the fair housing and civil rights requirements of the program. Staff addresses questions from the

## *Other Actions*

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### *Affirmatively Furthering Fair Housing*

grantees and general public regarding civil rights and makes any appropriate referrals on an on-going basis.

### **Project Implementation Manual**

A copy of the TxCDBG Project Implementation Manual was made available to all new grantees to assist them in the administration of project activities and to inform them of all the applicable laws and regulations. This manual includes a chapter regarding fair housing and a chapter on equal opportunity with detailed information, forms and checklists to ensure compliance with all regulations. This manual includes clear instructions so that city and county employees are able to understand and complete the necessary forms and requirements. TxCDBG Project Implementation Manual workshops held across the state provided opportunities for grantees and administrative consultants to clarify these requirements as needed. Beginning in PY 2009, administrative consultants must have attended a TxCDBG Project Implementation Manual Workshop in order to be certified to administer TxCDBG contracts.

### **Pre-Funding Site Visits**

TDA staff conducted pre-funding site visits to all localities that were recommended for funding under the Community Development Fund. All CDBG grantees (contractor localities) are informed that they are required to conduct at least one fair housing activity during the contract period. During this personal visit the localities are informed of the Project Implementation Manual available on the TDA website. A list of acceptable fair housing activities, samples of Fair Housing Ordinances (also contained in the manual) and a checklist of reporting and record keeping requirements of the CDBG program are provided in the Manual. Grantees are encouraged to pass fair housing ordinances and to update existing fair housing ordinances to include all federally protected classes. The fair housing ordinance must include a penalty clause and the locality must have the staff and the capacity to enforce the ordinance.

### **Availability of Fair Housing Posters and Brochures**

The Texas Community Development Block Grant Program are willing to provide copies of civil rights laws, various samples of public service announcements and fair housing ordinances, fair housing brochures, and technical assistance upon request. Staff is encouraged to deliver posters to grantees to increase awareness of fair housing laws.

### **Fair Housing Expenses**

The CDBG utilizes funds from the technical assistance funding for the cost of providing fair housing technical assistance. This includes the cost of reproducing/printing fair housing brochures and memorandums; related postage; and the purchase of office supplies and materials. Additional funds were utilized on travel expenses to conferences and workshops as well as staff time.

### **Affirmatively Furthering Fair Housing (AFFH) Consultants and Guidance Resources**

The agency in conjunction with the delivery of the disaster recovery funds has contracted with Fair Housing consultants. These consultants provide an additional Fair Housing resource to both agency staff and jurisdictions and their consultants. In addition, the agency has added many resources on Fair Housing resources to its web page to provide further guidance. These include copies of the HUD Fair Housing Planning Guide, links to the HUD Fair Housing Equal Opportunity web page, and links to EEOC laws and guidance.

**Monitoring of Civil Rights Requirements**

Texas CDBG administers on average between 800 and 1,000 open CDBG contracts throughout the year. Program Monitors review each contractor for civil rights requirements using a detailed checklist on civil rights and fair housing requirements. A review of the files includes, but is not limited to, the following:

- All bid documents and contracts must contain equal employment opportunity provisions including an equal opportunity plan.
- All Grant Recipients are required to publish a notice of non-discrimination in a general circulation newspaper in the affected community and complete a Section 504 self-evaluation review.
- Grant Recipients with 15 or more employees must have appointed a Section 504 coordinator, adopted grievance procedures, and notified all CDBG project participants that they must not discriminate on the basis of an individual's disability.
- The Texas Community Development Block Grant Program requires that each Grant Recipient appoint a Fair Housing/Equal Opportunity Officer to be responsible for the fair housing and civil rights program requirements, and to take any possible complaints and make referrals, as necessary.
- Each Grant Recipients is monitored closely to ensure that at least one fair housing activity was completed within the contract period.
- The project completion report must include a description of the fair housing activities conducted during the contract period.
- The project completion report also contains the documentation of beneficiaries by income level, gender, race, and ethnicity.

Evidence of the civil rights and fair housing activities performed must be well-documented and available for review at the locality. This evidence is reviewed by Program Monitors when conducting on-site monitoring visits. If documentation of these activities is not available at the time of the monitoring visit, the locality is provided with a written request for these documents and instructed to provide the evidence within 30 days. Contracts are not administratively closed until the civil rights and fair housing requirements are met.

**Staff Outreach, Training, Conferences, and Workshops**

The Texas Health and Human Services Commission's Civil Rights Office has the responsibility to investigate claims of discrimination; to conduct new, periodic, and special compliance reviews of offices, programs and contractors; to provide training and guidance; and to take other appropriate steps to ensure that programs and services do not discriminate.

The staff members of the TDA Field Offices attended various events to provide technical assistance regarding TDA programs and fair housing issues. These staff members provide technical assistance in housing, community and economic development, and capacity building, and provide health-care related information for the rural areas. The staff members also provide limited information on TDHCA's housing programs and refer communities to the appropriate office.

In addition to TDA Field Offices, Border Field Offices, operated by TDHCA's Office of Colonia Initiatives (OCI) and supported in part by CDBG funds, promote fair housing in border counties. The OCI

## *Other Actions*

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### *Affirmatively Furthering Fair Housing*

staff provides one-on-one training and technical assistance on their housing and community affairs programs and services including Contract for Deed Conversion, Texas Bootstrap Loan Program, First-Time Homebuyer, and Contract for Deed Consumer Education. Furthermore, CDBG provides grants for colonia self-help centers in seven border counties. The centers provide on-site technical assistance and conduct community development activities, housing activities, public service activities, infrastructure improvements, outreach and education.

## HOME FAIR HOUSING ACTIVITIES

This section describes TDHCA's efforts to affirmatively further fair housing through the HOME Program.

### **Monitoring and Compliance of the Fair Housing Requirements**

TDHCA's Compliance and Asset Oversight division is responsible for on-site monitoring of HOME administrators and all HOME rental developments. Compliance staff utilizes comprehensive checklists to review compliance with accessibility, fair housing, and affirmative marketing requirements.

- Accessibility requirements are monitored throughout the affordability period. All rental housing developments must comply with Section 504 of the Rehabilitation Act of 1973, the Fair Housing Act and the Texas Accessibility Standards (TAS). Prior to the commencement of construction or rehabilitation, a plan review is conducted by Department staff to assess compliance with the above mentioned accessibility standards. HOME rental developments also receive a mid-construction inspection. At the completion of construction or rehabilitation, a final construction inspection is performed to ensure compliance with Section 504 and the design and construction requirements of the Fair Housing Act. If any deficiencies are noted, the owner is provided an opportunity to cure. Final retainage is not released until all deficiencies are corrected. A limited accessibility inspection is conducted with each on-site monitoring review to ensure the development's amenities and common areas continue to comply with applicable Fair Housing and Section 504 accessibility requirements.
- Fair Housing requirements are monitored by reviewing pertinent development documents. The property's written leasing criteria is reviewed to ensure objective standards are used for selecting tenants and for establishing applicant household eligibility to receive HOME assistance. Objective standards would include household income, rental history, credit history and criminal history. The criteria is also reviewed to ensure preferences do not discriminate on the basis of race, color, religion, sex, national origin, disability, or familial status.
- The Affirmative marketing plan and documentation of outreach efforts are reviewed in-depth during the on-site monitoring review. All HOME assisted properties containing 5 or more units must operate under an Affirmative Marketing Plan. The plan is reviewed to ensure it is property-specific and describes actions and marketing steps that will be utilized to provide information to attract eligible persons from all racial, ethnic, and gender groups in the housing market. The plan is required to be in writing and must specify methods for soliciting potential program applicants; such as persons least likely to apply and persons with disabilities. These groups must be identified and marketed to appropriately. Records of the Affirmative Marketing Plan is reviewed during the



on-site monitoring visit to ensure the development is appropriately marketing to persons with disabilities and service agencies that serve minority groups. Owners are also encouraged to make marketing materials in Non-English languages spoken by minority groups residing in or near the community of the property.

### **Fair Housing Conferences and Workshops**

TDHCA hired a Fair Housing Coordinator in May 2011, and added a Fair Housing Specialist in 2012. Responsibilities include coordinating and conducting Fair Housing and AFFH training, and coordinating actions to address identified impediments. During FY 2012, TDHCA's Fair Housing Coordinator and Specialist each conducted several presentations related to the development of the State of Texas Plan for Fair Housing Choice: Analysis of Impediments sessions for TDHCA administrators and staff members, as well as training for applicants and administrators of TDHCA programs.

### **Fair Housing Training**

State rules regarding developments require owners and architects to attend at least five hours of Fair Housing training. The Department maintains a list of entities that provide ongoing training to ensure that training opportunities are shared with developers, architects, and TDHCA staff. In addition, the Department added additional Fair Housing information in training presentations including TDHCA's 1<sup>st</sup> Thursday income eligibility training, multi and single-family application and implementation workshops, and training provided for staff. The Department posts Fair Housing Training opportunities online on TDHCA's Fair Housing website and requires rental housing administrators to sign a certification that the Applicant has read and understands the Department's fair housing educational materials posted on the Department's website.

### **Public Education and Outreach**

The Department developed a webpage dedicated to Fair Housing issues that is now available on the Department's website. The site contains several fair housing resources that the general public, elected officials, housing developers, mortgage brokers, and leasing/loaning agents can all benefit from to provide them with a greater understanding of the Federal and Texas Fair Housing Acts, and their impact on daily activities. TDHCA also designed a fair housing outreach campaign that included articles published in April 2012, a Fair Housing Information Notice as well as educational materials that can be provided during training events that TDHCA hosts or attends. TDHCA has also contacted other State entities with an interest in housing discrimination in order to make the Department available as a resource for both education and research.

### **Efficient Use of Funds**

To address the limited availability of funding for affordable housing, the Department enforces contract performance standards that allow the Department to deobligate funds from non-performing contracts and reprogram these funds for low-income housing. The Department is also working collaboratively with the state agencies administering HUD programs to update the State of Texas Plan for Fair Housing Choice: Analysis of Impediments. The result will be a comprehensive, complete document representative of fair housing impediments across the state.

## ESGP AND ESG FAIR HOUSING ACTIVITIES

This section describes TDHCA's efforts to affirmatively further fair housing through ESGP and ESG.

ESGP and ESG subrecipients, in providing rental assistance to homeless persons or persons who are at risk of homelessness due to eviction or due to loss of utilities, ensure that owners or renters are not discriminated against. The Department's ESGP and ESG subrecipient contracts include a provision on non-discrimination and equal opportunity and non-discrimination and equal opportunity as follows: Title VI of the Civil Rights Act of 1964, (42 U.S.C. Sec. 2000d et seq.); 24 CFR Part 1, "Nondiscrimination in Federally Assisted Programs of the Department of Housing and Urban Development – Effectuation of Title VI of the Civil Rights Act of 1964"; Title VIII of the Civil Rights Act of 1968, "The Fair Housing Act of 1968" (42 U.S.C. Sec. 3601 et seq.) and implementing regulations; Executive Order 11063, as amended by Executive Order 12249, and 24 CFR Part 107, "Nondiscrimination and Equal Opportunity in Housing under Executive Order 11063". The failure or refusal of the Subrecipient to comply with the requirements of Executive Order 11063 of 24 CFR Part 107 shall be a proper basis for the imposition of consequences for noncompliance specified in 24 CFR Sec. 107.60.; The prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. Sec. 6101et seq.) and implementing regulations at 24 CFR Part 146; The prohibitions against discrimination against otherwise qualified individuals with disabilities under Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. Sec. 794) and implementing regulations at 24 CFR Part 8. For purposes of the Emergency Shelter Grants Program, the term "dwelling units" in 24 CFR Part 8 shall include sleeping accommodations; and, the affirmative action requirements of Executive Order 11246 and the regulations issued under the Order at 41 CFR Chapter 60.

Finally, the Department's monitoring of subrecipients includes a process where we review compliance with provisions of the McKinney-Vento Act, the ESGP and ESG contract, and other federal or State regulations.

## GOALS AND OBJECTIVES

This section describes those goals and objectives that pertain to the Community Development, Homeless, Housing activities. Non-Homeless Special Needs goals and objectives are included in Part II of this document.

### COMMUNITY DEVELOPMENT GOALS AND OBJECTIVES: CDBG

The following includes the reported outputs of key and non-key measures for CDBG goals as reported to the Legislative Budget Board for Fiscal Year 2012.

Number of new community and economic development contracts awarded.

FY 2012 Target:	290
FY 2012 Actual:	186

Number of projected beneficiaries from new contracts awarded.

FY 2012 Target:	495,000
FY 2012 Actual:	272,004

Number of programmatic monitoring visits conducted.

FY 2012 Target:	397
FY 2012 Actual:	382

Number of jobs created/retained through contracts awarded.

FY 2012 Target:	432
FY 2012 Actual:	636

Number of Single Audit reviews conducted.

FY 2012 Target:	140
FY 2012 Actual:	155

## HOMELESS AND HOUSING GOALS AND OBJECTIVES

### ESGP and HOME

The goals below, taken from the TDHCA Strategic Plan, reflect program performance during State Fiscal Year 2012 based upon measures developed with the State's Legislative Budget Board and Governor's Office of Budget and Planning. The goals are also based upon Riders attached to the Department's Appropriations. State Fiscal Year 2012 covers the period September 1, 2011 to August 31, 2012. The following performance measures are distinct from the HUD Performance Indicators reported in each program section of this document, which measure performance during the 2012 Program Year, February 1, 2012 through January 31, 2013.

**GOAL 1:** TDHCA will increase and preserve the availability of safe, decent and affordable housing for very low-, low- and moderate-income persons and families.

#### Strategy 1.1

Provide mortgage financing and homebuyer assistance through the Single-Family Mortgage Revenue Bond Program

Strategy Measure	2012 Target	2012 Actual	% of Goal	2013 Target
Number of single-family households assisted through the First Time Homebuyer Program	2,002	2,609	130.32%	2,002

**Explanation of Variance:** Due to the private sectors' inability to provide downpayment assistance (DPA) that meets investors' criteria, there has been a significant increase in the number of assisted loans provided by the Department; thereby, increasing overall performance.

#### Strategy 1.2

Provide federal housing loans and grants through the HOME Investment Partnership (HOME) Program for affordable single family housing

Strategy Measure	2012 Target	2012 Actual	% of Goal	2013 Target
Number of single-family households assisted with HOME funds	580	805	138.79%	580

**Explanation of Variance:** Reservation System usage has increased the number of SF households served. Contracts for HOME activities were awarded during the 3rd quarter as the single family NOFA closed. The NOFA was oversubscribed, allowing additional contract awards and increasing the number of households served.

#### Strategy 1.3

Provide funding through the HTF program for affordable single family housing

Strategy Measure	2012 Target	2012 Actual	% of Goal	2013 Target
Number of single-family households assisted through the Housing Trust Fund	206	616	299.03%	250

**Explanation of Variance:** The fiscal year target was exceeded by the 3rd quarter due to the Homebuyer Assistance Program, a high-volume program made competitive using the "reservation system" model, as well as streamlined internal processes.

**Strategy 1.4**

Provide tenant-based rental assistance through Section 8 certificates and vouchers

Strategy Measure	2012 Target	2012 Actual	% of Goal	2013 Target
Number of households assisted through Statewide Housing Assistance Payments Program	1,100	1,094	99.45%	1,100

**Explanation of Variance:** None needed.**Strategy 1.5**

Provide federal tax credits to develop rental housing for very low income and low income households

Strategy Measure	2012 Target	2012 Actual	% of Goal	2013 Target
Number of multifamily households assisted with Housing Tax Credits	5,657	6,696	118.37%	6,031

**Explanation of Variance:** This measure reflects the annual Competitive (9%) HTC awards. Due to the extreme oversubscription to the Competitive HTC Program and the nature of the scoring system, applicants strategically lowered their tax credit requests in order to be more competitive. Additionally, increasing equity prices has led to a need for less tax credits per unit of multifamily development. This has resulted in an increase in the number of households served through the HTC Program.**Strategy 1.6**

Provide federal mortgage loans through the Multifamily Mortgage Revenue Bond Program

Strategy Measure	2012 Target	2012 Actual	% of Goal	2013 Target
Number of Households Assisted through the Multifamily Mortgage Revenue Bond Program	750	0	0%	750

**Explanation of Variance:** Economic conditions in the bond and equity markets made it unattractive for developers to submit financially feasible applications for 4% credits and private activity bonds for FY2012. Equity markets have seen large increases in pricing; however, the economic conditions in the bond markets have not resulted in favorable financing terms that would yield beneficial results. Additionally, the lack of available soft funds as an additional funding source, the low applicable percentage, and the limited qualification for the increase in eligible basis create a gap in financing for 4% HTC developments.**GOAL 2:** TDHCA will promote improved housing conditions for extremely low-, very low- and low-income households by providing information and technical assistance.**Strategy 2.1**

Provide information and technical assistance to the public through the Housing Resource Center

Strategy Measure	2012 Target	2012 Actual	% of Goal	2013 Target
Number of information and technical assistance requests completed	5,000	9,542	190.84%	5,000

**Explanation of Variance:** The number of informational and technical assistance requests handled by the Housing Resource Center (HRC) varies based on economic conditions across the state. Throughout SFY2011 and SFY2012, the HRC has experienced a higher volume of phone requests than usual due in large part to the continuing economic downturn.

## Goals and Objectives

### ESGP and HOME

#### Strategy 2.2

To assist colonias, border communities, and nonprofits through field offices, Colonia Self-Help Centers, and Department programs.

Strategy Measure (A)	2012 Target	2012 Actual	% of Goal	2013 Target
Number of on-site technical assistance visits conducted annually from the field offices	900	1,096	121.78%	900

**Explanation of Variance:** The Office of Colonia Initiatives (OCI) exceeded projected performance measures for on-site technical assistance visits due to increase demand for the programs offered by the Department. Several Notices of Funding Availability (NOFA) were released at the beginning of the Fiscal Year and OCI staff has provided extensive technical assistance for the Texas Bootstrap Loan Program, Amy Young Barrier Removal Program and Rural Housing Expansion Program in addition to their regular technical assistance duties.

**GOAL 3:** TDHCA will improve living conditions for the poor and homeless and reduce the cost of home energy for very low-income Texans.

#### Strategy 3.1

Administer homeless and poverty-related funds through a network of community action agencies and other local organizations so that poverty-related services are available to very low-income persons throughout the state.

Strategy Measure (A)	2012 Target	2012 Actual	% of Goal	2013 Target
Number of persons assisted through homeless and poverty related funds	599,032	746,800	124.67%	599,032

**Explanation of Variance:** More persons have been assisted in the Community Services Block Grant (CSBG) program than anticipated. Due to economic conditions and related unemployment, there has been a greater demand on TDHCA services and increased persons served.

Strategy Measure (B)	2012 Target	2012 Actual	% of Goal	2013 Target
Number of persons assisted that achieve incomes above poverty level.	1,200	1,207	100.58%	1,200

**Explanation of Variance:** None needed.

#### Strategy 3.2

Administer the state energy assistance programs by providing grants to local organizations for energy related improvements to dwellings occupied by very low-income persons and for assistance to very low-income households for heating and cooling expenses and energy-related emergencies.

Strategy Measure (A)	2012 Target	2012 Actual	% of Goal	2013 Target
Number of households assisted through Community Energy Assistance Program	47,653	155,455	326.22%	47,653

**Explanation of Variance:** Although household income eligibility decreased from 200% to 125% of Federal Poverty Income Guidelines, the subrecipient network has seen increased CEAP applications and households served due to continued economic hardships in the eligible population. Additionally, TDHCA also received more federal LIHEAP funds than anticipated, allowing the Department to serve this increased demand.

Strategy Measure (B)	2012 Target	2012 Actual	% of Goal	2013 Target
Number of dwelling units weatherized through Weatherization Assistance Program	2,610	22,394	858.01%	2,594

**Explanation of Variance:** TDHCA continues to administer federal stimulus funds (ARRA WAP), allowing additional households to be served. Households reported include 15,387 served through ARRA WAP funds during SFY2012. Additionally, TDHCA also received more federal LIHEAP funds than anticipated, allowing the Department to assist more households than previously anticipated.

**GOAL 4:** TDHCA will ensure compliance with the Texas Department of Housing and Community Affairs' federal and state program mandates.

#### Strategy 4.1

The Compliance Division will monitor and inspect for Federal and State housing program requirements.

Strategy Measure	2012 Target	2012 Actual	% of Goal	2013 Target
Total number of onsite reviews conducted	914	1,035	113.24%	825

**Explanation of Variance:** When this measure was first established it assumed an increase in number of properties to be expected based on past year's trends. With the Tax Credit Exchange Program (a temporary stimulus program that allowed owner to exchange previous allocations of housing tax credits made in 2007, 2008 and 2009 for direct funding) properties placed in service later than initially expected, which delayed the first onsite review. This delay was not expected and resulted in more onsite monitoring reviews conducted in FY2012 than anticipated, an unforeseen deviation from the previous trends.

#### Strategy 4.2

The Compliance and Asset Oversight Division will administer and monitor federal and state subrecipient contracts for programmatic and fiscal requirements.

Strategy Measure (A)	2012 Target	2012 Actual	% of Goal	2013 Target
Total number of contract monitoring reviews conducted	150	181	120.67%	208

**Explanation of Variance:** A greater number of contract monitoring reviews were completed than were anticipated for SFY2012.

**GOAL 5:** To protect the public by regulating the manufactured housing industry in accordance with state and federal laws.

#### Strategy 5.1

Provide services for Statement of Ownership and Location and Licensing in a timely and efficient manner.

Strategy Measure	2012 Target	2012 Actual	% of Goal	2013 Target
Number of manufactured housing statements of ownership and location issued	70,000	85,298	121.85%	70,000

## Goals and Objectives

### ESGP and HOME

**Explanation of Variance:** There was an increase in the number of applications received and processed during SFY2012. Exceeding the targeted measure is desirable.

#### Strategy 5.2

Conduct inspections of manufactured homes in a timely manner.

Strategy Measure (A)	2012 Target	2012 Actual	% of Goal	2013 Target
Installation Reports conducted	11,000	10,530	95.73%	11,000

**Explanation of Variance:** None needed

#### Strategy 5.3

To process consumer complaints, conduct investigations and take administrative actions to protect the general public and consumers.

Strategy Measure #1	2012 Target	2012 Actual	% of Goal	2013 Target
Number of complaints resolved	600	412	68.67%	600

**Explanation of Variance:** Department has received fewer complaints than targeted, resulting in fewer complaints needing resolution during SFY2012.

Strategy Measure #2	2012 Target	2012 Actual	% of Goal	2013 Target
Average time in days for complaint resolution	180	127.4	70.78%	180

**Explanation of Variance:** The average time for resolution is under the targeted projection, which is desirable.

Strategy Measure #3	2012 Target	2012 Actual	% of Goal	2013 Target
Number of jurisdictional complaints received	550	423	76.91%	550

**Explanation of Variance:** This measure is under the targeted projection because the Department is receiving fewer complaints than projected.

Riders 5 & 6 are established in legislation, as found in the General Appropriations Act.

Rider 5 (a): TDHCA will target its housing finance programs resources for assistance to extremely low-income households.

The housing finance divisions shall adopt an annual goal to apply \$30,000,000 of the divisions' total housing funds toward housing assistance for individuals and families earning less than 30 percent of median family income.

Rider 5 (a)	2012 Target	2012 Actual	% of Goal	2013 Target
Amount of housing finance division funds applied towards housing assistance for individuals and families earning less than 30 percent of median family income	\$30,000,000	\$37,327,447	124.42%	\$30,000,000

**Explanation of Variance:** The performance is higher than expected because the Rider 5 report now captures actual incomes of households served by TDHCA and not projected income groups.

Note: For more information, see Rider 5 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act), 82<sup>nd</sup> Legislature, Regular Session.



Rider 5 (b): TDHCA will target its housing finance resources for assistance to very low-income households.

The housing finance divisions shall adopt an annual goal to apply no less than 20% of the division's total housing funds toward housing assistance for individuals and families earning between 31% and 60% of median family income.

Rider 5 (b)	2012 Target	2012 Actual	% of Goal	2013 Target
Percent of housing finance division funds applied towards housing assistance for individuals and families earning between 31% and 60% of median family income	20%	58.76%	293.8%	20%

**Explanation of Variance:** The majority of TDHCA housing programs serve households under 60% of median family income. The Rider 5 Report includes Section 8, HOME Single Family, HOME Multifamily, Housing Trust Fund Single Family, Housing Trust Fund Multifamily and Housing Tax Credit Programs.

Note: For more information, see Rider 5 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act) 82<sup>nd</sup> Legislature, Regular Session.

Rider 6: TDHCA will provide contract for deed conversions for families who reside in a colonia and earn 60 percent or less of the applicable area median family income.

Help colonia residents become property owners by converting their contracts for deed into traditional mortgages.

Strategy Measure	2012 Target	2012 Actual	% of Goal	2013 Target
Amount of TDHCA funds applied toward contract for deed conversions for colonia families earning less than 60% of median family income.	100	13	13.00%	100

**Explanation of Variance:** Rider 6 of the Department's appropriations act requires that the Department direct \$2,000,000 a year towards completing 100 contract for deed conversions. For FY 2012 the Department allocated \$142,176.22 towards 13 contract for deed conversions. The decline in the number of contract for deed requests has inhibited the ability of the Department to attain the target.

Note: For more information, see Rider 6 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act), 82<sup>nd</sup> Legislature, Regular Session.

The following TDHCA-designated goal addresses the housing needs of person with special needs.

**HOME PROGRAM STATUTE REQUIREMENT:** TDHCA will work to address the housing needs and increase the availability of affordable and accessible housing for persons with special needs.

Dedicate five percent (5%) of the HOME project allocation for benefits of persons with disabilities who live in any area of this state.\*

## Goals and Objectives

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### ESGP and HOME

Strategy Measure	2012 Target	2012 Actual	% of Goal	2013 Target
Amount of HOME project allocation awarded to applicants that target persons with disabilities.	\$2,000,000	\$2,334,419	116.7%	\$1,350,000

**Explanation of Variance:** These include funds from the Persons with Disabilities Set-Aside and HOME general funds that were used to assist households with person with disabilities. It is important to note that while funds from the set-aside may be used anywhere in the state, HOME general funds may only be utilized in non-participating jurisdictions, those communities that do not receive funds directly from HUD.



Part II: Consolidated Annual Performance and Evaluation  
Report for HOPWA

**Housing Opportunities for Persons with AIDS  
(HOPWA) Program**

**Consolidated Annual Performance and  
Evaluation Report (CAPER)  
Measuring Performance Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. **The Consolidated Annual Performance and Evaluation Report (CAPER) provide annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives**

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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**Continued Use Periods.** Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and

YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410 or at [Hopwa@hud.gov](mailto:Hopwa@hud.gov). **Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

**Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3C, Chart 1, Column [1] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units	
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies	
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year	

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	<b>Adjustment for duplication (subtract)</b>	<b>1</b>
6.	<b>TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)</b>	<b>1</b>

**Administrative Costs:** Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Central Contractor Registration (CCR):** The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

**Chronically Homeless Person:** An unaccompanied homeless individual (age 18 years or older) with a disabling condition or a family with at least one adult member (age 18 years or older) who has a disabling condition who has either been continuously homeless for a year or more **OR** has had at least four episodes of homelessness in the past three years." For this purpose, the term "homeless" means "a person sleeping in a place not meant for human habitation (e.g., living on the streets) or in an emergency homeless shelter." This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only.

Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

**HOPWA Housing Placement Assistance Activity Total:** The unduplicated number of households receiving assistance with either housing information services or permanent housing placement. These services are dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries remain in stable housing during the operating year. See Part 6: Worksheet definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

**Live-In Aide:** A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. *See the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

**Operating Costs:** Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

**Outcome:** The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Project Sponsor Organizations:** Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee or subrecipient to provide housing and other support services as defined in 24 CFR 574.300.

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Subrecipient Organization:** Organizations that hold an agreement with the grantee or sponsor agencies to provide administrative or limited implementation activities that do not involve direct services to clients. Examples of these organizations are as follows: evaluation firms, construction firms, administrative agencies, etc. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to Section 8 that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Housing Opportunities for Person with AIDS (HOPWA)  
Consolidated Annual Performance and Evaluation Report (CAPER)  
Measuring Performance Outputs and Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

**Part 1: Grantee Executive Summary**

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. In Part 4, please submit a written narrative to questions a. through c., and the completion of Chart d. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

**1. Grantee Information**

<b>HUD Grant Number</b> TXH-012-F999		<b>Operating Year for this report</b> From (mm/dd/yy) 02/01/2012 To (mm/dd/yy) 01/31/2013		
<b>Grantee Name</b> The State of Texas HOPWA Formula Program – Texas Department of State Health Services				
<b>Business Address</b>		P.O. Box 47967 MC 1873		
<b>City, County, State, Zip</b>	Austin	Travis	TX	78714-9347
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		1-32-0113643-A2		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		807391511	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 807391511	
<b>*Congressional District of Grantee's Business Address</b>		10		
<b>*Congressional District of Primary Service Area(s)</b>		N/A		
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: N/A		Counties: N/A
<b>Organization's Website Address</b> www.dshs.state.tx.us		<b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

\* Service delivery area information only needed for program activities being directly carried out by the grantee



**2. Project Sponsor Information**

Please complete Chart 2 for each organization designated or selected to serve as a project sponsors, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

*Note: Please see Definition section for distinctions between project sponsor and subrecipient*

<b>Project Sponsor Agency Name</b> Alamo Area Resource Center (AARC)		<b>Parent Company Name, if applicable</b> N/A		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Howard Rogers		
<b>Email Address</b>		Howardr@aarcsa.com		
<b>Business Address</b>		527 N. Leona, Bldg. A, 3 <sup>rd</sup> Fl.		
<b>City, County, State, Zip,</b>		San Antonio	Bexar	TX 78207
<b>Phone Number (with area code)</b>		(210) 358-9995 ext. 08		<b>Fax Number (with area code)</b> (210) 358-9953
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		74-2583211		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		825117906		
<b>Congressional District of Project Sponsor's Business Address</b>		20		
<b>Congressional District(s) of Primary Service Area(s)</b>		14, 20, 23, 28		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Atascosa, Bandera, Berghem, Bigfoot, Boerne, Campbellton, Castroville, Centerpoint, Charlotte, Christine, Comfort, Devine, Helotes, Jourdanton, Kendalia, La Coste, Leming, Lytle, Macdona, Mico, Moore, Natalia, Pipe Creek, Pleasanton, Poteet, Rio Medina, Somerset, Spring Branch, Von Ormy, Waring, Adkins, Cibolo, Converse, Elmendorf, Falls City, Floresville, Geronimo, Hobson, La Vernia, McQueeney, Marion, New Braunfels, Canyon Lake, Pandora, Panna Maria, Poth, Universal City, Saint Hedwig, Schertz, Seguin, Stockdale, Sutherland Springs, Bulverde, San Antonio, Fischer, Kingsbury, Staples, Hondo, Tarpley, Yancey		Counties San Antonio, Bexar, Comal, Wilson, Guadalupe, Gillespie, Kerr, Kendall, Frio, Medina, Atascosa, and Bandera
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$100,382		
<b>Organization's Website Address</b> http://www.aarcsa.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

<b>Project Sponsor Agency Name</b> Alamo Area Resource Center (AARC)		<b>Parent Company Name, if applicable</b> N/A
<b>Name and Title of Contact at Project Sponsor Agency</b>	Howard Rogers	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> <b>Yes</b> <input type="checkbox"/> <b>No</b> <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Waitlisted clients are ranked on a first-come first-serve basis. Clients at the top of the list are contacted every six months in order to re-certify their need and interest in remaining on the waiting list.

<b>Project Sponsor Agency Name</b> United Medical Centers (UMC) (Contract termed 10/15/12)		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		George Kypuros		
<b>Email Address</b>		Gkypuros.umc@tachc.org		
<b>Business Address</b>		2525 Veterans Blvd.		
<b>City, County, State, Zip,</b>		Eagle Pass	Maverick	TX 78852
<b>Phone Number (with area code)</b>		830-773-8917	<b>Fax Number (with area code)</b> 830-757-5587	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		74-1993570		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		031926009		
<b>Congressional District of Project Sponsor's Business Address</b>		2525 Veterans Blvd.		
<b>Congressional District(s) of Primary Service Area(s)</b>		23		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: Eagle Pass, Del Rio, Crystal City, Rocksprings, Leakey, Uvalde, Carrizo Springs, Cotulla	County(ies): Maverick, Val Verde, Kinney, Uvalde, Zavala, Dimmitt, Edwards, La Salle, and Real	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$18,958		
<b>Organization's Website Address</b>  http://www.tachc.org/		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>  Clients with children are given priority, then first come first served basis.		

<b>Project Sponsor Agency Name</b> Maverick County Hospital District (MCHD) (Contract effective 10/15/2012- Replaces UMC)	Parent Company Name, if applicable		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Eleira Bares		
<b>Email Address</b>	e.bares@mchdep.org		
<b>Business Address</b>	3406 Bob Rogers Dr., Ste. 140		
<b>City, County, State, Zip,</b>	Eagle Pass, Maverick County, TX 78852		
<b>Phone Number (with area code)</b>	830-757-4990		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-6000705	<b>Fax Number (with area code)</b> 830-757-4982	
<b>DUN &amp; Bradstreet Number (DUNs):</b>	021330233		
<b>Congressional District of Project Sponsor's Business Address</b>	23		
<b>Congressional District(s) of Primary Service Area(s)</b>	23		
<b>City(ies) <u>and</u> County(ies) of Primary Service Area(s)</b>	Cities: Eagle Pass, Del Rio , Crystal City, Rocksprings, Leakey, Uvalde, Carrizo Springs, and Cotulla. Counties: Maverick, Val Verde, Kinney, Uvalde, Zavala, Dimmitt, Edwards, La Salle, Real		
<b>Total HOPWA contract amount for this Organization for the operating year</b>	\$7,310	Counties: Maverick, Val Verde, Kinney, Uvalde, Zavala, Dimmitt, Edwards, La Salle, Real	
<b>Organization's Website Address</b>  www.mchdep.org	<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>		
<b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>		

<b>Project Sponsor Agency Name</b> Victoria City/County Health Department		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Paul M. Kelliher		
<b>Email Address</b>		pkelliher@vctx.org		
<b>Business Address</b>		2805 North Navarro		
<b>City, County, State, Zip,</b>		Victoria	Victoria	TX 77901
<b>Phone Number (with area code)</b>		361-572-0125		<b>Fax Number (with area code)</b> 361-578-7046
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		74-6002445		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		603165804		
<b>Congressional District of Project Sponsor's Business Address</b>		14		
<b>Congressional District(s) of Primary Service Area(s)</b>		14		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: Victoria, Cuero, Gonzales, Hallettsville, Goliad, Edna, Port Lavaca	Counties: Victoria, Gonzales, Lavaca, Dewitt, Jackson, Goliad, Calhoun	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$71,300		
<b>Organization's Website Address</b>  http://www.vctx.org/		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>		

<b>Project Sponsor Agency Name</b> Community Action, Inc. of Central Texas		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Danny Cedillo, HOPWA Case Manager		
<b>Email Address</b>		dcedillo@communityaction.com		
<b>Business Address</b>		204 S. Main Street		
<b>City, County, State, Zip,</b>		Elgin	Bastrop	Texas 78621
<b>Phone Number (with area code)</b>		512-285-3667	<b>Fax Number (with area code)</b> 512-285-4030	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		741541726		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		037318342		
<b>Congressional District of Project Sponsor's Business Address</b>		14		
<b>Congressional District(s) of Primary Service Area(s)</b>		14,10,21,31		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: Bastrop, Elgin, Round Rock, Georgetown, San Marcos, Lockhart, and Burnet	County(ies): of Primary Service Area Hays, Caldwell, Blanco, Bastrop, Lee, Llano, Fayette, Williamson, and Burnet	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$26,327		
<b>Organization's Website Address</b> www.communityaction.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>  Community Action maintains separate waiting list for STRMU and TBRA eligible clients, as needed. Eligible clients are: HIV positive, income eligible, and have an identified housing need. The waiting list will be updated every six months or as needed. As funding becomes available families with young children and the disabled will receive priority consideration for services.		

<b>Project Sponsor Agency Name</b> Project Unity		<b>Parent Company Name, if applicable</b> Unity Partners		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Jeannie McGuire, President		
<b>Email Address</b>		jmcguire@project-unity.org		
<b>Business Address</b>		P.O. Box 2812		
<b>City, County, State, Zip,</b>		Bryan	Brazos	Texas 77805
<b>Phone Number (with area code)</b>		979-595-2900		<b>Fax Number (with area code)</b> 979-595-2901
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		742932865		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		030539121		
<b>Congressional District of Project Sponsor's Business Address</b>		17		
<b>Congressional District(s) of Primary Service Area(s)</b>		6,10,17		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		<b>Cities:</b> Bryan, College Station	<b>Counties:</b> Brazos	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$65,819		
<b>Organization's Website Address</b>  www.projectunitytx.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>  Each active application folder will be assigned an ordinal ranking at any given time based on the date of application completion. When a rental assistance slot becomes available (i.e., a client moves to Housing Choice Voucher funding, leaves the geographic area, dies, or is otherwise terminated from the program) the Case Manager will review all current applicants and determine if any have a relatively more serious and immediate need for housing than the client who is number one on the list. Consideration will be given with regard to housing safety, sanitation, space requirements, client immediate health condition, and other such negative concerns. If no one has a more serious need, the applicant in the first slot will be served with available funds. The applicant next in line will move up to the first position.		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

<b>Project Sponsor Agency Name</b> Shannon Supportive Health Services		<b>Parent Company Name, if applicable</b> Shannon Health		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Vicky Howell, Program Coordinator		
<b>Email Address</b>		vickyhowell@shannonhealth.org		
<b>Business Address</b>		201 E. Harris		
<b>City, County, State, Zip,</b>		San Angelo	Tom Green	TX 76903
<b>Phone Number (with area code)</b>		325-481-8905		<b>Fax Number (with area code)</b> 325-481-8456
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		432038769		
<b>DUN &amp; Bradstreet Number (DUNS):</b>		073150963		
<b>Congressional District of Project Sponsor's Business Address</b>		11		
<b>Congressional District(s) of Primary Service Area(s)</b>		11		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: San Angelo, Eldorado, Sonora, Big Lake, Ozona, Sterling City, Bronte, Eden, Brady, Menard, Junction, Mason, Mertzon	Counties: Tom Green, Schleicher, Sutton, Reagan, Crockett, Sterling, Coke, Concho, McCulloch, Menard, Kimble, Mason and Irion.	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$20,686		
<b>Organization's Website Address</b> www.shannonhealth.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Priority given to clients who are homeless or in imminent danger of being homeless because of their increased health risks due to HIV/AIDS.		



<b>Project Sponsor Agency Name</b> United Way of the Greater Fort Hood Area		<b>Parent Company Name, if applicable</b> United Way of the Greater Fort Hood Area		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Robert Luckey, Program Director		
<b>Email Address</b>		reluckey@aol.com		
<b>Business Address</b>		2027 South 61 <sup>st</sup> Street, Suite 115		
<b>City, County, State, Zip,</b>		Temple	Bell	Texas 76504
<b>Phone Number (with area code)</b>		254-778-2495	<b>Fax Number (with area code)</b> 254-778-4302	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		741750544		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		16144020		
<b>Congressional District of Project Sponsor's Business Address</b>		31		
<b>Congressional District(s) of Primary Service Area(s)</b>		31		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Temple, Belton, Killeen, Harker Heights, Nolanville.	Counties Bell	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$34,790		
<b>Organization's Website Address</b>  www.unitedway-gfha.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>  Based on "first come, first serve" that will also take into consideration the seriousness of an individual's need, with those having the most limited resources and immediacy of need taking priority over those who have other housing options, even though the current options may not meet all the client's expectations.		

<b>Project Sponsor Agency Name</b> Waco/McLennan County Public Health District		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Laurel Churchman, Program Coordinator		
<b>Email Address</b>		LaurelC@ci.waco.tx.us		
<b>Business Address</b>		225 West Waco Drive		
<b>City, County, State, Zip,</b>		Waco	McLennan	Texas 76707
<b>Phone Number (with area code)</b>		254-750-5499	<b>Fax Number (with area code)</b> 254-750-5480	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		17460024684		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		075090779		
<b>Congressional District of Project Sponsor's Business Address</b>		17		
<b>Congressional District(s) of Primary Service Area(s)</b>		6,17,31		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Waco	Counties McLennan	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$98,728		
<b>Organization's Website Address</b> www.waco-texas/cms-healthdepartment		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<p><b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/></p> <p><i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/></p>		<p><b>If yes, explain in the narrative section how this list is administered.</b></p> <p>The waiting list will be maintained using the following criteria:                      HIV positive; Income eligible; Identified housing need as determined by the needs assessment; and are unable to receive TBRA due to insufficient HOPWA funds. Prioritization of the waiting list will be based on the following criteria, in this order:</p> <ol style="list-style-type: none"> <li>a. Homeless or at risk of homelessness, within the next 3 months. Priority focus on individuals who do not have family or friends to stay with;</li> <li>b. Substandard housing</li> <li>c. Payment of rent and utilities is larger than 50% of income</li> </ol> <p>Upon determining priority between two or more clients, if the above criteria are similar, then the next determining factor to consider will be whether the client has any dependants in their care. If it is determined that all the eligible clients have dependents then the final deciding factor will be the date of application. The waiting list will be reviewed quarterly. TBRA and STRMU waiting lists are separate.</p>		

<b>Project Sponsor Agency Name</b> Dallas County Health and Human Services HOPWA Program Unit		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Kris Dance, HOPWA Supervisor		
<b>Email Address</b>		kdance@dallascounty.org		
<b>Business Address</b>		2377 N. Stemmons Freeway, Suite 200, LB 16		
<b>City, County, State, Zip,</b>		Dallas	Dallas	Texas 75207-2710
<b>Phone Number (with area code)</b>		214-819-2844		<b>Fax Number (with area code)</b> 214-819-6023
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		75-6000905		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		073128597		
<b>Congressional District of Project Sponsor's Business Address</b>		3, 5, 24, 26, 30, 32		
<b>Congressional District(s) of Primary Service Area(s)</b>		6		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Barry, Chatfield, Corsicana, Kerens, Powell, Rice, Blooming Grove, Dawson, Frost, Purdon, Richland	Counties Navarro	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$1,000		
<b>Organization's Website Address</b>  www.dallascounty.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<p><b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/></p> <p><i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/></p>		<p><b>If yes, explain in the narrative section how this list is administered.</b></p> <p>The Dallas County Health and Human Services HOPWA waiting list for Navarro County is to be maintained on a first come, first served basis. The waiting list will reflect the name and date of which the eligible applicant is added to the waiting list.</p> <p><u>Open Waiting List:</u> The waiting list is to remain open at all times, with the exception of creating false hope. As the waiting list grows and applicant's wait is for an unforeseeable amount of time, then the waiting list will close for a period of time.</p> <p><u>Additions to the Waiting List:</u> Only eligible persons residing in the Navarro County area will be added to the waiting list.</p>		

<b>Project Sponsor Agency Name</b> Your Health Clinic		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Gwynne Palmore, Executive Director		
<b>Email Address</b>		g.palmore@verizon.net		
<b>Business Address</b>		303 Sunset Blvd.		
<b>City, County, State, Zip,</b>		Sherman	Grayson	TX 75092
<b>Phone Number (with area code)</b>		903.891.1972	<b>Fax Number (with area code)</b> 903.892.6093	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		752395756		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		879477875		
<b>Congressional District of Project Sponsor's Business Address</b>		4		
<b>Congressional District(s) of Primary Service Area(s)</b>		4, 13, 26		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Denison, Gunter, Pottsboro, Sherman, Bells, Howe, Tom Bean, Whitewright, Van Alstyne, Collinsville, Gordonville, Sadler, Southmayd, Tioga, Whitesboro, Bailey, Bonham, Dodd City, Ector, Gober, Honey Grove, Ivanhoe, Ladonia, Leonard, Randolph, Ravenna, Savoy, Telephone, Trenton, Windom, Era, Gainesville, Lindsay, Muenster, Myra, Rosston, Valley View	Counties Grayson, Fannin, Cooke	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$51,554		
<b>Organization's Website Address</b>  www.arcot.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<p><b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please check if yes and a faith-based organization. <input type="checkbox"/></p> <p>Please check if yes and a grassroots organization. <input type="checkbox"/></p>		<p><b>If yes, explain in the narrative section how this list is administered.</b></p> <p>At the time that funds or spaces are not available, a waiting list will go into effect. This waiting list policy will be as follows:  <u>First Come, First Served:</u> YHC's DSHS HOPWA waiting list for the above listed counties is to be maintained on a first come, first served basis. The waiting list will reflect the name and date of each eligible applicant as they are added onto the waiting list. All eligible applicants on the waiting list will complete a HOPWA application that will reflect the client's name and the date when this client was placed on the HOPWA waiting list.</p>		

<b>Project Sponsor Agency Name</b> Triangle AIDS Network (Beaumont/Port Arthur HSDA)		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Dr. Diane Bass – Executive Director			
<b>Email Address</b>	<a href="mailto:diane@tanbmt.com">diane@tanbmt.com</a>			
<b>Business Address</b>	P. O. Box 12279			
<b>City, County, State, Zip,</b>	Beaumont	Jefferson	TX	77726
<b>Phone Number (with area code)</b>	409-832-8338		<b>Fax Number (with area code)</b> 409-832-0976	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	76-0226835			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	609896378			
<b>Congressional District of Project Sponsor's Business Address</b>	2			
<b>Congressional District(s) of Primary Service Area(s)</b>	2,8			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Cities: Amelia, Atreco, Beaumont, Beauxart Gardens, Bevil Oaks, Borley Heights, Calder Highlands, Calder Terrace, Caldwell, Caldwell Acres, Central Gardens, Cheek, China, Dowling, Elizabeth, Fannett, Galloway, Gilburg, Gladys, Griffing Park, Groves, Guffey, Hamshire, Helbig, Higgins, Hillebrandt, Lakeview, Lovell Lake, Lucas, Meeker, Morey, Mount Evergreen, Nederland, Nome, Pear Ridge, Pine Crest, Pine Island, Port Acres, Port Arthur, Port Neches, Rosedale, Rosedale Acres, Sabine, Sabine Pass, Spindletop, Sunnyside, Taylor Landing, Viterbo, Voth, Walden, West Oakland, West Port Arthur, Zummo, Bancroft, Bland, Bridge City, Brownwood, Bruner, Connell, Cove, Doc Brown, Echo, Francis, Kinard Estates, Lakeview, Lakewood, Lemonville, Little Cypress, Maple Crest Acres, Mauriceville, Oilla, Orange, Orangefield, Peveto, Pine Forest, Pine Grove, Pinehurst, Ridgecrest, Rose City, Stark, Tulane, Vidor, West Bluff, West Orange, Batson, Bragg, Dies, Fletcher, Fresenius, Grayburg, Honey Island, Kountze, Lelavale, Lillard, Loeb, Lumberton, Nona, Pine Ridge, Pinewood Estates, Rose Hill Acres, Saratoga, Seth, Silsbee, Sour Lake, Thicket, Village Mills, Votaw		Counties: Jefferson, Orange, Hardin	
<b>Total HOPWA contract amount for this Organization for the operating year</b>	\$111,851			
<b>Organization's Website Address</b>  www.tanbmt.com	<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

<b>Project Sponsor Agency Name</b> Triangle AIDS Network (Beaumont/Port Arthur HSDA)		<b>Parent Company Name, if applicable</b>
<b>Name and Title of Contact at Project Sponsor Agency</b>	Dr. Diane Bass – Executive Director	
<p><b>Is the sponsor a nonprofit organization?</b>   <input checked="" type="checkbox"/> Yes   <input type="checkbox"/> No</p> <p><i>Please check if yes and a faith-based organization.</i>   <input type="checkbox"/></p> <p><i>Please check if yes and a grassroots organization.</i>   <input checked="" type="checkbox"/></p>		<p><b>If yes, explain in the narrative section how this list is administered.</b></p> <p>A chronological waiting list for TBRA is maintained as applications are received. As a space becomes available, the next person on the list is notified by mail. A copy of the letter is also given to the applicant’s case manager. A two-week period is given for a response. If there is no response by the applicant, the next person on the waiting list is notified. No waiting list for STRMU is maintained.</p>

<b>Project Sponsor Agency Name</b> AIDS Coalition of Coastal Texas Inc. (Galveston HDSA)		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Georgia Nelson Executive Director		
<b>Email Address</b>		Georgia1405@yahoo.com		
<b>Business Address</b>		707 Tremont		
<b>City, County, State, Zip,</b>		Galveston	Galveston	Texas 77550
<b>Phone Number (with area code)</b>		409-763-2437		<b>Fax Number (with area code)</b> 409-763-54
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		76-0230757		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		806086583		
<b>Congressional District of Project Sponsor's Business Address</b>		14		
<b>Congressional District(s) of Primary Service Area(s)</b>		14		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Allenhurst, Ashwood, Bay City, Blessing, Buckeye, Caney, Cedar Lake, Clemville, Collegeport, Elmaton, Hawkinsville, Markham, Matagorda, Midfield, Palacios, Pledger, Sargent, Sugar Valley, Van Vleck Wadsworth	Counties Matagorda	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$6,652		
<b>Organization's Website Address</b>  http://uwgcm.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input checked="" type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>		

<b>Project Sponsor Agency Name</b> AIDS Foundation Houston, Inc.		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Kelly Young, Chief Executive Officer		
<b>Email Address</b>		YoungK@AFHouston.org		
<b>Business Address</b>		3202 Wesleyan Street		
<b>City, County, State, Zip,</b>		Houston	Harris	TX 77027
<b>Phone Number (with area code)</b>		713-623-6796 ext. 244		<b>Fax Number (with area code)</b> 713-623-4029
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		76-0073661		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		19-007-4179		
<b>Congressional District of Project Sponsor's Business Address</b>		7		
<b>Congressional District(s) of Primary Service Area(s)</b>		7		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Alleyton, Altair, Bernardo, Boedecker Junction, Borden, Chesterville, Columbus, Eagle Lake, Frelsburg, Garwood, Glidden, Hillcrest, Hoefler, Matthews, Mentz, Nada, Oakland, Provident City, Ramsey, Rayner Junction, Rock Island, Sheridan, Weimar, Crabbs Prairie, Dodge, Hawthorne, Huntsville, Loma, New Waverly, Phelps, Pine Hill, Pine Prairie, Riverside, San Jacinto, Boling, Bonus, Burr, Cane Junction, Danevang, Dinsmore, Don-Tol, East Bernard, Egypt, El Campo, Elm Grove, Glen Flora, Hillje, Hungerford, Iago, Jones Creek, Lane City, Lissie, Louise, Mackay, Magnet, New Taiton, Newgulf, Pierce, Sorrelle, and Wharton		Counties Colorado, Walker and Wharton Counties
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$19,006		
<b>Organization's Website Address</b>  www.AIDShelp.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>  A separate waiting list will be utilized for STRMU and TBRA programs. The wait list will include a section regarding the client outcome. Date client removed from the waiting list; Reason client removed from the waiting list (client no longer in need, service provided, etc.).		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>				



<b>Project Sponsor Agency Name</b> Special Health Resources for Texas, Inc. (Longview/Tyler)		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Theresa Jones		
<b>Email Address</b>		thjones@shrt.net		
<b>Business Address</b>		P. O. Box 2709		
<b>City, County, State, Zip,</b>		Longview	Gregg	Texas 75606
<b>Phone Number (with area code)</b>		903-234-0776 ext.55		<b>Fax Number (with area code)</b> 903-234-9769
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		75-2405203		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		13-5826449		
<b>Congressional District of Project Sponsor's Business Address</b>		1		
<b>Congressional District(s) of Primary Service Area(s)</b>		1, 4, 5 (Cass county is in both TX-01/TX-04)		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Tyler	Counties Anderson, Camp, Cherokee, Gregg, Harrison, Henderson, Marion, Panola, Rains, Rusk, Smith, Upshur, Van Zandt, Wood	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$430,061		
<b>Organization's Website Address</b>  www.shrt.net		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>  The waiting list is reviewed and updated by the 20 <sup>th</sup> of each month with applicants being taken in the order placed on the waiting list. Clients with minor children will have priority over other applicants.		

<b>Project Sponsor Agency Name</b> Health Horizons of East Texas, Inc. (Lufkin/Nacogdoches HSDA)		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Dr. Wilbert Brown, Jr. Ed. D., Executive Director		
<b>Email Address</b>		drwilbertbrown@sbcglobal.net		
<b>Business Address</b>		412 North Street Suite F		
<b>City, County, State, Zip,</b>		Nacogdoches	Nacogdoches	TX 75961
<b>Phone Number (with area code)</b>		936-569-8240 Ext. 10		<b>Fax Number (with area code)</b> 936-569-2217
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		75-2335884		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		800809741		
<b>Congressional District of Project Sponsor's Business Address</b>		Nacogdoches		
<b>Congressional District(s) of Primary Service Area(s)</b>		17, 9, 19, 18		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Lufkin, Crockett, Jasper, Hemphill, San Augustine, Center, Trinity, Woodville, Newton, Nacogdoches, Livingston	Counties Angelina, Houston, Jasper, Sabine, San Augustine, Shelby, Trinity, Tyler, Newton, Nacogdoches, Polk	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$133,803		
<b>Organization's Website Address</b>  www.hhet.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>  The agency will maintain a separate waiting list for clients who are eligible for STRMU and TBRA. Medical Case Managers will request that clients be moved to the top of the HOPWA waiting list pertaining to STRMU or TBRA who: <ul style="list-style-type: none"> <li>• are living in unsafe conditions,</li> <li>• have major changes that may threaten homelessness (i.e. sudden and permanent loss of income),</li> <li>• are living in life-threatening living situations</li> </ul>		

<b>Project Sponsor Agency Name</b> Special Health Resources for Texas, Inc. (Texarkana/Paris HSDA)		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Theresa Jones, Program Administrator		
<b>Email Address</b>		thjones@shrt.net		
<b>Business Address</b>		P. O. Box 2709		
<b>City, County, State, Zip,</b>		Longview	Gregg	Texas 75606
<b>Phone Number (with area code)</b>		903-234-0776 ext. 55		<b>Fax Number (with area code)</b> 903-234-9769
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		75-2405203		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		13-5826449		
<b>Congressional District of Project Sponsor's Business Address</b>		TX-004		
<b>Congressional District(s) of Primary Service Area(s)</b>		TX-004		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Texarkana Paris	Counties Bowie, Cass, Delta, Franklin, Hopkins, Lamar, Morris, Red River, Titus	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$70,277		
<b>Organization's Website Address</b>  www.shrt.net		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>  The waiting list is reviewed and updated by the 20 <sup>th</sup> of each month with applicants being taken in the order placed on the waiting list. Clients with minor children will have priority over other applicants.		

<b>Project Sponsor Agency Name</b> Basin Assistance Services		<b>Parent Company Name, if applicable</b> Permian Basin Community Centers for MHMR		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Ramona Thomas, Program Coordinator		
<b>Email Address</b>		Ramona.Thomas@pbmhmr.com		
<b>Business Address</b>		1118-B 12 <sup>th</sup> Street		
<b>City, County, State, Zip,</b>		Odessa	Ector	TX 79763
<b>Phone Number (with area code)</b>		432-580-0713	<b>Fax Number (with area code)</b> 432-580-0972	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		75-1401776		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		074145561		
<b>Congressional District of Project Sponsor's Business Address</b>		11		
<b>Congressional District(s) of Primary Service Area(s)</b>		11, 19, 23		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Andrews, Crane, Ackerly, Lamesa, O'Donnell, Gail, Garden City, Goldsmith, Odessa, Seminole, Seagraves, Denver City, Coahoma, Forsan, Big Spring, Mentone, Midland, Stanton, Fort Stockton, Iraan, Balmorhea, Pecos, Toyah, MacCamey, Rankin, Grandfalls, Monahans, Barstow, Pyote, Wickett, Kerit, Wink	Counties Andrews, Borden, Crane, Dawson, Ector, Gaines, Glasscock, Howard, Loving, Martin, Midland, Pecos, Reeves, Terrell, Upton, Ward, Winkler	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$114,837		
<b>Organization's Website Address</b>  www.pbmhmr.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Clients on waiting list are required to apply for the HCV Program housing and first come first served basis.		

<b>Project Sponsor Agency Name</b> Panhandle AIDS Support Organization		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Michael Timcisko, Chief Executive Officer		
<b>Email Address</b>		michael_PASO@suddenlinkmail.com		
<b>Business Address</b>		1501 SW 10th		
<b>City, County, State, Zip,</b>		Amarillo	Potter County	TX 79101
<b>Phone Number (with area code)</b>		(806) -372-1050		<b>Fax Number (with area code)</b> (806)-372-1067
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		75-2219593		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		883196024		
<b>Congressional District of Project Sponsor's Business Address</b>		13		
<b>Congressional District(s) of Primary Service Area(s)</b>		13,19		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Claude, Quitique, Silverton, Groom, Panhandle, Skellytown, White Deer, Dimmit, Hart, Nazareth, Childress, Dodson, Wellington, Dalhart, Texline, Hereford, Clarendon, Hedley, Howardwick, Lefors, McLean, Pampa, Estelline, Lakeview, Memphis, Turkey, Gruver, Spearman, Channing, Canadian, Fritch, Sanford, Stinnett, Borger, Darrouzett, Follett, Higgins, Booker, Cactus, Dumas, Sunray, Perryton, Booker, Adrian, Vega, Farwell, Bovina, Friona, Amarillo, Canyon, Happy, Miami, Stratford, Texhoma, Kress, Tulia, Mobeetie, Shamrock, Wheeler	Counties Armstrong, Brisco, Carson, Castro, Childress, Collingsworth, Dallam, DeafSmith, Donley, Gray, Hall, Hansford, Hartley, Hemphill, Hutchinson, Lipscomb, Moore, Ochiltree, Oldham, Parmer, Potter, Randall, Roberts, Sherman, Swisher, Wheeler	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$110,091		
<b>Organization's Website Address</b>  www.panhandleaso.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Assistance is provided on a first-come first-serve basis through an eligibility/priority assessment.		

<b>Project Sponsor Agency Name</b> Project CHAMPS		<b>Parent Company Name, if applicable</b> South Plains Community Action Association, Inc		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Leigh Arrington, Program Coordinator			
<b>Email Address</b>	larrington@spcaa.org			
<b>Business Address</b>	3307 Ave X			
<b>City, County, State, Zip,</b>	Lubbock	Lubbock County	TX	79411
<b>Phone Number (with area code)</b>	(806) -771-0736		<b>Fax Number (with area code)</b> (806)-771-3398	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-1230219			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	094254547			
<b>Congressional District of Project Sponsor's Business Address</b>	19			
<b>Congressional District(s) of Primary Service Area(s)</b>	13,19			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Muleshoe, Morton, Whiteface, Crosbyton, Lorenzo, Ralls, Dickens, Spur, Floydada, Lockney, Post, Abernathy, Edmonson, Hale Center, Petersburg, Plainview, Anton, Levelland, Opdyke West, Ropesville, Smyer, Sundown, Earth, Amherst, Olton, Springlake, Sudan, Buffalo Springs Village, Idalou, Lubbock, New Deal, Ransom Canyon, Shallowater, Slaton, Wolfforth, New Home, O'Donnell, Tahoka, Wilson, Matador, Roaring Springs, Brownfield, Meadow, Wellman, Denver City, Plains		Counties Bailey, Cochran, Crosby, Dickens, Floyd, Garza, Hale, Hockley, King, Lamb, Lubbock, Lynn, Motley, Terry, Yoakum	
<b>Total HOPWA contract amount for this Organization for the operating year</b>	\$128,124			
<b>Organization's Website Address</b>  www.spcaa.org	<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	<b>If yes, explain in the narrative section how this list is administered.</b> Assistance is provided on a first-come first-serve basis through an eligibility/priority assessment.			

<b>Project Sponsor Agency Name</b> Sun City Behavioral Health Care		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Kristi Daugherty, Director		
<b>Email Address</b>		kdaugherty@EPMHMR.org		
<b>Business Address</b>		2931 Montana Ave, Suite B		
<b>City, County, State, Zip,</b>		El Paso	El Paso County	TX 79903
<b>Phone Number (with area code)</b>		915-351-4680	<b>Fax Number (with area code)</b> 915-351-3643	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		74-2928744		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		021913286		
<b>Congressional District of Project Sponsor's Business Address</b>		16		
<b>Congressional District(s) of Primary Service Area(s)</b>		16, 23		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Alpine, Castolon, Hovey, Lajitas, Marathon, Terlingua, Titley, Kent, Lobo, Pine Springs, Van Horn, Anthony, Clint, El Paso, Horizon City, Socorro, Vinton, Acala, Arispe, Cornudas, Crusher, Dell City, Eagle Flat, Esperanza, Finlay, Fort Hancock, McNary, Mile High, Salt Flat, Sierra Blanca, Chispa, Fort Davis, Valentine, Candelaria, Casa Piedra, Indio, Marfa, Nopal, Ochoa, Plata, Polvo, Presidio, Quebec, Redford, Ruidosa, Ryan, Shafter, Tinaja	Counties Brewster, Culberson, El Paso, Hudspeth, Jeff Davis, Presidio	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$204,998		
<b>Organization's Website Address</b>  www.epmhmr.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>		

<b>Project Sponsor Agency Name</b> Valley AIDS Council		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Mr. Dean G. Hall, Executive Director		
<b>Email Address</b>		dhall@valleyaids.org		
<b>Business Address</b>		418 E. Tyler Ave., Suite A		
<b>City, County, State, Zip,</b>		Harlingen	Cameron County	Texas 78550
<b>Phone Number (with area code)</b>		(956)428-2653 or (956) 428-9322		<b>Fax Number (with area code)</b> (956)428-0056
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		74-251-2591		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		002686186		
<b>Congressional District of Project Sponsor's Business Address</b>		15,27		
<b>Congressional District(s) of Primary Service Area(s)</b>		15,27		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Alamo, Alton, Bayview, Brownsville, Combes, Donna, Edcouch, Edinburg, Elsa, Hagill, Harlingen, Hidalgo, Indian Lake, La Blanca, La Feria, La Joya, La Villa, Laguna Heights, Laguna Vista, Lasara, Linn, Los Ebanos, Los Fresnos, Los Indios, Lozano, Lyford, McAllen, Mercedes, Mission, Monte Alto, Olmito, Palmhurst, Palmview, Penitas, Pharr, Port Isabel, Port Mansfield, Progreso, Progreso Lakes, Port Mansfield, Rancho Viejo, Raymondville, Rio Hondo, S Padre Isle, San Benito, San Juan, San Perlita, Santa Maria, Santa Rosa, Sebastian, South Padre Island, Sullivan City and Weslaco	Counties Cameron County, Hidalgo County, and Willacy County.	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$359,490		
<b>Organization's Website Address</b>  www.valleyaids.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The waiting list is based on a needs assessment and waitlisted according to their ranking.		



<b>Project Sponsor Agency Name</b> Coastal Bend Aids Foundation		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Mr. Bill Jeron Hoelscher, Executive Director		
<b>Email Address</b>		billh@cbaaf.org		
<b>Business Address</b>		400 Mann Street, Suite 800		
<b>City, County, State, Zip,</b>		Corpus Christi	Nueces County	Texas 78401
<b>Phone Number (with area code)</b>		(361) 814-2001		<b>Fax Number (with area code)</b> (361) 814-1998
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		74-2429518		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		791954167		
<b>Congressional District of Project Sponsor's Business Address</b>		27		
<b>Congressional District(s) of Primary Service Area(s)</b>		27, 15, 14		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Agua Dulce, Alice, Aransas Pass, Armstrong, Austwell, Banquete, Bayside, Beeville, Ben Bolt, Benavides, Bishop, Calliham, Chapman Ranch, City by the Sea, Concepcion, Corpus Christi, Crp Christi, Dinero, Discroll, Edroy, Encino, Falfurrias, Freer, Fulton, George West, Gregory, Ingleside, Kenedy, Kingsville, Station, Lake City, Mathis, Mineral, Normanna, Oakville, Odem, Orange Grove, Pawnee, Pettus, Port Aransas, Portland, Premont, Realitos, Refugio, Riviera, Robstown, Rockport, San Diego, Sandia, Sarita, Sinton, Skidmore, Swinney, Switch, Taft, Three Rivers, Tilden, Tivoli, Tuleta, Tynan, Whitsett and Woodsboro.		Counties Aransas County, Bee County, Brooks County, Duval County, Jim Wells County, Kenedy County, Kleberg County, Live Oak County, McMullen County, Nueces County, Refugio County, and San Patricio County.
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$340,469		
<b>Organization's Website Address</b>  www.cbaaf.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b> The waiting list is based on a needs assessment and waitlisted according to their ranking. Needs assessment is based on the following 1) Does the client have minor dependents living with him/her; 2) Does the client currently have housing; 3) The state of the clients health; 4) Does the client have the economic need. Each area is scored based on three (3) points, one (1) being the least amount of need and three (3) being the highest.		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

<b>Project Sponsor Agency Name</b> City Of Laredo Health Department		<b>Parent Company Name, if applicable</b> City of Laredo		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Mr. Manuel G. Sanchez, Jr., Program Coordinator		
<b>Email Address</b>		msanchez@ci.laredo.tx.us		
<b>Business Address</b>		2600 Cedar Avenue P.O. Box 2337		
<b>City, County, State, Zip,</b>		Laredo	Webb County	Texas 78042
<b>Phone Number (with area code)</b>		(956) 795-4905		<b>Fax Number (with area code)</b> (956) 795-2035
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		74-6001573		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		150146228		
<b>Congressional District of Project Sponsor's Business Address</b>		28		
<b>Congressional District(s) of Primary Service Area(s)</b>		28		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Bruni, Delmita, El Cenizo, Falcon, Falcon Heights, Fronton, Garciasville, La Grulla, Guerra, Hebronville, Laredo, Lopeflo, Mirando City, Oilton, Rio Bravo, Rio Grande City, Roma, Salineno, San Isidro, San Ygnacio, Santa Elena, and Zapata	Counties Jim Hogg, Starr, Webb and Zapata	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$83,691		
<b>Organization's Website Address</b>  www.cityoflaredo.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Clients on the waiting list for TBRA are given priority status if they meet the following criteria, regardless of date when services were requested: <ul style="list-style-type: none"> <li>• Has an AIDS diagnosis</li> <li>• Has dependents under the age of 18</li> <li>• Has exhausted the HOPWA program's short-term assistance cap and is in eminent risk of becoming homeless</li> </ul>		

<b>Project Sponsor Agency Name</b> Big County AIDS Resources (Abilene HSDA)		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Betty Sims, Executive Director			
<b>Email Address</b>	bsims@swbell.net			
<b>Business Address</b>	1109 Walnut Street, PO Box 1976			
<b>City, County, State, Zip,</b>	Abilene	Taylor	Texas	79604
<b>Phone Number (with area code)</b>	(325) 672-3077		<b>Fax Number (with area code)</b> (325) 672-3182	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-02235135			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	96-6501434			
<b>Congressional District of Project Sponsor's Business Address</b>	19			
<b>Congressional District(s) of Primary Service Area(s)</b>	11,13,19			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Cities Bangs, Blanket, Brownwood, Early, Brookesmith, Grosvenor, Indian Creek, Lake Brownwood, Lake Sore, May, Shamrock Shores, Thrifty, Zephyr, Baird, Clyde, Cross Pains, Putnam, Eula, Coleman, Novice, Santa Ana, Burkett, Content, Echo, Fisk, Goldsboro, Gouldbusk, Leaday, Mozelle, Rockwood, Silver Valley, Talpa, Valera, Voss, Whon, Cammanche, De Leon, Gustine, Energy Hasse, Proctor Sidney, Carbon, Cisco, Eastland, Gorman, Ranger, Rising Star, Desdemona, Olden, Roby, Rotan, Longworth, McCaulley, Raytown, Royston, Sylvester, Haskell, O'Brien, Rochester, Rule, Weinnert, Jud, Rolls, Sagerton, Anson, Hamlin, Hawley, Leuders, Stamford, Avoca, Radium, Tuxedo, Jayton, Girard, Benjamin, Goree, Knox City, Munday, Colorado City, Loraine, Westbrook Buford, Cuthbert, Latan, Clackwell, Roscoe, Sweetwater, Claytonville, Inadale, Maryneal, Nolan, Palava, Pyron, Wastella, Ballinger, Miles, Winters, Bethel, Blanton, Bradshaw, Crews, Drasco, Happy Vally, Hatchel, Norton, Pony, Pumphrey, Rowwena, Shep, Wilmeth, Wingate, Snyder, Clairemont, Dermott, Dunn, Fluvanna, Hermleigh, Ira, Union, Albany, Moran, Breckenridge, Caddo, Aspermont, Old		Counties Brown, Callahan, Coleman, Commanche, Eastland, Fisher, Haskell, Jones, Kent Knox, Mitchell, Nolan, Runnels, Scurry, Shackelford, Stephens, Stonewall, Taylor, Throckmorton	

<b>Project Sponsor Agency Name</b> Big County AIDS Resources (Abilene HSDA)		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>		Betty Sims, Executive Director	
		Glory, Peacock, Abilene, Bufalo Gap, Impact, Lawn Merkel, Trent, Tuscola, Tye, Blair, Hamby, Noodle, Ovalo, Potosi, Stith, Throckmorton, Woodson.	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$67,326	
<b>Organization's Website Address</b>  www.bartx.com		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>	

<b>Project Sponsor Agency Name</b> AIDS Outreach Center (Fort Worth HSDA)		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Shannon Hilgart, Associate Executive Director		
<b>Email Address</b>		shannonh@aoc.org		
<b>Business Address</b>		400 Beach Street		
<b>City, County, State, Zip,</b>		Fort Worth	Tarrant	Texas 76111
<b>Phone Number (with area code)</b>		(817) 335-1994		<b>Fax Number (with area code)</b> (817) 916-4661
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		75-2139336		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		78-1414842		
<b>Congressional District of Project Sponsor's Business Address</b>		12		
<b>Congressional District(s) of Primary Service Area(s)</b>		12,13,17 & 31		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Dublin, Stephenville, Bluff, Dale, Lingleville, Morgan Mill., Cresson, DeCordova, Granbury, Kipan, Tolar, Paluxy, Gordon, Graford, Moneral, Wells, Mingus, Palo Pinto, Straw, Fort Wolters. Salesville, Santo	Counties Erath, Hood, Palo Pinto Somervell	
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$40,209		
<b>Organization's Website Address</b>  www.aoc.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>		

<b>Project Sponsor Agency Name</b> Wichita Falls County Health District		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>		Lou Franklin, Public Health Director	
<b>Email Address</b>		<u>Lou.franklin@wichitafallstx.gov</u>	
<b>Business Address</b>		1700 Third Street	
<b>City, County, State, Zip,</b>		Wichita Falls	Texas 76301
<b>Phone Number (with area code)</b>		(940) 761-7805	<b>Fax Number (with area code)</b> (940) 767-5242
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		1-75-6000-714-2000	
<b>DUN &amp; Bradstreet Number (DUNs):</b>		05-9463133	
<b>Congressional District of Project Sponsor's Business Address</b>		13, 19	
<b>Congressional District(s) of Primary Service Area(s)</b>		13	
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities Archer, Baylor, Cottle, Clay, Foard, Hardeman, Jack, Montague, Wichita, Wilbarger, Young	Counties Archer City, Seymour, Henrietta, Cottle, Padueah, Corowell, Quannah, Jacksboro, Bowie, Wichita Falls, Electra, Iowa Park, Burkburnett, Vernon, Graham
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$61,715	
<b>Organization's Website Address</b>  www.health.wichitafallstx.gov		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>	

**3. Subrecipient Information**

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists the grantee or project sponsors to carry out their administrative services. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

<b>Subrecipient Name</b>	Bexar County			<b>Parent Company Name, if applicable</b>
<b>Name and Title of Contact at Subrecipient</b>	Aurora M. Sanchez, Executive Director			
<b>Email Address</b>	asanchez@bexar.org			
<b>Business Address</b>	233 N. Pecos, Suite 590			
<b>City, State, Zip, County</b>	San Antonio	TX	Bexar	78207
<b>Phone Number (with area code)</b>	210-335-3421		<b>Fax Number (include area code)</b> 210-335-6755	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-6002039			
<b>DUN &amp; Bradstreet Number (DUNS):</b>	070487020			
<b>North American Industry Classification System (NAICS) Code</b>	N/A			
<b>Congressional District of Subrecipient's Business Address</b>	20			
<b>Congressional District of Primary Service Area</b>	N/A			
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	Cities: N/A			Counties: N/A
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$197,950			

<b>Subrecipient Name</b>	Brazos Valley Council of Governments (BVCOG)			<b>Parent Company Name, if applicable</b>
<b>Name and Title of Contact at Subrecipient</b>	Kristi Hanle, Program Manager			
<b>Email Address</b>	khanle@bvco.org			
<b>Business Address</b>	P.O. Drawer 4128			
<b>City, State, Zip, County</b>	Bryan	TX	77805-4128	Brazos
<b>Phone Number (with area code)</b>	979-595-2800			<b>Fax Number (include area code)</b> 979-595-2815
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-1562020			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	010788610			
<b>North American Industry Classification System (NAICS) Code</b>	921190			
<b>Congressional District of Subrecipient's Business Address</b>	17			
<b>Congressional District of Primary Service Area</b>	N/A			
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	Cities: N/A			Counties: N/A
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$246,350			

<b>Subrecipient Name</b>	Dallas County Health and Human Services (DCHHS)			<b>Parent Company Name, if applicable</b>
<b>Name and Title of Contact at Subrecipient</b>	Karin Pettit			
<b>Email Address</b>	HIV_Grants@dallascounty.org			
<b>Business Address</b>	Dallas County Health and Human Services HIV Grants Management 2377 N. Stemmons Freeway, Suite 200			
<b>City, State, Zip, County</b>	Dallas	TX	75207	Dallas
<b>Phone Number (with area code)</b>	214-819-1841			<b>Fax Number (include area code)</b> 214-819-6023
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-6000905			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	073128597			
<b>North American Industry Classification System (NAICS) Code</b>	N/A			
<b>Congressional District of Subrecipient's Business Address</b>	26			
<b>Congressional District of Primary Service Area</b>	N/A			
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	Cities: N/A			Counties: N/A
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$52,554			



<b>Subrecipient Name</b>	Houston Regional HIV/AIDS Resource Group (HRG)	<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Subrecipient</b>	Patrick Martin			
<b>Email Address</b>	pmartin@hivresourcegroup.org			
<b>Business Address</b>	500 Lovett Blvd., Ste 100			
<b>City, State, Zip, County</b>	Houston	TX	77006	Harris
<b>Phone Number (with area code)</b>	713-526-1016		<b>Fax Number (include area code)</b> 713-526-2369	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	760414232			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	876909847			
<b>North American Industry Classification System (NAICS) Code</b>	N/A			
<b>Congressional District of Subrecipient's Business Address</b>	7			
<b>Congressional District of Primary Service Area</b>	N/A			
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	Cities : N/A		Counties: N/A	
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$771,650			

<b>Subrecipient Name</b>	Lubbock Regional MHMR	<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Subrecipient</b>	Marcella Ford			
<b>Email Address</b>	mford@lstarcarelubbock.org			
<b>Business Address</b>	P.O. Box 2828			
<b>City, State, Zip, County</b>	Lubbock	TX	79408	Lubbock
<b>Phone Number (with area code)</b>	806-767-1621		<b>Fax Number (include area code)</b> 806-766-0250	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-1297691			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	098786460			
<b>North American Industry Classification System (NAICS) Code</b>	N/A			
<b>Congressional District of Subrecipient's Business Address</b>	19			
<b>Congressional District of Primary Service Area</b>	N/A			
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	Cities: N/A		Counties: N/A	
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$558,050			

<b>Subrecipient Name</b>	South Texas Development Council (STDC)	<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Subrecipient</b>	John Keiser			
<b>Email Address</b>	jrkeiser@stdc.cog.tx.us			
<b>Business Address</b>	1002 Dicky Lane			
<b>City, State, Zip, County</b>	Laredo	TX	78044	Laredo
<b>Phone Number (with area code)</b>	956-722-3995		<b>Fax Number (include area code)</b> 956-722-2670	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-1666921-0			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	062390661			
<b>North American Industry Classification System (NAICS) Code</b>	N/A			
<b>Congressional District of Subrecipient's Business Address</b>	28			
<b>Congressional District of Primary Service Area</b>	N/A			
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	Cities: N/A		Counties: N/A	
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$783,650			

<b>Subrecipient Name</b>	Tarrant County Health Department	<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Subrecipient</b>	Margie Drake HIV Grants Manager			
<b>Email Address</b>	mdrake@tarrantcounty.com			
<b>Business Address</b>	1101 South Main Street, Suite 2500			
<b>City, State, Zip, County</b>	Ft. Worth	TX	76104	Tarrant
<b>Phone Number (with area code)</b>	(817) 321-4747		<b>Fax Number (include area code)</b> (817) 321-4737	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-6001170			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	068365220			
<b>North American Industry Classification System (NAICS) Code</b>	923120			
<b>Congressional District of Subrecipient's Business Address</b>	12			
<b>Congressional District of Primary Service Area</b>	N/A			
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	Cities: N/A		Counties: N/A	
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$169,250			

#### 4. Grantee Narrative and Performance Assessment

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

#### N/A 5. Grantee Narrative and Performance Assessment

##### a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. Note: Text fields are expandable.

Situated within a comprehensive network of HIV care services, the Texas HOPWA Formula program addresses the unmet housing services needs of persons living with HIV (PLWH) and their families in Texas by providing housing assistance and supportive services to income-eligible individuals. These services are integrated with the larger Ryan White Program both in administration and service delivery, which in turn is integrated into the larger, multi-sectoral system for delivering treatment and care to these clients. The goals of the HOPWA program are to help low-income HIV-positive clients establish or maintain affordable and stable housing; to reduce the risk of homelessness; and to improve access to health care and supportive services. Nearly 70,000 people in Texas are known to have HIV. It is estimated that an additional 17,000 people in Texas are living with HIV, but are currently unaware of their status. The number of people known to have HIV increased by 34% from 2005 to 2011.\* Housing is consistently cited as a service gap in every service area in Texas†. Additionally, a housing-specific goal of the National HIV/AIDS Strategy for the United States is to increase the percentage of Ryan White HIV/AIDS Program clients with permanent housing from 82% to 86% by 2015.‡

The Texas HOPWA Formula program is administered by the TB/HIV/STD and Viral Hepatitis Unit - HIV/STD Prevention and Care Services Branch of the Department of State Health Services (DSHS) and provides the following services:

**Tenant-Based Rental Assistance (TBRA) program:** The TBRA program provides tenant-based rental assistance to eligible individuals until they are able to secure other affordable and stable housing.

\* <http://www.dshs.state.tx.us/hivstd/reports/default.shtm>, Texas HIV Surveillance Report 2011

† 2008-2010 Texas Statement of Coordinated Need

‡ <http://www.whitehouse.gov/sites/default/files/uploads/NHAS.pdf>, July 2010

**Short-Term Rent, Mortgage, and Utilities (STRMU) assistance program:** The STRMU program provides short-term rent, mortgage, and utility payments to eligible individuals for a maximum of 21 weeks of assistance in a 52-week period.

**Supportive Services (SS) program:** The Supportive Services program provides case management, basic telephone service and assistance to purchase smoke detectors to eligible individuals.

**Permanent Housing Placement Services (PHP):** The PHP program provides assistance for housing placement costs which may include application fees, related credit checks, and reasonable security deposits necessary to move persons into permanent housing.

**Areas of service coverage within jurisdiction:** The HOPWA Formula program serves all 254 counties in Texas, which are represented by 26 HIV Service Delivery Areas (HSDA).

**Grant Management:** The DSHS HOPWA Project year is from February 1 through January 31. DSHS selects seven Administrative Agencies (AAs) across the state through a combination of competitive Requests for Proposal (RFP) and intergovernmental agency contracts. The AAs act as an administrative arm for DSHS by administering the HOPWA program locally. The AAs do not receive any HOPWA administrative funds from DSHS; all AA administrative costs are leveraged from other funding sources. The AAs, in turn, select HOPWA Project Sponsors through local competitive processes. DSHS reserves up to 3% of the total HOPWA award for the administrative costs of DSHS. Project Sponsors are allowed up to 7% of their Project Sponsor allocation amount for administrative costs. The HIV/STD Prevention and Care Branch have a team of consultants and managers that monitor the contract activities of the AAs. This monitoring involves periodic site and technical assistance visits by the consultants, and the submission of monthly billing reports and quarterly progress reports by the Project Sponsors and AAs. AAs monitor the Project Sponsors' HOPWA program activities and are required to comply with HUD regulations, the DSHS Program Manual, and their contractual Statement of Work.

During the 2012 project year, the Bexar County Department of Community Resources AA terminated its contract with Project Sponsor United Medical Centers for the Eagle Pass/Uvalde HSDA. Maverick County Hospital District's contract with Bexar County to replace United Medical Centers was effective October 15. There was no break in service or assistance to HOPWA clients for the Eagle Pass/Uvalde HSDA. The AIDS Coastal Coalition Texas (ACCT), Project Sponsor for the Galveston HSDA, discontinued their contract with the Houston Regional (HIV/AIDS) Resource Group (TRG) AA. The HSDA that ACCT served includes only Matagorda County, the HSDA with historically low client need for HOPWA assistance. TRG is looking for another provider to replace ACCT and has advised DSHS that there are currently no HIV positive clients in Matagorda County requesting HOPWA assistance.

*b. Annual Performance under the Action Plan*

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed

during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

DSHS' 2012 HOPWA formula grant award was \$2,830,690. In the State's 2012 One Year Action Plan (OYAP), DSHS proposed to serve 435 TBRA, 515 STRMU, and 25 PHP households with assistance, and to provide 975 clients with supportive services. DSHS utilized an allocation formula based on prior allocations, historical expenditures, performance data, and reported waiting lists. Funds were allocated to address the housing needs in areas with greater evidence of unmet need for HOPWA services. During the project year, funds are reallocated between HOPWA activities within HSDAs to meet changing needs.

### **Overall HOPWA Expenditures**

In the budget submitted on the 2013 OYAP, DSHS reserved \$27,650 for administrative expenses, which is significantly less than the 3% grantee administrative allowance of \$84,921 in order to redirect further funds to HOPWA activities. Because the HUD HOPWA award is not announced until after February 1, and DSHS must contract with the AAs prior to the February 1 project year start, contract budgets are based on level funding from the prior project year. For 2012, AA contracts totaled \$2,779,454 for the project year. Of the \$2,779,454 contractual budget, \$2,625,608 was expended (94%). DSHS' administrative allocation was \$27,650, for a total budget of \$2,807,104 (equal to 2011 level funding).

### **HOPWA Housing Subsidy Assistance Expenditures**

For direct housing assistance (TBRA, STRMU, and PHP), \$2,162,159 was budgeted and \$2,052,999 was expended (95%). Individually, TBRA was budgeted at \$1,724,987 with \$1,616,507 expended (94%); STRMU was budgeted at \$429,743 with \$429,651 expended (100%); and PHP was budgeted at \$7,429 with \$6,841 expended (92%).

### **HOPWA Supportive Services Expenditures**

The Supportive Services' budget was \$449,202, and \$420,883 was expended (94%). Because housing case management is sometimes combined with medical case management, a significant amount of housing supportive services is leveraged from other funding sources. Please refer to Part 2: Sources of Leveraging and Program Income for detail.

### **HOPWA Administrative Expenditures**

Project Sponsors are permitted to use up to 7% of their contract allocation for administrative services, which is cumulatively \$194,562. Project Sponsors budgeted less than the 7% of the contractual allocation (\$168,093) to utilize more funds for direct services and expended \$151,726, which is 5% of the \$194,562 total allowable, and 78% of the actual budgeted amount.

### **Waiting Lists**

At the end of the 2012 project year, there were 61 clients on TBRA and 35 clients on STRMU waiting lists. Of the STRMU waitlisted clients, 12 were waiting for rental cost assistance, 5 for mortgage payment assistance, and 18 for utility costs assistance. The number of clients on waiting lists for TBRA and STRMU decreased from 150 in 2011 to 96 in 2012, which is a 36% decrease. Noted in DSHS' 2011 CAPER, collaboration with the AAs and Project

Sponsors to reduce the waiting lists was an important initiative that was achieved. The Project Sponsor in 2011 with the highest number of waitlisted clients reduced the total from 82 to 74 clients for 2012. Furthermore, this Project Sponsor expended 100% of their 2012 allocation, as did the AA. It's important to note that the Project Sponsor for this HSDA receives 55% of the total funding allocated to the AA and this AA is accountable to DSHS for six of the 26 HSDAs. If the 2013 award is not severely impacted, additional funding will be allocated to this Project Sponsor as part of a strategy to further decrease the waiting list. DSHS and the AA will continue to work with the Project Sponsor on an action plan in regards to waiting lists. The majority of project sponsors (21 out of 26) did not have any clients on a waiting list compared to 18 of 26 without waiting lists in 2011. One project sponsor had only two clients, two had three clients, one had 14 clients, and the remaining project sponsor (discussed above) had 74 clients on waiting lists. Many TBRA clients are dependent on HOPWA for extended periods of time because they are unable to transition to other affordable and stable housing, which can prevent new TBRA clients from receiving assistance and contribute to extended waitlists. Continued collaboration with the AAs and Project Sponsors to reduce and/or eliminate all waiting lists will again be a priority in 2013.

### **HOPWA Outputs**

In the 2012 HOPWA project year, DSHS served 428 households with TBRA (98% of the 435 OYAP goal), 541 households with STRMU assistance (105% of the 515 OYAP goal), and 13 households with PHP assistance (52% of the 25 OYAP goal) for a total of 982 unduplicated households. Of the total 982 households served with TBRA, STRMU, and PHP, 944 households also received HOPWA-funded Supportive Services (97% of the 975 OYAP goal). All HOPWA clients receive housing supportive services at some level in order to receive assistance, but some supportive services for clients were leveraged with other funding sources and were not counted in this report. Overall, the HOPWA program was very successful in the 2012 project year.

### **Special Needs Clients and Beneficiaries**

Six clients categorized as chronically homeless and 29 veterans were served in 2012 for a total of 35 special needs clients assisted. DSHS exceeded the OYAP goal of assisting 10 veterans and chronically homeless clients by 350%. DSHS' HOPWA program also benefited an additional 944 household members, of which 64 were reported to be HIV-positive. This demonstrates that the Texas HOPWA program is essential to housing not only direct clients, but additional PLWH, which is a vital step in linkage and adherence to medical care. Many clients that have received housing assistance from the HOPWA program would have had no other means to obtain housing and care for themselves.

**2. Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

## Outcomes Assessed

Client outcome goals for housing stability, reducing risks of homelessness, and improving access to care were achieved for 2012. The majority of HOPWA clients had contact with case manager/benefits counselor with the schedule specified in client's individual service plan (98%, up from 94% in 2011) and had a housing plan for maintaining or establishing stable on-going housing (97%, same as 2011), as reported in the HOPWA Access to Care and Support Outcomes Chart. By the end of the 2012 HOPWA project year, 99% of TBRA (up from 92% in 2011) and 98% of STRMU households (up from 96% in 2011) were living in stable/temporarily stable housing with reduced risk of homelessness, both well above the 2011 national goal of 85% for TBRA and 60% for STRMU. Ending the project year with a combined 99% of TBRA and STRMU clients living in stable or temporarily stable housing with reduced risk of homelessness is a major achievement for the Texas HOPWA program.

Both the quantitative and qualitative data show that HOPWA services do improve clients' access to supportive services and health care. By stabilizing client income and housing, several clients have started taking their medications again because they have access to funding to cover both their medications and basic necessities. DSHS saw several other outcome improvements from 2011 to 2012. Project Sponsors reported 95% of HOPWA clients had contact with a primary health care provider compared to 91% in 2011; 66% had medical insurance coverage or medical assistance compared to 64% in 2011; 79% maintained sources of income compared to 75% in 2011; and 15% secured an income-producing job compared to 10% in 2011.

HUD's Office of HIV/AIDS Housing (OHH) has set a goal of 80% for HOPWA clients who have "accessed and can maintain medical insurance/assistance." Although the proportion of clients with medical insurance/assistance (66%) is an improvement from last year, it is significantly less than the OHH goal of 80%. Texas HIV clients face many challenges in access to medical insurance/assistance. According to the U.S. Census Bureau, Texas still tops the nation for the highest rate of uninsured (23.8%), which means one in four Texans did not have insurance in 2011 (<http://www.census.gov/hhes/www/cpstables/032012/health/toc.htm> (Table H106)). DSHS estimates that 28% of health program beneficiaries are categorically ineligible for Medicaid or Medicare coverage due to citizenship. Texas Medicaid currently only covers children, pregnant women, and certain disabled adults up to 100% of the Federal Poverty Level (FPL). Due to the restrictive eligibility of the Texas Medicaid program, most HOPWA clients are excluded from Medicaid coverage. At this time, Texas is not expected to expand Medicaid, and non-citizens will not be eligible for subsidies to support purchase of insurance in the federal marketplace. Even after implementation of the Affordable Care Act (ACA), it is likely that more than 20% of HOPWA clients will not have insurance or access to medical assistance.

Project Sponsors continue to address long term goals with clients and help them establish a financial plan that can assist them with maintaining their housing. Some Project Sponsors report that the "Single Point of Access" model for "wrap-around" care continues to show excellent results in assisting clients in maintaining medical care and medication adherence. This model provides assistance to PLWH by providing medical, psychosocial, and educational support services in a central location. Many Project Sponsors continue to monitor medical appointment, medication, and/or treatment adherence for clients.

Project Sponsors also reported that clients receiving housing assistance through the HOPWA program showed improved compliance with medication treatment, and increased adherence to medical and counseling appointments (although transportation and lack of proper documentation were frequently cited as barriers to adherence).

Barriers reported indicate declines in the ability to obtain or maintain medical insurance, maintain income, and especially obtain employment, are partially due to a difficult economy in conjunction with rising costs of living (rent, deposits, utilities, food, transportation, etc.), high unemployment, no access to health insurance and/or decreased access to other supportive services such as the Housing Choice Voucher (HCV) program. The inability to access HCV, also known as the Section 8 program, is due to long or closed waiting lists, and in some cases, client non-compliance.

HOPWA expenditures per TBRA household averaged \$3,777 annually (\$3,763 in 2011) and \$794 per STRMU household annually (\$822 in 2011). The average spent per household with TBRA was about the same compared to 2011, and STRMU slightly decreased. Budget restraints continue to be a challenge for AAs and Project Sponsors, but considerable efforts to find viable solutions, and the “do more with less” approach, make a significant impact on supporting HOPWA clients, improving access to care, and preventing or reducing risk of homelessness. As federal funding decreases to local housing authorities, as well as the HOPWA program, this presents additional barriers to moving clients off of the HOPWA program and into HCV and other housing programs, and also results in increased difficulties in meeting housing needs of new clients in addition to continuing clients.

Of the 982 TBRA, STRMU, and PHP unduplicated clients, 543 continued from the prior year (55%).

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

On the state level, DSHS collaborates with the Texas Department of Housing and Community Affairs (TDHCA) to produce the HOPWA Action Plan for the state’s Consolidated Plan for housing. Additionally, the DSHS HIV/STD Prevention and Care Services Branch competitively selects and contracts with seven AAs to administer the HOPWA formula program in Texas. AAs contract with the Project Sponsors for each HSDA under their jurisdiction to deliver the HOPWA services. AAs and Project Sponsors are part of an HIV care network supported with state formula funds and providers who provide a range of medical, psychosocial, and support services available to eligible individuals living with HIV. In each HSDA, Project Sponsors collaborate locally with these providers to assure that HOPWA clients have access to supportive services and health care. Project Sponsors also work to identify other agencies that may have direct contact with out-of-care individuals to refer clients to the HOPWA program. Furthermore, Project Sponsors continue to work closely and effectively with the local PHA offices to identify and establish relationships with other organizations and agencies that may have available resources. This ongoing collaboration provides access to organizations and programs such as the Housing Choice Voucher program, Shelter Plus Care, community health clinics, churches and private foundations, and Ryan White and HIV Planning Councils, to name a few. Project Sponsors leverage available funds from Ryan White and State Services grants to assist clients with housing needs, medical and non-medical case management, emergency utility assistance, mental health, transportation, and nutritional services to address the needs of eligible clients. As a result, an additional 22 TBRA clients and 63 STRMU clients were assisted with housing utilizing leveraged funds.

In one HSDA, staff designated to promote and enroll clients into the program have been doing so with measurable success and strong dedication. Staff has been out in the community engaging in outreach activities to ensure that agencies and locations where the target population congregate and visit have access to the HOPWA- related literature



and information. These efforts, in conjunction with staff discussing HOPWA services with clients during face-to-face visits, has helped the program enroll more clients and serve more people and families in need of stable housing.

DSHS' HIV Care Services Trainer provided on-site trainings to two Administrative Agencies (AAs) in the 2012 project year. There was consumer representation present at both trainings, along with some of the Project Sponsors. Training consisted of overviews on 1) the program, 2) funding, 3) client eligibility, 4) eligible activities, 5) HOPWA and the consolidated planning process, and 6) integration with other community planning efforts. The two main topics of interest at both trainings were using the Comprehensive Housing Plan (CHP) as leverage to generate positive outcomes, and shifting agency culture from thinking of HOPWA as a permanent fix to a temporary one (again, using the CHP as a tool to appropriately move clients to alternative, permanent housing options). One AA also stated they would look more into building relationships in the community, especially with the Housing Authority, to make the process of getting permanent housing for disabled clients a more expedited and efficient process. The AA will also look at working with clinics to resolve some issues around physicians unwilling or hesitant to sign disability letters that are needed by clients to obtain permanent housing through the local Housing Authority.

DSHS met with the Texas Homeless Network (THN) and provided current data from the AIDS Regional Information & Evaluation System (ARIES) available on HIV and homelessness. Additionally, DSHS gave THN the DSHS 2011 HOPWA CAPER for data that could potentially assist THN in its collaboration with HUD and with homeless assistance efforts.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

Program complexity continues to be listed as a barrier. Technical assistance (TA) on HOPWA regulations would be helpful. Additionally, TA and guidance on how to address housing affordability and availability; client credit and criminal histories; undocumented residents/lack of identification; working with multiple-diagnosed and non-compliant clients; and working with re-entry populations in meeting their housing needs within HOPWA boundaries would greatly assist HOPWA Project Sponsors and clients as these are the most commonly reported issues that HOPWA clients face in locating and maintaining stable and affordable housing. Comprehensive information on HUD's restrictions and regulations for Housing Authorities concerning individuals with criminal histories would be helpful creating strategies to successfully house these individuals.

Lack of funding, closed, and/or lengthy waiting lists for the Housing Choice Voucher (HCV) program are the main reasons clients are waitlisted and continuously dependent on HOPWA. New information on how to address these issues and locate alternative housing resources is strongly needed to assist HOPWA clients.

How to collect and report leveraged funds and income to HUD's specifications in the CAPER is another area of assistance frequently requested by Project Sponsors.

Technical assistance on the latest HOPWA CAPER and required data and charts would assist in ensuring data requirements are accurately reported because much of the newly required data was not previously collected and is new for DSHS, our Administrative Agencies, and Project Sponsors.

*c. Barriers and Trends Overview*

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA Program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Housing Availability was once again the most significant reason cited as a barrier to meeting program services goals, followed by Criminal Justice History and Housing Affordability. Eligibility, Rental History, Credit History, and Geography/Rural Access issues were also frequently cited. Less frequently cited, but still very important, were HOPWA/HUD Regulations, Planning Issues, and Rent Determinations/Fair Market Rents. There were several barriers categorized as “Other” which are discussed in more detail below.

In general, housing options are decreased by the absence of identification, proof of legal residency, credit history, and criminal history. Affordable housing continues to be an ongoing issue. Housing placement requires 2 ½ times income, the cost of living continues to rise (increases in rent, utilities, application fees, and security deposits) while clients’ incomes do not change, may decrease, or clients do not have an income. The HCV program is not offered in some cities or in many of the small counties, there are long waiting lists, or the waiting lists have been closed to potential applicants, all of which result in cost-shifting to the HOPWA program.

**HOPWA Regulations:**

One of the biggest barriers DSHS encounters in administration of the HOPWA program is the increasing complexities of the program and reporting requirements, including the frequency of reporting requirement changes. Given that DSHS has a very minimal amount of funds reserved for program, it is difficult to continue to administer the HOPWA program as reporting becomes more complicated. Additionally, AAs do not receive any HOPWA funds to administer the HOPWA program and must leverage those dollars to perform administrative tasks including monitoring and supportive services. DSHS also leverages a significant amount of dollars to administer the HOPWA program so that more funds go to direct HOPWA services and more clients can receive assistance. Frequent changes to reporting requirements also present a recurring challenge to successfully automating reporting database systems. One solution would be to simplify the reporting and performance metrics to mirror other federal HIV programs’

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input checked="" type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access*	<input checked="" type="checkbox"/> Other, please explain further	

\* Please note that “Geography/Rural Access” is a new field on the CAPER so that is not a field on DSHS’ quarterly reports that are completed by the AAs and Project Sponsors.

reporting requirements and metrics, and also to limit changes. Another barrier is the short implementation timelines for those required changes, some of which become retro-active during the process due to greatly varied project years of grantees. Simplifying reporting requirements and performance metrics would also help resolve the issue of the limited amount of time given to prepare the CAPER, as reporting comes from Project Sponsors to AAs to DSHS and finally to TDHCA before submitting to HUD. Cumulatively, these issues are a tremendous administrative burden on DSHS, the AAs, Project Sponsors, and TDHCA. Additionally, because reporting requirements change on the CAPER and in IDIS, DSHS systems and reports have to be modified to meet the new requirements which affect not only DSHS, but also the AAs and Project Sponsors. Furthermore, the IDIS upgrade has created duplicate work that didn't exist before for activity reallocations. Previously, activities were set up under the Projects/Activities tab and did not require funding or goals data and then funding for those activities were loaded separately under the Funding/Drawdown tab. With the upgrade, funding, goals and eventually actual goals met (for households served and leveraged) have to be entered for each activity (and DSHS has over 100 activities) under the Projects/Activities tab and then funding is also entered under the Funding/Drawdown tab. When a reallocation occurs, that data has to be updated under both tabs so is duplication of work and very time-consuming.

Program complexity is also likewise listed as a barrier by the AAs and Project Sponsors. Another regulatory barrier is that STRMU benefits do not apply to those whom are already homeless. Furthermore, one Project Sponsor described their inability to use STMRU monies to pay for utility assistance. The city is a huge entity with many levels of bureaucratic processes where they have not been able to successfully set up systems to pay for such services, namely because we cannot establish individual program contracts with utility companies to make these payments for clients and we do not want to issue third-party checks to clients to pay for their bills. However, a staff member has met with the city's billing administrators in an attempt to iron out a system to enable the Project Sponsor to pay utilities directly to the city on a client's behalf.

Client compliance with HOPWA and PHA requirements continue to be a recurring issue. Several clients have failed to either maintain current PHA applications and/or fail to keep appointments to qualify for assistance when they are contacted by PHA. Reasons for non-compliance include mental health, substance use, transportation, and failure to accept PHA Low Rent Assistance because of desire to wait for Section 8 assistance. One client moved after initial PHA applications but failed to notify PHA. One client failed to accept Section 8 housing when offered preferring to not renew her lease and wait for low rent assistance. One client failed to renew PHA applications due to transportation issues. One client failed to notify PHA of a change of address and stated he did not receive correspondence regarding appointments with PHA for assistance. Case managers continue to work with clients, stressing the importance of maintaining eligibility and accepting PHA assistance when offered. These requirements are addressed in client care plans and in other HOPWA documentation. Some Project Sponsors have created a form for the HOPWA care plans that require a client to initial and agree to terms of their care plan, including requirements to maintain PHA eligibility and accept PHA assistance when offered. Case managers with this Project Sponsor make monthly contact with PHA to determine if TBRA clients have been called in for appointments and/or approved for assistance.

Also a common issue is housing that does not meet HOPWA standards and landlords are unwilling to do any improvements. Case managers try to place clients in alternate housing that meets standards, but those units are not always available or affordable.

**Housing Availability/Housing Affordability/Rent Determination/Fair Market Rent:**

Some complexes are now asking for three times the rent amount in income to qualify which has created a greater barrier than in the past. Some solutions are to attempt to establish relationships with local housing providers to provide arrangements that include waiving deposits, application fees, credit histories, etc. Project Sponsors have encountered problems in maintaining these relationships as clients who have been placed have broken leases, trashed apartments, etc. Project Sponsors also work with clients to increase income but it's difficult in this challenging economy with so many unemployed people also looking for jobs.

Even if clients can find jobs, many are minimum wage and as the cost of living continues to increase, many clients (even with jobs that pay above minimum wage) are unable to make ends meet.

Rents have greatly increased due to demand on housing from oil and gas employees in certain geographical areas and FMRs do not keep up with the rising rates, even with HUD's flexibility for a maximum of a 10% increase for an FMR. FMR has increased in the area by a small amount and local HUD offices continue to look into the concern regarding the high housing cost in the area, but it's not enough to meet the needs for TBRA clients although clients are assisted to the extent allowable with available funds. Substantial rent increases in these areas not only reduce the number of available suitable housing for HOPWA, but also reduce available housing for the HCV program use.

Due to an increase in violence in Piedras Negras, Mexico, across the international bridge from Eagle Pass, there has been an increase in Mexican nationals residing in Eagle Pass. This has made affordable housing more difficult to find. Project Sponsor is working with the local housing authority for a list of authorized landlords to increase number of potential housing units.

There is a lack on one-bedroom units available in many areas. As a result of one HCV program calling in clients from the waiting list, it was noted that several clients were only eligible for a one-bedroom unit could not find suitable housing.

Clients who do not have a secured income do not have access to the same housing availability as those who do. Housing complexes are now requiring a secure income in order to offer leases. Also, some apartments are not meeting the requirement for inspection and safety concerns

**Eligibility Issues:**

Eligibility continues to be a problem for transition to other programs as the HCV (Section 8) program is continuously closed and not taking applications, or there is a long wait list. Other local programs have had funding reduced or have lost funding and have closed altogether.

There are limited programs in the community that assist with long term permanent housing and clients without legal residency are a common issue. Eligibility at some local community programs require income taxes as proof or paycheck stubs; however, for clients who are undocumented yet work, run into problems with this policy because they do not have the employment proof for their wages to apply for long-term housing. Furthermore, there are a limited number of facilities in some areas that assist with long term or permanent housing for the majority of the age group served even though there are many housing programs in the community for the elderly.

**Credit/Rental/Criminal History:**

Clients tend to have poor credit history which affects housing status and many apartment complexes do background checks and exclude applicants with a criminal history. Working with individual landlords and complexes can be difficult. Clients who have committed felonies cannot apply for HCV program housing. Project Sponsors reach out to landlords to see if they would rent to people with previous criminal history and advocate for clients. Clients are also advised to look for housing for which landlords are more lenient or willing to work with them, even if they have a criminal record

Clients lack rental history and monthly income is not sufficient to be approved for apartment leases. There is also a lack of jobs and some clients have a criminal history, so unemployment is high among client populations. Case managers urge clients to go to school to learn new skills to obtain employment and look for job opportunities that do not require background checks or employers that will hire on a case-by-case basis.

Some clients move out of units without paying rent and have a balance with their prior landlord and a poor rental history. Clients are encouraged to pay bills on time and set-up payment arrangements with their prior landlord to clear or improve their rental history.

**Multiple Diagnosed Issues:**

Clients with multiple diagnosed issues face multiple barriers when trying to access suitable housing. Project Sponsors work with several clients with multiple diagnosed issues including mental health, substance use, criminal justices histories, and eligibility issues that have made housing the clients difficult, if not impossible.

One success story is as a result of the re-assessment of clients, the Project Sponsor found a client living in sub-standard conditions as a result of continuing substance abuse. Through outreach, the client was encouraged to enter into a substance abuse treatment program. The client has almost completed the program and will be enrolled into the HOPWA program upon discharge. The client will be placed in a clean and safe living condition in a different city than where the client previously resided to help ensure sobriety.

During the program year, HOPWA funds were used to help a middle-aged HIV-positive client obtain a decent living situation near the health care facilities that the client needed for numerous conditions including Hepatitis C and marked ambulation problems that necessitated surgical intervention.

A client with mental health and substance abuse problems was living on the streets after the death of the client's partner. With help from the HOPWA Case Manager, the client rented an apartment, applied for Section 8 housing, and resumed mental health treatment.

**Planning:**

Some projections were based on clients moving to the HCV program, but in most cases, this did not happen. More clients faced the challenge of securing funding for utility and/or rental deposits than was expected. One Project Sponsor assisted five times the number of clients with HOPWA PHP monies than the last year. Evaluation the clients having difficulty securing funding for deposits showed that all these clients were experiencing emergency needs. In one case, a client experienced a house fire, another was living in a motel, while another had to leave the residence where he was living due to sexual charges. While these clients may have been able to pay monthly rent, it was a

problem to also come up with deposits and first month's rent. Deposits are becoming more of a burden and Project Sponsors have attempted to help alleviate this issue by negotiating with landlords and utility companies.

Budgets have decreased since last year and costs of living continue to rise, resulting in a lesser number of households that can be assisted. Texas had another especially hot summer and many clients struggled to pay their utility bills and faced sweltering heat without electricity if STRMU funds had not been available. As a result, three times as many clients were served with STRMU in some HSDAs than was projected. The increased need for STRMU assistance was unexpected and it was a challenge to assist the additional households requesting emergency assistance. Reallocations were done to move funds from other categories to meet the STRMU need, but 35 clients remain on the STRMU waiting list.

As previously noted, TBRA clients are dependent on HOPWA for extended periods of time and are unable to transition to other affordable and stable housing, making it difficult to assist new HOPWA clients in need.

**Geography/Rural Access:**

For some clients living in rural areas, transportation to medical appointments, to obtain medication, and to meet with case managers are barriers as there is no public transportation and clients must rely on family and friends to drive them to visits and appointments. Also, there is a lack of HIV services in rural communities. While clients are advised of the option to move into areas where there is adequate public transportation and care, many live with family members who provide clients with a stable living environment and emotional support. There are also some challenges in finding households to benefit from HOPWA funds in rural counties. These challenges are mainly because of the lack of HIV services found in rural counties.

Stigma in the rural communities can create barriers to meeting the needs of persons living with HIV/AIDS. One Project Sponsor continues to educate the communities about HIV and the transmission of HIV/AIDS to reduce the fear and stigma.

Section 8 housing is limited in some regions (including rural areas), and the waiting lists can extend into over a two-year waiting period. Not all counties in the service area have a housing department or available Section 8-approved housing

**Other:**

AAs and Project Sponsors have experienced a lot of staff changes again this project year (staff leaving, promoted, or moved to a different area).

Clients' understanding of housing laws, leases, and/or applications, which is partly due to reading and comprehension skills and abilities, is an ongoing issue.

Encountering barriers in trying to link clients to needed services is commonplace for case managers in the social services field, especially case managers working with terminally ill people. HOPWA is a program that requires Project Sponsors to overcome many issues that could have impeded someone from being linked to a housing service and continue to encounter barriers that tend to hinder progress with successful linkages to HOPWA. An example is landlords typically shy away from working with public housing type programs. In spite of explaining to them how housing works, staff still encounters resistance from such individuals. Also, clients do not always comply in a timely manner to bring in the required documentation to process their applications and this tends to create unnecessary

delays. Project Sponsors continue to work with the involved parties in both areas to achieve the expected success in helping as many people as possible.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The HCV programs in many areas are not accepting new applications, rarely approving old applications, have long wait lists, or the program is not offered in some of the rural areas. HCV waitlists can extend for over two years. As a result, clients remain longer on HOPWA which impedes progress on enrolling new clients when funding is limited. Although many of the Housing Choice Voucher (HCV) programs are currently closed or have lengthy waiting lists, some case managers have been able to get several TBRA clients on the wait lists in their respective counties.

There are some clients receiving long term housing whose medical health has prevented them from being able to work and contribute to their household income, thus preventing them from being able to exit out of TBRA. Also, some clients become too dependent on rental assistance via HOPWA with little or no interest in securing stable housing by applying for other programs or living on their own with no governmental support. Case managers encourage clients to be more self-sufficient. Furthermore, the DSHS HOPWA program requires clients to apply for all other possible housing assistance. Enforcing this requirement motivates clients to apply for Section 8 and other opportunities or face termination from the program.

Some areas have experienced an increase in the number of clients living below 200% of the Federal Poverty Level. As more individuals are diagnosed and access services, the demand for affordable, safe housing has increased while the funding available for housing assistance has remained flat. Project Sponsors make efforts to leverage HOPWA funds from other foundations to support housing assistance programs. Between 2010 and 2011, there has been an increase of 28 PLWH in one HSDA. Of these PLWH, most (55%) are coming in late to care and need many support services including housing. Utilizing Ryan White Program funds to supplement HOPWA funding has helped, but the funding is limited from both programs and future funding is uncertain.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

N/A.

#### **d. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in Unmet Needs for Persons with HIV/AIDS, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. *Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

*Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.*



**1. Planning Estimate of Area’s Unmet Needs for HOPWA-eligible Households**

1. Total number of households that have unmet housing subsidy assistance need.	96
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	61
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	35
• Assistance with rental costs	12
• Assistance with mortgage payments	5
• Assistance with utility costs.	18
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	N/A

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

<input type="checkbox"/> = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
<input type="checkbox"/> = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
<input type="checkbox"/> = Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
<input type="checkbox"/> = Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
<input type="checkbox"/> = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
<input type="checkbox"/> = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

**End of PART 1**

**PART 2: Sources of Leveraging and Program Income**

**1. Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

*NOTE: Be sure to report on the number of households supported with these leveraged funds as in Part 3, Chart 1, Column d.*

**A. Source of Leveraging Chart**

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$61,945	Rental Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$550,887	Supportive Services with Medical CM	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program	\$14,000		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care	\$123,972		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: State Services	\$3,894		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Other Public: State Services	\$150,606	Supportive Services/Client Mgmt	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Local Assistance	\$277	Utilities	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Local Assistance – Stone Soup	\$2,400	Food/Nutrition	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants: Other Grants	\$25,277 \$ 2,000	Rent Deposits/Utilities Food/Nutrition	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: McCares, PASO, Church, Private Donor, Foundation	\$4,165	Rental, Mortgage, & Utilities Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: Dental Clinic	\$7,000	Dental Care	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$294,232		
<b>TOTAL (Sum of all Rows)</b>	<b>\$1,240,655</b>		

**Please Note:** DSHS also collects leveraged dollars AAs expended on administrative costs because AAs do not receive any HOPWA funding to administer the HOPWA program. For 2012, AAs reported a total of \$107,102 leveraged for HOPWA administrative costs, down from \$112,651 reported for 2011 and \$150,079 reported for 2010.

## 2. Program Income and Resident Rent Payments

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

**A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year by Activity Type**

<b>Program Income and Resident Rent Payments Collected</b>		<b>Total Amount of Program Income (for this operating year)</b>
1.	Program income (e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	\$0

**B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households**

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

<b>Program Income and Resident Rent Payment Expended on HOPWA programs</b>		<b>Total Amount of Program Income Expended (for this operating year)</b>
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	
3.	<b>Total Program Income Expended (sum of Rows 1 and 2)</b>	\$0

**End of PART 2**

**PART 3: Accomplishment Data Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

*Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

**1. HOPWA Performance Planned Goal and Actual Outputs**

	<b>HOPWA Performance Planned Goal and Actual</b>	[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance	435	428		22	\$1,724,987	\$1,616,507
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)	N/A	N/A				
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies (Households Served)	N/A	N/A				
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	N/A	N/A				
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	N/A	N/A				
4.	Short-Term Rent, Mortgage and Utility Assistance	515	541		63	\$429,743	\$429,651
5.	Permanent Housing Placement Services	25	13		16	\$7,429	\$6,841
6.	Adjustments for duplication (subtract)	0	0		0		
7.	<b>Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Line 6; Columns e. and f. equal the sum of Rows 1-5)</b>	975	982		101	\$2,162,159	2,052,999
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	N/A	N/A				
9.	Stewardship Units subject to 3 or 10 year use agreements	N/A	N/A				
10.	<b>Total Housing Developed (Sum of Rows 8 &amp; 9)</b>	N/A	N/A				
<b>Supportive Services</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors that also delivered HOPWA housing subsidy assistance	975	944			\$449,202	\$420,883
11b.	Supportive Services provided by project sponsors that only provided supportive services.	N/A	N/A				
12.	Adjustment for duplication (subtract)	0	0				
13.	<b>Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a &amp; b minus Row 12; Columns e. and f. equal the sum of Rows 11a. and 11b.)</b>	975	944			\$449,202	\$420,883
<b>Housing Information Services</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	

<b>HOPWA Performance Planned Goal</b>		<b>[1] Output: Households</b>			<b>[2] Output: Funding</b>	
14.	Housing Information Services	N/A	N/A			
15.	<b>Total Housing Information Services</b>					

<b>Grant Administration and Other Activities</b>		<b>[1] Output Households</b>			<b>[2] Output: Funding</b>	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					
17.	Technical Assistance (if approved in grant agreement)					
18.	Grantee Administration (maximum 3% of total HOPWA grant)				\$27,650	\$27,650
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)				\$168,093	\$151,726
20.	<b>Total Grant Administration and Other Activities (Sum of Rows 17 – 20)</b>				\$195,743	\$179,376
<b>Total Expended</b>					<b>[2] Outputs: HOPWA Funds Expended</b>	
					<b>Budget</b>	<b>Actual</b>
21.	<b>Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)</b>				\$2,807,104	\$2,653,258

DSHS Note: For accuracy, DSHS reporting is based on unduplicated clients

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Note: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 12.

<b>Supportive Services</b>		<b>[1] Output: Number of Households</b>	<b>[2] Output: Amount of HOPWA Funds Expended</b>
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	944	\$420,883
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). <b>Specify:</b>		
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>	944	
16.	<b>Adjustment for Duplication (subtract)</b>	0	
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	944	\$420,883

### 3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., and f. equals the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u>	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Short-term mortgage, rent and/or utility (STRMU) assistance	541	\$429,651
b.	<u>Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.</u>	67	\$80,196
c.	<u>Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.</u>	18	\$13,136
d.	<u>Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY</u>	251	\$170,228
e.	<u>Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.</u>	47	\$71,231
f.	<u>Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.</u>	158	\$94,860

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

In column 1, report the total number of eligible households that received HOPWA housing subsidy assistance, by type.

In column 2, enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column 3, report the housing status of all households that exited the program.

Data Check: The sum of Columns 2 (Number of Households Continuing) and 3 (Exited Households) equals the total reported in Column 1

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Tenant-Based Rental Assistance</b>	428	326	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	2	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	59	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	6	
			5 Other Subsidy	17	
			6 Institution	1	
			7 Jail/Prison	2	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	1	
			9 Death	14	<i>Life Event</i>
<b>Permanent Supportive Housing Facilities/ Units</b>	N/A	N/A	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>



**A. Transitional Housing Assistance**

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year		[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Transitional/ Short-Term Facilities/ Units</b>	N/A	Total number of households that will continue in residences:  N/A	N/A	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
				2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
				3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
				4 Other HOPWA		
				5 Other Subsidy		
				6 Institution		<i>Unstable Arrangements</i>
				7 Jail/Prison		
				8 Disconnected/unknown		
				9 Death		<i>Life Event</i>
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months				N/A		

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)**

Report the total number of households that received STRMU assistance in Column 1.

In Column 2, identify the outcomes of the households reported in Column 1 either at the time that they were known to have left the STRMU program or through the project sponsor’s best assessment for stability at the end of the operating year.

In Column 3 provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The sum of Column 2 should equal the number of households reported in Column 1.

**Assessment of Households that received STRMU Assistance**

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
541	<b>Maintain Private Housing <u>without</u> subsidy</b> <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	85	<i>Stable/Permanent Housing (PH)</i>
	<b>Other Private Housing without subsidy</b> <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	117	
	Other HOPWA Housing Subsidy Assistance	9	
	Other Housing Subsidy (PH)	12	
	<b>Institution</b> <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	289	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	<b>Transitional Facilities/Short-term</b> <i>(e.g. temporary or transitional arrangement)</i>	4	
	<b>Temporary/Non-Permanent Housing arrangement</b> <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	11	
	Emergency Shelter/street	1	<i>Unstable Arrangements</i>
	Jail/Prison	6	
	Disconnected	3	
	Death	4	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year. <i>(e.g. households that received STRMU assistance in two consecutive operating years)</i>			244
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years <i>(e.g. households that received STRMU assistance in three consecutive operating years)</i>			156

**Section 3. HOPWA Outcomes on Access to Care and Support**

**1a. Total Number of Households**

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

*Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.*

<b>Total Number of Households</b>	
<b>1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following <u>HOPWA-funded</u> services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	982
b. Case Management	944
c. Adjustment for duplication (subtraction)	944
<b>d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)</b>	<b>982</b>
<b>2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following <u>HOPWA-funded</u> service:	
a. HOPWA Case Management	
<b>b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance</b>	

\*These are unduplicated clients

**1b. Status of Households Accessing Care and Support**

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1e. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

*Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1c. and 1d.*

<b>Categories of Services Accessed</b>	<b>[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b>	<b>[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b>	<b>Outcome Indicator</b>
1. Has a housing plan for maintaining or establishing stable on-going housing	961		<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan.	953		<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan.	932		<i>Access to Health Care</i>

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
4. Accessed and maintained medical insurance/assistance.	653		Access to Health Care
5. Successfully accessed or maintained qualification for sources of income.	776		Sources of Income

**Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>MEDICAID Health Insurance Program, or use local program name</li> <li>MEDICARE Health Insurance Program, or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>Veterans Affairs Medical Services</li> <li>AIDS Drug Assistance Program (ADAP)</li> <li>State Children’s Health Insurance Program (SCHIP), or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>Ryan White-funded Medical or Dental Assistance</li> </ul>
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**Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>Earned Income</li> <li>Veteran’s Pension</li> <li>Unemployment Insurance</li> <li>Pension from Former Job</li> <li>Supplemental Security Income (SSI)</li> </ul>	<ul style="list-style-type: none"> <li>Child Support</li> <li>Social Security Disability Income (SSDI)</li> <li>Alimony or other Spousal Support</li> <li>Veteran’s Disability Payment</li> <li>Retirement Income from Social Security</li> <li>Worker’s Compensation</li> </ul>	<ul style="list-style-type: none"> <li>General Assistance (GA), or use local program name</li> <li>Private Disability Insurance</li> <li>Temporary Assistance for Needy Families (TANF)</li> <li>Other Income Sources</li> </ul>
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**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1e. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

*Note: This includes jobs created by this project sponsor or obtained outside this agency.*

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	150	

**End of PART 4**

**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

<b>Permanent Housing Subsidy Assistance</b>	<b>Stable Housing</b> (# of households remaining in program plus 3+4+5+6=#)	<b>Temporary Housing</b> (2)	<b>Unstable Arrangements</b> (1+7+8=#)	<b>Life Event</b> (9)
Tenant-Based Rental Assistance (TBRA)	409	2	3	14
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>				
<b>Reduced Risk of Homelessness: Short-Term Assistance</b>	<b>Stable/Permanent Housing</b>	<b>Temporarily Stable, with Reduced Risk of Homelessness</b>	<b>Unstable Arrangements</b>	<b>Life Events</b>
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	353	172	11	5
<b>Total HOPWA Housing Subsidy Assistance</b>	<b>762</b>	<b>174</b>	<b>14</b>	<b>19</b>

**Background on HOPWA Housing Stability Codes  
Stable Permanent Housing/Ongoing Participation**

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

**Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

**Unstable Arrangements**

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

**Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

**PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

**N/A for Texas DSHS**

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

*Note: See definition of “Stewardship Units”*

**1. General information**

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Non-HOPWA Expenditures**

	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

**3. Details of Project Site**

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list. <input type="checkbox"/> Not confidential; information can be made available to the public.
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address.	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>	<b>Signature &amp; Date (mm/dd/yy)</b>

*Program Performance*

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*HOPWA*

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>	<b>Contact Phone (with area code)</b>

**End of PART 6**



**Part 7: Summary Overview of Grant Activities**  
**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Master Leased Units ONLY)**

*Note: Do not include in this section any individuals, beneficiaries, or households who received Supportive Services.*

**Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**

**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance NOT all HIV positive individuals in the household.

<b>Individuals Served with Housing Subsidy Assistance</b>	<b>Total</b>
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	982

**Chart b. Prior Living Situation**

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

*Note: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing assistance reported in Chart a. above.*

<b>Category</b>		<b>Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance</b>
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	543
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	1
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	2
4.	Transitional housing for homeless persons	3
5.	<b>Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)</b>	6
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	1
7.	Psychiatric hospital or other psychiatric facility	1
8.	Substance abuse treatment facility or detox center	0
9.	Hospital (non-psychiatric facility)	1
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	3
12.	Rented room, apartment, or house	312
13.	House you own	79
14.	Staying or living in someone else’s (family and friends) room, apartment, or house	32

HOPWA

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
15.	Hotel or motel paid for without emergency shelter voucher	1
16.	Other	2
17.	Don't Know or Refused	1
18.	<b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>	982

**c. Homeless Individual Summary**

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	29+	6

**\*DSHS collected data on the total number of veterans served by the HOPWA program, but did not require reporting to distinguish between homeless or other categories of prior living situations specifically for veterans.**

**Section 2. Beneficiaries**

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals). **Note:** See definition of "HOPWA Eligible Person".

**Note:** See definition of Transgender **Note:** See definition of Beneficiaries.

**Note:** The sum of each of the Charts b. & c. equal the total number of beneficiaries served with HOPWA housing subsidy assistance, in Chart a., Row 3.

**a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance**

Individuals and Families Served with Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (should equal the number of HOPWA Eligible Individuals reported in Part 7A,Section 1,Chart a.)	982
2. Number of ALL other persons <b>diagnosed as</b> HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance.	64
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	880
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, &amp; 3)</b>	1926

**b. Age and Gender**

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11 equals the total number of beneficiaries reported in Chart a., Row 4.

HOPWA Eligible Individuals						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
1.	Under 18	20	12			32
2.	18 to 30 years	55	70	3		128
3.	31 to 50 years	339	221	3		563
4.	51 years and Older	167	92			259
5.	<b>Subtotal (Sum of Rows 1-4)</b>	581	395	6		982
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
6.	Under 18	267	227			494
7.	18 to 30 years	71	89			160
8.	31 to 50 years	101	91	2		194
9.	51 years and Older	41	55			96
10.	<b>Subtotal (Sum of Rows 6-9)</b>	480	462			944
Total Beneficiaries						
11.	<b>TOTAL* (Sum of Row 5 &amp; 10)</b>	1061	857	8	0	1926

**c. Race and Ethnicity\***

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Also identified as Hispanic or Latino	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Also identified as Hispanic or Latino
1.	American Indian/Alaskan Native	2	0		
2.	Asian	0			
3.	Black/African American	272	5	273	12
4.	Native Hawaiian/Other Pacific Islander				
5.	White	697	381	657	463
6.	American Indian/Alaskan Native & White	0	0		
7.	Asian & White	2		5	
8.	Black/African American & White	0	0	2	
9.	American Indian/Alaskan Native & Black/African American	8	0		
10.	Other Multi-Racial	1	0		
11.	Column Totals (Sum of Rows 1-10)	982	386	944	475

*Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA eligible individuals reported in Part 3A, Section 2, Chart a, Row 4.*

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Households**

**Household Area Median Income.**

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

*Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 6 and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).*

*Note: Refer to [http://www.huduser.org/portal/datasets/il/il2010/select\\_Geography\\_mfi.odn](http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn) for information on area median income in your community.*

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	474
2.	31-50% of area median income (very low)	332
3.	51-80% of area median income (low)	176

<b>Percentage of Area Median Income</b>		<b>Households Served with HOPWA Housing Subsidy Assistance</b>
4.	<b>Total (Sum of Rows 1-3)</b>	<b>982</b>

**Part 7: Summary Overview of Grant Activities**  
**B. Facility-Based Housing Assistance**

N/A for Texas DSHS

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Complete Charts 2a., Project Site Information, and 2b., Type of Capital Development Project Units, for all Development Projects, including facilities that were past development projects that continue to receive HOPWA operating dollars.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

**1. Project Sponsor Agency Name (Required)**

--

**2. Capital Development**

**2a. Project Site Information for Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs)**

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check only one box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: _____ Date Completed: _____
c.	Operation dates:		Date residents began to occupy: _____ <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: _____ <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = _____ Total Units = _____
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>

HOPWA

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

**2b. Number and Type of Capital Development Project Units (For Capital Development Projects only)**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria.

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab					
Rental units rehabbed					
Homeownership units constructed (if approved)					

**3. Units assisted in types of housing facility/units leased by sponsor**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type of facility and number of units in it.

Indicate the type and number of housing units in the facility, including master leased units or other scattered site units leased by the organization, categorized by the number of bedrooms per unit. *Note: The number units may not equal the total number of households served. Please complete separate charts for each housing facility assisted.*

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor	Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm

Type of housing facility operated by the project sponsor		Total Number of <u>Units</u> Operated in the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility. <b>Specify:</b>					

#### 4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement). <b>Specify:</b>		
e.	<b>Adjustment to eliminate duplication (subtract)</b>		
f.	<b>TOTAL Facility-Based Housing Assistance (a. through d. minus e.)</b>		

## PART III: Citizen Participation

### **CITIZEN PARTICIPATION**

#### **PUBLIC COMMENT PROCESS**

In an effort to fully include the citizens of Texas in the Consolidated Planning process, the Department designated a public comment period for this report of 15 days. The comment period began on Friday, March 29, 2013, and ended at 5:00 pm on Friday, April 12, 2013. An announcement of the public comment period was posted in the March 29, 2013 edition of the *Texas Register* and on TDHCA's website. Copies of the draft were available online at [www.tdhca.state.tx.us](http://www.tdhca.state.tx.us) and, if requested, in writing.

The Department publicized its ability to accept comments in writing by email to [elizabeth.yevich@tdhca.state.tx.us](mailto:elizabeth.yevich@tdhca.state.tx.us), by mail to Texas Department of Housing and Community Affairs, Housing Resource Center, PO Box 13941, Austin, TX 78711-3941 or by fax to 512-475-0070.

This public comment period gave the public an opportunity to comment on the CAPER which evaluates the performance of the past program year for four HUD programs: the Community Development Block Grant Program (CDBG), the Emergency Shelter Grant Program (ESGP), Emergency Solutions Grant Program (ESG), HOME Investment Partnerships program (HOME), and the Housing Opportunities for Persons with AIDS Program (HOPWA). The CAPER is part of the overall requirements governing the State's consolidated planning process (24 CFR §91.520).

No comments were received during the comment period.



**CR-60 - ESG 91.520(g) (ESG Recipients only)**

**ESG Supplement to the CAPER in *e-snaps***

**For Paperwork Reduction Act**

**1. Recipient Information—All Recipients Complete**

**Basic Grant Information**

<b>Recipient Name</b>	TEXAS
<b>Organizational DUNS Number</b>	806781902
<b>EIN/TIN Number</b>	742610542
<b>Identify the Field Office</b>	FT WORTH
<b>Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance</b>	TX-500 San Antonio/Bexar County TX-501 Corpus Christi/Nueces County TX-503 Austin/Travis County TX-504 Victoria/Dewitt, Lavaca, Gonzales Counties TX-600 Dallas City, County/Irving TX-601 Fort Worth/Arlington/Tarrant County TX-603 El Paso City and County TX-604 Waco/McLennan County TX-607 Texas Balance of State TX-611 Amarillo TX-624 Wichita Falls/Wise, Palo Pinto, Wichita, Archer Counties TX-700 Houston/Harris County TX-701 Brazos Valley TX-703 Beaumont/Port Arthur/South East Texas

**ESG Contact Name**

<b>Prefix</b>	Mr.
<b>First Name</b>	Timothy
<b>Middle Name</b>	
<b>Last Name</b>	Irvine
<b>Suffix</b>	
<b>Title</b>	Executive Director

**ESG Contact Address**

<b>Street Address 1</b>	211 East 11th
<b>Street Address 2</b>	P.O. Box 13941
<b>City</b>	Austin
<b>State</b>	TX
<b>ZIP Code</b>	78701
<b>Phone Number</b>	512-475-3296
<b>Extension</b>	
<b>Fax Number</b>	512-475-3935
<b>Email Address</b>	Tim.irvine@tdhca.state.tx.us

**ESG Secondary Contact**

<b>Prefix</b>	Mr.
<b>First Name</b>	Michael
<b>Last Name</b>	De Young
<b>Suffix</b>	
<b>Title</b>	Director
<b>Phone Number</b>	512-475-2125
<b>Extension</b>	
<b>Email Address</b>	Michael.deyoung@tdhca.state.tx.us

**2. Reporting Period—All Recipients Complete**

<b>Program Year Start Date</b>	02/01/2012
<b>Program Year End Date</b>	01/31/2013

**3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** Advocacy Outreach  
**City:** Elgin  
**State:** TX  
**Zip Code:** 78621,  
**DUNS Number:** 836037655  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 443236

**Subrecipient or Contractor Name:** Advocacy Resource Center for Housing  
**City:** McAllen  
**State:** TX  
**Zip Code:** 78504,  
**DUNS Number:** 061451063  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 150000

**Subrecipient or Contractor Name:** Amarillo, City of  
**City:** Amarillo  
**State:** TX  
**Zip Code:** 79105,

**Subrecipient or Contractor Name:** Advocacy Outreach  
**City:** Elgin  
**State:** TX  
**Zip Code:** 78621,  
**DUNS Number:** 836037655  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 443236

**DUNS Number:** 065032807  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Unit of Government  
**ESG Subgrant or Contract Award Amount:** 401028

**Subrecipient or Contractor Name:** Bay Area Homeless Services, Inc  
**City:** Baytown  
**State:** TX  
**Zip Code:** 77522,  
**DUNS Number:** 001683478  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 245245

**Subrecipient or Contractor Name:** Bay Area Turning Point Inc  
**City:** Webster  
**State:** TX  
**Zip Code:** 77598,  
**DUNS Number:** 94682816  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 65444

**Subrecipient or Contractor Name:** Bexar, County of  
**City:** San Antonio  
**State:** TX  
**Zip Code:** 78209,  
**DUNS Number:** 070487020  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Unit of Government  
**ESG Subgrant or Contract Award Amount:** 104000

**Subrecipient or Contractor Name:** Advocacy Outreach

**City:** Elgin

**State:** TX

**Zip Code:** 78621,

**DUNS Number:** 836037655

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 443236

**Subrecipient or Contractor Name:** Bishop Enrique Pedro Ozanam Center

**City:** Brownsville

**State:** TX

**Zip Code:** 78521,

**DUNS Number:** 025265807

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 100000

**Subrecipient or Contractor Name:** Bread of Life Inc

**City:** Houston

**State:** TX

**Zip Code:** 77003,

**DUNS Number:** 128834087

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 190940

**Subrecipient or Contractor Name:** Bridge Over Troubled Waters, Inc

**City:** Pasadena

**State:** TX

**Zip Code:** 77504,

**DUNS Number:** 174065052

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 82066

**Subrecipient or Contractor Name:** Career and Recovery Resources, Inc.

**City:** Houston

**State:** TX

**Zip Code:** 77002,

**DUNS Number:** 070137294

**Subrecipient or Contractor Name:** Advocacy Outreach

**City:** Elgin

**State:** TX

**Zip Code:** 78621,

**DUNS Number:** 836037655

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 443236

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 452685

**Subrecipient or Contractor Name:** Caritas of Austin

**City:** Austin

**State:** TX

**Zip Code:** 78701,

**DUNS Number:** 803507292

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 105557

**Subrecipient or Contractor Name:** Catholic Charities of the Archdiocese of Galveston-Houston

**City:** Houston

**State:** TX

**Zip Code:** 77095,

**DUNS Number:** 125303896

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 149949

**Subrecipient or Contractor Name:** Catholic Charities of the Rio Grande Valley

**City:** San Juan

**State:** TX

**Zip Code:** 78589,

**DUNS Number:** 956016075

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 100000

**Subrecipient or Contractor Name:** Center Against Family Violence, Inc

**Subrecipient or Contractor Name:** Advocacy Outreach

**City:** Elgin

**State:** TX

**Zip Code:** 78621,

**DUNS Number:** 836037655

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 443236

**City:** El Paso

**State:** TX

**Zip Code:** 79926,

**DUNS Number:** 956326813

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 60000

**Subrecipient or Contractor Name:** Child Crisis Center of El Paso

**City:** El Paso

**State:** TX

**Zip Code:** 79930,

**DUNS Number:** 161452651

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 63935

**Subrecipient or Contractor Name:** Corpus Christi Hope House, Inc.

**City:** Corpus Christi

**State:** TX

**Zip Code:** 78404,

**DUNS Number:** 948815337

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 102970

**Subrecipient or Contractor Name:** Corpus Christi Metro Ministries, Inc.

**City:** Corpus Christi

**State:** TX

**Zip Code:** 78408,

**DUNS Number:** 112698584

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient or Contractor Name:** Advocacy Outreach  
**City:** Elgin  
**State:** TX  
**Zip Code:** 78621,  
**DUNS Number:** 836037655  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 443236

**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 150000

**Subrecipient or Contractor Name:** Covenant House Texas  
**City:** Houston  
**State:** TX  
**Zip Code:** 77006,  
**DUNS Number:** 151249349  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 105265

**Subrecipient or Contractor Name:** Denton, City of  
**City:** Denton  
**State:** TX  
**Zip Code:** 76205,  
**DUNS Number:** 071380190  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Unit of Government  
**ESG Subgrant or Contract Award Amount:** 472140

**Subrecipient or Contractor Name:** East Texas Crisis Center  
**City:** Tyler  
**State:** TX  
**Zip Code:** 75701,  
**DUNS Number:** 122354533  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 214748

**Subrecipient or Contractor Name:** El Paso, County of  
**City:** El Paso

**Subrecipient or Contractor Name:** Advocacy Outreach  
**City:** Elgin  
**State:** TX  
**Zip Code:** 78621,  
**DUNS Number:** 836037655  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 443236

**State:** TX  
**Zip Code:** 79901,  
**DUNS Number:** 098970403  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Unit of Government  
**ESG Subgrant or Contract Award Amount:** 105557

**Subrecipient or Contractor Name:** El Paso Human Services, Inc.  
**City:** El Paso  
**State:** TX  
**Zip Code:** 79995,  
**DUNS Number:** 801931093  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 104547

**Subrecipient or Contractor Name:** Faith Mission & Help Center, Inc.  
**City:** Brenham  
**State:** TX  
**Zip Code:** 77833,  
**DUNS Number:** 361035645  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 105312

**Subrecipient or Contractor Name:** Families In Crisis, Inc.  
**City:** Killeen  
**State:** TX  
**Zip Code:** 76540,  
**DUNS Number:** 181990318  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization



**Subrecipient or Contractor Name:** Advocacy Outreach  
**City:** Elgin  
**State:** TX  
**Zip Code:** 78621,  
**DUNS Number:** 836037655  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 443236

**ESG Subgrant or Contract Award Amount:** 249975

**Subrecipient or Contractor Name:** Family Abuse Center  
**City:** Waco  
**State:** TX  
**Zip Code:** 76702,  
**DUNS Number:** 956512610  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 369174

**Subrecipient or Contractor Name:** Family Crisis Center, Inc.  
**City:** Harlingen  
**State:** TX  
**Zip Code:** 78550,  
**DUNS Number:** 164929598  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 365664

**Subrecipient or Contractor Name:** Family Endeavors, Inc.  
**City:** San Antonio  
**State:** TX  
**Zip Code:** 78228,  
**DUNS Number:** 118914498  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 97653

**Subrecipient or Contractor Name:** Family Place, The  
**City:** Dallas  
**State:** TX

**Subrecipient or Contractor Name:** Advocacy Outreach

**City:** Elgin

**State:** TX

**Zip Code:** 78621,

**DUNS Number:** 836037655

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 443236

**Zip Code:** 75209,

**DUNS Number:** 002933091

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 452685

**Subrecipient or Contractor Name:** Family Violence Prevention Services, Inc.

**City:** San Antonio

**State:** TX

**Zip Code:** 78209,

**DUNS Number:** 161804901

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 250000

**Subrecipient or Contractor Name:** Fort Bend County Women's Center

**City:** Richmond

**State:** TX

**Zip Code:** 77406,

**DUNS Number:** 134245419

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 639919

**Subrecipient or Contractor Name:** Friendship of Women, Inc

**City:** Brownsville

**State:** TX

**Zip Code:** 78521,

**DUNS Number:** 015226129

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 298892

**Subrecipient or Contractor Name:** Advocacy Outreach  
**City:** Elgin  
**State:** TX  
**Zip Code:** 78621,  
**DUNS Number:** 836037655  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 443236

**Subrecipient or Contractor Name:** Grapevine Relief And Community Exchange (GRACE)  
**City:** Grapevine  
**State:** TX  
**Zip Code:** 76099,  
**DUNS Number:** 782231559  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 79854

**Subrecipient or Contractor Name:** Grayson County Juvenile Alternatives  
**City:** Sherman  
**State:** TX  
**Zip Code:** 75091,  
**DUNS Number:** 171707938  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 1202673

**Subrecipient or Contractor Name:** Harmony House, Inc.  
**City:** Houston  
**State:** TX  
**Zip Code:** 77007,  
**DUNS Number:** 940478753  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 105557

**Subrecipient or Contractor Name:** Harris, County of  
**City:** Houston  
**State:** TX  
**Zip Code:** 77054,

**Subrecipient or Contractor Name:** Advocacy Outreach  
**City:** Elgin  
**State:** TX  
**Zip Code:** 78621,  
**DUNS Number:** 836037655  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 443236

**DUNS Number:** 072206378  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Unit of Government  
**ESG Subgrant or Contract Award Amount:** 105557

**Subrecipient or Contractor Name:** Highland Lakes Family Crisis Center  
**City:** Marble Falls  
**State:** TX  
**Zip Code:** 78654,  
**DUNS Number:** 110115060  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 63935

**Subrecipient or Contractor Name:** HOPE, Inc  
**City:** Denton  
**State:** TX  
**Zip Code:** 76201,  
**DUNS Number:** 361545598  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 89800

**Subrecipient or Contractor Name:** Houston Area Women's Center  
**City:** Houston  
**State:** TX  
**Zip Code:** 77019,  
**DUNS Number:** 021497276  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 255557

**Subrecipient or Contractor Name:** Advocacy Outreach

**City:** Elgin

**State:** TX

**Zip Code:** 78621,

**DUNS Number:** 836037655

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 443236

**Subrecipient or Contractor Name:** Humble Area Assistance Ministries

**City:** Humble

**State:** TX

**Zip Code:** 77338,

**DUNS Number:** 134381545

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 100000

**Subrecipient or Contractor Name:** Johnson County Family Crisis Center

**City:** Cleburne

**State:** TX

**Zip Code:** 76033,

**DUNS Number:** 797327384

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 200000

**Subrecipient or Contractor Name:** La Posada Providencia

**City:** San Benito

**State:** TX

**Zip Code:** 78586,

**DUNS Number:** 610343464

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 380157

**Subrecipient or Contractor Name:** Loaves and Fishes of the Rio Grande Valley

**City:** Harlingen

**State:** TX

**Zip Code:** 78551,

**DUNS Number:** 801182981

**Subrecipient or Contractor Name:** Advocacy Outreach

**City:** Elgin

**State:** TX

**Zip Code:** 78621,

**DUNS Number:** 836037655

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 443236

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 100000

**Subrecipient or Contractor Name:** Love INC Nacogdoches

**City:** Nacogdoches

**State:** TX

**Zip Code:** 75963,

**DUNS Number:** 126667216

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 250000

**Subrecipient or Contractor Name:** Matagorda County Women's Crisis Center

**City:** Bay City

**State:** TX

**Zip Code:** 77404,

**DUNS Number:** 800512840

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 99970

**Subrecipient or Contractor Name:** Memorial Assistance Ministries

**City:** Houston

**State:** TX

**Zip Code:** 77080,

**DUNS Number:** 198431637

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 105557

**Subrecipient or Contractor Name:** Mid-Coast Family Services, Inc

**Subrecipient or Contractor Name:** Advocacy Outreach  
**City:** Elgin  
**State:** TX  
**Zip Code:** 78621,  
**DUNS Number:** 836037655  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 443236

**City:** Victoria  
**State:** TX  
**Zip Code:** 77901,  
**DUNS Number:** 790072524  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 249999

**Subrecipient or Contractor Name:** Mission Granbury, Inc  
**City:** Granbury  
**State:** TX  
**Zip Code:** 76033,  
**DUNS Number:** 115784485  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 93755

**Subrecipient or Contractor Name:** Montrose Counseling Center  
**City:** Houston  
**State:** TX  
**Zip Code:** 77006,  
**DUNS Number:** 838027571  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 100000

**Subrecipient or Contractor Name:** Northwest Assistance Ministries  
**City:** Houston  
**State:** TX  
**Zip Code:** 77090,  
**DUNS Number:** 789961943  
**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient or Contractor Name:** Advocacy Outreach  
**City:** Elgin  
**State:** TX  
**Zip Code:** 78621,  
**DUNS Number:** 836037655  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 443236

**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 80374

**Subrecipient or Contractor Name**            **Opportunity Center for the Homeless**  
**City**    El Paso  
**State**    Texas  
**Zip Code**        79901  
**DUNS Number** 969634914  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**        **Non Profit**  
**ESG Subgrant or Contract Award Amount:**        **366,480**

**Subrecipient or Contractor Name**            **Panhandle Crisis Center, Inc.**  
**City**    Perryton  
**State**    Texas  
**Zip Code**        79070  
**DUNS Number** 627372220  
**Is subrecipient a VAWA-DV provider**    **Y**  
**Subrecipient Organization Type**        **Non Profit**  
**ESG Subgrant or Contract Award Amount:**        **153,803**



Subrecipient or Contractor Name      Port Cities Rescue Mission  
City    Port Arthur  
State   Texas  
Zip Code      77641  
DUNS Number 783975774  
Is subrecipient a VAWA-DV provider    N  
Subrecipient Organization Type      Non Profit  
ESG Subgrant or Contract Award Amount:      105,557

Subrecipient or Contractor Name      Presbyterian Night Shelter  
City    Fort Worth  
State   Texas  
Zip Code      76113  
DUNS Number 021625335  
Is subrecipient a VAWA-DV provider    N  
Subrecipient Organization Type      Non Profit  
ESG Subgrant or Contract Award Amount:      100,000

Subrecipient or Contractor Name      Project Vida  
City    El Paso  
State   Texas  
Zip Code      79905  
DUNS Number 791970320  
Is subrecipient a VAWA-DV provider    N  
Subrecipient Organization Type      Non Profit  
ESG Subgrant or Contract Award Amount:      153,685

Subrecipient or Contractor Name      Randy Sam's Outreach Shelter  
City    Texarkana  
State   Texas  
Zip Code      75501  
DUNS Number 111879656  
Is subrecipient a VAWA-DV provider    N  
Subrecipient Organization Type      Non Profit  
ESG Subgrant or Contract Award Amount:      104,515

**Subrecipient or Contractor Name** Sabine Valley Regional MHMR Center  
**City** Longview  
**State** Texas  
**Zip Code** 75601  
**DUNS Number** 069749448  
**Is subrecipient a VAWA-DV provider** N  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 54,944

**Subrecipient or Contractor Name** Safe Haven of Tarrant County  
**City** Hurst  
**State** Texas  
**Zip Code** 76053  
**DUNS Number** 786103085  
**Is subrecipient a VAWA-DV provider** Y  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 253,464

**Subrecipient or Contractor Name** Safeplace of Travis County  
**City** Austin  
**State** Texas  
**Zip Code** 78760  
**DUNS Number** 057515850  
**Is subrecipient a VAWA-DV provider** Y  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 80,000

**Subrecipient or Contractor Name** Salvation Army Abilene  
**City** Abilene  
**State** Texas  
**Zip Code** 79602  
**DUNS Number** 080654473  
**Is subrecipient a VAWA-DV provider** N  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 150,000

**Subrecipient or Contractor Name**      **Salvation Army Big Spring**  
**City**    **Big Spring**  
**State**   **Texas**  
**Zip Code**      **79720**  
**DUNS Number** **080656650**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**                      **50,000**

**Subrecipient or Contractor Name**      **Salvation Army Corpus Christi**  
**City**    **Corpus Christi**  
**State**   **Texas**  
**Zip Code**      **78403**  
**DUNS Number** **080617504**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**                      **100,000**

**Subrecipient or Contractor Name**      **Salvation Army Kerrville**  
**City**    **Kerrville**  
**State**   **Texas**  
**Zip Code**      **78028**  
**DUNS Number** **080608446**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**                      **105,553**

**Subrecipient or Contractor Name**      **Salvation Army Lubbock**  
**City**    **Lubbock**  
**State**   **Texas**  
**Zip Code**      **79401**  
**DUNS Number** **828097894**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**                      **105,557**

**Subrecipient or Contractor Name**      **Salvation Army Fort Worth Mabee Center**  
**City**    **Fort Worth**  
**State**    **Texas**  
**Zip Code**      **76103**  
**DUNS Number** **124732699**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**      **198,750**

**Subrecipient or Contractor Name**      **Salvation Army McAllen**  
**City**    **McAllen**  
**State**    **Texas**  
**Zip Code**      **78501**  
**DUNS Number** **830704834**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:** **249,780**

**Subrecipient or Contractor Name**      **Salvation Army Odessa**  
**City**    **Odessa**  
**State**    **Texas**  
**Zip Code**      **79761**  
**DUNS Number** **080666998**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**      **203,490**

**Subrecipient or Contractor Name**      **Salvation Army San Antonio**  
**City**    **San Antonio**  
**State**    **Texas**  
**Zip Code**      **78212**  
**DUNS Number** **005781518**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**      **100,000**

**Subrecipient or Contractor Name**      **Salvation Army Texarkana**  
**City**    **Texarkana**  
**State**   **Arkansas**  
**Zip Code**      **71854**  
**DUNS Number** **124154969**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**        **Non Profit**  
**ESG Subgrant or Contract Award Amount:**      **150,000**

**Subrecipient or Contractor Name**      **Salvation Army Tyler**  
**City**    **Tyler**  
**State**   **Texas**  
**Zip Code**      **75702**  
**DUNS Number** **189974447**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**        **Non Profit**  
**ESG Subgrant or Contract Award Amount:**                      **100,000**

**Subrecipient or Contractor Name**      **Salvation Army Waco**  
**City**    **Waco**  
**State**   **Texas**  
**Zip Code**      **76710**  
**DUNS Number** **828097704**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**        **Non Profit**  
**ESG Subgrant or Contract Award Amount:**      **105,557**

**Subrecipient or Contractor Name**      **San Antonio Metropolitan Ministries**  
**City**    **San Antonio**  
**State**   **Texas**  
**Zip Code**      **78207**  
**DUNS Number** **150403012**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**        **Non Profit**  
**ESG Subgrant or Contract Award Amount:**                      **602,685**

**Subrecipient or Contractor Name**      **SEARCH Homeless Services**  
**City**    **Houston**  
**State**   **Texas**  
**Zip Code**      **77002**  
**DUNS Number** **785823600**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**      **852,685**

**Subrecipient or Contractor Name**      **Seton Home**  
**City**    **San Antonio**  
**State**   **Texas**  
**Zip Code**      **78210**  
**DUNS Number** **859829814**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**      **100,000**

**Subrecipient or Contractor Name**      **Shelter Agencies For Families In East Texas**  
**City**    **Mt. Pleasant**  
**State**   **Texas**  
**Zip Code**      **75455**  
**DUNS Number** **024049913**  
**Is subrecipient a VAWA-DV provider**    **Y**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**      **209,380**

**Subrecipient or Contractor Name**      **Sin Fronteras Organizing Project**  
**City**    **El Paso**  
**State**   **Texas**  
**Zip Code**      **79901**  
**DUNS Number** **167592955**  
**Is subrecipient a VAWA-DV provider**    **N**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**      **100,000**

**Subrecipient or Contractor Name** Twin City Mission, Inc  
**City** Bryan  
**State** Texas  
**Zip Code** 77805  
**DUNS Number** 010801827  
**Is subrecipient a VAWA-DV provider** Y  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 89,273

**Subrecipient or Contractor Name** Wellsprings Village  
**City** Houston  
**State** Texas  
**Zip Code** 77231  
**DUNS Number** 112375378  
**Is subrecipient a VAWA-DV provider** Y  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 105,557

**Subrecipient or Contractor Name** Westside Homeless Partnership  
**City** Houston  
**State** Texas  
**Zip Code** 77055  
**DUNS Number** 622601305  
**Is subrecipient a VAWA-DV provider** N  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 100,000

**Subrecipient or Contractor Name** Williamson-Burnet Counties Opportunities  
**City** Georgetown  
**State** Texas  
**Zip Code** 78626  
**DUNS Number** 070483532  
**Is subrecipient a VAWA-DV provider** N  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 63,935

**Subrecipient or Contractor Name** Wintergarden Women's Shelter, Inc  
**City** Carrizo Springs  
**State** Texas  
**Zip Code** 78834  
**DUNS Number** 947392999  
**Is subrecipient a VAWA-DV provider** Y  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 99,332

**Subrecipient or Contractor Name** Women's Home, The  
**City** Houston  
**State** Texas  
**Zip Code** 77006  
**DUNS Number** 007936896  
**Is subrecipient a VAWA-DV provider** N  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 100,510

**Subrecipient or Contractor Name** Women's Protective Services Lubbock  
**City** Lubbock  
**State** Texas  
**Zip Code** 79453  
**DUNS Number** 157593393  
**Is subrecipient a VAWA-DV provider** Y  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 100,000

**Subrecipient or Contractor Name** Women's Shelter of East Texas, Inc.  
**City** Lufkin  
**State** Texas  
**Zip Code** 75902  
**DUNS Number** 164747693  
**Is subrecipient a VAWA-DV provider** Y  
**Subrecipient Organization Type** Non Profit  
**ESG Subgrant or Contract Award Amount:** 110,820



**Subrecipient or Contractor Name**      **Women's Shelter of South Texas**  
**City**    **Corpus Christi**  
**State**   **Texas**  
**Zip Code**      **78643**  
**DUNS Number** **627362429**  
**Is subrecipient a VAWA-DV provider**    **Y**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**      **105,552**

**Subrecipient or Contractor Name**      **Youth and Family Alliance - Lifeworks**  
**City**    **Austin**  
**State**   **Texas**  
**Zip Code**      **78704**  
**DUNS Number** **137614244**  
**Is subrecipient a VAWA-DV provider**    **Y**  
**Subrecipient Organization Type**      **Non Profit**  
**ESG Subgrant or Contract Award Amount:**      **311,690**

## CR-65 - Persons Assisted

### 4. Persons Served

#### 4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 1 – Household Information for Homeless Prevention Activities

#### 4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 2 – Household Information for Rapid Re-Housing Activities

#### 4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 3 – Shelter Information

#### 4d. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	27,016
Children	13,509
Don't Know/Refused	215
Missing Information	29
<b>Total</b>	<b>40,769</b>

Table 4 – Household Information for Persons Served with ESG

#### 5. Gender—Complete for All Activities

	Total
Male	9,267
Female	8,410
Transgendered	2
Unknown	382
<b>Total</b>	<b>18,061</b>

Table 5 – Gender Information

#### 6. Age—Complete for All Activities

	Total
Under 18	4,905
18-24	1,929
Over 24	10,799
Don't Know/Refused	243
Missing Information	185
<b>Total</b>	<b>18,061</b>

Table 6 – Age Information

**7. Special Populations Served—Complete for All Activities**

**Number of Persons in Households**

<b>Subpopulation</b>	<b>Total Persons Served – Prevention</b>	<b>Total Persons Served – RRH</b>	<b>Total Persons Served in Emergency Shelters</b>	<b>Total</b>
Veterans	0	0	0	830
Victims of Domestic Violence	0	0	0	15,154
Elderly	0	0	0	765
HIV/AIDS	0	0	0	156
Chronically Homeless	0	0	0	5,198
<b>Persons with Disabilities:</b>				
Severely Mentally Ill	0	0	0	2,239
Chronic Substance Abuse	0	0	0	2,706
Other Disability	0	0	0	2,535
Total (Unduplicated if possible)	0	0	0	29,583

**Table 7 – Special Population Served**

## CR-70 – Assistance Provided

### 8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	440,255
Total Number of bed-nights provided	227,904
Capacity Utilization	51.77%

**Table 8 – Shelter Capacity**

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
Expenditures for Rental Assistance	0	70,493	265,407
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	41,792	126,036
Expenditures for Housing Relocation & Stabilization Services - Services	0	57,909	135,047
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	943,401	0
<b>Subtotal Homelessness Prevention</b>	<b>0</b>	<b>1,113,595</b>	<b>526,490</b>

Table 9 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
Expenditures for Rental Assistance	0	41,679	97,127
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	14,905	178,455
Expenditures for Housing Relocation & Stabilization Services - Services	0	28,449	167,156
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Rapid Re-Housing</b>	<b>0</b>	<b>85,033</b>	<b>442,738</b>

Table 10 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
Essential Services	0	653,056	391,511
Operations	0	1,053,354	399,755
Renovation	0	0	0
Major Rehab	0	0	0

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
Conversion	0	0	0
<b>Subtotal</b>	<b>0</b>	<b>1,706,410</b>	<b>791,266</b>

Table 11 – ESG Expenditures for Emergency Shelter

#### 11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
HMIS	0	42,504	118,067
Administration	0	665,763	73,648
Street Outreach	0	512,916	97,575

Table 12 Other Grant Expenditures

#### 11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2010	FY 2011	FY 2012
5,565,514	0	3,613,305	1,952,209

Table 13 Total ESG Funds Expended

#### 11f. Match Source

	FY 2010	FY 2011	FY 2012
Other Non-ESG HUD Funds	0	268,996	181,542
Other Federal Funds	0	59,272	544,254
State Government	0	0	294,154
Local Government	0	45,246	52,996
Private Funds	0	857,240	789,417
Other	0	3,906,875	1,027,236
Fees	0	0	0
Program Income	0	0	673
<b>Total Match Amount</b>	<b>0</b>	<b>5,137,629</b>	<b>2,890,272</b>

Table 14 Other Funds Expended on Eligible ESG Activities

#### 11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2010	FY 2011	FY 2012
13,593,415	0	8,750,934	4,842,481

**Table 15 - Total Amount of Funds Expended on ESG Activities**