

# *2010 State of Texas Consolidated Plan Annual Performance Report Reporting on Program Year 2009*



**Updated April 2010**

Prepared by

Texas Department of Housing and Community Affairs  
Housing Resource Center  
PO Box 13941  
Austin, TX 78711-3941  
Phone: (512) 475-3976  
Fax: (512) 469-9606  
[www.tdhca.state.tx.us](http://www.tdhca.state.tx.us)

Texas Department of Rural Affairs  
PO Box 12877  
Austin, TX 78711-2877  
Phone: (512) 936-6701  
Fax: (512) 936-6776  
[www.tdra.state.tx.us](http://www.tdra.state.tx.us)

Department of State Health Services  
HIV/STD Comprehensive Services Branch  
1100 W. 49th St  
Austin TX 78756  
Phone: (512) 533-3000  
Fax: (512) 371-4672  
[www.dshs.state.tx.us](http://www.dshs.state.tx.us)



## TABLE OF CONTENTS

<b>INTRODUCTION</b>	<b>1</b>
<b>PART I: Consolidated Plan Annual Performance Report for CDBG, ESGP &amp; HOME</b>	<b>2</b>
<b>PROGRAM PERFORMANCE</b>	<b>2</b>
Community Development Block Grant Program	3
Emergency Shelter Grants Program	28
Home Investment Partnerships Program	40
<b>OTHER ACTIONS</b>	<b>69</b>
Meeting Underserved Needs and Developing Affordable Housing	69
Public Housing Resident Initiatives	71
Lead-Based Paint Hazards	72
Poverty-Level Households	75
Compliance	77
Institutional Structure	83
Affirmatively Furthering Fair Housing	84
<b>GOALS AND OBJECTIVES</b>	<b>97</b>
Homeless And Housing Goals And Objectives	98
<b>PART II: Consolidated Annual Performance And Evaluation Report For HOPWA</b>	<b>106</b>
<b>PART III: Citizen Participation</b>	<b>168</b>



## **INTRODUCTION**

The Texas Department of Housing and Community Affairs (TDHCA), which administers the HOME Investment Partnerships and Emergency Shelter Grants programs and the Texas Department of Rural Affairs (TDRA), which administers the Community Development Block Grant Program, have completed the *2010 State of Texas Consolidated Plan Annual Performance Report: Reporting on Program Year 2009* (APR). The Department of State Health Services (DSHS), which administers the Housing Opportunities for Persons with AIDS Program, completed its Consolidated Annual Performance and Evaluation Report, which is incorporated in this document.

This report is required as part of the US Department of Housing and Urban Development's (HUD's) state Consolidated Planning process and is outlined specifically in 24 CFR 91.520. The Consolidated Planning process covers four HUD formula grant programs: Community Development Block Grant (CDBG), Emergency Shelter Grants Program (ESGP), HOME Investment Partnerships (HOME) Program and the Housing Opportunities for Persons with AIDS (HOPWA) Program.

This report is an integral part of HUD's Consolidated Planning process, which requires TDHCA and TDRA, and DSHS (Departments) to evaluate their accomplishments over the past program year. The information contained in the APR helps the Departments evaluate how well they met stated goals and objectives when developing future plans. In December 2009, the Departments submitted the 2010 One-Year Action Plan (OYAP) as part of the *2010-2014 State of Texas Consolidated Plan*, which specifically covered Program Year (PY) 2010 activities. PY 2010 will cover upcoming program year from February 1, 2010, through January 31, 2011.

The *Consolidated Plan Annual Performance Report* is organized into the following sections:

- Introduction. This section includes an overview of the report and the outlining federal legislation.
- Part I: Consolidated Plan Annual Performance Report for CDBG, ESGP & HOME
  - Program Performance. This section includes PY 2009 performance data for the CDBG, ESGP, and HOME programs, as outlined in 24 CFR 91.520(a). Program-specific sections of legislation, including 24 CFR 91.520(c) for CDBG and 24 CFR 91.520(d) for HOME are included in this section. This does not include CFR 91.520(e) for HOPWA which is reported separately in Part II.
  - Other Actions. This section reports the “other actions indicated in the strategic plan and the action plan,” as directed by 24 CFR 91.520(a). These actions include Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Institutional Structure, and Affirmatively Furthering Fair Housing. This does not include HOPWA which is reported separately in Part II.
  - Goals and Objectives. This section reports on the goals and objectives for each program area except for HOPWA.
- Part II: Consolidated Annual Performance and Evaluation Report for HOPWA
  - HOPWA Consolidated Annual Performance and Evaluation Report.
- Part III: Citizen Participation

## *Introduction*

---

- Citizen Participation. This section describes the citizen participation for the document, Part I and II.

## LEGISLATION

### Sec. 91.520 Performance reports

(a) *General.* Each jurisdiction that has an approved consolidated plan shall annually review and report, in a form prescribed by HUD, on the progress it has made in carrying out its strategic plan and its action plan. The performance report must include a description of the resources made available, the investment of available resources, the geographic distribution and location of investments, the families and persons assisted (including the racial and ethnic status of persons assisted), actions taken to affirmatively further fair housing, and other actions indicated in the strategic plan and the action plan. This performance report shall be submitted to HUD within 90 days after the close of the jurisdiction's program year.

(b) *Affordable housing.* The report shall include an evaluation of the jurisdiction's progress in meeting its specific objective of providing affordable housing, including the number and types of families served. This element of the report must include the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

(c) *CDBG.* For CDBG recipients, the report shall include a description of the use of CDBG funds during the program year and an assessment by the jurisdiction of the relationship of that use to the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities that were identified. This element of the report must specify the nature of and reasons for any changes in its program objectives and indications of how the jurisdiction would change its programs as a result of its experiences. This element of the report also must include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

(d) *HOME.* For HOME participating jurisdictions, the report shall include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, an assessment of the jurisdiction's affirmative marketing actions and outreach to minority-owned and women-owned businesses, and data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics.

(e) *HOPWA.* For jurisdictions receiving funding under the Housing Opportunities for Persons With AIDS program, the report must include the number of individuals assisted and the types of assistance provided.

(f) *Evaluation by HUD.* HUD shall review the performance report and determine whether it is satisfactory. If a satisfactory report is not submitted in a timely manner, HUD may suspend funding until a satisfactory report is submitted, or may withdraw and reallocate funding if HUD determines, after notice and opportunity for a hearing, that the jurisdiction will not submit a satisfactory report.

(g) The report will include a comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives.

## **PART I: Consolidated Plan Annual Performance Report for CDBG, ESGP & HOME**

### **PROGRAM PERFORMANCE**

This section reports on the PY 2009 (February 1, 2009-January 31, 2010) performance in the Community Development, Homeless and Housing categories, excluding Non-Homeless Special Needs which will be reported in Part II of this publication. Each section reports on the following subjects, as required by 24 CFR 91.520(a):

- Description of the resources made available
- Investment of the available resources
- Geographic distribution and location of investments
- Families and persons assisted

The Community Development section reporting on the CDBG program includes the additional provisions of 24 CFR 91.520(c), which requires that the report include (1) a description of the use of CDBG funds during the program year, (2) an assessment of the relationship of that use to the priorities and specific objectives identified in the plan, (3) the nature of and reasons for any changes in program objectives, and (4) indications of how TDRA would change the program as a result of its experiences. The description of the use of CDBG funds is included in the “Investment of Available Resources” part of the CDBG program section.

The Housing section reporting on the HOME program includes the additional provisions of 24 CFR 91.520(d), which requires that the report include (1) the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, (2) an assessment of the jurisdiction’s affirmative marketing actions and outreach to minority-owned and women-owned businesses, and (3) data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics. The data on the use of program funds and number of projects are included in the “Investment of Available Resources” part of the HOME program section, while owner and tenant characteristics are included in the “Families and Persons Assisted” part.

In accordance with the guidelines from HUD, the Departments comply with the CPD Outcome Performance Measurement System. The performance measures targets, including the objectives and outcomes, are described in the One Year Action Plan chapter of the *2010-2014 State of Texas Consolidated Plan*. The Departments’ performance regarding the targets for PY 2010 is reported in this document.



## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

The objective of the Community Development Block Grant Program (CDBG) is “the development of viable communities by providing decent housing, suitable living environments, and expanding economic opportunities, principally for persons of low and moderate income (0-80 percent of Area Median Family Income (AMFI)).” TDRA administers the “non-entitlement” or “states and small cities program.” Under this program, HUD allocates CDBG funds directly to the State, which, in turn, allocates funds to small, non-metropolitan cities (populations of less than 50,000) and rural counties. Large metropolitan communities (populations of 50,000 or more), known as “entitlement areas,” receive their CDBG funding directly from HUD. The demographics and rural character of Texas have shaped a state CDBG program that focuses on providing basic sanitary infrastructure to small rural communities in outlying areas. Eligible activities include sanitary sewer systems, water treatment improvements, disaster relief and urgent needs projects, housing, drainage and flood control, street improvements, and economic development.

### DESCRIPTION OF RESOURCES

This section describes CDBG funding that was available for PY 2009.

#### ***PY 2009 Funding***

The following table lists the amount of funds available for PY 2009 through the HUD allocation, distributed according to the CDBG 2009 Action Plan. 2009 Total State Allocation: \$ 73,017,739.

**PY 2009 CDBG State Allocation**

<b>Fund</b>	<b>2009 Percent</b>	<b>Amount Available</b>
Community Development Fund	61.71%	\$ 45,059,247
Texas Capital Fund	14.51%	\$ 10,594,874
Colonia Fund		
Colonia Construction and Planning Fund	7.26%	\$ 5,301,774
Colonia EDAP Fund	2.74%	\$2,000,000
Colonia Self-Help Centers Fund	2.50%	\$ 1,825,443
Planning And Capacity Building Fund	0.90%	\$ 657,160
Disaster Relief/Urgent Need Fund	4.10%	\$2,993,727
Tx CDBG STEP Fund	3.14%	\$2,294,982
Administration – 2%	2.00%	\$ 1,460,355
Administration Funds – \$100,000	0.1370%	\$100,000
Technical Assistance – 1%	1.00%	\$ 730,177
<b>Total</b>	<b>100.00%</b>	<b>\$ 73,017,739</b>

#### ***PY 2009 Activities***

Each activity proposed for funding under CDBG must address one or more of the following three national program objectives:

- Principally benefit low and moderate income persons. (At least 51 percent of the identified beneficiaries must have an income of less than 80 percent of the area median family income).

## *Program Performance*

---

### *Community Development*

- Aid in the prevention or elimination of slum or blighted areas.
- Meet other community development needs of particular urgency which represent an immediate threat to the health and safety of residents of the community.

Activities are funded under the following program categories:

#### **Community Development Fund**

Grants are awarded on a competitive basis to address public facilities and housing needs such as sewer and water system improvements, street and drainage improvements, and housing rehabilitation activities.

#### **Texas Capital Fund**

Grants are awarded to eligible communities to address economic development needs by providing infrastructure and real estate improvements in support of businesses willing to create/retain jobs. The Texas Department of Agriculture (TDA) administers the program through an interagency agreement with TDRA.

#### **Colonia Fund**

Planning and construction grants are awarded on a competitive basis for community development projects such as sewer, water, and housing rehabilitation to county applicants for projects in unincorporated “eligible colonia” areas located within 150 miles of the Texas-Mexico border and outside metropolitan areas. Eligible colonias are identifiable, unincorporated communities lacking one or more basic services such as potable water supply, adequate sewage systems, and decent, safe and sanitary housing. This fund also includes legislative set asides to provide grants for the operation of colonia self-help centers located in seven Texas-Mexico border counties and for Economically Distressed Areas Program (EDAP) grants on an “as-needed” basis to provide water and sewer connections on projects funded by the Texas Water Development Board.

#### **Planning/Capacity Building Fund**

Grants are awarded on a statewide competitive basis to assist eligible cities and counties in planning activities that assess local needs, develop strategies to address local needs, build or improve local capacity, or address other needed local planning elements. Emphasis is placed on housing analysis, mapping, and public infrastructure planning.

#### **Disaster Relief/Urgent Need Fund**

Assistance is available to localities impacted by a natural disaster or an urgent need situation. Disaster Relief Funds address damages caused by natural disasters such as floods or tornadoes following an emergency declaration by the President or Governor. Urgent need assistance is available for unanticipated and dangerous local situations, contingent upon the availability of funds.

#### **Texas Small Towns Environment Program (STEP) Fund**

Grants are awarded on a statewide competitive basis to cities and counties to assist communities willing to solve water and sewer problems by utilizing self-help techniques. This approach encourages local support such as volunteer labor and donated materials and/or equipment.

**Renewable Energy Demonstration Pilot Program Fund**

Grants are awarded on a statewide competitive basis to cities and counties to address local needs utilizing renewable energy techniques.

**INVESTMENT OF RESOURCES**

This section describes CDBG funding commitments that were made during the reporting period, using PY 2009 funds as well as program income and deobligated funds from prior program years.

***PY 2009 Funding Commitments***

For PY 2009, the CDBG program committed \$ 73,892,944 through 299 grants.

**Total Amount of Funds Committed during PY 2009**

	<b>No. of Awards</b>	<b>2009 Allocation</b>	<b>Prior Year - Allocation</b>	<b>Prior Year - Deobligated</b>	<b>Program Income</b>	<b>2009 Total Obligation</b>
Community Development Fund	200	\$43,795,524		\$2,800,639	\$2,874,073	<b>\$49,470,236</b>
Colonia Construction Fund	11	\$ 4,733,480	\$ 489,082			<b>\$ 5,222,562</b>
Colonia Planning Fund	5	\$ 350,000				<b>\$ 350,000</b>
Colonia Economically Distressed Areas Program	3		\$1,473,600			<b>\$ 1,473,600</b>
Disaster Relief / Urgent Need	19	\$ 2,993,727	\$ 7,586	\$2,492,007		<b>\$ 5,493,320</b>
Texas Capital Fund	25	\$ 4,928,341	\$3,642,059			<b>\$ 8,570,400</b>
Planning / Capacity Building Fund	27	\$ 657,160			\$ 259,521	<b>\$ 916,681</b>
STEP Fund	8	\$ 1,394,327		\$ 678,868		<b>\$ 2,073,195</b>
Renewable Energy Demonstration Pilot Program	1				\$ 323,000	<b>\$ 323,000</b>
<b>Total</b>	<b>299</b>	<b>\$58,852,559</b>	<b>\$5,612,327</b>	<b>\$5,971,514</b>	<b>\$3,456,594</b>	<b>\$73,892,994</b>

***Matching Requirements***

CDBG requires matching funds to be contributed by grant recipients toward certain CDBG funded projects. Applicants' willingness to provide matching funds, in relation to the size of the community, is taken into account when scoring applications for funding. Match requirements vary by funding category and are not required for the STEP Fund, Colonia Planning Fund, or Colonia Self-Help Centers Fund. For PY 2009, the \$ 73,892,994 in CDBG funds was matched by 38.70%, or \$28,597,719 in local commitments.

**Matching Funds Committed by Grantees, Contracts Awarded in PY 2009**

	<b>No. of Awards</b>	<b>Amount of Grant Awards</b>	<b>Amount of Match Funds</b>
Community Development Fund	200	\$ 49,470,236	\$ 16,249,000
Colonia Construction Fund	11	\$ 5,222,562	\$ 1,311,552
Colonia Planning Fund	5	\$ 350,000	\$0

## Program Performance

### Community Development

	No. of Awards	Amount of Grant Awards	Amount of Match Funds
Colonia Economically Distressed Areas Program	3	\$ 1,473,600	\$ 10,000
Disaster Relief / Urgent Need	19	\$ 5,493,320	\$ 294,661
Texas Capital Fund	25	\$ 8,570,400	\$ 10,586,950
Planning / Capacity Building Fund	27	\$ 916,681	129,316
STEP Fund	8	\$ 2,073,195	\$0
Renewable Energy Demonstration Pilot Program	1	\$ 323,000	\$ 16,150
<b>Total</b>	<b>299</b>	<b>\$ 73,892,994</b>	<b>\$ 28,597,719</b>

The CDBG staff continues to work with the Texas Department of Agriculture and Texas Water Development Board on projects that leverage funds from multiple agencies to take full advantage of the available resources.

### Summary of CDBG Housing Assistance

During PY 2009, CDBG closed three contracts which provided housing assistance including rehabilitation, acquisition, clearance, new construction, and provision of other facilities to three communities through the Colonia Construction Fund and Housing Infrastructure Fund. (Provision of yardlines for first-time water or sewer services, although classified as a “housing rehabilitation” program activity, is not included in this discussion.) Colonia Construction Fund contracts included a maximum cost of \$25,000 for each rehabilitated housing unit or \$55,000 for each reconstructed unit, according to TxCDBG program requirements. Housing Infrastructure Fund projects included funding for infrastructure construction in support of new affordable housing developments.

Displacement for these contracts is limited to voluntary participants and displacement costs are not eligible for reimbursement or included in project narratives. All households, businesses, or other entities impacted by a CDBG-assisted housing project, along with their needs and preferences, were identified by the locality during the homeowner application process; and only those homeowners choosing to participate were displaced in any way.

For existing contracts closed during the reporting period, CDBG assistance was used by two grantees to rehabilitate or reconstruct nineteen housing units. The grantees expended \$735,728 in CDBG funds for housing rehabilitation and reconstruction assistance to fifty-seven low- to moderate-income persons. In addition, CDBG assistance provided \$ 400,000 through the Housing Infrastructure Fund for infrastructure to support 30 new housing units, of which 16 were sold to low- to moderate-income buyers. The following table provides information on the households assisted through CDBG contracts closed in PY 2009:

#### Housing Assistance by Household, Contracts Closed in PY 2009

	Housing Rehab/Reconstruction (CFC Funds)		New Housing (HIF Funds)	
	Units	Persons	Units	Persons
Owner Occupied	19	57	30	66
Renter Occupied			0	0
Moderate Income			16	33

	Housing Rehab/Reconstruction (CFC Funds)		New Housing (HIF Funds)	
Low Income	9	33	0	0
Very Low Income	10	24	0	0
Non-LMI	0	0	14	33
<b>Total Assisted</b>	<b>19</b>	<b>57</b>	<b>30</b>	<b>66</b>

**Summary of CDBG Economic Development Activity**

For existing contracts that were closed during the reporting period, CDBG provided economic development assistance to eighteen communities through the Texas Capital Fund expending \$7,654,515 in CDBG funds and \$ 26,180,400 in matching funds.

Nine contracts provided \$ 6,322,198 for infrastructure or real estate to create 625 new jobs with 416 of the new jobs filled by low- or moderate-income workers. Contracts funded under CDBG economic development are required to create or retain one job for every \$25,000 in CDBG funds expended. The eighteen contracts closed during PY 2009 expended \$10,115 for each job created.

In addition to job creation activities, the Texas Capital Fund expended \$300,000 in two communities under the Main Street Program, and \$1,032,317 in seven communities under the Downtown Revitalization Program.

**DISTRIBUTION OF INVESTMENTS**

This section reports on how PY 2009 funds were distributed and the location of CDBG awards.

**Allocation Formula**

The CDBG program distributes funds using both statewide competitions and regional competitions. The Community Development Fund uses a specific formula based on population, poverty, and unemployment to distribute funds on a biennial basis to each of the 24 Council of Government regions across the state. Applicants compete within each region for the funds allocated to that area. Regional competitions ensure that funds are distributed across the state and allow each region to establish its own priorities for selecting applications for funding within the scope of the program.

All other CDBG funds are available to eligible cities and counties through statewide competitive processes. A statewide competition in the smaller funding categories provides for standardized consideration and funding of the most competitive applications regardless of the project location.

**Award Locations**

PY 2009 CDBG awards were made in the following areas of the state:

AACOG Counties	No. of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
ATASCOSA	2	\$500,000	2,127	1,235
FRIO	3	\$973,600	3,552	2,557
GUADALUPE	1	\$150,000		

## Program Performance

### Community Development

<b>AACOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
KARNES	3	\$750,000	1,960	1,255
KENDALL	2	\$585,000	2,373	1,656
MEDINA	2	\$500,000	161	119
WILSON	1	\$26,196	48	37
<b>TOTAL</b>	<b>14</b>	<b>\$3,484,796</b>	<b>10,221</b>	<b>6,859</b>

<b>ATCOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
BOWIE	3	\$950,000	31,237	11,702
CASS	6	\$865,473	4,857	2,536
FRANKLIN	1	\$223,300	264	253
HOPKINS	1	\$349,971	5,827	2,417
LAMAR	4	\$1,479,106	3,170	1,955
MORRIS	2	\$294,300	2,684	1,540
RED RIVER	4	\$986,250	7,711	3,995
TITUS	2	\$500,000	608	405
<b>TOTAL</b>	<b>23</b>	<b>\$5,648,400</b>	<b>56,358</b>	<b>24,803</b>

<b>BVCOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
GRIMES	1	\$67,575	6,933	3,570
LEON	2	\$500,000	972	618
MADISON	1	\$250,000	4,013	2,056
ROBERTSON	3	\$550,000	6,443	4,209
WASHINGTON	1	\$250,000	1,671	1,159
<b>TOTAL</b>	<b>8</b>	<b>\$1,617,575</b>	<b>20,032</b>	<b>11,612</b>

<b>CAPCO Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
BASTROP	1	\$250,000	1,379	1,072
BURNET	1	\$250,000	116	87
CALDWELL	4	\$1,000,000	1,311	966
FAYETTE	4	\$721,281	2,728	1,698
WILLIAMSON	1	\$36,250	1,107	658
<b>TOTAL</b>	<b>11</b>	<b>\$2,257,531</b>	<b>6,641</b>	<b>4,481</b>

<b>CBCOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
BEE	1	\$500,000	1,015	624
BROOKS	1	\$300,000	5,241	3,530
DUVAL	2	\$580,000	4,398	2,583
JIM WELLS	3	\$559,750	1,565	931
KLEBERG	1	\$500,000	128	128
NUECES	2	\$600,000	7,872	5,169
REFUGIO	2	\$368,007	633	304
SAN PATRICIO	4	\$1,139,900	7,773	4,875
<b>TOTAL</b>	<b>16</b>	<b>\$4,547,657</b>	<b>28,625</b>	<b>18,144</b>

<b>CTCOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
BELL	3	\$704,433	2,903	1,694
CORYELL	1	\$250,000	3,087	1,578
HAMILTON	2	\$600,000	1,010	418
MILAM	2	\$500,000	8,763	4,641
MILLS	2	\$170,500	1,613	832
SAN SABA	1	\$150,000		
<b>TOTAL</b>	<b>11</b>	<b>\$2,374,933</b>	<b>17,376</b>	<b>9,163</b>

<b>CVCOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
COKE	1	\$200,000	1,056	628
IRION	1	\$30,000	932	302
MASON	1	\$200,000	694	439
MCCULLOCH	1	\$200,000	1,664	1,048
REAGAN	1	\$86,576	142	85
SUTTON	1	\$200,000	198	123
<b>TOTAL</b>	<b>6</b>	<b>\$916,576</b>	<b>4,686</b>	<b>2,625</b>

<b>DETCOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
ANGELINA	2	\$500,000	1,134	706
HOUSTON	2	\$500,000	5,672	3,639
JASPER	2	\$500,000	1,559	1,039
NACOGDOCHES	1	\$250,000	791	472
POLK	1	\$28,900	1,714	1,011
SABINE	1	\$350,000	6,078	2,453
SAN AUGUSTINE	3	\$850,000	7,337	3,376
SHELBY	1	\$21,705	80	78
TYLER	2	\$279,400	2,858	1,517
<b>TOTAL</b>	<b>15</b>	<b>\$3,280,005</b>	<b>27,223</b>	<b>14,291</b>

## Program Performance

### Community Development

<b>ETCOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
CHEROKEE	2	\$500,000	1,157	721
GREGG	3	\$648,182	6,234	2,201
HARRISON	3	\$523,700	2,747	1,448
HENDERSON	5	\$1,030,300	3,756	2,147
MARION	1	\$250,000	867	457
PANOLA	2	\$283,350	1,817	960
UPSHUR	1	\$250,000	267	224
VAN ZANDT	1	\$150,000		
WOOD	1	\$250,000	1,150	783
<b>TOTAL</b>	<b>19</b>	<b>\$3,885,532</b>	<b>17,995</b>	<b>8,941</b>

<b>GCRPC Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
CALHOUN	2	\$869,900	123	89
DEWITT	3	\$437,181	2,325	1,268
GOLIAD	1	\$250,000	55	30
GONZALES	1	\$70,832	47	47
LAVACA	2	\$500,000	132	81
<b>TOTAL</b>	<b>9</b>	<b>\$2,127,913</b>	<b>2,682</b>	<b>1,515</b>

<b>HGAC Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
AUSTIN	1	\$36,250	1,213	638
COLORADO	1	\$350,000	3,499	1,844
GALVESTON	1	\$350,000	579	354
LIBERTY	6	\$1,794,180	15,319	9,742
MATAGORDA	1	\$36,385	87	82
MONTGOMERY	1	\$350,000	4,036	2,893
WALKER	1	\$350,000	560	322
WALLER	1	\$350,000	4,461	2,975
WHARTON	2	\$750,000	132	123
<b>TOTAL</b>	<b>15</b>	<b>\$4,366,815</b>	<b>29,886</b>	<b>18,973</b>

<b>HOTCOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
FALLS	2	\$500,000	6,648	3,843
FREESTONE	2	\$600,000	4,090	2,005
HILL	1	\$250,000	1,680	885
LIMESTONE	2	\$500,000	1,376	781
MCLENNAN	1	\$198,250	1,363	701
<b>TOTAL</b>	<b>8</b>	<b>\$2,048,250</b>	<b>15,157</b>	<b>8,215</b>



<b>LRGVDC Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
CAMERON	7	\$2,705,585	15,978	9,394
HIDALGO	1	\$319,180	186	186
WILLACY	2	\$575,194	9,153	6,014
<b>TOTAL</b>	<b>10</b>	<b>\$3,599,959</b>	<b>25,317</b>	<b>15,594</b>

<b>MRGDC Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
DIMITT	1	\$30,300	691	538
EDWARDS	1	\$219,984	1,262	810
KINNEY	2	\$371,900	3,734	2,404
LA SALLE	2	\$762,048	219	217
MAVERICK	2	\$1,089,598	463	453
UVALDE	3	\$1,207,989	247	172
VAL VERDE	2	\$186,517	33,748	17,288
<b>TOTAL</b>	<b>13</b>	<b>\$3,868,336</b>	<b>40,364</b>	<b>21,882</b>

<b>NCTCOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
COLLIN	2	\$411,623	3,625	1,921
DENTON	1	\$350,000	3,430	1,751
ELLIS	2	\$674,900	676	455
ERATH	1	\$350,000	38	24
HENDERSON	1	\$350,000	2,120	1,189
HUNT	4	\$867,843	3,153	1,849
JOHNSON	2	\$606,050	3,481	2,052
NAVARRO	6	\$1,690,120	4,953	2,821
PALO PINTO	1	\$750,000	30	16
PARKER	1	\$350,000	2,460	2,394
SOMERVELL	1	\$250,000	292	292
WISE	4	\$879,200	1,968	1,060
<b>TOTAL</b>	<b>26</b>	<b>\$7,529,736</b>	<b>26,226</b>	<b>15,824</b>

<b>NORTEX Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
CLAY	1	\$250,000	340	241
FOARD	1	\$323,000	863	690
JACK	1	\$250,000	78	60
MONTAGUE	1	\$250,000	111	88
WICHITA	2	\$400,000	126	100
WILBARGER	2	\$313,928	43	28
YOUNG	1	\$250,000	587	502
<b>TOTAL</b>	<b>9</b>	<b>\$2,036,928</b>	<b>2,148</b>	<b>1,709</b>

## Program Performance

### Community Development

<b>PBRPC Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
CRANE	1	\$500,000	83	77
DAWSON	2	\$700,000	1,373	925
ECTOR	1	\$91,500	33,616	14,711
GAINES	1	\$68,783	634	524
HOWARD	2	\$372,900	320	176
PECOS	1	\$125,000	7,725	4,026
REEVES	1	\$350,000	542	370
WARD	1	\$350,000	429	220
<b>TOTAL</b>	<b>10</b>	<b>\$2,558,183</b>	<b>44,722</b>	<b>21,029</b>

<b>PRPC Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
ARMSTRONG	1	\$250,000	676	420
CASTRO	1	\$250,000	2,362	1,421
DEAF SMITH	1	\$250,000	1,633	976
HALL	2	\$324,977	2,495	1,399
HANSFORD	1	\$250,000	574	541
OCHILTREE	1	\$250,000	1,147	612
RANDALL	1	\$250,000	167	116
<b>TOTAL</b>	<b>8</b>	<b>\$1,824,977</b>	<b>9,054</b>	<b>5,485</b>

<b>RGCOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
BREWSTER	2	\$688,859	370	333
EL PASO	5	\$1,629,277	3,173	2,026
JEFF DAVIS	2	\$469,718	987	720
PRESIDIO	3	\$930,014	641	556
<b>TOTAL</b>	<b>12</b>	<b>\$3,717,868</b>	<b>5,171</b>	<b>3,635</b>

<b>SETRPC Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
HARDIN	1	\$250,000	1,996	1,044
JEFFERSON	1	\$250,000	297	152
ORANGE	4	\$751,914	1,314	979
<b>TOTAL</b>	<b>6</b>	<b>\$1,251,914</b>	<b>3,607</b>	<b>2,175</b>

<b>SPAG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
BAILEY	1	\$250,000	48	26
CROSBY	2	\$600,000	2,356	1,514
FLOYD	2	\$400,000	3,689	1,980
GARZA	1	\$250,000	2,274	1,345
HOCKLEY	1	\$346,346	843	596
LAMB	5	\$830,300	3,031	1,593
LUBBOCK	3	\$681,649	29,761	13,220
LYNN	1	\$116,770	964	507
<b>TOTAL</b>	<b>16</b>	<b>\$3,475,065</b>	<b>42,966</b>	<b>20,781</b>

*Program Performance*

*Community Development*

<b>STDC Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
STARR	8	\$2,766,250	56,881	43,648
WEBB	2	\$512,150	1,507	979
ZAPATA	1	\$500,000	34	26
<b>TOTAL</b>	<b>11</b>	<b>\$3,778,400</b>	<b>58,422</b>	<b>44,653</b>

<b>TEXOMA Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
COOKE	1	\$125,000	201	139
FANNIN	3	\$256,642	7,058	3,695
GRAYSON	4	\$500,000	756	493
<b>TOTAL</b>	<b>8</b>	<b>\$881,642</b>	<b>8,015</b>	<b>4,327</b>

<b>WCTCOG Counties</b>	<b>No. of Awards</b>	<b>Amount of Awards</b>	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
BROWN	1	\$250,000	1,553	835
CALLAHAN	1	\$36,250	1,049	605
COLEMAN	1	\$250,000	1,009	705
EASTLAND	2	\$424,030	234	93
FISHER	2	\$302,358	527	302
HASKELL	1	\$250,000	357	212
KNOX	2	\$271,065	2,934	1,608
MITCHELL	1	\$30,300	678	395
RUNNELS	1	\$250,000	2,953	1,520
SHACKELFORD	1	\$250,000	232	140
TAYLOR	2	\$500,000	507	303
<b>TOTAL</b>	<b>15</b>	<b>\$2,814,003</b>	<b>12,033</b>	<b>6,718</b>
<b>GRAND TOTAL</b>	<b>299</b>	<b>\$73,892,994</b>		

Note: The sum of low- to moderate-income beneficiaries for certain counties may be less than 51% of total beneficiaries in that county due to contracts funded under the Urgent Need national objective.

## FAMILIES AND PERSONS ASSISTED

This section describes the households and persons assisted with CDBG funds.

### **Anticipated Persons Served with PY 2009 Funding**

For contracts that were awarded with PY 2009 funds, there are 514,927 total anticipated beneficiaries, of which 57 percent were low- and moderate-income persons.

#### **Estimated Beneficiaries, Contracts Awarded in PY 2009**

<b>FUND</b>	<b>Proposed Beneficiaries</b>	<b>Proposed LMI Beneficiaries</b>
Community Development Fund	255,476	157,322
Colonia Construction Fund	1,445	1,410
Colonia Planning Fund	46,722	21,618
Colonia Economically Distressed Areas Program	1,072	1,072
Disaster Relief / Urgent Need	135,001	70,124
Texas Capital Fund	419	221
Planning / Capacity Building Fund	67,264	36,771
STEP Fund	6,665	4,206
Renewable Energy Demonstration Pilot Program	863	690
<b>TOTAL</b>	<b>514,927</b>	<b>293,434</b>

### **Actual Persons Served in PY 2009**

For contracts closed during PY 2009, 439,642 persons actually received service through CDBG contracts, of which 56 percent were low- and moderate-income persons.

#### **Actual Beneficiaries, Contracts Closed in PY 2009**

	<b>Total Beneficiaries</b>	<b>LMI Beneficiaries</b>
Community Development / Community Development Supplemental Fund	200,767	127,589
Colonia Construction Fund	2,696	2,657
Colonia Planning Fund	2,354	1,272
Colonia Economically Distressed Areas Program	2,811	2,771
Colonia Self Help Centers	14,387	14,387
Disaster Relief / Urgent Need	113,955	48,151
Texas Capital Fund	49,201	21,773
Non-Border Colonia Fund	325	325
Planning / Capacity Building Fund	45,367	25,596
STEP Fund	7,713	5,439
Housing Infrastructure Fund	66	34
	<b>439,642</b>	<b>249,994</b>

**Racial and Ethnic Status of Persons Assisted**

The racial and ethnic status of persons receiving assistance for contracts closed in PY 2009 is reported below.

**Racial and Ethnic Status of Beneficiaries, Contracts Closed in PY 2009**

Race / Ethnicity	Persons Assisted		Total	Percent
	Non-Hispanic	Hispanic Also		
White	218,871	151,148	370,019	84.2%
Black / African American	29,971	272	30,243	7.0%
Asian	2,406	119	2,525	0.6%
American Indian/Alaska Native	2,332	378	2,710	0.6%
Native Hawaiian/Other Pacific Islander	218	12	230	0.0%
Black/African American and White	290	22	312	0.0%
Asian and White	123	11	134	0.0%
American Indian and White	562	56	618	0.1%
American Indian/Alaska Native and Black/African American	31	7	38	0.0%
Other Race or Multi-Race	17,047	15,766	32,813	7.5%
<b>Total</b>	<b>271,851</b>	<b>167,791</b>	<b>439,642</b>	<b>100.0%</b>

**Income Status of Persons Assisted**

The CDBG program collects information on beneficiaries according to low/moderate income status. Most funding categories require applications to benefit a minimum of 51% low- or moderate-income persons. Colonia Planning Fund applicants can qualify for funding under the slum and blight national objective. While the Colonia Planning grant does not require a low/moderate income threshold, as the strategies that result from the grant, if implemented, will benefit primarily low- to moderate-income persons. Similarly, Disaster Relief/Urgent Need Fund applicants can qualify under the urgent need national objective without a low/moderate income benefit; however many of these projects do benefit primarily low- to moderate-income persons and only those contracts are included in the CDBG low/moderate income national objective reporting and are included below.

The table below reflects contracts closed during PY 2009 that meet the low/moderate income national objective. Twenty-six contracts met the urgent need or slum/blight national objectives, including the Downtown Revitalization and Main Street Program contracts in the Texas Capital Fund and certain Disaster Relief contracts, and are not included in the table below.

## Program Performance

### Community Development

#### Income Status of Actual Beneficiaries, Contracts Closed in PY 2009 under the LMI National Objective\*

	Total Beneficiaries	LMI Beneficiaries	% LMI
Community Development / Community Development Supplemental Fund	200,767	127,589	63.6%
Colonia Construction Fund	2,696	2,657	98.6%
Colonia Planning Fund	2,354	1,272	54.0%
Colonia Economically Distressed Areas Program	2,811	2,771	98.6%
Colonia Self Help Centers	14,387	14,387	100.0%
Disaster Relief / Urgent Need	14,035	9,981	71.1%
Texas Capital Fund	625	416	66.6%
Non-Border Colonia Fund	325	325	100.0%
Planning / Capacity Building Fund	45,367	25,596	56.4%
STEP Fund	7,713	5,439	70.5%
Housing Infrastructure Fund	66	34	51.5%
<b>Total</b>	<b>291,146</b>	<b>190,467</b>	<b>65.4%</b>

\* Reported beneficiaries include only contracts meeting the low/moderate income national objective.

## ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

### Non-Housing Community Development Priority Needs Summary Table

Priority Community Development Needs	Priority Need Level
PUBLIC FACILITY NEEDS	Medium
INFRASTRUCTURE IMPROVEMENT	High
Solid Waste Disposal Improvements	Medium
Drainage and Flood Control Improvements	High
Water System Improvements	High
Street and Bridge Improvements	High
Sewer System Improvements	High
PUBLIC SERVICE NEEDS	Medium
ECONOMIC DEVELOPMENT NEEDS	High
OTHER COMMUNITY DEVELOPMENT NEEDS	Medium
PLANNING	High

### Specific Accomplishments

The following goals address the high priority needs identified above. Activities undertaken during the PY 2008 period that accomplish these goals are described.

#### INFRASTRUCTURE IMPROVEMENTS

Encourage projects that address basic human needs such as water, sewer and housing; projects that provide a first-time public facility or service; and projects designed to bring existing services up to at least state minimum standards as set by the applicable regulatory agency.

CDBG funded 200 projects under the Community Development Fund in PY 2009, obligating just over 67% of the total annual CDBG allocation.

- Almost 73% of funds awarded by CDBG address basic human needs and 90% of construction funds address basic needs.
- 14 contracts totaling \$ 3,531,423 included funds for first time public water or public sewer facilities, benefiting 950 persons of whom 950 or 100% were low- to moderate-income persons.

The STEP Fund is designed to make a large impact by leveraging local resources and self-help volunteer labor to install needed water and sewer facilities at a cost that is affordable for the assisted communities.

- 8 grants were awarded during this reporting period obligating \$2,073,195 for projects to benefit 6,665 persons of which 4,206 or 63% are low- and moderate-income persons.
- Over 70% of STEP funds awarded in 2009 address basic human needs, and 96% of construction funds address such needs.

**Contracts Awarded in PY 2009 by Activity – Selected Funds**

<b>Activity</b>	<b>CD</b>	<b>CD%</b>	<b>STEP</b>	<b>STEP%</b>
Water Facilities	\$ 19,413,377	39.24%	\$ 994,936	47.99%
Sewer Facilities	\$ 15,565,269	31.46%	\$ 476,785	23.00%
Housing Rehabilitation	\$ 1,017,639	2.06%		0.00%
Housing Construction		0.00%		0.00%
Drainage	\$ 702,700	1.42%		0.00%
Streets	\$ 2,113,140	4.27%		0.00%
Clearance / Demolition	\$ 439,000	0.89%		0.00%
Neighborhood Facilities	\$ 475,700	0.96%		0.00%
Other Facilities	\$ 816,491	1.65%		0.00%
Economic Development		0.00%		0.00%
Acquisition	\$ 178,450	0.36%	\$ 55,525	2.68%
Homeownership Assistance		0.00%		0.00%
Public Service		0.00%		0.00%
Planning		0.00%		0.00%
Engineering	\$ 5,435,798	10.99%	\$ 335,135	16.17%
Administration	\$ 3,312,672	6.70%	\$ 210,814	10.17%
	<b>\$ 49,470,236</b>		<b>\$2,073,195</b>	
Basic Human Needs	\$ 35,996,285	72.76%	\$1,471,721	70.99%
All Construction Dollars	\$ 40,721,766	88.40%	\$1,527,246	96.36%

**ECONOMIC DEVELOPMENT**

Provide funds for economic development and business expansion in rural communities. Fund economic development projects that create or retain jobs.

Texas CDBG administered two relatively new programs during PY 2009 to promote economic development. Both programs provide grant funds to communities, which in turn make loans to small

## *Program Performance*

---

### *Community Development*

businesses: the Micro-enterprise Loan Fund is targeted toward businesses with five or fewer employees, while the Small Business Loan Fund benefits businesses with 100 or fewer employees. The loan recipients commit to creating or retaining jobs in rural communities and to making 51% or more of those jobs to be filled by low- or moderate-income persons. Texas CDBG did not award new contracts under these funds but continued administration of fifteen contracts previously awarded under both funds.

In PY 2009, CDBG funded twenty-five contracts under the Texas Capital Fund for a total of- \$ 8,570,400 in CDBG assistance. The \$6,794,200 obligated for real estate and infrastructure projects is expected to create or retain 419 jobs in rural communities, with at least 51% of those jobs to be filled by low- and moderate-income workers. The estimated cost per job created or retained through these contracts is \$16,215. An additional \$1,776,200 was awarded to contracts under the Main Street Program and the Downtown Revitalization Program.

### **PLANNING**

Provide assistance to local governments in rural areas, emphasizing planning activities that primarily address problems in the areas of public works and housing assistance.

Texas CDBG awarded 27 grants totaling \$ 916,681 for planning and capacity building projects. These projects are expected to benefit 67,264 persons including 36,771 low- and moderate-income persons. The 2009 planning projects primarily address public works and housing planning elements and leverage an estimated \$ 129,316 in other funding.

### **OTHER PRIORITY ACCOMPLISHMENTS**

Provide support for colonia communities, including funding for public improvements through a Colonia Construction Fund and Colonia Economically Distressed Areas Program, funding for planning through a Colonia Planning Fund, and Self-Help Centers established in border counties. Although no new contracts were awarded in PY 2009, TDHCA-OCI continued to administer 10 contracts previously awarded for up to four-year periods. All PY 2009 funds will be awarded early in PY 2010.

The Colonia Fund is the second largest program administered by Texas CDBG. In 2009 CDBG funded 11 Colonia Fund-Construction projects totaling \$ 5,222,562 which will benefit 1,445 persons, of which 1,410 are low- to moderate income persons. Nearly 83% of CFC funds awarded in PY 2009 address basic human needs, and 9% of construction funds address such needs. Ten of the 11 CFC projects fund first time public water or public sewer facilities.

CDBG coordinated with the Economically Distressed Areas Program on three contracts, obligating \$1,473,600 to fund water and sewer connections for projects funded by the Texas Water Development Board. Beneficiaries of these CEDAP projects include 1,072 persons, 100% of which are low- to moderate-income.

Five Colonia Fund-Planning grants were awarded that have the potential to benefit 46,722 persons of which an estimated 21,618 are low and moderate income persons. The \$ 350,000 obligated will be used to assess county-wide comprehensive needs in colonia areas and for an in-depth study/plan covering individual colonia communities.

A rider to TDRA's state appropriation retains 2.5% of the total CDBG appropriation for the operation of colonia self-help centers in seven border counties, in addition to the 10% federally mandated colonia set-



aside. The activities of the self-help centers are overseen by the TDHCA Office of Colonia Initiatives. Separately, three border field offices, operated by TDHCA-OCI staff and supported in part by CDBG funds, are located in El Paso, Edinburg and Laredo to provide technical assistance to area residents and other interested parties. The TDHCA-OCI staff continues to provide technical assistance and disseminate information regarding available programs administered by TDHCA that could assist in addressing colonia issues and other local priority needs. Although no new contracts were awarded in PY 2009, TDHCA-OCI continued to administer 11 contracts previously awarded for four-year periods.

**Colonia Fund Activities, Contracts Awarded in PY 2009**

<b>Activity</b>	<b>CFC</b>	<b>CFP</b>	<b>CEDAP</b>	<b>Colonia Fund Total</b>	<b>% of Colonia Fund Total</b>
Water Facilities	\$1,493,194			\$1,493,194	21.03%
Sewer Facilities	\$1,316,850			\$1,316,850	18.55%
Housing Rehabilitation	\$1,593,964		\$1,273,345	\$2,867,309	40.38%
Acquisition	\$ 4,000			\$ 4,000	0.06%
Planning		\$ 350,000		\$ 350,000	4.93%
Engineering	\$ 534,201		\$ 32,000	\$ 566,201	7.97%
Administration	\$ 334,350		\$ 168,255	\$ 502,605	7.08%
<b>Total</b>	<b>\$5,276,559</b>	<b>\$ 350,000</b>	<b>\$1,473,600</b>	<b>\$7,100,159</b>	
Basic Human Needs	\$4,404,008		\$1,273,345	\$5,677,353	79.96%
All Construction Dollars	\$4,408,008		\$1,273,345	\$5,681,353	99.93%

Provide assistance for the recovery from natural disasters and fund projects that resolve threats to the public health and/or safety of local residents in rural areas.

During this reporting period, 19 grants were awarded for Disaster Relief/Urgent Need Fund projects. The \$ 5,493,320 obligated for these contracts will provide urgently needed assistance or alleviate the impacts of natural disasters for 135,001 Texans. An estimated 70,124 of the total beneficiaries for these projects are persons with low and moderate income.

***Persons with Disabilities***

TDRA accomplished the following to address the needs of persons with disabilities during PY 2009:

- Localities wishing to address the needs of persons with disabilities may include removal of architectural barriers as an eligible activity in an application for CDBG assistance. In PY 2009, funds awarded under the Texas Capital Fund Main Street Program will provide accessible ramps and sidewalks among other improvements, and certain housing rehabilitation projects include improvements to make the beneficiary’s home accessible.
- Texas CDBG and all grantees are required to comply with federal and state non-discrimination regulations and monitored for Section 504 compliance.

**RELATIONSHIP BETWEEN USE OF FUNDS AND PROGRAM OBJECTIVES**

The purpose of the Texas Community Development Block Grant Program (CDBG) continues to be the development of viable communities by providing decent housing and a suitable living environment and

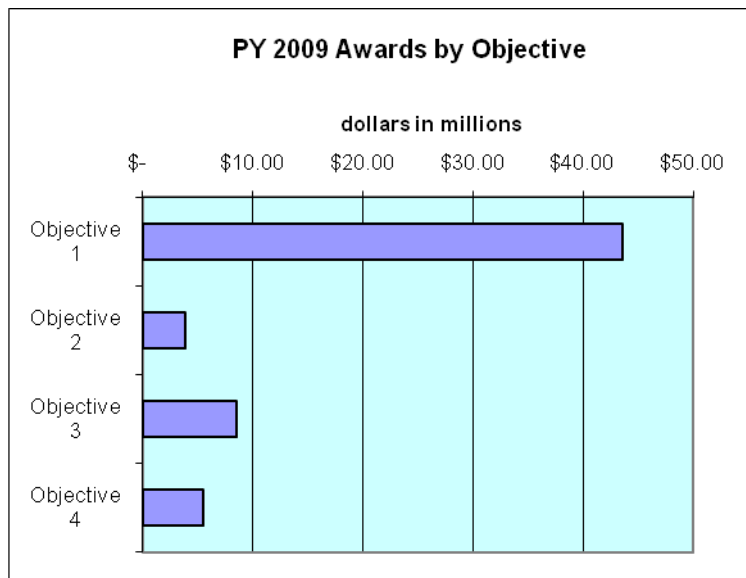
## Program Performance

### Community Development

by expanding economic opportunities, principally for persons of low and moderate income. The funding allocations among the CDBG programs and the activities funded within those programs reflect the following state development objectives and priorities:

The objectives of the Texas Community Development Block Grant Program are as follows:

- Objective 1: To improve public facilities to meet basic human needs, principally for low and moderate income persons.
- Objective 2: To improve housing conditions, principally for persons of low and moderate income.
- Objective 3: To expand economic opportunities by creating or retaining jobs, principally for low and moderate income persons.
- Objective 4: To provide assistance and public facilities to eliminate conditions hazardous to the public health and of an emergency nature.



\* Activities may meet more than one objective.

The largest percentage of the funds obligated during this period will be used to address Objective 1, the *basic human needs* of water, sewer, and housing. Objective 2 *housing conditions* is addressed through several funds according to local priorities. Objective 3 *job creation and retention* is addressed under the Texas Capital Fund. Through the Disaster Relief/Urgent Need Fund, the State continues to address Objective 4 *disaster relief* to provide assistance to meet the needs resulting from the disaster situations that impact Texas during each program year. The graph above charts CDBG funds according to the objective(s) met by funded activities.

### CHANGES IN PROGRAM OBJECTIVES

The Texas Department of Rural Affairs (TDRA) does not intend to make any changes to the program objectives described above. The changes in funding categories implemented in PY 2009 which relate to the program objectives are discussed in the next section.

Four public hearings, (three regional and one statewide webinar for the proposed 2010 CDBG Action Plan were held in July, 2009, and six public hearings for the 2010 Consolidated Plan (including the 2010 CDBG Action Plan) were held in September and October 2009.

The following comments were received for the proposed 2010 CDBG Action Plan relative to changing program objectives or related funding:

- Comments and recommendations regarding the reallocation of funds from regions not fully subscribed.
- Comments and recommendations regarding the method of review of appeals.

## **PROGRAM CHANGES BASED ON EXPERIENCES**

The following changes were implemented during the program year to further improve the program:

- **Community Development Fund and the Regional Review Committee (RRC) Scoring Process.**
  - Incorporated changes to resolve the HUD finding related to the Regional Review Committee (RRC) scoring process by:
    - Establishing an objective RRC scoring process.
    - Eliminating many State scoring factors.
    - Establishing a State score that would constitute only 10% of the maximum possible RRC points.
    - Providing that each RRC develop a Regional Review Committee Guidebook that would include all the objective scoring factors. The RRC must clearly indicate how responses would be scored under each factor and use data sources that are verifiable to the public. Each RRC Guidebook must obtain written approval from ORCA.
    - Providing that the RRCs would designate staff support to develop the Guidebook, calculate the RRC scores, and provide administrative support.
  - Incorporates changes to address mandates in ORCA's reauthorization legislation (HB 2542) by:
    - streamlining the administration of the program and program requirements
    - simplifying the grant application and scoring process and
    - combining program fund categories.
- **Description of Funds.** Reduces the Tx CDBG Fund categories to 6 and one pilot program:
  - Combines the Community Development (CD) and Community Development Supplemental Funds (CDS);
  - Eliminates the Non-Border Colonia Fund as a separate fund, and the funds are transferred to the CD Fund. Each eligible region has the option to establish a non-border colonia priority set-aside option (or housing) within the CD Fund.
  - Combines the Colonia Planning Fund activities with the Colonia Construction Fund. The Colonia Planning Component (Colonia Area and Colonia Comprehensive) will be two separate biennial competitions.
  - Identifies the Colonia Economically Distressed Areas Program and the Colonia Self-Help Centers as Legislative Set-asides instead of Fund categories.
  - Eliminates the Small Business Loan Fund, Micro-enterprise Loan Fund the Section 108 Loan Guarantee Pilot Program due to lack of demand.

## *Program Performance*

---

### *Community Development*

- **Review Process.** The State Review Committee, which previously approved all CDBG grants and loans, was abolished by the state legislature. The TDRA Executive Director now has authority to approved CDBG grants and loans, with appeals to be heard by the TDRA governing Board.
- **Applicant Threshold & Past Performance.**
  - Allows Tx CDBG management to determine if an applicant has or does not have the capacity to manage and administer project based on prior performance.
  - Requires an administrator of Tx CDBG contracts awarded in PY 2009 to attend the Tx CDBG Project Implementation Manual workshop.
- **TxCDBG Scoring.**
  - Revises the State’s Past Performance scoring methodology.
  - Introduces Expenditure timeframes as a possible Past Performance scoring consideration.
- **Project Length.** Reduces the maximum STEP contract term from 36 to 24 months to increase the timely expenditure of TxCDBG funds.
- **Program Income and Previously Deobligated Funds.** Texas CDBG supplemented the funding structure that supports certain funds by obligating program income and deobligated funds in addition to the PY 2009 allocation:
  - The Community Development Fund was supplemented with over \$5.67 million to award 35 additional contracts, over 90% of which address Objective 1: address basic human needs.
  - The Planning and Capacity Building Fund was supplemented by over \$250,000 to award 7 additional contracts.
  - Objective 1: address basic human needs was supplemented with over \$675,000 million in previously deobligated funds for the STEP Fund.
  - Objective 4: provide assistance of an emergency nature was supplemented with nearly \$2.5 million in deobligated funds for disaster relief in PY 2009.
- **Housing Infrastructure Fund contracts.** All HIF contracts were funded prior to July 2005 and were a high priority for completion and closeout in PY 2009. TDRA received approval from HUD in 2007 to review HIF projects not completed within five years, accounting for due diligence by grant recipients, changes in local housing markets, national economic conditions, and other factors impacting successful housing development. Using this process, CDBG closed one HIF contract and terminated one previously established “workout agreement” in PY 2009. Eight HIF projects remain in progress, all of which will expire during PY 2010.
- **Closeout of Program Years.**
  - Tracking: TDRA focused considerable effort in PY 2008 on tracking and closing contracts funded through Program Years 1994 to 1999. TDRA requests closeout of PYs 1994 and 1997 with the PY 2009 PER.
  - Fund Substitution: TDRA proposed to HUD, and received approval, to expend available funds from older Program Years by substituting those funds for funds obligated to existing contracts that are tied to more recent program years. TDRA successfully used this process to expend all remaining PY 1994 funds through contracts nearing closeout. TDRA intends to use this same process to expend all remaining funds in PY 1998 and PY 1996.

## Program Performance

### Community Development

- Conditional Closure: TDRA informally proposed to HUD in 2007 a method of conditionally closing program years that are complete except for repayment agreements. Certain grant recipients have been required to return some or all grant funds, which must then be re-obligated and expended to close the program year; in some cases such repayment requirements are the only actions preventing Program Year closeout for up to five years after all other activities have been closed. TDRA submitted a formal proposal for HUD consideration in PY 2008, which is currently under review by HUD. If the proposal is accepted, TDRA will conditionally close several program years when submitting PER 2010. The table below provides details as of January 31, 2009, for the contracts that have currently been asked to repay funds associated with PY 1994 to PY 2002.

#### Timeline for Anticipated Program Year Closeout Based on Current Repayment Plans

Program Year	Contract	Grantee	Fund	Total Repayment	Repayment Remaining	Last Payment Due	Anticipated PY Close*
<b>1994</b>	No repayments, All Contracts Closed, All Funds Expended						PER 2009

Program Year	Contract	Grantee	Fund	Total Repayment	Repayment Remaining	Last Payment Due	Anticipated PY Close*
<b>1996</b>	716018	Colorado City	HDF	\$140,000.00	\$24,000.00	5/15/2010	PER 2010
	716039	Asherton	CD	\$ 59,050.00	\$ 59,050.00	12/31/2006*	

Program Year	Contract	Grantee	Fund	Total Repayment	Repayment Remaining	Last Payment Due	Anticipated PY Close*
<b>1997</b>	No repayments, All Contracts Closed, All Funds Expended						PER 2009

Program Year	Contract	Grantee	Fund	Total Repayment	Repayment Remaining	Last Payment Due	Anticipated PY Close*
<b>1998</b>	700459	Sunset	STEP	\$ 88,918.91	\$ 40,000.00	03/01/2010	PER 2011
	718168	Pittsburg	HIF	\$ 142,480.00	\$ 13,866.76	3/31/2010	
	718569	Rio Bravo	CD	\$266,343.00	\$266,643.00	TBD*	

Program Year	Contract	Grantee	Fund	Total Repayment	Repayment Remaining	Last Payment Due	Anticipated PY Close*
<b>1999</b>	No repayments, Last Anticipated Closeout: Fall 2011						PER 2011

Program Year	Contract	Grantee	Fund	Total Repayment	Repayment Remaining	Last Payment Due	Anticipated PY Close*
<b>2000</b>	718168	Pittsburg	HIF	\$ 133,120.00	\$ 133,120.00	11/ 01/2012	PER 2012
	720058	Bay City	HIF	\$ 389,515.47	\$ 194,757.81	8/ 01/2011	

Program Year	Contract	Grantee	Fund	Total Repayment	Repayment Remaining	Last Payment Due	Anticipated PY Close*
<b>2001</b>	721108	Pecos	HIF	\$ 367,342.91	\$ 217,342.91	11/ 01/2011	PER 2012
	726046	Emory	STEP	\$69,223.50	\$37,496.20	6/01/2012	
	721078	Lufkin	HIF	\$ 400,000.00	\$ 200,000.02	8/ 01/2011	

## Program Performance

### Community Development

Program Year	Contract	Grantee	Fund	Total Repayment	Repayment Remaining	Last Payment Due	Anticipated PY Close*
2002	No repayments Last Anticipated Closeout: 2013 (CSH)						PER 2013

Program Year	Contract	Grantee	Fund	Total Repayment	Repayment Remaining	Last Payment Due	Anticipated PY Close*
2003	723049	Big Wells	CD	\$14,979.00	\$1,234.28	4/15/2010	PER 2012

\* These contractors also have audit or other eligibility concerns and are the least likely to complete repayment

The following changes are underway or represent potential changes from existing initiatives:

- **Positive working relationships with program stakeholders.**
  - CDBG staff is part of an interagency workgroup with a focus on infrastructure improvement grants across Texas. The group is working toward greater cooperation among the funding agencies on complex projects requiring funding or approval from two or more sources.
  - The program continued to provide comprehensive training to the Regional Review Committees that score the CD/CDS applications, while working with the RRCs and HUD to develop a revised method of local scoring that meets all HUD requirements.
- **Technical Assistance.** CDBG staff provided training for communities and administrative consultants throughout the state, including:
  - 2009 TxCDBG Project Implementation Manual, effective September 2009;
  - *Project Implementation Workshops* based on the 2009 Project Implementation Manual;
  - *Environmental Issues Training Workshops*;
  - *Survey Methodology Workshops*.

## HUD PERFORMANCE MEASURES

Texas CDBG implemented the HUD Performance Outcome Measurement System in PY 2007. Applications submitted in PY 2007 and closeout documents submitted under the Program Implementation Manual released in PY 2007 were required to identify the Objective (1. Creating Suitable Living Environments; 2. Providing Decent Affordable Housing; or 3. Creating Economic Opportunities) and the Outcome (1. Availability/Accessibility; 2. Affordability; or 3. Sustainability) addressed by the project.

The table below shows the performance measures identified for activities awarded in PY 2009:

### Performance Measures, Activities Awarded in PY 2009

Performance Measure Identified	Proportion of Activities - Projected	Proportion of Activities - Actual
Activities to create Suitable Living Environments		
through Availability/Accessibility	42.2%	54.7%
through Affordability	16.8%	1.8%
through Sustainability	29.9%	34.4%
	<b>88.8%</b>	<b>90.9%</b>
Activities to provide Decent Housing		
through Availability/Accessibility	0.4%	0%

*Program Performance*

*Community Development*

<b>Performance Measure Identified</b>	<b>Proportion of Activities - Projected</b>	<b>Proportion of Activities - Actual</b>
	<b>0.4%</b>	<b>0%</b>
Activities to create Economic Opportunities		
through Availability/Accessibility	4.5%	0%
through Affordability	1.9%	-0%
through Sustainability	4.5%	9.1%
	<b>10.8%</b>	<b>9.1%</b>

## *Program Performance*

---

### *Community Development*

#### **MINORITY OUTREACH**

The Texas Department of Rural Affairs and its individual units have been successful in hiring qualified minority staff.

- The minority labor force percentage for the City of Austin, provided by the Texas Workforce Commission (as of December 2008), is 46 percent; the Texas Department of Rural Affairs percentage of minority employees is 42 percent.
- The female labor force percentage for the City of Austin is 44 percent; the Texas Department of Rural Affairs percentage of female employees is 52 percent.

#### ***Summary of Minority Business Enterprise Activities***

The Texas Facilities Commission (TFC) provides business services including maintaining the Centralized Master Bidders List, which includes the Historically Underutilized Businesses (HUB) List, as well as a list dedicated only to HUB listings. All Contractor localities can obtain a copy of this list of minority-owned businesses through TFC. These businesses have been certified through the State's TFC program. Contact numbers and website addresses are included in the TxCDBG Implementation Manual.

The directory can assist CDBG contractors in identifying minority- and women-owned businesses that provide goods and services in their immediate area and in the state. The online directory also provides an opportunity for local minority- and women-owned businesses to sign-up for HUB certification through the Internet.

The Texas Community Development Block Grant Program continues to require that all grantees submit Minority Business Enterprise reports on a quarterly basis. Instructions for reporting CDBG contractors are provided in the TxCDBG Project Implementation Manual. The information from these reports is compiled and reported annually to the HUD Regional Office in Fort Worth.

The state reviews the performance of all CDBG grantees and monitors the compliance with the required civil rights laws. All bid documents and contracts must contain equal opportunity provisions; compliance with Section 3 is reviewed for local contractor participation; and Section 504 requirements must be in place to avoid discrimination on the basis of handicap.

CDBG staff closely monitors the Contractor files for the following program requirements:

- Were equal opportunity guidelines followed in advertising vacancies, such as posting job vacancies and included equal opportunity language?
- Does the city/county have a written Section 3 Plan (or equivalent)?
- Did the city/county implement procedures that allow individuals with disabilities to obtain information concerning the existence and location of accessible services, activities and facilities?
- Did the city/county adopt 504 grievance procedures that incorporate due process standards and allow for prompt resolution of complaints?
- Has the city/county adopted and enforced a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individual engaged in nonviolent civil rights demonstrations, and a policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location which is the subject of such nonviolent civil rights demonstration within its jurisdiction?



- Has the city/county provided in the closeout reports the final beneficiaries for the project beneficiaries broken out, by race, ethnicity, gender, and low/moderate income status?
- Does the Final MBE reflect all contractors and subcontractors on the project?

If evidence of the above program requirements was not found in the files, the locality is allowed 30 days to provide the information, or complete the activity and submit proof of compliance.

**Minority Business Enterprise Participation February 1, 2008 to January 31, 2009**

Total Amount of Funds Subcontracted by CDBG Contractors	Amount of Funds Subcontracted to MBE's by CDBG Contractors	Percent of Funds Subcontracted to MBE's by CDBG Contractors
\$64,741,881	\$14,505,621	22.41%

- Total Contracts: 740
- Total MBE Contracts: 155

## Program Performance

### Homeless

## HOMELESS: EMERGENCY SHELTER GRANTS PROGRAM

TDHCA has administered the Emergency Shelter Grants Program (ESGP) since 1987. The state's strategy to help homeless persons includes:

- community outreach efforts to ensure that homeless persons are aware of available services;
- providing funding to support emergency shelter and transitional housing programs;
- helping homeless persons make the transition to permanent housing and independent living through comprehensive case management;
- providing assistance to prevent homelessness; and
- supporting efforts to address and prevent homelessness.

Emergency shelter and transitional housing needs of homeless persons are addressed by utilizing ESGP grant funds to provide support to organizations that provide emergency services, shelter, and transitional housing to homeless persons and families. ESGP subrecipients assess the needs of homeless persons and those persons assisted to prevent homelessness through a case management system. To ensure that homelessness prevention funds are used appropriately and efficiently, ESGP subrecipients are encouraged to maximize all community resources when providing homelessness prevention assistance.

The objectives of the ESGP program are to:

- help improve the quality of emergency shelters for the homeless;
- make additional emergency shelters available;
- help meet the cost of operating and maintaining emergency shelters;
- provide essential services so that homeless individuals have access to the assistance they need to improve their situations; and
- provide emergency intervention assistance to prevent homelessness.

## DESCRIPTION OF RESOURCES

This section describes ESGP funding that was available for PY 2009.

### *PY 2009 Funding*

The following ESGP resources were made available in PY 2009.

#### PY 2009 State ESGP Allocation

Total 2009 State ESGP Allocation	\$5,288,867
5% State Administration (\$)	
Shared Administration	\$18,612
Reserved State Administration	\$245,831
Regional Obligation	\$5,043,036*
Reobligated 2008 ESGP funds	\$23,509
Total Funds Obligated	\$5,066,545**

\*includes \$18,612 of the shared administration, \*\*includes \$23,509 unexpended funds from FY 2008

**PY 2009 Activities**

The following activities are performed with ESGP PY 2009 funding:

- Renovation, major rehabilitation, or conversion of buildings for use as emergency shelters for the homeless.
- Provision of essential services\*, including (but not limited to):
  - a. assistance in obtaining permanent housing;
  - b. medical and psychological counseling and supervision;
  - c. employment counseling;
  - d. nutritional counseling;
  - e. substance abuse treatment and counseling;
  - f. assistance in obtaining other federal, state, and local assistance;
  - g. other services such as child care, transportation, job placement, and job training; and
  - h. staff salaries necessary to provide the above services.
- Payment of maintenance, operation, and furnishings costs, except that not more than 10 percent of the amount of any ESGP grant may be used to pay operation staff costs.
- Developing and implementing homeless prevention activities as per Sec. 414 of the McKinney Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act.

*\*Services must be provided pursuant to Sec. 414 of the McKinney Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. Sec. 11374), which requires ESGP-funded services to be provided in a non-discriminatory manner.*

**INVESTMENT OF RESOURCES**

This section describes ESGP funding commitments that were made with PY 2009 funds.

**PY 2009 Funding Commitments**

ESGP funds received for PY 2009 were awarded in May 2009. The State ESGP contracts using PY 2009 funds began on September 1, 2009, and will end August 31, 2010, corresponding with the Texas State Fiscal Year (FY). For PY 2009, ESGP committed \$5,043,037 (FY 2009 funds) through 74 grants, including shared administrative funds.

**ESGP PY 2009 Funding Commitments**

	<b>State FY 2009</b>
Contract Dates	9/1/09-8/31/10
Number of Grant Recipients, Statewide	74
State ESGP Allocation	\$5,288,867
State Administration	*\$245,831
Funds Committed	\$5,043,037
Reobligated FY 2008 ESGP funds	\$23,509
<b>Total Allocated</b>	<b>\$5,066,546</b>

*\* Excludes \$18,612 of the State administration funds shared with units of local government.*

## Program Performance

### Homeless

#### PY 2009 ESGP Funding Commitments by Activity (FY'08 2/1/09-8/31/09 and FY'09 9/1/09-1/31/10)

	Funding Amount	Percentage
Rehabilitation	\$22,840	.37%
Maintenance, Operations	\$2,306,478	37.23%
Essential Services	\$1,154,150	18.63%
Homeless Prevention	\$2,362,619	38.14%
Operations Administration	\$326,415	5.27%
Administration shared w/local govts	\$22,617	.37 %
<b>Total Funds Committed</b>	<b>\$6,195,119</b>	<b>100%</b>

\*Includes ESG expenditures from two contract periods, FY 2008 and FY 2009

### Matching Requirements

Section 576.51 of the ESGP regulations states that each grantee must match the funding provided by HUD. These matching funds must be provided after the date of the grant award to the grantee. TDHCA passes this match requirement along to each recipient. Match must be provided in an amount equal to or greater than the grant award. ESGP applicants identify the source and amount of match they intend to provide if they are chosen for funding. They report monthly on the amount of match provided. ESGP monitors review the match documentation during each monitoring visit. TDHCA conducts a desk review at the close out of each contract to ensure that each ESGP recipient has provided an adequate amount of match during the contract period. The table below reflects match contributions for PY 2008 funds.

#### Match Contributions for PY 2009 funds (SFY'08 2/1/09-8/31/09 and SFY'09 9/1/09-1/31/10)

Source	Dollar Value
Donations (cash)	\$3,546,985
Lease Or Rent	\$1,096,336
Salaries	\$658,806
Volunteers (@ \$5/Hour)	\$210,332
Other (Various Sources of Grant Funds, In-Kind Donations, etc.)	\$215,860
<b>Total</b>	<b>\$5,728,319</b>

Includes match expenditures from two contract periods, FY 2008 and FY 2009.

### Continuum of Care Activities

Historically, Texas has not received all of the Continuum of Care (CoC) funds HUD targeted for the State due to a lack of viable applications. To address this, TDHCA provided General Revenue funds to the Texas Homeless Network (THN) to provide statewide technical assistance and training to organizations and communities interested in forming homeless coalitions and in applying for CoC funds. In November 2009, THN submitted a Continuum of Care application to HUD requesting \$8,095,282 on behalf of twelve organizations. As of March 12, 2010, HUD has awarded CoC renewal funds to THN for \$1,046,147 and Abilene Hope Haven "TOO" Transitional Housing for \$556,731, Abilene Hope Haven "TOO" Child Care for \$136,027 and Central Texas Youth Services Bureau for \$254,185. The total

renewal amount is \$1,993,090. The Texas Balance of State CoC project representatives are still awaiting notification of award determination for nine agencies that applied for new HUD Supportive Housing Program funding in the amount of \$6,102,192.

As part of the ESGP Application requirements, organizations applying for ESGP funds must describe their involvement in homeless coalitions coordinating the provision of services to the homeless and at-risk populations in their area. The increase in 2009 HUD CoC renewal and new project applications further demonstrate that local care providers are making great strides in coordinating their efforts and adopting comprehensive “continuum of care” practices.

A majority of ESGP applicants include case management and information and referral in their range of services, while a significant number of communities have formed local homeless coalitions and social services coordinating councils as part of the Continuum of Care concept.

In FY 2006, the Department revised the performance reporting requirements for ESGP subrecipients and the Department is now receiving data on outcomes accomplished by clients served by subrecipients. Outcomes tracked are in the area of education, employment, housing, substance abuse treatment, counseling, and other important services.

As part of the ESGP Application requirements, organizations applying for ESGP funds must describe their involvement in homeless coalitions coordinating the provision of services to the homeless and at-risk populations in their area. The 2009 applications once again demonstrated that local care providers continue to make great strides in coordinating their efforts and adopting a more comprehensive “continuum of care” approach to service. A majority of ESGP applicants include case management and information and referral in their range of services, while a significant number of communities have formed local homeless coalitions and social services coordinating councils as part of the Continuum of Care concept. In FY 2006, the Department revised the performance reporting requirements for ESGP subrecipients and the Department is now receiving data on outcomes accomplished by clients served by subrecipients. Outcomes tracked are in the area of education, employment, housing, substance abuse treatment, counseling, and other important services.

### ***HMIS Requirements***

In the FY 2009 TDHCA ESGP application, applicants were required to certify that the applicant organization will meet HUD’s standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information. As of August, 2004, TDHCA has required all ESGP subrecipients receiving HUD McKinney-Vento Act program funds, which includes ESGP funds, which are located in a Continuum of Care jurisdiction to participate in an HMIS. ESGP subrecipients located in a Continuum of Care jurisdiction must coordinate and report client-level data to the administrator for the Continuum of Care coalition in their area. Furthermore, TDHCA has notified ESGP subrecipients that failure to coordinate with appropriate contacts to facilitate the HMIS implementation may result in withholding of ESGP contract funds. TDHCA is providing Community Services Block Grant funds to the Texas Homeless Network to assist ESGP subrecipients in implementing an HMIS reporting system in the Balance of State areas. The Department will continue to monitor ESGP subrecipients progress at implementing an HMIS reporting system.

## **DISTRIBUTION OF INVESTMENTS**

This section reports on how PY 2009 funds were distributed and the location of ESGP awards.

TDHCA administers the S-04-DC-48-0001 ESGP funds in a manner consistent with the McKinney-Vento Homeless Assistance Act, as amended (42 USC Sec 11371 et seq.). According to 24 CFR 576.35, states must commit their grant amount (i.e., make funds available through the offer of a contract) to units of general local government or nonprofit organizations within 65 days of the date of the grant award issued by HUD. This regulation also requires states to obligate (i.e. implement a contract) all ESGP funds within 180 days of the date of the grant award. In order to comply with these deadlines, TDHCA begins the application and award process several months in advance of receiving the dated grant award from HUD. All contracts are issued for a 12 month period in order to ensure that the full allocation is spent within 24 months of the time the funds are awarded to grant recipients (required by 24 CFR 576.35). If any funds remain unexpended after the contract period, they are reobligated to current recipients after the second quarter of their contract period to ensure recipients of additional funds have demonstrated appropriate expenditure rates and are free from monitoring concerns.

### ***Fund Distribution Methodology***

TDHCA obligates ESGP funds through a statewide competitive application process. TDHCA funded 74 projects with FY 2009 (9/1/09-8/31/10) ESGP funds. TDHCA reserved ESGP funds for each of the 13 TDHCA Uniform State Service Regions using a formula based on the percentage of poverty population in each region (as reported in the most recent US Census). TDHCA awarded funds to units of general local government and to private nonprofit organizations that have local government approval to operate a project that assists homeless individuals. TDHCA established funding guidelines at a minimum of \$30,000 and a maximum of \$100,000, with collaborative projects awarded up to \$300,000. In awarding ESGP funds, TDHCA makes available up to 30 percent of the total ESGP allocation for homeless prevention activities, 30 percent for the provision of essential services, 10 percent for operations administration, 5 percent for state administration which is shared with subrecipients that are cities or counties, and the remainder of the funds for rehabilitation, maintenance or operations.

PY 2009 Regional Funding Distribution

TDHCA Service Region		Percent of Poverty Population	Number of Counties	Fund Distribution per Region
1	High Plains	3.95%	41	\$275,934.00
2	Northwest Texas	2.49%	30	\$135,000.00
3	Metroplex	18.88%	19	\$1,022,855.00
4	Upper East Texas	4.88%	23	\$219,182.00
5	Southeast Texas	3.87%	15	\$194,360.00
6	Gulf Coast	21.04%	13	\$1,004,697.00
7	Capital	4.65%	10	\$213,780.00
8	Central Texas	4.79%	20	\$245,798.00
9	Alamo	8.57%	12	\$450,070.00
10	Coastal Bend	4.24%	19	\$256,258.00
11	South Texas Border	14.61%	16	\$640,384.00
12	West Texas	2.73%	30	\$135,360.00
13	Upper Rio Grande	5.30%	6	\$249,359.00
Total		100%	254	\$5,043,037.00

Source: 2000 US Census

\* This represents the amount of ESG funds awarded in FY 2009. The final percentage allocated to each region does not coincide with the original percentage of poverty population, because the total amount of funds allocated includes \$75,000 of unexpended funds from the prior year. These unexpended funds were redistributed back into the regions in which the funds were unexpended. The amount does not include \$21,684 of state administration funds shared with local government.

## Program Performance

### Homeless

### Award Locations

PY 2009 ESGP awards were made in the following areas of the state. The amounts reported reflect actual allocation of FY 2009 ESGP funds, contract cycle 9/1/09-8/31/10.

#### PY 2009 ESGP Awards by Region

Legal Name	Region	City	Home County	Total Funds Awarded
Amarillo, City of	1	Amarillo	Potter	\$165,205.00
Crisis Center of the Plains	1	Plainview	Hale	\$75,000.00
Panhandle Crisis Center	1	Perryton	Ochiltree	\$35,729.00
Abilene Hope Haven, Inc.	2	Abilene	Taylor	\$75,000.00
First Step of Wichita Falls, Inc.	2	Wichita Falls	Wichita	\$30,000.00
Pecan Valley Regional Domestic Violence Shelter, Inc.	2	Brownwood	Brown	\$30,000.00
Daniel's Den, Inc.	3	Waxahachie	Ellis	\$30,000.00
Denton, City of	3	Denton	Denton	\$162,710.00
Four Rivers Outreach	3	Sherman	Grayson	\$75,000.00
Grayson County Juvenile Alternatives, Inc. dba North Texas Youth Connection	3	Sherman	Grayson	\$66,545.00
Grayson County Shelter	3	Denison	Grayson	\$71,292.00
Irving, City of	3	Irving	Dallas	\$78,000.00
Johnson County Family Crisis Center	3	Cleburne	Johnson	\$75,000.00
Mission Granbury, Inc.	3	Granbury	Hood	\$61,058.00
New Beginning Center, Inc.	3	Garland	Dallas	\$75,000.00
Promise House, Inc.	3	Dallas	Dallas	\$75,000.00
SafeHaven of Tarrant County	3	Fort Worth	Tarrant	\$75,000.00
Salvation Army Denton Corps	3	Denton	Denton	\$50,000.00
Salvation Army, Arlington Family Life Center	3	Arlington	Tarrant	\$75,000.00
The Family Place	3	Dallas	Dallas	\$53,250.00
Randy Sams Outreach Shelter, Inc.	4	Texarkana	Bowie	\$72,573.00
Sabine Valley Regional MHMR Center	4	Longview	Gregg	\$40,971.00
Salvation Army, Tyler	4	Tyler	Smith	\$75,000.00
Shelter Agencies for Families in East Texas	4	Mt. Pleasant	Titus	\$30,638.00
Just Out - Fresh Start, Inc.	5	Beaumont	Jefferson	\$73,880.00
Love I.N.C. of Nacogdoches	5	Nacogdoches	Nacogdoches County	\$48,566.00
The Salvation Army, Lufkin	5	Lufkin	Angelina	\$37,500.00
Women's Shelter of East Texas, Inc.	5	Lufkin	Angelina	\$34,414.00
Covenant House Texas	6	Houston	Harris	\$75,000.00
Harmony House, Inc.	6	Houston	Harris	\$75,000.00
Harris County Community Services Department	6	Houston	Harris	\$78,000.00
Houston Area Women's Center	6	Houston	Harris	\$74,913.00
Memorial Assistance Ministries	6	Houston	Harris	\$58,631.00
Santa Maria Hostel, Inc.	6	Houston	Harris	\$74,609.00
SEARCH	6	Houston	Harris	\$122,139.00
Star of Hope Mission	6	Houston	Harris	\$75,000.00
The Bridge Over Troubled Waters, Inc.	6	Pasadena,	Harris	\$56,250.00
The Missions of Yahweh, Inc.	6	Houston	Harris	\$75,000.00
The Salvation Army, Galveston	6	Galveston	Galveston	\$73,530.00



*Program Performance*

*Homeless*

<b>Legal Name</b>	<b>Region</b>	<b>City</b>	<b>Home County</b>	<b>Total Funds Awarded</b>
The Women's Home	6	Houston	Harris	\$69,125.00
Wesley Community Center, Inc.	6	Houston	Harris	\$48,750.00
Westside Homeless Partnership	6	Houston	Harris	\$48,750.00
Advocacy Outreach	7	Elgin	Bastrop	\$75,000.00
Bastrop County Women's Shelter, dba Family Crisis Center	7	Bastrop	Bastrop	\$60,000.00
Hays County Women's Center	7	San Marcos	Hays	\$78,780.00
Compassion Ministries of Waco, Inc.	8	Waco	McLennan	\$30,000.00
Faith Mission and Help Center, Inc.	8	Brenham	Washington	\$74,984.00
Families In Crisis, Inc.	8	Killeen	Bell	\$51,836.00
Family Abuse Center, Inc.	8	Waco	McLennan	\$44,728.00
The Salvation Army - Waco	8	Waco	McLennan	\$44,250.00
Comal County Family Violence Shelter, Inc.	9	New Braunfels	Comal	\$39,750.00
Connections Individual and Family Services, Inc.	9	New Braunfels	Comal	\$75,000.00
Ellis Community Resources, Inc.	9	New Braunfels	Comal	\$41,250.00
Family Violence Prevention Services, Inc.	9	San Antonio	Bexar	\$75,000.00
Seton Home	9	San Antonio	Bexar	\$69,650.00
The Salvation Army - Kerrville	9	Kerrville	Kerr	\$74,420.00
The Salvation Army, San Antonio	9	San Antonio	Bexar	\$75,000.00
Corpus Christi Hope House, Inc.	10	Corpus Christi	Nueces	\$61,994.00
Mid-Coast Family Services, Inc.	10	Victoria	Victoria	\$44,319.00
The Salvation Army, Corpus Christi	10	Corpus Christi	Nueces	\$75,000.00
Women's Shelter of South Texas	10	Corpus Christi	Nueces	\$74,945.00
Family Crisis Center, Inc.	11	Harlingen	Cameron	\$200,000.00
Friendship of Women, Inc.	11	Brownsville	Cameron	\$200,000.00
Providence Ministry Corp. dba La Posada Providencia	11	San Benito	Cameron	\$71,614.00
Wintergarden Women's Shelter, Inc.	11	Carrizo Springs	Dimmit	\$68,770.00
Women Together Foundation, Inc.	11	McAllen	Hidalgo	\$100,000.00
Institute of Cognitive Development, Inc.	12	San Angelo	Tom Green	\$30,000.00
Midland Fair Havens, Inc.	12	Midland	Midland	\$68,250.00
The Salvation Army - Odessa	12	Odessa	Ector	\$37,110.00
Child Crisis Center of El Paso	13	El Paso	El Paso	\$51,026.00
El Paso Villa Maria, Inc.	13	El Paso	El Paso	\$30,750.00
International AIDS Empowerment	13	El Paso	El Paso	\$40,934.00
La Posada Home	13	El Paso	El Paso	\$51,649.00
Opportunity Center for the Homeless	13	El Paso	El Paso	\$75,000.00
<b>TOTAL</b>				<b>\$5,043,037.00</b>

## Program Performance

### Homeless

## FAMILIES AND PERSONS ASSISTED

This section describes the households assisted with ESGP funds.

### *Anticipated Households Served with PY 2009 Funding*

The ESGP Program does not project the number of households to be served. Please see the next section for information on the actual number of persons served in PY 2009.

### *Actual Households Served in PY 2009*

This section reports on the actual households served in PY 2009 (February 1, 2009, through January 31, 2010) through current contracts encompassing two state program years SFY 2008 and SFY 2009. These contracts were originally awarded in 2008 and 2009, and assisted persons during the PY 2009 reporting period. There were 83,935 total beneficiaries reported in PY 2009 through these contracts. Of those served, 3,069 were chronically homeless and 15,673 persons received non-residential services/assistance.

**Persons Assisted in PY 2009**

ESGP Activity	Total Estimated Beneficiaries	Total Funding
Homelessness Prevention	2,927	\$2,362,619
Essential Services	81,008	\$1,154,150
Total	83,935	\$3,516,769

The table below reports program performance measures as required by HUD CPD guidelines. HOME Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard.

**ESGP Performance Measures, PY 2009**

Outcomes and Objectives	Performance Indicators	Expected Number	Actual Number
<b>SL-1</b> Availability/ Accessibility and Create a Suitable Living Environment	Provide funding to support the provision of emergency and/or transitional shelter to homeless persons	40,302	2,927
<b>DH-2</b> Affordability and Provide Decent Housing	The provision of non-residential services including homelessness prevention assistance	59,860	81,008

### Racial and Ethnic Status of Persons Assisted

The racial and ethnic status of the 83,935 total individuals receiving assistance in PY 2009 is reported below.

#### Racial and Ethnic Status of Persons Assisted in PY 2009

Ethnicity	Persons Assisted	Percent
White	63,007	75.07
Black /African American	17,885	21.31
Asian	450	.54
American Indian/Alaska Native	387	.46
Native Hawaiian/Other Pacific Islander	37	.04
American Indian and White	71	.08
Asian and White	35	.04
Black/African American and White	270	.32
American Indian/Alaska Native And Black/African American	31	.04
Other Multi-Race	1,395	1.66
Native Hawaiian/Pacific Islander and Asian	0	0
Balance of Individuals	367	.44
<b>Total</b>	<b>83,935</b>	<b>100</b>

Of 83,935 total persons, 38,495 persons, or 46 percent, are of Hispanic or Latino origin. The breakdown of this population is below.

#### Hispanic Origin of Persons Assisted in PY 2009

Ethnicity	Persons Assisted	Percent
Hispanic and White	38,105	98.99
Hispanic and Black /African American	192	.50
Hispanic and Asian	5	.01
Hispanic and American Indian/Alaska Native	11	.03
Hispanic and Native Hawaiian/Other Pacific Islander	9	.02
Hispanic and Other Race or Multiracial	151	.39
White and Native American Hispanic	5	.01
White and Asian Hispanic	0	0
White and Black Hispanic	10	.03
Black and Native American Hispanic	0	0
Balance of Individuals Hispanic	7	.02
<b>Total</b>	<b>38,495</b>	<b>100</b>

## Program Performance

### Homeless

#### Income Status of Persons Assisted

Of the 83,935 persons assisted, over 95 percent would be extremely low income and 4.5 percent would be very low income. The persons with incomes in the very low income range would primarily be persons receiving assistance with rent or utilities to prevent homelessness, thus they would be part of the 3,777 persons receiving homelessness prevention assistance.

**Income Status of Persons Assisted in PY 2009**

Income Level	Persons Assisted	Percent
Extremely Low Income (0-30% AMFI)	80,157	95.5%
Very Low Income (31-50% AMFI)	3,778	4.5%
Low Income (51-80% AMFI)		
Moderate Income (81-95%)		
Higher than 95%		
<b>Total</b>		<b>100%</b>

#### ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

**Homeless Populations Needs Summary Table**

Populations	Priority Need Level
Homeless Population	H
Families	H
Chronic Substance Abusers	H
Seriously Mentally Ill	H
Persons with HIV/AIDS	H
Victims of Domestic Violence	H
Youth	H
Rural	H
General Homeless	H

The following FY 2009 (9/1/2009-8/31/2010) award recipients are targeting several of the priority homeless populations identified above. The table reflects the primary target population; however, the majority of the subrecipients serve other populations. The exception would be subrecipients who serve domestic violence victims or youth.

**Priority Homeless Populations Served by 2009 Award Recipients**

Target Population	Number of Subrecipients	Percent
All Homeless	13	18%
At-Risk Homeless	14	19%
Domestic Violence Victims	34	46%
Youth	5	7%
AIDS Victims	1	1%
Mentally Ill	2	3%
Other single Women who are Homeless	1	1%

Target Population	Number of Subrecipients	Percent
Other Asylum seekers, Asylees, Immigrants	1	1%
Homeless Families	1	1%
Other Homeless Men	1	1%
Other Women and Children	1	1%
Total Subrecipients	74	100%

***Specific Accomplishments***

The Department considers all homeless populations to be a priority and the awards process does not give preference to any particular population; ESGP funds are awarded on a competitive basis. The services provided by ESGP subrecipients during the FY 2009 period addressed the high priority needs identified above. The information in the table reflects the primary target population of FY 2009 ESGP subrecipients. Most ESGP subrecipients also serve other populations and most of the shelters serving all homeless populations would include persons who are mentally ill, persons who are chronic substance abusers, and persons with HIV/AIDS.

***Persons With Disabilities***

In order to meet the needs of persons with disabilities, the Department’s ESGP subrecipients must make their facilities accessible to persons with disabilities.

ESGP subrecipients submit an annual Integrated Disbursement and Information System (IDIS) Report, and in that report, agencies state the percentage of persons assisted on an average day who meet a variety of identified characteristics, including the percentage of persons who are physically disabled. However, because a percentage is reported by each subrecipient and is not aggregated by the Department, the Department is unable to report a statewide number or percent of disabled persons assisted.

## Program Performance

### Housing

## HOUSING:

### HOME INVESTMENT PARTNERSHIPS PROGRAM

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock. HOME strives to meet the short-term goal of increasing the supply and the availability of affordable housing and the long-term goal of building partnerships between State and local governments and, private and nonprofit organizations to strengthen their capacity to meet the housing needs of low income Texans.

### DESCRIPTION OF RESOURCES

This section describes HOME funding that was available for PY 2009.

#### *PY 2009 Activities*

For PY 2009, TDHCA was allocated \$43,933,530 by HUD. Program Income in the amount of \$3,020,132 was received in PY 2009 and was utilized in funding additional HOME activities. While not all of the received funds are reflected in the funding plan below, they are included in awards and expenditures detailed throughout this report.

#### PY 2009 HOME State Allocation and Funding Plan

	Funding Amount	Percentage
Total HOME Allocation for PY 2009	\$43,933,530	100%
Less Administration Funds (10 percent of Allocation)	\$4,393,353	10%
Less CHDO Project Funds Set Aside (15 percent of Allocation) (Subject to Regional Allocation Formula)	\$6,590,030	15%
Less CHDO Operating Expenses Set Aside (5 percent of CHDO Set Aside)	\$329,501	1%
Less Persons with Disabilities Housing Programs	\$2,196,677	5%
Less Set Aside for Contract for Deed (CFD) Conversions	\$2,000,000	5%
Less Set Aside for Rental Housing Development Program (Subject to Regional Allocation Formula)	\$5,000,000	11%
Remaining Project Funds Subject to Regional Allocation Formula	<b>\$23,423,970</b>	51%
Estimated Program Income <sup>1</sup>	\$2,500,000	
Total HOME Funds subject to the Regional Allocation Formula (includes Estimated Program Income)	<b>\$37,513,999</b>	

<sup>1</sup>Preliminary estimate included in the 2009 One-Year Action Plan, Funding Plan. These funds were programmed with 2009 the Owner-Occupied Housing Assistance Activity.

**Summary of Project Funds Subject to Regional Allocation Formula (RAF)**

Activity	Funding Amount	% of Available Funding
Homebuyer Assistance	\$3,888,595	15%
Owner-Occupied Housing Assistance (Includes Program Income)	\$18,146,779	70%
Tenant-Based Rental Assistance	\$3,888,595	15%
Total Estimated Funding Available for Distribution	\$25,923,970	100%

***PY 2009 Activities***

HUD regulations allow the HOME program to serve a variety of activities such as owner-occupied housing assistance, homebuyer assistance, tenant-based rental assistance, and rental housing development assistance. The PY 2009 allocation funded the following activities.

**Owner-Occupied Housing Assistance**

Rehabilitation or reconstruction cost assistance, in the form of grants or loans, is provided to eligible homeowners for the repair or reconstruction of their existing homes. The homes must be the principal residence of the homeowner. Housing that is constructed or rehabilitated with HOME funds must meet all applicable local codes, rehabilitation standards, ordinances, and zoning ordinances at the time of project completion. In the absence of a local code for new construction or rehabilitation, HOME-assisted new construction or rehabilitation must meet, as applicable, the International Residential Code, Texas Minimum Construction Standards (TMCS) and be in compliance with the basic access standards in new construction, established by §2306.514, Texas Government Code. This statutory requirement applies to any applicants utilizing federal or state money administered by TDHCA in the construction of single family homes.

**Homebuyer Assistance**

Down payment and closing cost assistance is provided to homebuyers for the acquisition of affordable single-family housing. This activity may also be used for the following:

- Construction costs associated with architectural barrier removal in assisting homebuyers with disabilities by modifying a home purchased with HOME assistance to meet their accessibility needs.
- Acquisition and rehabilitation costs associated with contract for deed conversions to serve Colonia residents.
- Construction costs associated with the rehabilitation of a home purchased with HOME assistance.

Eligible homebuyers may receive loans up to \$20,000 per household for down payment and closing costs, in the form of a 2nd or 3rd lien, zero percent interest, 10-year deferred-forgivable loan term. Homebuyer assistance loans are to be repaid at the time of resale of the property, transfer of any interest in the property, lease of the property, default under the terms of the loan, refinance of the first lien, or repayment of the first lien, if any of these events occur before the end of the 10-year term. The amount of recapture will be based on the pro-rata share of the remaining term subject to available net proceeds from the sale,

## *Program Performance*

---

### *Housing*

voluntary or involuntary, of the property. The Department has elected to utilize the recapture provision under 24 CFR 92.254(a)(5)(ii) as its method of recapturing HOME funds.

At the completion of the assistance, all properties must meet the Texas Minimum Construction Standards or local building codes, as applicable. Compliance with the basic access standards in new construction, established by §2306.514, Texas Government Code, is also required for any applicants utilizing federal or State money administered by TDHCA in the construction of single family homes.

### **Tenant-Based Rental Assistance**

Rental subsidy and security and utility deposit assistance is provided to tenants, in accordance with written tenant selection policies, for a period not to exceed twenty four months, but may be renewed, subject to availability of HOME funds. TBRA allows the assisted tenant to live in and move to any dwelling unit with a right to continued assistance with the condition that assisted families participate in a self-sufficiency program.

### **Rental Housing Development**

Awards for eligible applicants are to be used for the development of affordable multifamily rental housing. Owners are required to make the units available to extremely low, very low, and low income families, and must meet long-term rent restrictions.

### **Rental Housing Preservation**

Awards for eligible applicants are to be used for the acquisition and/or rehabilitation for the preservation of existing affordable or subsidized rental housing. Owners are required to make the units available to extremely low, very low, and low income families and must meet long-term rent restrictions.

### **CHDO Set-Aside**

A minimum of 15 percent of the annual HOME allocation, approximately \$6,590,030, plus \$329,501 in CHDO operating expenses, was reserved for CHDOs. CHDO set-aside projects are owned, developed, or sponsored by the CHDO, and result in the development of rental units or homeownership. Development includes projects that have a construction component, either in the form of new construction or the rehabilitation of existing units.

### **Contract for Deed Conversions Set-Aside**

In 2007, the 80th Legislature passed Appropriations Rider 6 to TDHCA's appropriation, which requires TDHCA to spend no less than \$4 million for the biennium on contract for deed conversions for families that reside in a colonia and earn 60 percent or less of the Applicable area median family income (AMFI). The intent of this program is to help colonia residents become property owners by converting their contracts for deeds into traditional mortgages. Properties proposed for this initiative must be located in a colonia as identified by the Texas Water Development Board colonia list or meet TDHCA's definition of a Colonia.

### **Colonia Model Subdivision Program Set-Aside**

Subchapter GG of Chapter 2306, Texas Government Code, created this program to provide low interest rate or possibly interest-free loans to promote the development of new, high-quality, residential housing, that provide alternatives to substandard colonias, and housing options affordable to individuals and



families of extremely low and very low income who would otherwise move into substandard colonias. TDHCA will make loans to CHDOs certified by TDHCA for the types of activities and costs described under the previous section regarding CHDO development Loans.

### **Persons with Disabilities Set-Aside**

Pursuant to §2306.111 (c)(2), Texas Government Code, in its administration of federal housing funds provided to the state under the Cranston-Gonzalez National Affordable Housing Act, five percent of these funds shall be expended for the benefit of persons with disabilities who live in any area of the state. Approximately \$2 million of directed assistance for persons with disabilities was issued under separate NOFAs for eligible activities including Rental Development, TBRA, and HBA with optional rehabilitation activities.

## **INVESTMENT OF RESOURCES**

This section describes HOME funding commitments that were made with PY 2009 funds.

TDHCA receives an annual HOME allocation from HUD, which is then awarded to units of local government, public housing authorities (PHAs), community housing development organizations (CHDOs), and other nonprofits and for-profits eligible to receive HOME funds from the State. TDHCA provides technical assistance through application and implementation workshops to all recipients of HOME funds to ensure that all participants meet and follow the State implementation guidelines and federal regulations, and continues to provide technical assistance during the implementation of HOME awards.

HOME funds are reserved for persons at or below 80 percent of the area median family income as defined by HUD. By HUD regulations, 15 percent of TDHCA's total HOME allocation must be set aside for CHDOs.

## Program Performance

### Housing

#### PY 2009 Funding Commitments

During 2009, TDHCA utilized a total of \$49,757,950 in HOME funds including program income and additional deobligated funds in the following manner:

#### Total Amount of Funding Committed for PY 2009

Activity	HOME Allocation	Project Funds Awarded <sup>1</sup>	Admin/Oper Exp. Funds Awarded
2009 HUD HOME Allocation and Reprogrammed Funds Committed	\$43,933,530	\$71,755,280	\$1,448,504
Less Administration (10% of Allocation) <sup>2</sup>	-4,393,353	—	—
Less CHDO (15% of Allocation)	-6,590,030	\$9,100,000	—
Less CHDO Operating Exp.(5% of CHDO)	-329,501		\$100,000
TOTAL HUD Mandated deductions	11,312,884	—	—
<b>Sub-Total Available SF and MF Non-CHDO funds</b>	<b>32,620,646</b>	—	—
Less MF Rental Housing Development Program	-5,000,000	\$33,179,729	\$0
Less Contract for Deed	-2,000,000	\$1,000,000	\$40,000
Persons with Disabilities Set Aside	-2,196,677	\$2,033,240	\$121,944
TOTAL State Set Asides	-9,196,677	—	—
<b>Total Available SF &amp; MF Non-CHDO funds</b>	<b>23,423,970</b>	—	—
<b>Plus</b> Estimated Program Income	2,500,000	—	—
<b>Total Project Funds Subject to Reg. Alloc. Formula</b>	<b>20,923,970</b>	—	—

<sup>1</sup>includes program income and deobligated monies

<sup>2</sup> includes 60% TDHCA and 40% Contract Administrators

#### Summary of Project Funds Subject to Regional Allocation Formula for PY 2009

Activity	HOME Allocation	Project Funds Awarded	Admin/Oper Exp. Funds Awarded
Homebuyer Assistance	\$3,888,595	\$1,545,000	\$61,800
Owner Occupied Housing Assistance (Includes Program Income and OCC Disaster Relief)	\$18,146,779	\$23,287,079	\$931,481
Tenant Based Rental Assistance	\$3,888,595	\$1,610,232	\$193,229

**Award of HOME Funds by Activity PY 2009  
(Includes Administration and Reprogrammed Funds<sup>1</sup>)**

Activity	Amount	Percentage
Homebuyer Assistance (All Activities)	\$2,313,755	3%
Owner-Occupied Housing Assistance	\$25,258,560	34%
Tenant-Based Rental Assistance	\$3,251,740	5%
CHDO Rental Development	\$9,100,000	12%
CHDO Operating Expense	\$100,000	1%
Rental Housing Development	\$33,179,729	45%
<b>Total</b>	<b>\$73,203,784</b>	<b>100%</b>

<sup>1</sup>includes program income and deobligated monies

***Matching Requirements***

TDHCA provides matching contributions from several sources for HOME funds drawn down from the State HOME Investment Trust Funds Treasury account within the PY. The following sources are utilized:

- Proceeds from the sale of single family mortgage revenue bonds issued by TDHCA.
- Match contributions from the Texas Housing Trust Fund to affordable housing projects that are not HOME-assisted but that meet the requirements as specified in 92.219(b)(2).
- Eligible match contributions from State recipients and subrecipients, as specified in 24 CFR 92.220.

TDHCA annually submits a separate HOME match report, HUD 40107-A, which lists matching funds and sources provided by each HOME project.

**DISTRIBUTION OF INVESTMENTS**

This section reports on how PY 2009 funds were distributed and the location of HOME awards.

***Allocation Formula***

Section 2306.111(c), Texas Government Code mandates that TDHCA is to allocate no less than 95 percent of HOME funds to applicants that serve households located in a non-participating jurisdiction. The Department may use 5 percent of the HOME funds in participating jurisdictions, that serve persons with disabilities<sup>1</sup> and for multifamily developments, adhere to TDHCA’s Integrated Housing Rule.

<sup>1</sup> According to HUD, a person shall be considered to have a disability if the person is determined to have a physical, mental, or emotional impairment that: (1) is expected to be of long-continued and indefinite duration, (2) substantially impeded his or her ability to live independently, and (3) is of such a nature that the ability could be improved by more suitable housing conditions. A person shall also be considered to have a disability if he or she has a developmental disability as defined in the Developmental Disabilities Assistance and Bill of Rights Act (42 USC. 6001-6006). The term also includes the surviving member(s) or any household described in the first sentence of this paragraph who is (were) living in an assisted unit with the disabled member of the household at the time of his or her death. Disabilities reflect the consequences of a bodily impairment in terms of functional performance.

## *Program Performance*

---

### *Housing*

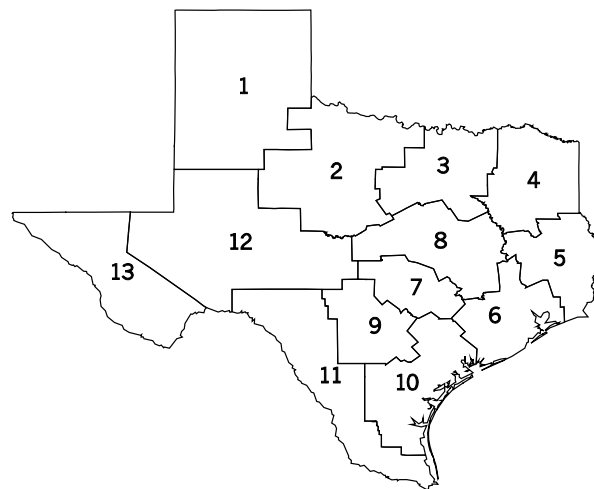
In the One Year Action Plan, TDHCA had a goal of allocating a minimum of 20 percent of the annual HOME allocation to applicants serving persons with special needs. Persons with “special needs” include homeless persons, elderly persons, persons with disabilities, persons with AIDS and AIDS-related diseases, victims of domestic violence, persons with alcohol and/or drug addictions, colonia residents, and migrant farmworkers. Eligible applicants include nonprofits, units of general local government, and PHAs with documented histories of working with special needs populations. Eligible activities include homebuyer assistance, owner-occupied housing assistance, and tenant-based rental assistance.

### **Regional Allocation Formula**

Section 2306.111(d), Texas Government Code, mandates that TDHCA allocate housing funds awarded in the HOME, Housing Trust Fund, and Housing Tax Credit (HTC) programs to each Uniform State Service Region using a formula developed by TDHCA. As a result, a large portion of the HOME funds were awarded using the Regional Allocation Formula (RAF) that responds to Section 2306.111. PY 2008 funding associated with the following set-asides was not distributed through the RAF: Contract for Deed Conversions and Persons with Disabilities Set-Aside.

Section 2306.1112, Texas Government Code established TDHCA’s Executive Award and Review Advisory Committee. HOME funding recommendations were presented to this committee prior to recommendation to TDHCA’s Governing Board.

**State Service Regions Map**



**Regional Award of HOME Funds PY 2009  
(Includes Administration and Reprogrammed<sup>1</sup> Funds)**

Region	Amount	% of Total Amount	Proposed Units to be Assisted	% of Total Units to be Assisted
1	\$3,747,120	5%	45	3%
2	\$1,926,000	3%	67	5%
3	\$9,255,590	13%	165	11%
4	\$6,351,680	9%	98	7%
5	\$2,972,436	4%	57	4%
6	\$15,582,734	21%	212	15%
7	\$10,638,808	15%	199	14%
8	\$6,977,740	10%	182	13%
9	\$2,106,000	3%	135	9%
10	\$5,824,081	8%	94	7%
11	\$3,309,280	5%	95	7%
12	\$1,333,280	2%	25	2%
13	\$2,674,475	4%	37	3%
Multiregional	\$504,560	1%	28	2%
<b>Total</b>	<b>\$73,203,784</b>	<b>100%</b>	<b>1,439</b>	<b>100%</b>

<sup>1</sup>includes program income and deobligated monies

***Award Locations***

PY 2009 HOME awards were made in the following areas of the state. These numbers include administration dollars awarded to the contractor.

**PY 2009 HOME Awards and Estimated Units by Region**

Regions Served	Administrator Name	Counties Served	Amount	Total Units
1	City Of Lorenzo	CROSBY	\$449,280	5
1	City Of Smyer	HOCKLEY	\$390,000	5
1	City Of Ralls	CROSBY	\$390,000	5
1	City Of Abernathy	HALE, LUBBOCK	\$390,000	5
1	City Of Turkey	HALL	\$390,000	5
1	City Of Floydada	FLOYD	\$449,280	5
1	City Of Olton	LAMB	\$449,280	5
1	City Of Tahoka	LYNN	\$390,000	5
1	City Of Muleshoe	BAILEY	\$449,280	5

## Program Performance

### Housing

Regions Served	Administrator Name	Counties Served	Amount	Total Units
2	City Of Bowie	MONTAGUE	\$416,000	5
2	Brownwood Fountainhead, LP	BROWN	\$600,000	47
2	Montague County	MONTAGUE	\$520,000	10
2	City Of Winters	RUNNELS	\$390,000	5
3	FDI Chisum Trail, Ltd.	DENTON	\$1,143,628	-
3	City Of Waxahachie	ELLIS	\$228,800	11
3	Mineral Wells Pioneer Crossing, LP	PALO PINTO	\$2,972,073	28
3	City Of Bonham	FANNIN	\$390,000	5
3	Woodmont Apartments, Ltd.	TARRANT	\$316,000	18
3	PWA Coalition Of Dallas, Inc. D/B/A Aids Services Of Dallas	DENTON	\$50,000	-
3	Vista Ridge Senior Community, LP	DENTON	\$2,100,000	24
3	City Of Corsicana	NAVARRO	\$390,000	6
3	Crestmoor Park South Apartments, Ltd.	JOHNSON	\$1,215,089	68
3	City Of Commerce	HUNT	\$450,000	5
4	City Of Dekalb	BOWIE	\$332,800	4
4	City Of Trinidad	HENDERSON	\$390,000	5
4	City Of Roxton	LAMAR	\$390,000	5
4	City Of Mineola	WOOD	\$390,000	5
4	Lamar County	LAMAR	\$390,000	5
4	City Of Annona	RED RIVER	\$390,000	5
4	City Of Mount Vernon	FRANKLIN	\$390,000	5
4	City Of Atlanta	CASS	\$449,280	6
4	Paris Living Community Development Corporation	DELTA, LAMAR, RED RIVER	\$520,000	25
4	City Of Cooper	DELTA	\$450,000	5
4	City Of Paris	LAMAR	\$390,000	5
4	City Of Bloomburg	CASS	\$249,600	

*Program Performance*

*Housing*

Regions Served	Administrator Name	Counties Served	Amount	Total Units
				3
4	City Of Sulphur Springs	HOPKINS	\$450,000	5
4	City Of Pecan Gap	DELTA	\$390,000	5
4	Delta County	DELTA	\$390,000	5
4	City Of Detroit	RED RIVER	\$390,000	5
5	Lufkin Pioneer Crossing, LP	ANGELINA	\$2,796,156	32
5	Spindletop MHMR Services	HARDIN, JEFFERSON, ORANGE	\$176,280	25
6	FDI Brookhollow Manor, Ltd.	WALLER	\$1,785,877	-
6	City Of Willis	MONTGOMERY	\$390,000	5
6	Fort Bend County Women's Center	FORT BEND	\$336,000	19
6	Costa Mariposa, Ltd	GALVESTON	\$3,000,000	51
6	Horizon Meadows Apartments, Ltd	GALVESTON	\$1,700,000	20
6	Brownstone Pearland Senior Village, Ltd.	BRAZORIA	\$3,000,000	28
6	PK Courtwood Apartments, LP	COLORADO	\$1,274,555	16
6	PK Hillwood Apartments, LP	COLORADO	\$679,742	8
6	City Of Weimar	COLORADO	\$449,280	6
6	City Of Huntsville	WALKER	\$449,280	6
6	Tri-County MHMR	LIBERTY, MONTGOMERY, WALKER	\$318,000	28
6	Santa Fe Seth-DMA Housing, LLC	GALVESTON	\$2,200,000	25
7	City Of La Grange	FAYETTE	\$390,000	6
7	Park Ridge, Ltd	LLANO	\$475,000	13
7	Buda Huntington Partners, Ltd.	HAYS	\$1,750,000	22
7	Bastrop County	BASTROP	\$520,000	5
7	City Of Martindale	CALDWELL	\$449,280	6
7	DDC Belmont Senior Village, Ltd	WILLIAMSON	\$3,000,000	39
7	Travis County Housing Finance Corporation	TRAVIS	\$336,000	20

## Program Performance

### Housing

Regions Served	Administrator Name	Counties Served	Amount	Total Units
7	Encino Pointe, Ltd.	HAYS	\$3,000,000	51
7	Community Partnership For The Homeless DBA Green Doors	TRAVIS	\$318,000	30
7	HVM Alta Vista, Ltd.	BURNET	\$400,528	7
8	Temple Housing Authority	BELL	\$234,000	15
8	City Of Belton	BELL	\$416,000	5
8	City Of Gatesville	CORYELL	\$449,280	5
8	Holland Apartments, Ltd	BELL	\$550,000	68
8	Affordable Caring Housing, Inc.	CORYELL	\$123,460	10
8	Affordable Caring Housing, Inc.	CORYELL	\$50,000	-
8	City Of Harker Heights	BELL	\$390,000	5
8	City Of Mart	MCLENNAN	\$390,000	5
8	Constitution Court	CORYELL	\$4,000,000	45
8	Bell Fountainhead, LP	BELL	\$375,000	24
9	City Of Charlotte	ATASCOSA	\$390,000	5
9	San Antonio Metropolitan Ministry, Inc.	BEXAR	\$318,000	43
9	Ellis Community Resources, Inc.	COMAL	\$336,000	28
9	Housing Authority Of The City Of New Braunfels	COMAL	\$336,000	27
9	City Of New Braunfels	COMAL	\$390,000	5
9	Ellis Community Resources, Inc.	COMAL	\$336,000	27
10	City Of Agua Dulce	NUECES	\$390,000	5
10	The Heights At Corral, Ltd.	KLEBERG	\$3,000,000	29
10	Refugio County	REFUGIO	\$390,000	5
10	Hvm Gonzales County, Ltd.	GONZALES	\$946,081	15
10	City Of Seadrift	CALHOUN	\$390,000	5
10	Coastal Bend Center For Independent Living	NUECES	\$318,000	30



*Program Performance*

*Housing*

<b>Regions Served</b>	<b>Administrator Name</b>	<b>Counties Served</b>	<b>Amount</b>	<b>Total Units</b>
10	City Of Point Comfort	CALHOUN	\$390,000	5
11	City Of Roma	STARR	\$520,000	7
11	Futuro Communities Inc.	DIMMIT, LA SALLE, MAVERICK, UVALDE, ZAVALA	\$520,000	10
11	Community Development Corporation Of Brownsville	CAMERON, WILLACY	\$449,280	10
11	City Of Lyford	WILLACY	\$520,000	8
11	City Of Carrizo Springs	DIMMIT	\$390,000	5
11	Community Council Of Southwest Texas	DIMMIT, EDWARDS, KINNEY, MAVERICK, REAL, UVALDE, VAL VERDE, ZAVALA	\$520,000	50
11	Community Council Of Southwest Texas	ZAVALA	\$390,000	5
12	City Of Midland	MIDLAND	\$104,000	10
12	Crane County	CRANE	\$449,280	5
12	City Of Seminole	GAINES	\$390,000	5
12	City Of McCamey	UPTON	\$390,000	5
13	City Of Valentine	PRESIDIO	\$390,000	5
13	Organizacion Progresiva De San Elizario	EL PASO	\$520,000	5
13	Town Of Van Horn	CULBERSON	\$449,280	5
13	City Of Socorro	EL PASO	\$390,000	6
13	El Paso Collaborative For Community And Economic Development	EL PASO	\$390,000	7
13	Village Of Vinton	EL PASO	\$332,800	4
13	El Paso Collaborative For Community And Economic Development	EL PASO	\$202,395	5
Multiregional	Easter Seals - Central Texas, Inc.	DALLAS, JOHNSON, PARKER, TARRANT, FORT BEND, HARRIS, MONTGOMERY, BASTROP, BLANCO, BURNET, CALDWELL, HAYS, TRAVIS, WILLIAMSON, BELL, CORYELL, MCLENNAN	\$504,560	28
<b>Total</b>			<b>\$73,203,784</b>	<b>1,439</b>

## Program Performance

### Housing

## FAMILIES AND PERSONS ASSISTED

This section describes the households assisted with HOME funds.

### *Anticipated Households Served with PY 2009 Funding*

For contracts that were awarded with PY 2009 funds, there are 1,620 total anticipated units.

#### PY 2009 Estimated Funds and Units (Including Administration and Reprogrammed Funds)<sup>1</sup>

Activity	Total Estimated Units	Total Funding
Owner -Homebuyer Assistance (all activities)	144	\$2,313,755
Owner-Occupied Assistance	330	\$25,258,560
Renter - Tenant-Based Rental Assistance	287	\$3,251,740
Renter - CHDO Rental Development	108	\$9,200,000
Renter - Rental Housing Development	570	\$33,179,729
<b>Total</b>	<b>1439</b>	<b>\$73,203,784</b>

<sup>1</sup> includes program income and deobligated monies

### *Actual Households Served in PY 2009*

This section reports on the actual units completed in PY 2009 (February 1, 2009, though January 31, 2010) through current contracts. These contracts were originally awarded in 2004 though 2007, and units were completed during the PY 2009 reporting period. There were 842 total units completed in PY 2009 through these contracts.

#### Actual Units Completed in PY 2009 by Activity

Activity	Total Units	Total Disbursed
Owner - Homebuyer Assistance	192	\$2,179,899
Owner-Occupied Housing Assistance	261	\$14,987,970
Owner - CHDO Single Family Development	17	\$1,561,982
Renter - Tenant-Based Rental Assistance	277	\$1,504,283
Renter - CHDO Rental Development	85	\$3,843,882
Renter - Rental Development	10	\$823,525
Total	842	\$24,901,541

**Special Needs Population Assisted Units Completed in PY 2009**

<b>Special Needs Group</b>	<b>Units Assisted</b>	<b>% of Units</b>
Alcohol & Drug Addiction	0	0%
Colonias	22	6%
Elderly Populations	74	19%
Homeless Populations	54	14%
Migrant Farmworkers	0	0%
People With Disabilities	228	60%
Persons with HIV/AIDS	0	0%
Victims of Domestic Violence	4	1%
<b>Total</b>	<b>382</b>	<b>100%</b>

**Racial Composition of Assisted Units Completed in PY 2009**

<b>Race</b>	<b>Units Assisted</b>	<b>Percent of Units</b>
American Indian/Alaska Native	6	0.7%
American Indian/Alaska Native & Black/African American	0	0.0%
American Indian/Alaska Native & White	0	0.0%
Asian	0	0.0%
Asian & White	1	0.1%
Black/African American	153	18.2%
Black/African American & White	2	0.2%
Native Hawaiian/Other Pacific Islander	2	0.2%
Other Multi Racial	0	0.0%
White	353	41.9%
Unknown	95	11.3%
<b>Total</b>	<b>842</b>	<b>100.0%</b>

**Hispanic Origin of Assisted Units Completed in PY 2009**

<b>Ethnicity</b>	<b>Units Assisted</b>	<b>Percent of Units</b>
Hispanic and American Indian/Alaska Native	2	0.9%
Hispanic and Asian & White	0	0.0%
Hispanic and Other Multi Racial	26	11.3%
Hispanic and White	202	87.8%
<b>Total</b>	<b>230</b>	<b>100.0%</b>

**Income Status of Owner/Renter Units Completed in PY 2009**

Income Category	Number of Units	% of Units
Extremely Low Income (0-30% AMFI)	426	51%
Very Low Income (31-50% AMFI)	256	30%
Low Income (51-60% AMFI)	49	6%
Low Income (61-80% AMFI)	111	13%
<b>Total</b>	<b>842</b>	<b>100%</b>

**Income Status of Units Completed in PY 2009 by Activity**

	AMFI 0-30%	AMFI 31-50%	AMFI 51-60%	AMFI 61-80%	Total
Owner Homebuyer Assistance	9	36	40	107	192
Owner-Occupied Assistance	147	108	2	4	261
Renter - Tenant-Based Rental Assistance	253	22	2	0	277
Renter - Rental Housing Development	15	80	0	0	95
<b>Total</b>	<b>426</b>	<b>256</b>	<b>49</b>	<b>111</b>	<b>842</b>

**CPD Outcome Performance Measurement**

The table below reports program performance measures as required by HUD CPD guidelines. HOME Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard and represent actual activities closed-out during PY 2009, including those from contracts awarded from 2006 through 2008. The table delineates 1) the number of anticipated units based on the award of dollars during the Program Year and 2) the number of actual units produced (closed activities) during the Program Year. Typically TDHCA HOME contracts are 24-36 months and most contracts also include construction, therefore the unit is not reported as a completed unit until 2 to 3 years after award. Additionally, actual units from closed contracts may include partial units on a contract or units from a previous year, deobligated and reprogrammed funds, or units funded with program income. It is difficult to measure progress of actual units from closed contracts with either the units proposed in the Funding Plan or those anticipated units awarded during the Program Year, as the data is not necessarily related.

Additionally, the chart reflects revised performance measures for the number of units anticipated for PY 2009.

**HOME Program Performance Measures, PY 2009**

Outcomes and Objectives	Performance Indicators	Expected Number	Actual Number	Percentage
DH-2	No. of rental units assisted through new construction and rehabilitation	131	95	73%
DH-2	No. of tenant-based rental assistance units	400	310	78%
DH-2	No. of existing homeowners assisted through owner-occupied assistance	222	194	87%

Outcomes and Objectives	Performance Indicators	Expected Number	Actual Number	Percentage
DH-2	No. of first-time homeowners assisted through homebuyer assistance	266	305	115%

### ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and program objectives.

**Housing Priority Needs Summary Table**

Priority Housing Needs		Priority Need Level H=High, M=Medium, L= Low, N=No Such Need		
		0-30%	31-50%	51-80%
Renter Elderly HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Small Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Large Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
All Other HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Owner	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H

### Specific Accomplishments

This section describes specific HOME Program activities undertaken during PY 2009 that address high priority needs. Please see the “Goals and Objectives” section for detailed information about HOME Program goals and objectives, which also address these needs.

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent

## *Program Performance*

---

### *Housing*

burdens, homelessness, and deteriorating housing stock. The HOME Program funds are awarded utilizing either an open (first-come, first-served) or a competitive application cycle, as defined in each Notice of Funding Availability (NOFA). Threshold and/or scoring criteria are included in each NOFA to meet statutory or federal requirements and program or Department goals, such as income and special population assistance targets. Additionally, the HOME Program addresses high priority needs areas by making available ninety-five percent (95%) of its annual HUD allocation to rural areas of Texas, pursuant to §2306.111(c)(1) of the Texas Government Code.

### ***Persons with Disabilities***

In order to address the needs of Persons with Disabilities, the HOME Program accomplished the following during PY 2009.

Pursuant to §2306.111(c)(2), five percent (5%) of HOME Program funds, were made available to persons with disabilities living in any area of the state. As a part of the 2009 allocation, the Department established a \$2,196,677 Persons with Disabilities (PWD) set-aside to support the housing needs of this community in Texas. In 2009 the Department strengthened its commitment by publishing two NOFAs targeted toward assisting PWD households and including additional deobligated funds, previously set-aside for PWD activities. A Single Family PWD NOFA was published in the amount of \$1,763,538 and a Rental Housing Development NOFA was published with a set-aside for PWD in the amount of \$1,000,000 to support the development of accessible units.

## **ON-SITE INSPECTIONS**

On-site monitoring reviews of affordable HOME rental developments are conducted in accordance with 24 CFR 92.504(d) of the HOME Final Rule. The Department is committed to ensure all rental developments funded with HOME dollars are in compliance with federal and state regulations and requirements. The Department's monitoring rules are in 10TAC, Chapter 60, Subchapters A and C.

While onsite, monitors review resident files to ensure that households are eligible under the HOME program and that rents are properly restricted. In addition a Uniform Physical Condition Standards inspection is performed. If noncompliance is identified, owners are notified in writing and provided a 90 day corrective action period.

Possible sanctions for non-compliance include default, foreclosure, and receivership. However, the Department strives to work cooperatively with owners to restore compliance. Before imposing sanctions, alternative solutions are considered such as restructuring debt, intensive in-depth technical assistance, and/or requiring changes in management companies. In addition to the sanctions listed above, TDHCA has a noncompliance scoring system. In the past, owners found to be in Material Noncompliance were not eligible for additional funding from TDHCA. On December 2, 2007, TDHCA adopted new compliance rules and administrative penalties for uncorrected noncompliance. Prior to the award of any new funding, the Compliance and Asset Oversight Division conducts a previous participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance. If any issue(s) are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all necessary corrective action to cure the violation(s). If the HOME administrator does not cure the issue(s), the application for funding will be terminated.

The Department's new enforcement provisions in 10 TAC, Subchapter C §60.3012 have created monetary penalties for owners who do not correct noncompliance violations. The Department has successfully brought properties into compliance through the administrative penalties process. The Department is continuing to conduct informal hearings with owners to address their compliance violations and restore compliance.

The following table reflects the results of on-site inspections conducted on HOME rental properties from February 1, 2009 through January 31, 2010. During this time period, TDHCA monitored 133 HOME rental developments. Eighty-two of those reviews have been closed. Forty-one of the reviews are still open either because the Department is reviewing or awaiting corrective action responses from owners. Ten of the properties monitored either have already been or will be referred to the Department's Administrative Penalty Committee for enforcement. The table below shows the properties monitored in during this time period and the current status of the findings identified during the review.

*Program Performance*

*Housing*

**PY 2009 HOME Program Property Inspections**

CMTS No.	Development Name	HOME No.	Date of review	Description of Noncompliance	Status of Noncompliance
18	Heatherwilde Park Retirement Apartments	530677	3/20/2009	Failure to provide an Affirmative Marketing plan, UPCS violations	Corrected
156	Eagle Lake Gardens Apartments	530717	11/19/2009	None	None
178	Parkside Place Apartments	530737	5/19/2009	UPCS violations	Corrective action under review
180	Raintree Apartments	530727	2/25/2009	None	None
369	La Villita Apartments	531100	6/26/2009	None	None
370	Seven Points Apartments	531101	1/29/2010	None	None
679	Shady Oaks Apartments	1000243	4/16/2009	UPCS violations	Corrective action due 5/24/2010
720	Golden Manor Apartments	1000239	8/19/2009	None	None
781	East Texas Apartments	1000441	5/13/2009	Failure to provide an Affirmative Marketing plan , household income above limit upon initial occupancy	Corrected
882	Brackettville Seniors Apartments	1000989	4/15/2009	None	None
1100	Pecan Place Apartments	532302	1/27/2010	Failure to provide an Affirmative Marketing plan	Corrective Action due 5/26/2010
1369	Longview Commons Apartments	532304	7/29/2009	None	None
1404	Llano Square Apartments	535003	2/18/2009	UPCS violations	Corrective action response under review
1406	Jefferson Square Apartments	535028	4/15/2009	UPCS violations	Corrective action under review
1473	Brownwood Apartments	536263	2/19/2009	Failure to correctly complete or document tenant's annual income recertification, UPCS violations	UPCS corrective action under review; other issue corrected
1525	Commonwealth Apartments	536264	5/14/2009	Failure to provide an Affirmative Marketing plan. UPCS violations	UPCS corrective action due 4/4/10; other issue corrected
1574	Sunrise Village II	536265	5/28/2009	None	None
1596	Granada Apartments	537070	4/17/2009	Household above income limit upon initial occupancy. UPCS violations.	UPCS corrective action due 3/29/10; other issue corrected
1616	Brentwood Oaks Apartments	536266	5/6/2009	Household income above limit upon initial occupancy, household income increased above the recertification limit and available unit leased to market-rate household. UPCS violations.	UPCS corrective action under review; other issues corrected



CMTS No.	Development Name	HOME No.	Date of review	Description of Noncompliance	Status of Noncompliance
1641	Crestview Apartments	536279	7/29/2009	UPCS violations	Corrective action under review
1747	Westwind Village Apartments	537078	4/16/2009	UPCS violations	Corrective action due 3/28/2010
1785	San Augustine Seniors Apartments	537079	5/13/2009	None	None
1904	Nueces Bend at Two Rivers Place	538003	4/21/2009	Failure to provide an Affirmative Marketing plan.	Corrected
1922	Asbury Place Apartments	539119	5/29/2009	UPCS violations	Corrective action due 5/2/10
1952	Tierra Socorro Ltd.	538006	5/29/2009	UPCS violations	Corrective action due 3/28/10
2063	Brownwood Apartments II	538622	2/19/2009	UPCS violations	Corrective action under review
2206	May Road Apartments	538620	6/24/2009	Household income above limit upon initial occupancy.	Corrected
2214	Commonwealth, Phase II	538621	5/14/2009	Failure to provide an Affirmative Marketing plan. UPCS violations	UPCS corrective action due 4/4/10; other issue corrected
2601	Autumn Springs Senior Apartments	536293	5/20/2009	Failure to provide an Affirmative Marketing plan, failure to properly calculate utility allowance. UPCS violations	UPCS violations outstanding; all other issues corrected
2603	Bavarian Manor Apartments	539111	5/19/2009	None	None
2604	Alpine Retirement Community	538092	5/14/2009	None	TDHCA has foreclosed on this property and continues to try to find a buyer. The property is currently being operated in compliance with the HOME program requirements.
2605	Angelica Homes Corporation	539109	9/23/2009	None	None
2606	Bentcreek Apartments	534389	5/20/2009	None	None
2607	Anna House/Ridge Oak Way Homes	534336	2/6/2009	Household income above limit upon initial occupancy, UPCS violations, failure to provide HQS inspections, failure to execute required lease language.	Findings remain uncorrected, CA deadline of 4/6/2010
2610	Casa De Manana Apartments	530707	4/24/2009	Gross rent violations, failure to provide an Affirmative Marketing plan. UPCS violations.	UPCS corrective action due 3/21/10, other issues corrected
2611	Chateau Apartments	536268A	5/19/2009	UPCS violations	Corrective action due 5/18/2010

## Program Performance

### Housing

CMTS No.	Development Name	HOME No.	Date of review	Description of Noncompliance	Status of Noncompliance
2614	Danville Estates	530657	7/28/2009	None	None
2618	Rincon Point Apartments	534031	4/22/2009	Household income above limit upon initial occupancy, gross rent exceeds limit, failure to meet special needs set-aside, failure to provide an Affirmative Marketing plan, UPCS violations.	Uncorrected
2619	Garden Terrace Apartments	531105	3/9/2009	UPCS violations	Corrected
2623	Hillside Senior Community	537602	6/30/2009	UPCS violations	Corrective action due 4/10/2010
2626	Keystone Apartments	536268	11/17/2009	None	None
2630	Levelland Multi-family	539098	5/19/2009	Household income above limit at initial occupancy, UPCS violations.	Corrected
2631	Lincoln Courts Apartments	533186	8/13/2009	None	None
2634	Lockhart Housing Authority	536272	5/18/2009	Failure to provide Affirmative Marketing plan. UPCS violations	AFHMP corrected 12/21/2009; UPCS uncorrected
2636	Freeport (Port Velasco) Apartments	532305	7/29/2009	Household income above limit upon initial occupancy, failure to provide or maintain tenant income certification. UPCS violations.	Findings remain uncorrected: One of two units over income at initial occupancy now vacant and priority move in. Corrective action for UPCS due 5/30/2010.
2640	Center Park Apartments	539122	10/21/2009	Failure to provide an Affirmative Marketing plan.	Corrected
2643	Notre Dame Hills Apartments	537601	7/1/2009	Gross rent exceeds limit.	Corrected
2646	Olton Multifamily Housing	535247	5/19/2009	None	None
2649	Panola Seniors Community II	537073	5/13/2009	None	None
2652	Parkview Place Apartments	535031	5/19/2009	None	None
2653	Piney Woods Home Team Affordable Housing	539113	5/14/2009	Gross rent exceeds limit, failure to provide an Affirmative Marketing plan.	Affirmative marketing plan corrected, follow up corrective action deadline for rent violation:

CMTS No.	Development Name	HOME No.	Date of review	Description of Noncompliance	Status of Noncompliance
					4/2/10.
2654	Grandview Retirement Village	539099	10/13/2009	Failure to provide an Affirmative Marketing plan.	Corrected
2655	Brittons Place Apartments	538613	7/16/2009	Gross rent exceeds limit, household income above limit at initial occupancy, Failure to submit Annual Owner's Compliance Report, UPCS violations.	Corrected
2659	Prado II Apartments	538625	7/12/2009	UPCS violations	Corrective action due 4/10/2010
2667	Ranchland Apartments	533288	5/13/2009	UPCS violations.	Corrected
2671	Cedar Ridge Apartments	534284	5/26/2009	UPCS violations	Corrective action under review
2675	Olton Multifamily Housing	535248	5/19/2009	Household income above limit upon initial occupancy	Corrected
2676	Colorado City Homes	533303	7/15/2009	Gross rent exceeds limit, failure to provide an Affirmative Marketing plan, UPCS violations.	Corrected
2677	Colorado City Homes II	534341	7/15/2009	Gross rent above the limit, failure to provide an Affirmative Marketing plan. UPCS violations.	Corrected
2681	Ebenezer Senior Housing	532339	1/8/2010	Failure to provide annual HQS inspections, failure to provide an Affirmative Marketing plan, failure to maintain tenant income recertification.	Corrective Action due 6/3/2010
2685	Jourdanton Elderly Housing	535004	4/27/2009	None	None
2686	Leaning Oaks Seniors	536294	5/27/2009	Failure to provide an Affirmative Marketing plan.	Corrected

## Program Performance

### Housing

CMTS No.	Development Name	HOME No.	Date of review	Description of Noncompliance	Status of Noncompliance
2687	EOAC Home (Marlin 2 houses)	532037	2/19/2009	Gross rent exceeds limit, Failure to provide HQS inspections. UPCS violations.	Corrected
2688	EOAC Home (McGregor (2 houses) 203 Laurel Lane & 1119 Jefferson	532036	2/12/2009	Gross rent exceeds limit, Failure to provide HQS inspections. UPCS violations.	Corrected
2693	Special Needs Rental Housing	530647	1/27/2010	Gross rent exceeds limit, owner failed to properly calculate utility allowance.	Corrective action due 5/20/2010
2700	Warren House Apartments	532321	10/22/2009	None	None
2701	Webb Street Revitalization	533308	5/20/2009	UPCS violations	Corrected
2702	West Gate Apartments	535259	5/21/2009	UPCS violations.	Corrected
2704	Mountain View Apartments	533027	5/20/2009	UPCS violations	Corrective action due 5/8/2010
2709	Robstown Homes	533302	4/22/2009	UPCS violations.	Corrected
2711	Railroad Street Rental House	532318	5/14/2009	The Department's Enforcement and Administrative Penalty committee has been working with this property owner. The onsite visit this year was conducted to determine if previously identified noncompliance has been corrected.	The following issues have been corrected: Household over the income limit at initial occupancy, failure to execute required lease language, failure to properly calculate utility allowance, failure to provide HQS inspections, submission of AOCR, Affirmative Marketing. Uncorrected issues: Gross rent violations, failure to maintain tenant income certifications, UPCS violations. Upon correction of all issues, the LURA may be extended to ensure that the required HOME affordability period is achieved.
2713	Riverview Apartments	539116	5/29/2009	Failure to provide an Affirmative Marketing plan. UPCS violations	UPCS corrective action due 4/22/2010; other issues corrected.

*Program Performance*

*Housing*

CMTS No.	Development Name	HOME No.	Date of review	Description of Noncompliance	Status of Noncompliance
2715	San Jacinto Senior Housing	532334	10/22/2009	Household income above income limit upon initial occupancy.	Corrective action due 4/19/2010
2718	Southwest Village Apartments	534339	5/27/2009	Household income above limit upon initial occupancy. UPCS violations	UPCS corrective action under review; other issue corrected
2719	Temple College Housing Scholarship Program	536286	1/28/2010	None	None
2722	Sunrise Village Phase I (HOME)	532336	5/28/2009	None	None
2723	Sunrise Villas Apartments	532410	6/25/2009	Failure to provide Affirmative Marketing plan, UPCS violations.	Corrected
2724	Sutton Square Duplexes	536292	9/18/2009	UPCS review conducted 3/10/2010. report not written yet.	Pending
2725	Tanner Point Apartments	536270	2/26/2009	Failure to provide an Affirmative Marketing plan. UPCS violations	Corrected
2726	Tembell Home	533029	5/19/2009	UPCS violations	Corrective action due 5/16/2010
2728	Town Creek Homes	532316	7/16/2009	Owner failed to correctly complete or document tenant's annual income recertification, gross rent exceeds limit, failure to provide Affirmative Marketing plan. UPCS violations.	Corrected
2729	Turtle Creek Townhomes	537072	2/23/2010	Failure to provide an Affirmative Marketing plan. UPCS violations	Corrected
2730	Villa De Reposo San Luis Asherton, Tx	539110	4/16/2009	Failure to provide Affirmative Marketing plan, failure to execute required lease provisions, failure to provide annual HQS inspections.	Corrected
2731	Villa De Reposo Pearsall, TX	535253	4/28/2009	UPCS violations, failure to execute required lease provisions, failure to provide an Affirmative Marketing plan .	Corrected
2732	West Avenue Apartments	537603	10/22/2009	Failure to provide an Affirmative Marketing plan.	Corrected

## Program Performance

### Housing

CMTS No.	Development Name	HOME No.	Date of review	Description of Noncompliance	Status of Noncompliance
2733	Whitney Retirement Village	536288	2/24/2009	Failure to provide an Affirmative Marketing plan, UPCS violations, gross rent violations.	Corrected
3200	Alamo Plaza Apartments	530687	9/9/2009	Failure to provide an Affirmative Marketing plan, failure to properly calculate utility allowance, failure to provide annual HQS inspections.	Owner met with Enforcement and Administrative Penalty committee on January 6, 2010. Deadline to correct all issues is April 20, 2010
3201	Brentwood Apartments	530627	2/25/2009	Household income above limit upon initial occupancy, failure to provide an Affirmative Marketing plan. UPCS violations.	Household above income limit upon initial occupancy remains uncorrected; all other issues corrected. UPCS violations corrected.
3263	La Mirage Apartments	531099	5/20/2009	UPCS violations	Corrective action due 5/12/2010
3326	Santa Lucia Housing	538263	10/21/2009	None	None
3383	Pine Meadows Apartments	542072	4/16/2009	UPCS violations	Corrective action due 5/12/2010
3384	Green Manor Apartments	542073	4/15/2009	UPCS violations.	Corrective action under review
3385	Bayou Bend Apartments	542070	4/16/2009	UPCS violations.	Corrective action due 5/11/2010
3387	Willowchase Apartments	542071	4/14/2009	UPCS violations	Corrected
3390	Pecan Creek Apartments	542069	3/24/2009	UPCS violations	Corrected
3407	Palestine Senior II	537076	5/19/2009	None	None
4001	St. John Colony Park	530200	5/18/2009	Failure to respond to Agency requests for monitoring reviews and/or fees.	Uncorrected. No response to CA deadline of 11/7/2009.
4002	Villa De Reposo - Encinal, TX	530201	4/28/2009	Household above limit upon initial occupancy, failure to provide an Affirmative Marketing plan, failure to execute required lease provisions.	Affirmative Marketing corrected 11/2/2009, required lease provisions corrected 2/19/2010. Household above income limit upon initial occupancy affecting one unit remains uncorrected.
4003	Cedar Ridge II	531001	5/26/2009	Gross rent exceeds limit, household above income limit upon initial occupancy. UPCS violations.	Gross rent violations corrected, household above income limit uncorrected. UPCS corrective action under review.

CMTS No.	Development Name	HOME No.	Date of review	Description of Noncompliance	Status of Noncompliance
4005	Country Villa	531102	4/21/2009	UPCS Violations	Corrective action under review
4007	Spring Garden Apartments IV	531301	11/18/2009	None	None
4059	Vista Hermosa Apartments	1000242	4/16/2009	Failure to provide an Affirmative Marketing plan	Corrected
4069	Lexington Court	1000246	7/28/2009	Failure to provide an Affirmative Marketing plan.	Corrected
4089	Bahia Palms Apartments	1000245	2/24/2009	None	None
4093	Briarwood Apartments	1000244	7/16/2009	None	None
4098	La Mirage Villas	1000238	5/20/2009	None	None
4202	Bridgeport Estates Phase II	542076	11/18/2009	None	None
4204	Mineola Seniors Community Phase II	534501	3/11/2009	UPCS violations	Corrective action under review
4207	Canal Street Apartments	1000084	7/16/2009	None	None
4222	Cypress Creek at River Bend	1000639	10/14/2009	Failure to provide an Affirmative Marketing plan.	Corrected
4255	Pecan Village fka University Place Apartments	1000431	7/30/2009	None	None
4274	Spring Garden V	1000415	11/18/2009	None	None
4288	Windvale Park	1000437	7/16/2009	None	None
4298	Park Place Apartments	1000430	4/15/2009	Failure to provide annual HQS inspections.	Corrected
4300	Clifton Manor Apartments I and II	1000434	2/24/2009	None	None
4302	Hamilton Manor Apartments	1000433	9/22/2009	UPCS inspection scheduled for 3/28/2010	Pending
4303	Bayshore Manor Apartments	1000428	8/19/2009	Failure to provide Affirmative Marketing plan.	Corrected
4312	Spring Terrace	1000417	3/23/2009	UPCS violations	Corrected
4313	Milam Creek Senior Village (Luling Senior Housing)	1000555	10/20/2008	Failure to execute required lease provisions	Corrected

## Program Performance

### Housing

CMTS No.	Development Name	HOME No.	Date of review	Description of Noncompliance	Status of Noncompliance
4314	Floresville Square Apartments	1000586	10/21/2009	None	None
4318	East Elmira at Park Avenue	535094	8/14/2009	UPCS violations.	Corrective action due 5/17/2010
4333	Estates of Bridgeport IVa	1000608	11/18/2009	None	None
4351	LULAC Amistad	1000651	4/22/2009	Failure to provide an Affirmative Marketing plan. UPCS violations	AFHMP corrected 4/22/2009; UPCS corrective action due 5/12/10
4356	Crestmoor Park West Apartments	1000657	6/12/2009	None	None
4380	El Paraiso Apartments	1000655	8/19/2009	Failure to provide an Affirmative Marketing plan.	Corrected
4387	Evergreen at Rockwall	1000659	1/28/2009	None	None
4394	Victoria Place Phase II	1000646	1/28/2010	none	None
4395	Pembroke Court	1000654	2/13/2009	Household above income limit upon initial occupancy. UPCS violations.	Corrected
4396	Piccadilly Estates	1000656	3/26/2009	UPCS violations	Corrected
4402	Skyline Terrace	1000652	1/12/2009	UPCS violations	Corrected
4408	Gardens of Mabank	1000660	1/28/2010	Monitoring letter not finalized.	Monitoring letter for 1/28/2010 review being written.
4433	The Gardens of Decordova	1000766	2/26/2009	Household income above limit at initial occupancy, failure to provide an Affirmative Marketing plan , failure to provide annual HQS inspections, failure to execute required lease language, UPCS violations.	UPCS corrected 3/16/2009, Lease language corrected 7/22/2009, all other noncompliance uncorrected.
4515	Floresville Senior Housing	1000960	10/21/2009	Household income above limit upon initial occupancy, gross rent exceeds limit.	Corrective Action due 5/1/2010



## **AFFIRMATIVE MARKETING AND MINORITY OUTREACH**

Department staff ensures that HOME Program administrators comply with the fair housing, accessibility, and affirmative marketing requirements of the program. The following actions are taken by TDHCA to ensure compliance.

- An Application Guide, which discusses these issues and includes guidance regarding the affirmative marketing plan requirements, is provided at the time of Application.
- HOME Program administrators must submit an Affirmative Marketing Plan at application.
- A Technical Guide, which discusses these issues, is provided to all HOME Program administrators.
- On an annual basis, TDHCA conducts compliance training workshops for HOME Multifamily Program administrators. These workshops include a chapter regarding Fair Housing, accessibility, and affirmative marketing requirements of the program.

In accordance with HOME regulations at 24 CFR 92.351 (a) and (b) and in furtherance of Texas's commitment to nondiscrimination and equal opportunity in housing, TDHCA has established procedures to affirmatively market units assisted under the HOME Investment Partnerships Program. These procedures are intended to further the objectives of Title VIII of the Civil Rights Act of 1968, the Fair Housing Amendments of 1988, and Executive Order 11063.

### ***Affirmative Marketing Actions***

Subrecipients of HOME funds are required to adopt affirmative marketing procedures and requirements for rental and homebuyer projects containing five or more HOME-assisted housing units. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status, or disability.

The marketing program outlines procedures by which applications will be solicited from eligible potential program participants, maintain records of efforts to affirmatively market program activities or available housing opportunities, and to develop a system for evaluating the affirmative marketing efforts.

### **Specific Actions**

- Program administrators must ensure that the public, including potential beneficiaries of HOME-assisted housing, is informed that the HOME program is administered under an established, affirmative marketing policy; applicable federal Fair Housing laws; and other applicable federal, state, and local housing laws. This policy must be promoted in the community through media and other outlets, and communicated to beneficiaries of housing that will be or has been assisted with HOME funds.
- Program administrators shall affirmatively market available housing in local newspapers and using other appropriate methods. All forms of program marketing should depict the Equal Housing Opportunity logo.

## Program Performance

### Housing

- To help ensure that available housing is affirmatively marketed to persons not likely to apply for such housing, Program administrators are encouraged to make HOME information available in non-English languages spoken by minority groups residing in or near the community. Furthermore, Program administrators are encouraged to distribute marketing materials to area social service agencies that work with minorities, disabled individuals, or other protected groups.

### Affirmative Marketing Record Keeping

Program administrators are required to develop an affirmative marketing plan to identify persons who are the least likely to apply and how to reach those persons. Administrators must maintain documentation of their affirmative marketing activities. Program administrators also must update their affirmative marketing plan for HUD every five years. TDHCA collects Fair Housing Sponsor Report data from each rental housing development program administrator annually. The program administrators use this information in preparing their affirmative marketing plan update.

### Minority Outreach

Information on the number and dollar value of contracts for HOME projects completed during the reporting period to Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) is provided below.

#### HOME Projects Completed by Minority Business Enterprises, PY 2009

Contractor or Subcontractor Business Racial/Ethnic Code	Contracts Total	Contracts Percent of Total	Contracts Amount	Subcontracts Total	Subcontracts Percent of Total	Subcontracts Amount
American Indian/Alaska Native & White	0	0.0%	\$0	12	1.8%	\$49,500
Black/African American	7	5.2%	\$345,890	18	2.7%	\$498,752
Hispanic	14	10.4%	\$727,435	41	6.2%	\$1,785,383
White	114	84.4%	\$22,841,533	583	88.2%	\$17,203,279
Unknown	0	0.0%	\$0	7	1.1%	\$528,716
<b>Total</b>	<b>135</b>	<b>100.0%</b>	<b>\$23,914,858</b>	<b>661</b>	<b>100.0%</b>	<b>\$20,065,630</b>

#### HOME Projects Completed by Women Business Enterprises, PY 2009

Gender Business Code	Contract Total	Contract Percent of Total	Contract Amount	Subcontracts Total	Subcontracts Percent of Total	Subcontracts Amount
Man Owned	111	82.2%	\$22,543,895	661	100.0%	\$20,065,630
Woman Owned	24	17.8%	\$1,370,963	0	10.0%	\$0
<b>Total</b>	<b>135</b>	<b>100.0%</b>	<b>\$23,914,858</b>	<b>661</b>	<b>100.0%</b>	<b>\$20,065,630</b>

## **OTHER ACTIONS**

This section describes actions by the Texas Department of Rural Affairs (TDRA) and Texas Department of Housing and Community Affairs (TDHCA) to address the following: Obstacles to Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Gaps in Institutional Structure, Enhancing Coordination and Furthering Fair Housing. Department of State Health Services has reported on these topics in Part II.

## **MEETING UNDERSERVED NEEDS AND DEVELOPING AFFORDABLE HOUSING**

The Departments have identified various obstacles that may affect the ability to meet underserved needs in Texas. They include the lack of affordable housing, lack of organization capacity, lack of organizational outreach, local opposition to affordable housing, regulatory barriers to affordable housing, and area income characteristics (particularly in rural areas). The Departments take actions to mitigate these obstacles such as effectively using existing resources to administer programs, providing information resources to individuals and local areas, and coordinating resources. The following paragraphs outline specific actions taken by the program areas to meet underserved needs and develop affordable housing.

### ***CDBG***

Texas CDBG encourages affordable housing projects using several methods in the allocation of CDBG funds to the eligible communities that can participate in its programs, including favorable state scoring and regional prerogative to prioritize funding for housing infrastructure and rehabilitation. Each region is encouraged to set aside a percentage of the regional allocation for housing improvement projects, and housing applications are scored as high priority projects at the state level.

Currently, the primary method of promoting and supporting affordable housing is by providing the water and wastewater infrastructure for residential housing. The CDBG funding provides a cost savings for housing when CDBG funds are used to provide first-time water and wastewater services by installing water and sewer yardlines and paying impact and connection fees for qualifying residents. During PY 2009 CDBG funded 28 contracts through three different grant programs to provide water or sewer services on private property, obligating contracts totaling \$11,437,885 of which \$3,830,951 will be directly used for work on private property, including installing water and sewer yardlines, to benefit 3,875 persons, of which 100% are low to moderate income. Private property improvements installed to benefit persons that are not low to moderate income may be included in the project but must be funded through local or other private funds.

CDBG funding also helps cities and counties study affordable housing conditions. The plans produced through a CDBG planning contracts provide both valuable data concerning a city's or county's affordable housing stock and planning tools for expanding their affordable housing. In PY 2009, CDBG funded 27 Planning/Capacity Building grants throughout the state, obligating \$916,681 to benefit 67,264 persons, of which 36,771 are low to moderate income. The Colonia Fund also awarded five Colonia Planning grants totaling \$350,000 to benefit 46,722 persons, of which 21,618 are low to moderate income.

## *Other Actions*

---

### *Underserved Needs*

The most commonly cited obstacle to meeting the underserved community development needs of Texas cities (aside from inadequate funding) is the limited administrative capacity of the small rural towns and counties the CDBG program serves. Rural areas may also have difficulty finding interested contractors who have the financial stability to wait a minimum of two weeks for payment after the work is complete. Contractors can earn more working in metropolitan areas with larger projects and without the location costs required to transport materials and equipment to rural communities. Texas CDBG staff offers technical assistance to communities to promote successful CDBG projects.

Another obstacle to meeting underserved needs applies to colonias projects. There have been cases when a county applies to provide water service to an area, but more than one water supply corporation or city may have a Certificate of Convenience and Necessity (CCN) in that territory (CCNs have been issued which have overlapping territories). In these cases, a dispute over which water supply corporation/city has the right to serve the territory (and therefore collect the revenues) may arise. A public hearing process may be necessary to resolve this issue, which can then delay projects for months. Texas CDBG works with regulatory agencies as appropriate to resolve issues in project areas in a timely manner.

The physical size and the diversity of the State of Texas can present challenges to understanding and meeting underserved needs in local communities. The TDRA Field Offices have been established to better serve these communities by providing technical assistance and support in Bedias, Bishop, Carrizo Springs, Levelland, La Grange, Kountze, Nacogdoches, and Sweetwater. The Colonia Self-Help Centers continue to address affordable housing needs in border counties by assisting qualifying colonia residents to finance, refinance, construct, improve or maintain a safe, suitable home in suitable areas.

### ***HOME and ESGP***

The HOME Program provides grant funds, deferred forgivable loans, and repayable loans to units of local government, nonprofit and for-profit organizations, community housing development organizations (CHDOs), and public housing authorities (PHAs). These funds are primarily used to foster and maintain affordable housing by providing rental assistance, rehabilitation, or reconstruction of owner-occupied housing units, down payment and closing cost assistance for the acquisition of affordable single family housing, and funding for rental housing development preservation of existing affordable or subsidized rental housing.

HOME funds may also be used in conjunction with the Housing Tax Credit Program to construct or rehabilitate affordable multifamily housing.

Regarding ESGP, while TDHCA encourages the use of ESGP funds to provide affordable transitional housing, the majority of funds are utilized to provide emergency shelter. These funds meet the needs of local homeless populations.

## **PUBLIC HOUSING RESIDENT INITIATIVES**

The future success of PHAs will center on ingenuity in program design, emphasis on resident participation towards economic self-sufficiency, and partnerships with other organizations to address the needs of this population. While the Departments do not have any direct or indirect jurisdiction over the management or operations of PHAs, it is important to maintain a relationship with these service providers.

### ***CDBG***

Texas CDBG serves public housing areas through various funding categories as residents of PHAs qualify as low- to moderate-income beneficiaries for CDBG projects.

### ***HOME and ESGP***

TDHCA publishes all Notices of Funding Availability on its website and sends notification of funding availability statewide through the Department's email subscriber lists. Because PHAs are eligible applicants under the HOME Program, application processes related to HBA and Tenant Based Rental Assistance are discussed in detail at application workshops. As PHAs have received homebuyer assistance and tenant-based rental assistance funds, information is provided to enable them to transition families toward homeownership or provide additional households with rental assistance and services to increase self-sufficiency.

Finally, PHAs, including those receiving HOME funds and those with Section 8 Homeownership programs, are targeted by TDHCA's Texas Statewide Homebuyer Education Program for training to provide homebuyer education opportunities and self-sufficiency tools for PHA residents.

PHA residents are eligible to receive assistance and services from ESGP grantees.

In addition to HOME and ESGP activities related to PHAs, TDHCA performs certifications of consistency. In 1999, TDHCA, as required by 24 CFR §903.15, started a certification process to ensure that the annual plans submitted by PHAs in an area without a local Consolidated Plan are consistent with the State of Texas's Consolidated Plan. For the PY 2009 reporting period, February 1, 2009, through January 31, 2010, TDHCA processed PHA certifications of consistency in a fair and impartial manner.

## **LEAD-BASED PAINT HAZARDS**

The health risks posed by lead-based paint to young children are the most significant health issue facing the housing industry today. According to the EPA's Report on the National Survey of Lead Based Paint in Housing (April 1995), 64 million homes have conditions that are likely to expose families to unsafe levels of lead. These homes are disproportionately older housing stock typical to low income neighborhoods, and the potential for exposure increases as homeowners and landlords defer maintenance. This older housing stock is the target of rehabilitation efforts and is often the desired "starter home" of a family buying their first home.

The 1992 Housing and Community Development Act included Title X, a statute that represents a major change to existing lead-based paint regulations. However, HUD's final regulations for Title X (24 CFR Part 105) were not published until September 15, 1999 and became effective September 15, 2000. Title X calls for a three pronged approach to target conditions that pose a hazard to households: 1) Notification of occupants about the existence of hazards so they can take proper precautions, 2) Identifications of lead-based paint hazards before a child can be poisoned and, 3) control of these lead-based paint hazards in order to limit exposure to residents. Title X mandated that HUD issue "The Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing" (1995) to outline risk assessments, interim controls, and abatement of lead-based paint hazards in housing. Section 1018 required EPA and HUD to promulgate rules for disclosure of any known lead-based paint or hazards in target housing offered for sale or lease. These rules came into effect on March 6, 1996 in 40 CFR Part 745/24 CFR Part 35.<sup>1</sup>

While TDHCA monitors its properties for compliance with these regulations, at the state level, the Texas Department of State Health Services (DSHS) has been charged with oversight of the Texas Environmental Lead Reduction Rules (TELRR). These rules cover areas of lead-based paint activities in target housing (housing constructed prior to 1978) and child-occupied facilities, including the training and certification of persons conducting lead inspections, risk assessments, abatements, and project design. For all projects receiving over \$25,000 in federal assistance, contractors need to follow inspections and abatements standards overseen by DSHS. By following these standards, the state is increasing the access to housing without lead-based paint hazards. The adherence to inspection and abatement standards is related to the extent of lead-based paint in that a majority of the housing in need of rehabilitation is likely housing built before 1978.

### ***CDBG***

In accordance with CDBG state regulations and the Lead-Based Paint Poisoning Prevention Act, CDBG has adopted a policy to eliminate as far as practicable the hazards of lead poisoning due to the presence of lead-based paint in any existing housing assisted under the CDBG. In addition, this policy prohibits the use of lead-based paint in residential structures constructed or rehabilitated with federal assistance. Abatement procedures should be included in the housing rehabilitation contract guidelines for each project and must appear in the approved work write-up documentation for all homes built prior to 1978 that will be rehabilitated, as outlined in the Housing Rehabilitation Manual.

---

<sup>1</sup> Texas Department of State Health Services

### ***HOME and ESGP***

The HOME Program increases the awareness of the hazards of lead-based paint by requiring screening for TBRA, homebuyer assistance and homeowner rehabilitation. Furthermore, single-family and multifamily development activities in HOME increase the access to lead-based-paint-free housing because they create new housing.

The HOME Program requires lead screening in housing built before 1978 for all HOME-eligible activities. Rehabilitation activities fall into three categories: 1) Requirements for federal assistance up to and including \$5,000 per unit; 2) Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit; and 3) Requirements for federal assistance over \$25,000 per unit.

Requirements for federal assistance up to and including \$5,000 per unit are: distribution of the pamphlet “Protect Your Family from Lead in Your Home” is required prior to renovation activities; notification within 15 days of lead hazard evaluation, reduction, and clearance must be provided; receipts for notification must be maintained in the administrator file; paint testing must be conducted to identify lead based paint on painted surfaces that will be disturbed or replaced or administrators may assume that lead based paint exist; administrators must repair all painted surfaces that will be disturbed during rehabilitation; if lead based paint is assumed or detected, safe work practices must be followed; and clearance is required only for the work area.

Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit include all the requirements for federal assistance up to and including \$5,000 per unit and the following: a risk assessment must be conducted prior to rehabilitation to identify hazards in assisted units, in common areas that serve those units and exterior surfaces or administrators can assume lead based paint exist and; clearance is required for the completed unit, common areas which serve the units, and exterior surfaces where the hazard reduction took place.

Requirements for federal assistance over \$25,000 per unit included all the requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit and the following: if during the required evaluations lead-based paint hazards are detected on interior surfaces of assisted units, on the common areas that serve those units or on exterior surfaces including soils, then abatement must be completed to permanently remove those hazards; and if lead based paint is detected during the risk assessment on exterior surfaces that are not disturbed by rehabilitation then interim controls may be completed instead of abatement.

For ESGP, TDHCA requires subrecipients to evaluate and reduce lead-based paint hazards for conversion, renovation, or rehabilitation projects funded with ESGP funds, and tracks work in these efforts as required by Chapter 58 of the Environmental Protection Act. During the annual contract implementation training, the Department will provide ESGP subrecipients with information related to lead-based paint regulations and the Department’s requirements related to such. The Department will require ESGP funded subrecipients to determine if a housing unit was built prior to 1978, for households seeking ESGP funded rent or rent deposit assistance whose household has a family member(s) 6 year of age or younger. If the housing unit is built prior to 1978, the ESGP subrecipient will notify the household of the hazards of lead-based paint.

## *Other Actions*

---

### *Lead-Based Paint*

ESGP subrecipients utilizing ESGP funds for renovation, rehabilitation or conversion must comply with the Lead Based Paint Poisoning and Prevention Act and the Residential Lead-Based Paint Hazard Reduction Act of 1992. Through renovation, rehabilitation or conversion, ESGP increases access to shelter without lead-based paint hazards.



## **POVERTY-LEVEL HOUSEHOLDS**

According to the American Community Survey for 2006 to 2008, Texas had a poverty rate of 16.3 percent during this time period compared to the national poverty rate of 13.2 percent. The federal government defined the poverty threshold in 2009 is \$22,050 for a family of four. Through an act of Congress, this threshold will not change until March 31, 2010; it currently remains at \$22,050. Poverty can be self-perpetuating, creating barriers to education, employment, health, and financial stability.

TDRA, TDHCA, and DSHS have an important role in addressing Texas poverty. These agencies seek to reduce the number of Texans living in poverty, thereby providing a better future for all Texans. This means trying to provide long-term solutions to the problems facing people in poverty and targeting resources to those with the greatest need.

### ***CDBG***

A substantial majority of Texas CDBG funds are obligated to cities and counties under the funding competitions meeting the national objective to “principally benefit low and moderate income persons”. Texas CDBG encourages the funding of communities with a high percentage of persons in poverty through its application scoring. The CDBG projects funded under this national objective are required to serve 51% low- to moderate-income persons; however, an application receives full points only if a minimum of 60% of the project beneficiaries are of low- to moderate-income. In addition, the CDBG allocation formula used to distribute Community Development funds among regions includes a variable for poverty in its community distress scoring. The percentage of persons in poverty for each region is factored into the allocation formula in order to target funding toward the greatest need.

In PY 2009, CDBG awarded 220 contracts under the National Objective of benefiting primarily low- to moderate-income persons. The \$62,878,897 in funds obligated for this National Objective in PY 2009 benefits 351,230 persons, of whom 219,814 are low- to moderate-income persons.

The CDBG economic development funds can be instrumental in creating infrastructure and jobs. By creating and retaining jobs through assistance to businesses and then providing lower income people access to these jobs, CDBG can be a very effective anti-poverty tool. This potential can be further maximized by providing jobs that offer workplace training and education, fringe benefits, opportunities for promotion, and services such as child care. In addition, programs that improve infrastructure affords the opportunity to upgrade existing substandard housing (such as in the colonias) and build new affordable housing where none could exist before.

### ***HOME and ESGP***

Through the HOME Tenant-Based Rental Assistance Program, TDHCA assists households with rental subsidy and security and utility deposit assistance for a period not to exceed twenty four months. As a condition to receiving rental assistance, households must participate in a self-sufficiency program, which can include job training, GED classes, or drug dependency classes. The HOME Program enables households to receive rental assistance while participating in programs that will enable them to improve employment options and increase their economic independence and self-sufficiency.

The ESGP Program funds activities that provide shelter and essential services for homeless persons, as well as intervention services for persons threatened with homelessness. Essential services for homeless

## *Other Actions*

---

### *Poverty*

persons include medical and psychological counseling, employment counseling, substance abuse treatment, transportation, and other services. In PY 2009, ESGP committed \$1,154,150 for essential services for homeless persons. These services are intended to help homeless individuals and those with poverty-level incomes improve their conditions and achieve self-sufficiency.

For individuals threatened with homelessness, homelessness prevention funds can be used for short-term subsidies to defray rent and utility arrearages for households receiving late notices, security deposits, and payments to prevent foreclosure. In PY 2009, ESGP committed \$2,362,619 for homelessness prevention activities. These services are intended to assist very low income households and those with poverty-level incomes avoid becoming homeless.

## **COMPLIANCE**

TDRA and TDHCA ensure compliance with program and comprehensive planning requirements through various compliance measures.

### ***CDBG***

The monitoring function of TDRA has four components: project implementation, contract management, audit, and monitoring compliance.

**Project Implementation:** Prior to the award of funds, each community is evaluated for compliance in prior contracts. The application scoring process at the state level includes a scoring factor for past performance on CDBG contracts. In addition, once a funding recommendation has been made the contract is routed through the Program Development, Operations, Legal, and Fiscal Operations Departments to verify that no outstanding issues in previously awarded contracts prevent the contract execution for the recommended award.

**Contract Management:** All open CDBG projects are assigned to a specific Regional Coordinator who is responsible for contract compliance and project management. All projects have formal contracts that include all federal and state requirements. Regional Coordinators monitor progress and compliance through formal reporting procedures. Program Specialists for Labor Standards and Environmental compliance also exist under the Project Management function. Additionally, all reimbursement requests require complete supporting documentation before payment is made.

**Audit:** The audit function is authorized by OMB A-133, which requires that governmental units and nonprofit organizations spending more than \$500,000 in either federal or state funds during their fiscal years ending after December 31, 2003, submit a copy of a Single Audit to the Agency. A Single Audit is required for desk review by TDRA regardless of whether there are findings noted in the audit pertaining to CDBG funds, since it is an additional monitoring tool used to evaluate the fiscal performance of grantees.

**Monitoring Compliance:** The on-site programmatic reviews are conducted on every CDBG contract prior to close-out to ensure the contractual obligations of each grant are met. The projects are considered available for review when 75 percent of the contracted funds have been drawn down, and for construction projects, when construction has been substantially completed. Interim monitoring reviews may be conducted as necessary. The areas reviewed include procurement procedures paid with CDBG funds or with match dollars, accounting records including copies of cancelled checks, bank statements and general ledgers (source documentation is reviewed at the time of draw requests), equipment purchases and/or procurement for small purchases, on-site review of environmental records, review of any applicable construction contracts, file review of any applicable client files for rehabilitation services, review of labor standards and/or a review of local files if internal staff used for construction projects, and a review of documentation on hand pertaining to fair housing and civil rights policies.

In addition to the formal monitoring function described above, the staff of the Compliance Division communicates with the staff of the Community Development Division as needed to evaluate issues throughout the contract implementation phase of CDBG contracts in order to identify and possibly resolve contract issues prior to the monitoring phase of the project.

## *Other Actions*

---

### *Compliance*

#### **HOME and ESGP**

TDHCA has established oversight and monitoring procedures within the Compliance and Asset Oversight and Community Affairs divisions to ensure that activities are completed and funds are expended in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes. TDHCA's monitoring efforts are guided by both its responsibilities under the HOME and ESG programs and its affordable housing goals for the State of Texas. These monitoring efforts include the following:

- Identifying and tracking program and project results
- Identifying technical assistance needs of subrecipients
- Ensuring timely expenditure of funds
- Documenting compliance with program rules
- Preventing fraud and abuse
- Identifying innovative tools and techniques that support affordable housing goals
- Ensuring quality workmanship in funded projects
- Long-term compliance

#### **Identifying and Tracking Program and Project Results**

HOME contract and project activities are tracked through the TDHCA Contract Database (CDB) system, including pending projects, funds drawn, and funds disbursed through the internet-based system, HUD's IDIS, and other reports generated as needed. The CDB provides information necessary to track the success of the program and identify process improvements and administrator training needs. IDIS tracks HOME Program data such as commitment and disbursement activities, the number of units developed, the number of families assisted, the ongoing expenditures of HOME funds, and beneficiary information.

Other resources utilized by TDHCA to track project results include asset management and a loan servicing division. If either of these areas identifies problems, steps are taken to resolve the issue, including project workouts and oversight of reserve accounts.

ESGP project and contract activities are tracked through TDHCA's website, which maintains an Oracle-based reports system. This system maintains funds drawn, funds expended, performance data, and other reports as needed. ESGP data such as commitment and disbursement activities, number of persons assisted, ongoing expenditures, and program activities are also tracked through HUD's IDIS.

#### **Identifying Technical Assistance Needs of Subrecipients**

Identification of technical assistance needs for HOME and ESGP subrecipients is performed through analysis of administrator management practices, analysis of sources used by TDHCA to track technical assistance such as information captured in the Central Database, review of documentation submitted, desk reviews based on the requirements identified in the Compliance Supplement and State Affordable Housing Program requirements, project completion progress, results of on-site audits and monitoring visits, and desk reviews conducted by Department staff.

## **Ensuring Timely Expenditure of Funds**

TDHCA ensures adequate progress is made toward committing and expending HOME and ESGP funds. Regular review of internal reports and data from IDIS is performed to assess progress of fund commitment and to ensure that all funds are committed by the expiration date of 24 months from the last day of the month in which HUD and TDHCA enter into an Agreement. Performance deadlines for spending and matching funds are reviewed on a quarterly basis to track expenditure totals. HOME set-aside requirements are also tracked.

## **Documenting Compliance with Program Rules**

Compliance with program rules is documented through contract administration and other formal monitoring processes. Staff document compliance issues as part of their ongoing contract management reviews and notify administrators of any noncompliance and required corrective action. On-site monitoring reviews are conducted every 1 to 3 years as determined by federal requirements. HOME rental developments may be monitored more frequently if a development continues to have uncorrected noncompliance, change in ownership, or any other risk factors determined by the Division. An on-site monitoring file review consists of reviewing 20% percent of the HOME funded units or a minimum of 5 units. A file review consist of reviewing tenant eligibility, rent restrictions, special needs or elderly requirements, income recertification and documentation for over-income households, lease contracts and good cause eviction addendum. A physical Uniform Physical Conditions Standards (UPCS) inspection of the development, buildings, and units is also completed. The UPCS inspection is typically conducted one to three months after the onsite file review. The Department has created a Physical Inspections section of the Compliance and Asset Oversight Division. UPCS inspections are conducted by eh Department's Inspection Specialist or by outside inspectors contracted by the Department. All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and Department policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreements.

TDHCA has developed a set of standards for HOME administrators to follow to ensure that subcontractors and lower-tiered organizations entering into contractual agreements with administrators perform activities in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes.

TDHCA has taken a proactive approach to ensure HOME administrators with any uncorrected noncompliance are not eligible to receive any additional funding. The Department maintains a database to document an administrator's compliance history with rental housing developments. Prior to the award of any new funding the Compliance and Asset Oversight Division conducts a previous participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance. If any issues are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all necessary corrective action to cure the violation. If the HOME administrator does not cure the issue(s), the application for funding will be terminated. TDHCA adopted this rule which is outlined in further detail in 10 TAC, Chapter 60, Subchapter A, §60.122. The compliance history is considered by TDHCA's Board prior to finalizing awards.

## *Other Actions*

---

### *Compliance*

#### **Preventing Fraud and Abuse**

TDHCA monitors for potential fraud and mismanagement of funds through the assistance of written agreements with HOME administrators and review of supporting documentation throughout the HOME contract period to ensure that activities are eligible, through information gathered from outside sources and Department staff, and through onsite monitoring visits of HOME and ESGP subrecipients. If fraud or mismanagement of funds is found, sanctions are enforced and disallowed costs are refunded to TDHCA. Also, if fraud or mismanagement of funds is suspected, TDHCA will make referrals and work closely with HUD, the State Auditor's Office, the Inspector General, the Internal Revenue Service, and local law enforcement agencies as applicable.

#### **Identifying Innovative Tools and Techniques that Support Affordable Housing Goals**

Staff identifies innovative tools and techniques to support affordable housing goals by attending trainings and conferences, maintaining contact with other state affordable housing agencies, and through the HUD internet listserv and HUD website.

#### **Ensuring Quality in Funded Projects**

Ensuring the administrator provides the committed product, amenities, and compliance with accessibility is a Departmental priority. Division staff monitors for the quality of workmanship in HOME-funded projects through the inspection process. TDHCA staff, in conjunction with Manufactured Housing Inspectors, conducts inspections to substantiate compliance with program standards and application commitments and representations. Deficiencies and concerns are identified at pre-construction plan review, mid construction and final construction inspections. The construction inspections consist of a sample of units based on size and unit type and related risk factors. If any deficiencies or concerns are identified during these inspections, the HOME administrator is notified in writing and provided a corrective action period. In addition, technical assistance is available and provided during the entire construction process. All identified deficiencies require correction prior to retainage release and final inspection clearance for all HOME rental developments.

TDHCA staff is trained in the design standards and technical requirements of Section 504, Rehabilitation Act of 1973, Fair Housing Act, and Model Construction Codes including Energy Efficiency Standards. TDHCA staff provides continuous training and technical assistance to Manufactured Housing Inspection Staff. The tools and training provided to field inspection staff include comprehensive inspection checklist, annual training class, one-on-one training in the field and weekly technical assistance memos to ensure accuracy and consistency. TDHCA is committed to ensuring all inspectors are trained thoroughly on the Division's procedures, expectations, and accessibility requirements.

#### **Long-Term Compliance**

The Compliance and Asset Oversight Division (CAO) is responsible for long term monitoring of HOME rental developments. Long-term monitoring begins at the commencement of leasing. CAO monitors performance by conducting desk reviews and performing on-site monitoring reviews. Desk reviews are required to be submitted electronically through the Department's web-based Compliance Monitoring and Tracking System (CMTS) throughout the affordability period. All HOME rental developments are required to submit electronic quarterly desk reports during the initial lease up phase. Once the development has achieved 100% occupancy and is in compliance with all program rules and regulations,

the development's reporting schedule is changed to an annual basis. All HOME rental developments are required to submit an electronic annual desk report and an Annual Owner's Compliance Report (AOCR) March 1 of each year.

At the commencement of leasing all HOME rental developments are scheduled for an on-site monitoring review. These developments are monitored throughout the affordability period. An on-site monitoring review consists of reviewing 20% percent, or 5 minimum, resident files to ensure compliance with income and rent restrictions and all other federal requirements. In addition, a physical inspection of the development, buildings, and units is completed. In 2007, the Division adopted HUD's Uniform Physical Condition Standards (UPCS) to ensure all rental developments are decent, safe, sanitary and in good repair. The UPCS inspections, with the exception of new construction rental developments, are completed by the Department's Contractor, Onsite Insight or Inspection staff. In addition, CAO staff attended training in November 2007 and November 2008 on UPCS inspection protocol. The physical inspection is not limited to health and safety issues, but also includes an on-going limited accessibility inspection with the construction requirements of Section 504, Rehabilitation Act of 1973, and Fair Housing.

All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and Department policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreement. If a HOME rental development fails to comply with requirements as listed above, the Department has implemented enforcement procedures and administrative penalties described in 10 TAC, Compliance Rules, Subchapters A and C.

## **Risk Management**

HOME contracts are monitored based on a risk assessment model that is updated on an annual basis or more frequently if required. Some of the elements of the Risk Assessment Model may include the type of activity, existence of a construction component, Davis-Bacon requirements, results of previous on-site visits, status of the most recent monitoring report, amount funded, previous administrator experience, entity type, and Single Audit status. In addition to the results of the risk assessment survey, referrals from division staff are considered when determining in depth monitoring reviews or required technical assistance. An emphasis is placed on monitoring of contracts within the current draw period and contracts with projects in the affordability period as defined by HUD.

If complaints are received by TDHCA, they are considered a risk management element and will be reviewed in detail. Supplemental monitoring activities will be performed to ensure program compliance and detection of possible fraud or mismanagement.

The Risk Assessment Model is also implemented for ESGP. Some of the elements of the Risk Assessment Model include the following: length of time since last on-site visit, results of last on-site visit, status of most recent monitoring report, timeliness of grant reporting, total amount funded during assessment period, total amount funded for all TDHCA contracts during assessment period, number of TDHCA contracts funded during assessment period, and Single Audit Status. In addition to the results of the risk assessment survey consideration is also given to recommendations made from other TDHCA divisions regarding performance with other TDHCA funded programs. All ESGP subrecipients are monitored annually.

## *Other Actions*

---

### *Compliance*

#### **Sanctions**

Based on the results of ongoing HOME monitoring, sanctions are imposed for noncompliance issues based on the severity of noncompliance, which may include delays in project set-ups, draw request processing, questioned/disallowed costs, suspension of the contract, or contract termination. When necessary, the Executive Director executes a referral to the State Auditor's Office for investigation of fraud as required by Section 321.022(a) of the Texas Government Code. Sanctions imposed affect future application requests and scoring. In addition, if fraud or mismanagement of funds is suspected, TDHCA will make referrals and work closely with HUD, the State Auditor's Office, the Inspector General, and local law enforcement agencies as applicable.

The results of ongoing ESGP monitoring will also determine if sanctions are imposed for noncompliance issues. Sanctions range from the use of the cost reimbursement method of payment, deobligation of funds, suspension of funds, and termination of the contract. TDHCA's legal staff is notified and referrals are made to the Attorney General's Office. Sanctions imposed affect the future consideration of ESGP applications for funding.



## **INSTITUTIONAL STRUCTURE**

Understanding that no single entity will be able to address the enormous needs of the State of Texas, TDRA, TDHCA, and DSHS support the formation of partnerships in the provision of housing, housing-related, and community development endeavors. This is especially important considering that the limited amount of financial resources available for affordable housing, community service, and community development activities can be a major obstacle for a single agency to try to address the needs of the state, partnering with other organizations, as well as fund layering and leveraging, helps to stretch those funds that are available.

TDRA, TDHCA, and DSHS are primarily pass-through funding agencies and distribute federal funds to local entities that in turn provide assistance to households. Because of this, the agencies work with many housing and community development partners, including consumer groups, community based organizations, neighborhood associations, community development corporations, councils of governments, community housing development organizations, community action agencies, real estate developers, social service providers, local lenders, investor-owned electric utilities, local government, nonprofits, faith-based organizations, property managers, state and local elected officials, and other state and federal agencies.

There are many benefits to these partnerships: risk and commitment are shared; the principle of reciprocity requires that local communities demonstrate an awareness of their needs and a willingness to participate actively in solving problems, therefore local communities play an active role in tailoring the project to their needs; partners are able to concentrate specifically on their area of expertise; and a greater variety of resources insure a well targeted more affordable product.

### ***CDBG***

CDBG funds are awarded to non-entitlement units of general local government thereby providing these communities with financial resources to respond to its community development needs. Such may include planning; constructing community facilities, infrastructure, and housing; and implementing economic development initiatives. Each applicant to the CDBG program is required through its citizen participation process to inform local housing organizations of its intention to apply for CDBG funding through the Texas CDBG and invite their input into the project selection process. Texas CDBG continues to coordinate with the Texas Department of Housing and Community Affairs, the Texas Department of Agriculture, the Texas Water Development Board, Annual State Agency Meeting on Rural Issues, and the 24 Regional Councils of Governments to further its mission and target beneficiaries of CDBG funds through programs such as the Colonia Self-Help Centers, the Colonia Economically Distressed Areas Program, the Housing Tax Credit Program, and the Texas Capital Fund.

### ***HOME and ESGP***

The HOME Program encourages partnerships in order to improve the provision of affordable housing. Organizations receiving HBA funds are required to provide homebuyer education classes to households directly, or coordinate with a local organization that will provide the education. In addition, organizations receiving TBRA funds must provide self-sufficiency services directly, or coordinate with a local organization that will provide the services.

## *Other Actions*

---

### *Affirmatively Furthering Fair Housing*

## **AFFIRMATIVELY FURTHERING FAIR HOUSING**

Detail on the state's progress to address the impediments identified in the Analysis of Impediments to Fair Housing Choice is included below, followed by actions taken by TDRA and TDHCA's programs included in the CAPER to affirmatively further fair housing.

### **Impediments to Fair Housing**

The following impediments were identified in the most recent State of Texas Analysis of Impediments to Fair Housing Choice from 2003. The State anticipates a new Analysis of Impediments report to be complete for the 2011 CAPER. The following section reports on progress made by the State on the impediments identified in the 2003 report. To see the entire 2003 Analysis of Impediments to Fair Housing report, go online at <http://www.tdhca.state.tx.us/housing-center/docs/04-Impediments-040511.pdf>.

The TDHCA Governing Board has approved an updating of the AI at their January 20, 2010 Board meeting. Staff is examining two separate AI's to provide additional information as we plan allocation of funds. Staff is anticipating that it will obtain an interim AI for areas that would be of benefit from disaster recovery funds to help direct the funds appropriated with Hurricanes Dolly and Ike. Once HUD has released new guidance on AI requirements, Texas plans to obtain a "balance of state" AI to determine how to fund the otherwise non-participating jurisdictions that are funded through the State of Texas. The final approval for this approach has not been authorized, but the State of Texas will be updating its AI prior to the next submission of the plan.

### **Summary of Impediment: Affordable Housing**

Texas does not have an adequate supply of affordable housing for extremely low-income Texans. Extremely low-income households are defined as earning 30 percent or less of the area median income.

#### *Progress on Impediment*

The State works with a network of federal, state, local and private affordable housing providers to increase the supply of housing for low-income Texans. The State, through federal and state funding sources, administers programs that assist with funding for the development of affordable housing, including housing that serves extremely low-income households. In addition to the federal funding that is administered by the State, the State also leverages the resources it administers with programs administered by other federal agencies that use their own funding in Texas, such as the U.S. Department of Housing and Urban Development (HUD) and the U.S. Department of Agriculture (USDA). Finally, the State works with housing finance corporations to assist them in their efforts to create new housing opportunities.

The following table shows the total number of multifamily units in the state financed or assisted through state and federal sources, including TDHCA, HUD, public housing authorities (PHAs), Section 8 Housing Choice Vouchers and USDA. The table also includes local HFCs, a category which includes the Texas State Affordable Housing Corporation. Please note that because some developments layer funding from multiple sources, there may be double counting.

TDHCA data includes multifamily developments awarded up until the end of fiscal year (FY) 2009, so not all units included in the total had been built at the time of this document’s publication. Additionally, the TDHCA unit totals only include those units that have income restrictions and do not include market-rate units that are available in some developments.

HUD unit data was obtained from HUD’s April 2007 report, *Multifamily Housing Inventory Survey of Units for the Elderly and Disabled*, available online at <http://www.hud.gov/offices/hsg/mfh/hto/state/tx.pdf>. Though the report title specifically references units available to the elderly and persons with disabilities, the report also contains information on family properties and therefore encompasses the full scope of HUD properties. Please note, however, that there may be double counting with units financed through other programs, including PHAs.

Numbers for current PHA units and Section 8 Housing Choice Vouchers were obtained from HUD’s *Housing Authority Profiles* data at <https://pic.hud.gov/pic/haprofiles/haprofilelist.asp>. TDHCA Section 8 vouchers are also included in this figure. USDA unit data was obtained directly from USDA staff in October 2007.

HFC data, including Texas State Affordable Housing Corporation data, was obtained from the Housing Finance Corporation Annual Report that HFCs are required to submit to TDHCA annually. The figure describes the total units financed by the HFCs through June 2008 and does not specify assisted units, so these unit totals will also include market-rate units in the area. Because the many HFC-financed developments also receive federal low income housing tax credits, allocated by TDHCA, these units are not included in the final state total.

### **Subsidized Multifamily Units**

	State Total	Percent of State Inventory
TDHCA Units	199,125	29.98%
HUD Units*	102,349	15.41%
PHA Units*	55,098	8.30%
Section 8 Vouchers	252,515	38.02%
USDA Units	55,052	8.29%
HFC Units**	97,592	N/A
<b>Total</b>	<b>664,139</b>	<b>100%</b>

\*Reflects the most updated numbers available.

\*\*Because HFC developments report total units and do not specify assisted units and that the majority of HFC-financed developments also receive housing tax credits from TDHCA, these units are not included in the final total.

## *Other Actions*

---

### *Affirmatively Furthering Fair Housing*

Of the total 664,139 units of subsidized multifamily housing in the state, the total number of affordable units for extremely low-income households is unknown. PHA and Section 8 units charge a percentage of income for their rent payment, regardless of amount of income, making all of those units (307,613) affordable to extremely low-income households, but some of those units may be accessed by households with higher incomes. Of TDHCA's Housing Tax Credit funded units, approximately three percent are reserved for those at or below 30 percent of median family income. However, according to the TDHCA's Housing Tax Credit 2010 reporting period, approximately 34 percent of households living in those units have incomes at or below 30 percent of median family income and 70 percent of those extremely low-income households have some kind of housing assistance, including Section 8 vouchers.

Furthermore, TDHCA's Housing Tax Credit Exchange Program allows more exchange dollars if a developer set aside more units that serve households at or below 30% of median family income and below. For example, if a developer set aside an additional 10% of the units at 30% AMFI, then the exchange rate would be \$.81. If the developer set aside an additional 20%, then the exchange rate would be \$.85. This incentive resulted in 753 additional affordable units for extremely low-income households.

In addition to the subsidized and/or assisted multifamily housing units listed above, TDHCA gave priority points under the Community Development Block Grant (CDBG) Hurricane Rita program to applicants applying to develop multifamily housing units for households at or below 30 percent of median family income. To date, this program has built 43 units for those at or below 30 percent of median family income, five percent of the total units built.

### **Summary of Impediment: Funding for Affordable Housing**

A large obstacle to meet the underserved housing needs in Texas is a severe shortage of funding sources to assist in the development of affordable housing stock.

#### *Progress on Impediment*

The State of Texas provides state funding, reflecting a commitment to the development of affordable housing and homeless services. The Housing Trust Fund Program receives several sources of funding from the State including general appropriations, multifamily bond issuance fees, loan repayments and other funds that are received and appropriated by TDHCA or the Legislature. The Housing Trust Fund provides an important flexible funding source. During the 81st Legislative Session, TDHCA received a significant increase to the General Revenue appropriated to the Housing Trust Fund, nearly doubling the prior biennial appropriation, for a total of \$21.9 million for the fiscal year 2010-11 biennium. During the 81st Legislative Session, the Legislature also appropriated \$20 million in general revenue funds over the biennium for the Homeless Housing and Services Program (HHSP) for the purposes of assisting regional urban areas in providing services to homeless individuals and families.

TDHCA has experienced an increase in funds due to the American Recovery and Reinvestment Act of 2009 (Recovery Act) and from CDBG funds for disaster recovery received from HUD for Hurricanes Ike and Dolly. TDHCA may receive up to approximately \$1.16 billion in Recovery Act funds if the TDHCA is awarded all pending applications and receives all eligible funds. In addition, TDHCA has received an additional \$620 million in CDBG funds for disaster recovery.

### **Summary of Impediment: Organizational Capacity**

A lack of organizational capacity, especially in rural areas of the state, prevents the development of needed affordable housing.

#### *Progress on Impediment*

Capacity building continues to be a priority for TDHCA. When funding is available for housing programs, the Department outreaches to stakeholders and housing providers, including outreach in rural areas of the state, and encourages participation in application workshops and provides technical assistance as needed. In addition, the Housing Trust Fund Rural Expansion program provides funding for housing in rural areas and provides intensive one-on-one technical assistance to the successful applicants through a procured technical assistance provider. The technical assistance provider will train the rural applicant on affordable housing development, so that not only will the funded project be successful but the community can retain the development expertise it acquired in the process and apply this to future affordable housing development efforts.

### **Summary of Impediment: Knowledge of Organizational Capacity**

Many communities are not aware of public and private resources available for affordable housing development.

#### *Progress on Impediment*

TDHCA continually works with stakeholders, developers, local governments, and consultants to educate the public about its programs and funding sources. TDHCA promotes information regarding programs and available funding through its website, email announcements, and by posting in the Texas Register. The HOME Division regularly contacts cities and counties to encourage them to apply for funds. Divisions in TDHCA also provide one-on-one technical assistance to community representatives interested in applying for funds. For those interested in applying for funding, TDHCA Divisions provide application workshops throughout the state. TDHCA regularly participates in meetings, conferences, and workshops throughout Texas to educate the community about our programs. During the last 12 months, TDHCA participated in or initiated approximately 200 outreach activities.

In establishing programs to utilize CDBG disaster recovery funds, TDHCA has worked and will continue to work with local program recipients to ensure that the programs developed and administered are widely known and that those who administer the funds have the necessary qualifications to do be successful.

### **Summary of Impediment: “Not In My Backyard” (NIMBY)**

A barrier to the implementation of affordable multifamily development arises when local objection to low-income housing (often referred to as “Not In My Backyard” or “NIMBY”), is mobilized to oppose development.

#### *Progress on Impediment*

In its applications and training seminars, TDHCA encourages developers to meet with local neighborhood groups, educate neighborhoods about the affordable housing development and the benefits of affordable housing for the community, and address any neighborhood concerns.

## *Other Actions*

---

### *Affirmatively Furthering Fair Housing*

TDHCA's Housing Tax Credit funded programs encourage developers to coordinate with neighborhood organizations. Because of their significant long-term financial investment in the development and community, developers usually want to work with local citizens and be a good neighbor. Therefore, it is not uncommon for developers to make reasonable alterations to the planned development in response to the concerns of area neighbors.

In addition to attending and commenting at public hearings on a particular development, individuals and neighborhood organizations are encouraged to work directly with the developer to gain a better understanding of the development in their communities. Open community meetings offer an opportunity to ask questions, express concerns, and have a productive dialogue between the developer and community. In addition, TDHCA maintains a list of resources for neighborhoods, including research on connections between affordable housing development and property values, on the Neighborhood Resources webpage at <http://www.tdhca.state.tx.us/housing-center/neighborhoods.htm>.

Finally, to the extent that TDHCA is considering a request for funding where there is such opposition, TDHCA is scrupulous in ensuring that the opposition is treated in accordance with law and that illegal discrimination is not condoned or rewarded.

### **Texas Affordable Housing Task Force Identified Impediments**

In 1997, the 75th Texas Legislature enacted SB 1852, which created the Texas Affordable Housing Task Force. The Task Force was comprised of 11 gubernatorial appointees representing the private sector industry, municipalities, code officials, public and community-based housing organization, and the general public.

The Task Force's purpose was to evaluate and identify federal, State, and local government regulations and policies that unnecessarily increase the cost of constructing or rehabilitating housing, create barriers to affordable housing for low-income Texans, and limit the availability of affordable housing.

### *Progress on Impediments from TDHCA*

The majority of the findings from the Task Force did not pertain to state government but were strictly under the control of local municipalities. Many of the regulatory barriers found at the state level in other states do not exist in Texas because the State of Texas gives local governments a great amount of authority over their jurisdictions. However, TDHCA does have two identified regulatory barriers to affordable housing: environmental regulations and public notice procedures which can create public opposition.

TDHCA's enforcement of federal environmental regulations, such as the National Environmental Policy Act, Endangered Species Act, the National Pollutant Discharge Elimination System, and the Wetland regulations, may increase the cost of housing. In Texas, rules to protect the environment are promulgated by the Texas Commission on Environmental Quality (TCEQ). These include rules for the installation of septic systems and for development over the Edwards Aquifer. The restrictions associated with the regulations can add to the cost of development which, in turn, may raise the cost of the housing thereby decreasing affordability.

TDHCA's notification process for multifamily developments may create public opposition. When a developer proposes an affordable housing development, regulations require that the developer notify local

community groups and state and local officials. The required public notification process provides notice to persons who may oppose affordable housing.

TDHCA's efforts to overcome regulatory barriers to affordable housing include taking steps to make it easier for developers and communities to comply with the regulations and acting as an information resource.

TDHCA mitigates the affects of its environmental and public notice regulatory barriers propagated by TDHCA. For example, TDHCA offers environmental compliance training free of charge for organizations that receive funding through TDHCA. These trainings are conducted throughout the state. In this way, TDHCA helps local communities comply with environmental rules.

TDHCA also acts as an information resource to assist localities overcome unnecessary regulatory barriers which may increase the cost of housing. TDHCA accomplishes this as follows:

- Pursuing opportunities to create and conduct policy research on service-enriched housing for persons with disabilities and seniors through the newly created Housing and Health Services Coordination Council;
- Continuing education programs such as the Texas Statewide Homebuyer Education Program, which provides lenders and homebuyer educators information on serving traditionally underserved populations (e.g. persons with disabilities, lower income populations); and
- Continuing research on defining and eliminating or reducing both state and local policy barriers.

To overcome the public opposition roused by public notice of affordable housing developments, TDHCA acts as an information resource for affordable housing studies and information. The public often has misconceptions on which populations actually need affordable housing. Affordable housing can allow productive members of the community to live in the same neighborhoods they serve. The public may also fear that affordable housing increases traffic, increases crime and lowers property values. In actuality, allowing people who serve the community to afford to live in the same community reduces traffic by reducing the distance between where people live and where they work. Furthermore, studies have not proven a link between affordable housing and crime; factors that negatively affect crime include community disinvestment, overcrowding, and lack of jobs and community services. In fact, affordable housing helps address several of these factors by allowing for community investment and alleviating overcrowding. Regarding property values, studies have proven that affordable housing can actually improve property values. By educating the public on the realities of affordable housing, TDHCA believes it can overcome public opposition.

#### *Progress on Impediments from Sources Other than TDHCA*

##### **Impact Fees**

The Task Force identified impact and development fees as impediments to affordable housing. For Housing Tax Credit developments, impact fees were not eligible tax credit expenses. In February 2002, the Internal Revenue Service issued Revenue Ruling 2002-9 that allows impact fees as eligible tax credit expenses.

## *Other Actions*

---

### *Affirmatively Furthering Fair Housing*

#### **Building Codes**

The Task Force suggested the adoption of a single code across Texas to reduce costs. In 2001, the Internal Revenue Service changed their monitoring regulations to require housing agencies to use local health, safety, and building codes or the Uniform Physical Conditions Standard (UPCS) to assess the physical condition of Housing Tax Credit developments. In Texas, building codes can vary from city to city and many areas do not have code enforcement at all. In 2005, to ensure a uniform inspection standard is used state wide, TDHCA adopted the UPCS for all its programs.

#### **Rural Median Incomes**

The Task Force identified problems related to the low median income of rural areas compared to urban areas of the state. Median incomes are used for calculating maximum rental rates, homeownership maximum purchase prices, and general programmatic eligibility, making a disincentive to develop in rural areas, where profits are limited. Effective July 30, 2008, the Housing Economic Recovery Act of 2008 increased the income limits for certain housing tax credit rural developments, as defined in Section 520 of the Housing Act of 1949, to the greater of the area median income limit or the national non-metropolitan income limit. In rural areas where the national non-metropolitan income limit is higher than the area median income limit, housing tax credit developments become more feasible due to the ability to serve a higher income households. This change reduced the disincentive to develop in rural areas.

#### **Texas Human Rights Commission Identified Issues (now the Civil Rights and Discrimination Division)**

Texas Workforce Commission-Civil Rights Division (TWCCRD), formerly known as the Texas Commission on Human Rights (TCHR), which was the first state agency to receive interim substantial equivalency certification by the U.S. Department of Housing and Urban Development (HUD) in 1990 under the 1988 amendments to the Federal Fair Housing Laws, was created by the Texas Legislature in 1983. The duties, functions, and responsibilities of TCHR were transferred from TCHR to the TWCCRD on March 1, 2004.

As the statewide Fair Housing Assistance Program (FHAP) for Texas, TWCCRD has jurisdiction throughout the state. TWCCRD is directly involved in preventing and eliminating discriminatory housing practices through the enforcement of the Texas Fair Housing Act, as amended, and codified in Texas Property Code, Chapter 301. This property code prohibits discrimination in housing transactions because of race, color, religion, sex, familial status, national origin and disability.

TWCCRD also conducts education and outreach programs in an effort to increase the public's knowledge and awareness of fair housing rights and responsibilities, improve complaint processing, and reducing housing discrimination.

## **Summary of Impediments**

### *Discrimination based on Fair Housing for those with Disabilities*

In state fiscal year 2009, the majority of housing cases closed statewide (includes cases filed with HUD and local FHAPs) and with TWCCRD were closed with no cause findings, 41.2% with the TWCCRD and 38.9% statewide. However, 39.8% with TWCCRD and 50% statewide were closed with merit resolutions. Merit resolutions are defined as cases with outcomes favorable to charging parties and/or charges with



meritorious allegations. Housing merit resolutions include cause findings, successful conciliations, and withdrawals with settlement.

An analysis of the merit resolutions resolved by TWCCRD show that 32.9 percent were based on disability. Although age is not a protected basis under the Texas Fair Housing Act, many of our Complainants who filed based on disability were elderly.

*Efforts to Alleviate Discrimination Based on Disabilities*

The merit resolution cases resolved by TWCCRD included terms that consisted of housing providers retrofitting properties to make them accessible and usable; amending their housing policies, procedures, and practices to ensure housing consumers; and fair housing training for housing providers to ensure housing consumers are not harmed by discriminatory practices.

The TWCCRD will continue its enforcement efforts and will continue to reach out to housing consumers, housing providers, state and local governments, and other fair housing stakeholders. Special emphasis will be placed on prevention of housing discrimination based on race and disability and in the areas of terms and conditions, non-compliance with design and construction, refusal to rent, and reasonable accommodations.

*Specifically Discrimination Based on Fair Housing for Seniors with Disabilities*

For the elderly disabled and others with disabilities, finding a housing opportunity can prove to be a difficult task filled with covert discriminatory practices by the housing provider. These covert discriminatory practices are often shadowed as concerns for the welfare and safety of elderly and/or disabled. “Although it is prohibited by the Fair Housing Act, some housing providers still require applicants to demonstrate that they are “capable of living independently,” and ask questions about their medical history as part of the application process.” (See Fact Sheet: The Illegality of “Independent Living” Requirements in Rental Housing, Assisted Living Centers and Continuing Care Retirement Communities).

The older adult population in Texas continues to grow and projections for 2000 to 2040 have some counties projected to grow well over 300%. Counties experiencing the greatest growth include Denton (872.8%), Collin (864.5%), Williamson (697.6%), and Hays (602.4%). (*Texas Demographics: Older Adults in Texas, April 2003.*)

Furthermore, it is expected that a large number of these elderly will either experience or have a loved one experience a disability at some point in their life time that may affect their housing opportunities.

*Efforts to Alleviate Discrimination Based on Disabilities*

Disability cases resolved include housing providers retrofitting of properties to make them accessible and usable; amending their housing policies, procedures, and practices to ensure housing consumers; and fair housing training for housing providers to ensure housing consumers are not harmed by discriminatory practices has made more housing available that meets the needs of seniors. The TWCCRD will continue its enforcement efforts and will continue to reach out to housing consumers, housing providers, state and local governments, and other fair housing stakeholders.

## *Other Actions*

---

### *Affirmatively Furthering Fair Housing*

#### *Discrimination Based on Ignorance of Fair Housing Laws*

The TWCCRD continues to see housing consumers and housing providers who are unaware of fair housing rights and responsibilities.

#### *Efforts to Alleviate Discrimination Based on Ignorance of Fair Housing Laws*

From 2005 to 2008, TWCCRD utilized funding from HUD to educate people living in Texas cities and counties throughout the state. Partnering with state and local governments, private housing providers, public housing providers, and other fair housing partners, TWCCRD distributed fair housing plain-English readable materials throughout the state. These materials informed Complainants about their fair housing rights and housing providers about their fair housing responsibilities.

#### *Discrimination Based On Homeowner Insurance Industry*

During fiscal year 2009, there were no homeowner insurance complaints filed with the TWCCRD, therefore TWCCRD has not focused extensive efforts in this area.

## **CDBG FAIR HOUSING ACTIVITIES**

In compliance with 24 CFR Part 570, §570.487, other applicable laws and related program requirements, the state has completed the required actions to affirmatively further fair housing. The State of Texas conducts training and provides educational material to the participating units of general local government on federal and state fair housing laws and procedures, including technical assistance. The following are examples of this performance:

### **Contractor Certifications**

All applicants to the CDBG fund must certify that they will take action to affirmatively further fair housing. This certification must be signed and submitted with the initial application for funding and is also included in the contract, if funded. This certification is discussed at the application workshops and is clearly noted in the application guides.

### **Civil Rights and Fair Housing Technical Assistance**

The Texas Community Development Block Grant Program has assigned a staff member to be responsible for the fair housing and civil rights requirements of the program. Staff addresses questions from the grantees and general public regarding civil rights and makes any appropriate referrals on an on-going basis. TDRA implemented a fair housing training for all staff including an overview of all related civil rights and fair housing laws, regulations and executive orders; discussion of fair housing activities that can be accomplished to comply with fair housing requirements and certifications; record keeping requirements; and the procedures to use regarding fair housing complaints.

### **Project Implementation Manual**

A copy of the Tx CDBG Project Implementation Manual was made available to all new grantees to assist them in the administration of project activities and to inform them of all the applicable laws and regulations. This manual includes a chapter regarding fair housing and a chapter on equal opportunity with detailed information, forms and checklists to ensure compliance with all regulations. This manual includes clear instructions so that city and county employees are able to understand and complete the necessary forms and requirements. Tx CDBG Project Implementation Manual workshops held across the state provided opportunities for grantees and administrative consultants to clarify these requirements as

needed. Beginning in PY 2009, administrative consultants must have attended a Tx CDBG Project Implementation Manual Workshop in order to be certified to administer Tx CDBG contracts.

### **Pre-Funding Site Visits**

TDRA staff conducted pre-funding site visits to all localities that were recommended for funding under the Community Development Fund. All CDBG grantees (contractor localities) are informed that they are required to conduct at least one fair housing activity during the contract period. During this personal visit the localities are informed of the Project Implementation Manual available on the TDRA website. A list of acceptable fair housing activities, samples of Fair Housing Ordinances (also contained in the manual) and a checklist of reporting and record keeping requirements of the CDBG program are provided in the Manual. Grantees are encouraged to pass fair housing ordinances and to update existing fair housing ordinances to include all federally protected classes. The fair housing ordinance must include a penalty clause and the locality must have the staff and the capacity to enforce the ordinance.

### **Availability of Fair Housing Posters and Brochures**

The Texas Community Development Block Grant Program distributed fair housing posters and brochures to participating cities and counties and requested that they be posted where city council meeting announcements and county commission meeting announcements are posted. In addition, copies of civil rights laws, various samples of public service announcements and fair housing ordinances, etc. are available and mailed upon request. Staff is encouraged to deliver posters to grantees to increase awareness of fair housing laws. The Texas Health and Human Rights Commission and TDRA also provide fair housing brochures and technical assistance upon request.

### **Fair Housing Expenses**

The CDBG utilizes funds from the technical assistance funding for the cost of providing fair housing technical assistance. This includes the cost of reproducing/printing fair housing brochures and memorandums; related postage; and the purchase of office supplies and materials. Additional funds were utilized on travel expenses to conferences and workshops as well as staff time.

As referenced above, Texas CDBG distributed Fair Housing Posters throughout the state. Further Tx CDBG staff stamp outgoing correspondence with the phrase “TDRA SUPPORTS FAIR HOUSING, IT’S RIGHT, IT’S FAIR, IT’S FOR EVERYONE!” to increase public awareness of the fair housing law. These fair housing activities were performed throughout the year and continue this year.

### **Monitoring of Civil Rights Requirements**

Texas CDBG administers on average between 800 to 1,000 open CDBG contracts throughout the year. Program Monitors review each contractor for civil rights requirements using a detailed checklist on civil rights and fair housing requirements. A review of the files includes, but is not limited to, the following:

- All bid documents and contracts must contain equal employment opportunity provisions including an equal opportunity plan.
- The Contractor locality must comply with Section 3 requirements and adopt an equal opportunity plan.

## *Other Actions*

---

### *Affirmatively Furthering Fair Housing*

- All Contractor localities are required to publish a notice of non-discrimination in a general circulation newspaper in the affected community and complete a Section 504 self-evaluation review.
- Contractor localities with 15 or more employees must have appointed a Section 504 coordinator, adopted grievance procedures, and notified all CDBG project participants that they must not discriminate on the basis of an individual's disability.
- The Texas Community Development Block Grant Program requires that each Contractor locality appoint a Fair Housing/Equal Opportunity Officer to be responsible for the fair housing and civil rights program requirements, and to take any possible complaints and make referrals, as necessary.
- Each Contractor locality is monitored closely to ensure that at least one fair housing activity was completed within the contract period.
- The project completion report must include a description of the fair housing activities conducted during the contract period.
- The project completion report also contains the breakout of beneficiaries by race, ethnicity, gender, and low/moderate income status.

Evidence of the civil rights and fair housing activities performed must be well-documented and available for review at the locality files. This evidence is reviewed by Program Monitors when conducting on-site monitoring visits. If documentation of these activities is not available at the time of the monitoring visit, the locality is provided with a written request for these documents and instructed to provide the evidence within 30 days. Contracts are not administratively closed until the civil rights and fair housing requirements are met.

### **Staff Outreach, Training, Conferences, and Workshops**

The Texas Health and Human Services Commission's Civil Rights Office has the responsibility to investigate claims of discrimination; to conduct new, periodic, and special compliance reviews of offices, programs and contractors; to provide training and guidance; and to take other appropriate steps to ensure that programs and services do not discriminate.

The staff members of the TDRA Field Offices attended various workshops to provide technical assistance regarding TDRA programs and fair housing issues. These staff members provide technical assistance in housing, community and economic development, and capacity building, and provide health-care related information for the rural areas. The staff members also provide limited information on TDHCA's housing programs and refer communities to the appropriate office.

In addition to TDRA Field Offices, Border Field Offices, operated by TDHCA's Office of Colonia Initiatives (OCI) and supported in part by CDBG funds, promote fair housing in border counties. The OCI staff provides one-on-one training and technical assistance on their housing and community affairs programs and services including Contract for Deed Conversion, Texas Bootstrap Loan Program, First-Time Homebuyer, and Contract for Deed Consumer Education. Furthermore, CDBG provides grants for colonia self-help centers in seven border counties. The centers provide on-site technical assistance and

conduct community development activities, housing activities, public service activities, infrastructure improvements, outreach and education.

## **HOME FAIR HOUSING ACTIVITIES**

This section describes TDHCA's efforts to affirmatively further fair housing through the HOME Program.

### **Monitoring and Compliance of the Fair Housing Requirements**

Fair Housing complaints received by TDHCA are forwarded directly to the Texas Workforce Commission Civil Rights Division, the Texas state agency responsible for enforcement of the Texas Fair Housing Act.

TDHCA's Compliance and Asset Oversight (CAO) division is responsible for on-site monitoring of all HOME rental developments. Compliance staff utilizes comprehensive checklists to review compliance with accessibility, fair housing, and affirmative marketing requirements.

- Accessibility requirements are monitored throughout the affordability period. All Rental housing developments must comply with Section 504 of the Rehabilitation Act of 1973, the Fair Housing Act and the Texas Accessibility Standards (TAS). Prior to the commencement of construction or rehabilitation, a plan review is conducted by Department staff to ensure compliance with the above mentioned accessibility standards. HOME rental developments also receive a mid-construction inspection by Department staff. At the completion of construction or rehabilitation, a final construction inspection is performed to ensure compliance with Section 504 and the design and construction requirements of the Fair Housing Act. If any deficiencies are noted, the owner is provided an opportunity to cure. Final retainage is not released until all deficiencies are corrected. A limited accessibility inspection is conducted with each on-site monitoring review to ensure the development's amenities and common areas continue to comply with applicable fair housing and Section 504 accessibility requirements.
- Fair Housing requirements are monitored by reviewing pertinent development documents. The property's written leasing criteria is reviewed to ensure objective standards are used for selecting tenants and for establishing applicant household eligibility to receive HOME assistance. Objective standards would include household income, rental history, credit history and criminal history. The criteria is also reviewed to ensure preferences do not discriminate on the basis of race, color, religion, sex, national origin, disability, or familial status.
- The Affirmative marketing plan and documentation of outreach efforts are reviewed in-depth during the on-site monitoring review. All HOME assisted properties containing 5 or more units must operate under an Affirmative Marketing Plan. The plan is reviewed to ensure it is property-specific and describes actions and marketing steps that will be utilized to provide information to attract eligible persons from all racial, ethnic, and gender groups in the housing market. The plan is required to be in writing and must specify methods for soliciting potential program applicants; such as persons least likely to apply and persons with disabilities. These groups must be identified and marketed to appropriately. Records of the Affirmative Marketing Plan is reviewed during the on-site monitoring visit ensure the development is appropriately marketing to persons with disabilities and service agencies that serve minority groups. Owners are also encouraged to make

## *Other Actions*

---

### *Affirmatively Furthering Fair Housing*

marketing materials in Non-English languages spoken by minority groups residing in or near the community of the property.

### **Fair Housing Conferences and Workshops**

Staff members of the TDHCA Field Offices attended various workshops to provide technical assistance regarding TDHCA housing programs and fair housing issues. USDA, local community services, lenders and realtors participated in these workshops.

### **Fair Housing Training**

State rules regarding developments require owners and architects to attend at least eight hours of Fair Housing training. To address capacity of applicants, the Department maintains a list of entities that provide ongoing training to ensure that training opportunities are shared with developers, architects, and TDHCA staff. Training attended by owners and architects that is offered by other entities may also satisfy this requirement. The Department also posts Fair Housing Training and Resources online.

### **Public Education and Outreach**

To address public opposition to low-income housing development, the Department has collected independent studies that illustrate how low-income housing developments affect neighborhood property values, schools, and economy. These studies can be found on the Department's website.

### **Efficient Use of Funds**

To address the limited availability of funding for affordable housing, the Department reviews contract performance and available fund balances to ensure the efficient use of funds. Furthermore, the Department has performance benchmarks in place that allow the Department to deobligate funds from non-performing contracts and reprogram these funds for low-income housing.

## **ESGP FAIR HOUSING ACTIVITIES**

This section describes TDHCA's efforts to affirmatively further fair housing through ESGP.

ESGP subrecipients, in providing rental assistance to homeless persons or persons who are at risk of homelessness due to a foreclosure or eviction or due to loss of utilities, ensure that owners or renters are not discriminated against. The Department's ESGP subrecipient contracts include a provision on compliance with the Fair Housing Act. Finally, the Department's monitoring of subrecipients includes a process where we review compliance with provisions of the McKinney-Vento Homeless Assistance Act, the ESGP contract, and other federal or State regulations.

## **GOALS AND OBJECTIVES**

This section describes those goals and objectives that pertain to the Community Development, Homeless, Housing activities. Non-Homeless Special Needs goals and objectives are included in Part II of this document.

### **COMMUNITY DEVELOPMENT GOALS AND OBJECTIVES: CDBG**

The following includes the reported outputs of key and non-key measures for CDBG goals as found in the TDRA Strategic Plan 2003-2007 and reported to the Legislative Budget Board for Fiscal Year 2009.

Number of new community and economic development contracts awarded.

FY 2008 Target:	325
FY 2008 Actual:	289

Number of projected beneficiaries from new contracts awarded.

FY 2008 Target:	483,000
FY 2008 Actual:	495,201

Number of programmatic monitoring visits conducted.

FY 2008 Target:	300
FY 2008 Actual:	308

Number of jobs created/retained through contracts awarded.

FY 2008 Target:	981
FY 2008 Actual:	313

Number of Single Audit reviews conducted.

FY 2008 Target:	150
FY 2008 Actual:	156

## Goals and Objectives

### ESGP and HOME

## HOMELESS AND HOUSING GOALS AND OBJECTIVES

### ESGP and HOME

The goals below, taken from the TDHCA Strategic Plan, reflect program performance during State Fiscal Year 2009 based upon measures developed with the State's Legislative Budget Board and Governor's Office of Budget and Planning. The goals are also based upon Riders attached to the Department's Appropriations. State Fiscal Year 2009 covers the period September 1, 2008 to August 31, 2009. The following performance measures are distinct from the HUD Performance Indicators reported in each program section of this document, which measure performance during the 2009 Program Year, February 1, 2009 through January 31, 2010.

**GOAL 1:** TDHCA will increase and preserve the availability of safe, decent and affordable housing for very low-, low- and moderate-income persons and families.

#### Strategy 1.1

Provide mortgage financing and homebuyer assistance through the Single-Family Mortgage Revenue Bond Program

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Number of single-family households assisted through the First Time Homebuyer Program	1,716	835	48	1,911

**Explanation of Variance:** Due to volatility in the housing market in 2009, the resulting mortgage crisis and the disruption in the investor markets, the Department has been unable to structure and make available a mortgage revenue bond program. Therefore, our performance figures are much lower than originally projected.

#### Strategy 1.2

Provide funding through the HOME Program for affordable single family housing

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Number of single-family households assisted with HOME funds	1,255	783	62%	952

**Explanation of Variance:** Due to programmatic changes and based on public input, there was an increase in the investment per unit, which resulted in a reduction of the total number of households assisted by Rehabilitation Activities. Additionally, due to current economic and housing market challenges, there was significantly less demand for Homebuyer Assistance. There was an increase in the number of households assisted through Tenant-Based Rental Assistance; the increase in the investment per household is reflected in households serving special needs families.

#### Strategy 1.3

Provide funding through the HTF program for affordable single family housing

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Number of single-family households assisted through the Housing Trust Fund	209	275	132%	344

**Explanation of Variance:** The Department received approximately \$5.8 million in appropriations for the Housing Trust Fund for the 2008-2009 biennium. In accordance with the funding plan, \$1,000,000 was awarded for the Texas Veterans Housing Assistance Program. During the third quarter, this program provided assistance to 30 Veteran Households. The Department also released a Homeownership SuperNOFA, making available \$1,000,000 from the annual appropriation. This program provided assistance to 83 Texas families. Additionally, the Department was able to award \$50,000 as gap financing for the Disaster Recovery effort in Southeast Texas, supporting the rehabilitation of 8 units. The Department was able to exceed the target due to



the lower amount of assistance provided per household for the gap financing for Disaster Recovery and Veteran's Housing Assistance programs.

**Strategy 1.4**

Provide tenant-based rental assistance through Section 8 certificates

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Number of households assisted through Statewide Housing Assistance Payments Program	1,494	956	64%	1,100

**Explanation of Variance:** The targeted measure of 1,494 voucher was developed when HUD provided Section 8 Housing Assistance Program funds based on a specified number of vouchers. The methodology for the allocation of Section 8 Housing Assistance Program funds has changed and the Department no longer received funds based on a specified number of vouchers but rather receives a set amount of funding that limits the number of households served to approximately 1,100 a year. Consequently, the number of households served will be below target.

**Strategy 1.5**

Provide federal tax credits to develop rental housing

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Number of multifamily households assisted with Housing Tax Credits	11,779	8,998	82%	10,928

**Explanation of Variance:** The Housing Tax Credit program activity for this measure is a combination of 4% (multifamily bond related) and 9% (competitive application cycle) rental development funding awards. The 4% credits are tied to the bond market which is experiencing a dramatic slowdown nationally due to the recession in the economy.

**Strategy 1.6**

Provide funding through the HOME Program for affordable multifamily housing

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Number of multifamily households assisted with HOME funds	526	620	118%	262

**Explanation of Variance:** The Department maintained a continuous open-cycle Notice of Funding Availability (NOFA) for rental development throughout FY 2009. Additional HOME funds that were deobligated from non-performing contracts were made available for this strategy. This allowed the Department to maintain a continuous award process and facilitate the timely commitment and expenditure of HOME funds in accordance with federal requirements.

**Strategy 1.7**

Provide funding through the Housing Trust Fund for affordable multifamily housing

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Number of multifamily households assisted through the Housing Trust Fund	800	160	20%	38

**Explanation of Variance:** The Department utilized all funds set-aside for the HTF Multifamily Housing Activity during the 3rd Quarter. Due to programming, the investment of HTF funds was fixed to subsidized units--those units required to assist very low or extremely low income during the affordability period. As a result, there has been a significant increase of investment per unit and a decrease in the estimated number of units assisted.

## Goals and Objectives

### ESGP and HOME

#### Strategy 1.8

Provide funding through the Mortgage Revenue Bond Program for affordable multifamily housing

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Number of households assisted through the Mortgage Revenue Bond Program	2,217	504	31%	1,627

**Explanation of Variance:** This measure is tied to the bond market which is experiencing a dramatic slowdown. Economic conditions in the equity markets have made it very difficult for developers to present financially feasible applications to the Department. This Strategy correlates to Strategy 1.1.

**GOAL 2:** TDHCA will promote improved housing conditions for extremely low-, very low- and low-income households by providing information and technical assistance.

#### Strategy 2.1

Provide information and technical assistance to the public through the Public Affairs Division and the Housing Resource Center

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Number of information and technical assistance requests completed	4,900	5,281	108%	5,000

**Explanation of Variance:** None needed.

#### Strategy 2.2

To provide technical assistance to colonias through field offices

Strategy Measure (A)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of on-site technical assistance visits conducted annually from the field offices	800	948	119%	800

**Explanation of Variance:** As the Department continues to improve the Texas Bootstrap Loan Program and the colonia SHC Program, OCI Field Staff continues to provide technical assistance to units of local governments and nonprofit organizations. The Texas Bootstrap Loan Program Reservation System has necessitated increased technical activities. In addition, technical assistance visits have increased for the colonia SHC Program due to environmental assessments, labor laws, changes to rules and processes and other related federal regulations.

Strategy Measure (B)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of colonia residents receiving assistance	8,000	11,707	146%	12,000

**Explanation of Variance:** The Department focuses on empowering the units of local government and nonprofit organizations to work with the colonia residents on a one-on-one basis through the colonia SHCs. Due to increased outreach efforts more colonia residents are utilizing the programs and activities of the colonia SHCs. In addition, targeted performance for 2009 has increased due to Val Verde County conducting two solid waste removal activities during the 3rd Quarter and one during the 4th Quarter and Webb County conducting one during the 3rd Quarter. This activity is reported as area wide and benefiting all colonia residents in the targeted area.

Strategy Measure (C)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of entities and/or individuals receiving informational resources	1,000	636	63%	1,000

**Explanation of Variance:** The Office of Colonia Initiatives (OCI) staff provides technical assistance to county governments and local nonprofits. Local nonprofits are the primary conduits of information since the Department (OCI) does not provide direct

services to individuals. As a consequence, a majority of informational resources is provided through our nonprofits and county governments rather than through OCI staff. It is also important to note that in FY2008 the OCI released a biennial NOFA under the Texas Bootstrap Loan Program.

**GOAL 3: TDHCA will improve living conditions for the poor and homeless and reduce the cost of home energy for very low-income Texans.**

**Strategy 3.1**

Administer homeless and poverty-related funds through a network of community action agencies and other local organizations so that poverty-related services are available to very low-income persons throughout the state.

Strategy Measure (A)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of persons assisted through homeless and poverty related funds	512,224	590,481	115%	531,498

**Explanation of Variance:** This measure is impacted by the number of persons assisted through the CSBG and ESGP. CSBG and ESGP subrecipients served 51,045 more persons in SFY 2009 than in 2008. Of that increase, 12,931 persons were assisted by ESGP subrecipients and 38,114 by CSBG subrecipients. There are more persons who are homeless or at risk of homelessness. CSBG subrecipients have also had an increase in the number of persons seeking assistance due to the increase in unemployment and poverty.

Strategy Measure (B)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of persons assisted that achieve incomes above poverty level.	2,200	1,770	80%	2,800

**Explanation of Variance:** The tough economic times facing Texas have impacted the ability of CSBG subrecipients assisting persons to transition out of poverty. Staff resources at the subrecipient level also have to focus on providing more emergency assistance and services to families facing unemployment and poverty.

Strategy Measure (C)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of shelters assisted through the Emergency Shelter Grant Program	76	77	105%	76

**Explanation of Variance:** None needed.

**Strategy 3.2**

Administer the state energy assistance programs by providing grants to local organizations for energy related improvements to dwellings occupied by very low-income persons and for assistance to very low-income households for heating and cooling expenses and energy related emergencies.

Strategy Measure (A)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of households assisted through Community Energy Assistance Program	51,502	129,907	252%	66,050

**Explanation of Variance:** Federal funding increased.

Strategy Measure (B)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of dwelling units weatherized through Weatherization Assistance Program	2,960	4,489	151%	3,809

**Explanation of Variance:** Federal funding increased.

## Goals and Objectives

### ESGP and HOME

**GOAL 4:** TDHCA will ensure compliance with the Texas Department of Housing and Community Affairs' federal and state program mandates.

#### Strategy 4.1

The Compliance and Asset Oversight Division will monitor and inspect for Federal and State housing program requirements.

Strategy Measure (A)	2009 Target	2009 Actual	% of Goal	2010 Target
Total number of monitoring reviews conducted	965	956	99%	864

**Explanation of Variance:** None needed.

Strategy Measure (B)	2009 Target	2009 Actual	% of Goal	2010 Target
Total number of units administered	252,766	248,191	98%	209,444

**Explanation of Variance:** None needed.

#### Strategy 4.2

The Compliance and Asset Oversight Division will administer and monitor federal and state subrecipient contracts for programmatic and fiscal requirements.

Strategy Measure (A)	2009 Target	2009 Actual	% of Goal	2010 Target
Total number of monitoring reviews conducted	258	593	229%	208

**Explanation of Variance:** More monitoring reviews were conducted than anticipated.

Strategy Measure (B)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of contracts administered	430	256	59%	525**

**Explanation of Variance:** During this reporting period, there were few new contracts which would result in contract administration. The numbers reported reflect activity on existing contracts.

\*\*The performance measure for 2010 has been changed to "Number of contracts monitored".

#### Strategy 5.1

Provide titling and licensing services in a timely and efficient manner.

Strategy Measure (A)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of manufactured housing statements of ownership and location issued	90,000	63,767	70.8%	80,000

**Explanation of Variance:** This measure is under the targeted amount due to the excessive number of applications which were incomplete, as a result of law changes effective January 2008. Approximately 38% of the applications received were rejected, but will ultimately be resubmitted for issuance.

Strategy Measure (B)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of licenses issued	4,000	2,318	57.9%	3,100

**Explanation of Variance:** Performance is under the targeted projection due to receiving fewer applications for new and renewed licenses. Effective in January 2008, the licenses were renewed every two years as opposed to every year, which caused a reduction in the number of renewals for this fiscal year.

**Strategy 5.2**

Conduct inspections of manufactured homes in a timely manner.

Strategy Measure (A)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of routine installation inspections conducted	6,000	4,801	80.0%	5,000

**Explanation of Variance:** The measure was below the targeted projection. However, there were 5,315 attempted inspections; but, due to lack of accessibility, only 4,801 could be successfully completed. If all attempted inspections had been successful, then the measure would have been exceeded. The Department is meeting the program's statutory requirement to inspect at least 25% of the installation inspections received. The actual year-to-date inspection rate is 38%.

Strategy Measure (B)	2009 Target	2009 Actual	% of Goal	2010 Target
Number of non-routine installation inspections conducted	2,200	2,239	101.8%	2,300

**Explanation of Variance:** No explanation required.

**Strategy 5.3**

To process consumer complaints, conduct investigations and take administrative actions to protect the general public and consumers.

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Number of complaints resolved	1,250	629	50.3%	850

**Explanation of Variance:** The Department has received fewer complaints than expected, resulting in fewer complaints resolved.

Goals six through eight are established in legislation as riders to TDHCA's appropriations, as found in the General Appropriations Act.

**GOAL 6:** TDHCA will target its housing finance programs resources for assistance to extremely low-income households.

**Strategy 6.1**

The housing finance divisions shall adopt an annual goal to apply \$30,000,000 of the division's total housing funds toward housing assistance for individuals and families earning less than 30 percent of median family income.

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Amount of housing finance division funds applied towards housing assistance for individuals and families earning less than 30 percent of median family income.	\$30,000,000	\$42,328,192	141%	\$30,000,000

**Explanation of Variance:** The performance is higher than expected because the Rider 5 report now captures actual incomes of households served by TDHCA and not projected income groups.

Note: For more information, see Rider 5 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act), 81st Legislature, Regular Session.

## Goals and Objectives

### ESGP and HOME

**GOAL 7:** TDHCA will target its housing finance resources for assistance to very low-income households.

#### Strategy 7.1

The housing finance divisions shall adopt an annual goal to apply no less than 20% of the division's total housing funds toward housing assistance for individuals and families earning between 31% and 60% of median family income.

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Percent of housing finance division funds applied towards housing assistance for individuals and families earning between 31% and 60% of median family income.	20%	56%	282%	20%

**Explanation of Variance:** The majority of TDHCA housing programs serve households under 60% of median family income. The Rider 5 Report includes Section 8, HOME Single Family, HOME Multifamily, Housing Trust Fund Single Family, Housing Trust Fund Multifamily, and Housing Tax Credit Programs.

Note: For more information, see Rider 5 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act), 81st Legislature, Regular Session.

**GOAL 8:** TDHCA will provide contract for deed conversions for families who reside in a colonia and earn 60 percent or less of the applicable area median family income

#### Strategy 8.1

Help colonia residents become property owners by converting their contracts for deed into traditional mortgages.

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Amount of TDHCA funds applied towards contract for deed conversions for colonia families earning less than 60% of median family income.	100	25	25%	100

**Explanation of Variance:** Rider 6 of the Department's appropriations act requires that the Department direct \$2,000,000 a year towards completing 100 contract for deed conversions. The decline in the number of contract for deed requests has inhibited the ability of the Department to attain the target.

Note: For more information, see Rider 6 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act), 81st Legislature, Regular Session.

The following TDHCA-designated goal addresses the housing needs of persons with special needs.

**GOAL 9:** TDHCA will work to address the housing needs and increase the availability of affordable and accessible housing for persons with special needs.

#### Strategy 9.1

Dedicate five percent (5%) of the HOME project allocation for benefits of persons with disabilities who live in any area of this state.

Strategy Measure	2009 Target	2009 Actual	% of Goal	2010 Target
Amount of HOME project allocation awarded to applicants that target persons with disabilities.	\$2,196,677	\$5,167,301	235%	\$2,000,000**

**Explanation of Variance:** These include funds from the Persons with Disabilities Set-Aside and HOME general funds that were used to assist households with persons with disabilities. It is important to note that while funds from the set-aside may be used anywhere in the state, HOME general funds may only be utilized in non-participating jurisdictions, those communities that do not receive funds directly from HUD.

\*\*Estimate based on \$40,000,000 prior to finalized Congressional appropriation.

Note: For more information, see 2306.111(c)(2).

**Strategy 9.2:**

Compile information and accurately assess the housing needs of and the housing resources available to persons with special needs.

**Strategy Activities:**

- Assist counties and local governments in assessing local needs for persons with special needs.
- Work with State and local providers to compile a statewide database of available affordable and accessible housing.
- Set up a referral service to provide this information at no cost to the consumer.
- Promote awareness of the database to providers and potential clients throughout the State through public hearings, the TDHCA web site as well as other provider web sites, TDHCA newsletter and local informational workshops.
- 

**Strategy 9.3:**

Increase collaboration between organizations that provide services to special needs populations and organizations that provide housing.

**Strategy Activities:**

- Promote the coordination of housing resources available among State and federal agencies and consumer groups that serve the needs of special needs populations.
- Continue working with agencies, advocates and other interested parties in the development of programs that will address the needs of persons with special needs.
- Increase the awareness of potential funding sources for organizations to access, to serve special needs populations, through the use of TDHCA planning documents, web site and newsletter.

**Strategy 9.4:**

Discourage the segregation of persons with special needs from the general public.

**Strategy Activities:**

- Increase the awareness of the availability of conventional housing programs for persons with special needs.
- Support the development of housing options and programs, which enable persons with special needs to reside in noninstitutional settings.

**PART II: CONSOLIDATED ANNUAL PERFORMANCE AND  
EVALUATION REPORT FOR HOPWA**



**Housing Opportunities for Persons with AIDS  
(HOPWA) Program**

**Consolidated Annual Performance and  
Evaluation Report (CAPER)  
Measuring Performance Outcomes**

---

OMB Number 2506-0133 (Expiration Date: 12/31/2010)

The HOPWA CAPER report for formula grantees provides annual information on program accomplishments in meeting the program's performance outcome measure: maintain housing stability; improve access to care; and reduce the risk of homelessness for low-income persons and their families living with HIV/AIDS. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning Regulations. The public reporting burden for the collection of information is estimated to average 45 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 68 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.



Overview. **The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives**

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

**The revisions contained within this edition are designed to accomplish the following: (1) provide for an assessment of unmet need; (2) streamline reporting sources and uses of leveraged resources; (3) differentiate client outcomes for temporary/short-term and permanent facility-based assistance; (4) clarify indicators for short-term efforts and reducing the risk of homelessness; and (5) clarify indicators for Access to Care and Support for this special needs population. In addition, grantees are requested to comply with the Federal Funding Accountability and Transparency Act 2006 (Public Law 109-282) which requires federal grant recipients to provide general information for all entities (including subrecipients) receiving \$25,000+ in federal funds.**

## Table of Contents

### PART 1: Executive Summary

1. Grantee Information
2. Project Sponsor Information
3. Contractor(s) or Subcontractor(s) Information
  - A. Grantee and Community Overview
  - B. Annual Performance under the Action Plan
  - C. Barriers or Trends Overview
  - D. Assessment of Unmet Housing Needs

### PART 2: Sources of Leveraging

### PART 3: Accomplishment Data

### PART 4: Summary of Performance Outcomes

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Assistance with Supportive Services

### PART 5: Worksheet - Determining Housing Stability Outcomes

### PART 6: Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)

**Continued Use Periods.** Grantees that use HOPWA funds for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for ten years for HOPWA-eligible beneficiaries. For the years in which grantees do not receive and expend HOPWA funding for these activities, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 5 in CAPER.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410.

**Definitions: Facility-Based Housing Assistance:** All HOPWA housing expenditures which provide support to facilities, including community residences, SRO dwellings, short-term or transitional facilities, project-based units, master leased units, scattered site units leased by the organization, and other housing facilities approved by HUD.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually; and six or fewer full-time equivalent employees. Local affiliates of national or larger organizations are not considered "grassroots."

**Housing Assistance Total:** The non-duplicated number of households receiving housing subsidies and residing in units of facilities that were dedicated to persons living with HIV/AIDS and their families that were supported with HOPWA or leveraged funds during this operating year.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

**Output:** The number of units of housing or households that receive HOPWA housing assistance during the operating year.

**Outcome:** The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support. The goal that eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care by 2011.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including reasonable costs for security deposits not to exceed two months of rental costs).

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Short-Term Rent, Mortgage and Utility Payments (STRMU):** Subsidy or payments subject to the 21-week limited time period to prevent the

homelessness of a household (e.g., HOPWA short-term rent, mortgage and utility payments).

**Stewardship Units:** Units developed, where HOPWA funds were used for acquisition, new construction and rehabilitation, but no longer receive operating subsidies. Report information for the units subject to the three-year use agreement if rehabilitation is non-substantial, and those subject to the ten-year use agreement if rehabilitation is substantial.

**Tenant-Based Rental Assistance: (TBRA):** An on-going rental housing subsidy for units leased by the client, where the amount is determined based in part on household income and rent costs. Project-based costs are considered facility-based expenditures.

**Total by Type of Housing Assistance/Services:** The non-duplicated households assisted in units by type of housing assistance dedicated to persons living with HIV/AIDS and their families or services provided that were supported with HOPWA and leveraged funds during the operating year

## Housing Opportunities for Persons with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report - Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 12/31/2010)

### **Part 1: Grantee Executive Summary**

As applicable, complete the charts below followed by the submission of a written narrative to questions A through C, and the completion of Chart D. Chart 1 requests general grantee information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their activities. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

### **1. Grantee Information**

<b>HUD Grant Number</b> TXH09-F999		<b>Operating Year for this report</b> <i>From (mm/dd/yy)</i> 02/01/2009 <i>To (mm/dd/yy)</i> 01/31/2010		
<b>Grantee Name</b> The State of Texas HOPWA Formula Program – Texas Department of State Health Services				
<b>Business Address</b>		P.O. Box 149347		
<b>City, County, State, Zip</b>		Austin	Travis	Texas 78714-9347
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		1-32-0113643-A2		<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>DUN &amp; Bradstreet Number (DUNs)</b>		807391511		
<b>Congressional District of Business Address</b>		10		
<b>*Congressional District(s) of Primary Service Area(s)</b>		n/a		
<b>*Zip Code(s) of Primary Service Area(s)</b>		n/a		
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>		n/a		n/a
<b>Organization's Website Address</b> www.dshs.state.tx.us		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>If yes, explain in the narrative section how this list is administered.</b>		
<b>Have you prepared any evaluation reports?</b> <i>If so, please indicate the location on an Internet site (url) or attach copy.</i> n/a				

\* Service delivery area information only needed for program activities being directly carried out by the grantee

**2. Project Sponsor Information**

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

<b>Project Sponsor Agency Name</b> Alamo Area Resource Center		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Randy Hinkle, Program Manager		
<b>Email Address</b>		<a href="mailto:randyh@aarcsa.com">randyh@aarcsa.com</a>		
<b>Business Address</b>		527 N. Leona, Bldg A, 3 <sup>rd</sup> Fl		
<b>City, County, State, Zip,</b>		San Antonio	Bexar	Texas 78207
<b>Phone Number (with area codes)</b>		(210) 358-9893		<b>Fax Number (with area code)</b> (210) 358-9953
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		74-2583211		
<b>DUN &amp; Bradstreet Number (DUNs)</b>		825117906		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>Congressional District of Business Location of Sponsor</b>		20		
<b>Congressional District(s) of Primary Service Area(s)</b>		14, 20, 23, 28		
<b>Zip Code(s) of Primary Service Area(s)</b>		78002, 78003, 78004, 78005, 78006, 78008, 78009, 78010, 78011, 78012, 78013, 78015, 78016, 78023, 78026, 78027, 78039, 78050, 78052, 78054, 78056, 78057, 78059, 78063, 78064, 78065, 78066, 78069, 78070, 78073, 78074, 78101, 78108, 78109, 78112, 78113, 78114, 78115, 78117, 78121, 78123, 78124, 78130, 78131, 78132, 78133, 78135, 78143, 78144, 78147, 78148, 78150, 78152, 78154, 78155, 78156, 78160, 78161, 78163, 78201, 78202, 78203, 78204, 78205, 78206, 78207, 78208, 78209, 78210, 78211, 78212, 78213, 78214, 78215, 78216, 78217, 78218, 78219, 78220, 78221, 78222, 78223, 78224, 78225, 78226, 78227, 78228, 78229, 78230, 78231, 78232, 78233, 78234, 78235, 78236, 78237, 78238, 78239, 78240, 78241, 78242, 78243, 78244, 78245, 78246, 78247, 78248, 78249, 78250, 78251, 78252, 78253, 78254, 78255, 78256, 78257, 78258, 78259, 78260, 78261, 78262, 78263, 78264, 78265, 78266, 78268, 78269, 78270, 78275, 78278, 78279, 78280, 78283, 78284, 78285, 78286, 78287, 78288, 78289, 78291, 78292, 78293, 78294, 78295, 78296, 78297, 78298, 78299, 78623, 78638, 78670, 78861, 78883, 78886		

<b>Project Sponsor Agency Name</b> Alamo Area Resource Center		<b>Parent Company Name, if applicable</b>			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Atascosa, Bandera, Berghem, Atascosa, Bandera, Berghem, Bigfoot, Boerne, Campbellton, Castroville, Centerpoint, Charlotte, Christine, Comfort, Devine, Helotes, Jourdanton, Kendalia, La Coste, Leming, Lytle, Macdona, Mico, Moore, Natalia, Pipe Creek, Pleasanton, Poteet, Rio Medina, Somerset, Spring Branch, Von Ormy, Waring, Adkins, Cibolo, Converse, Elmendorf, Falls City, Floresville, Geronimo, Hobson, La Vernia, McQueeney, Marion, New Braunfels, Canyon Lake, Pandora, Panna Maria, Poth, Universal City, Saint Hedwig, Schertz, Seguin, Stockdale, Sutherland Springs, Bulverde, San Antonio, Fischer, Kingsbury, Staples, Hondo, Tarpley, Yancey	Bexar, Comal, Wilson, Guadalupe, Gillespie, Kerr, Kendall, Frio, Medina, Atascosa, Kendall, and Bandera		
<b>Total HOPWA contract amount for this Organization</b>		\$95,000			
<b>Organization's Website Address</b>  http://www.aarcsa.com/		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>  Waitlisted clients are ranked on a first-come first-serve basis. Clients at the top of the list are contacted every six months in order to re-certify their need and interest in remaining on the waiting list.			

<b>Project Sponsor Agency Name</b> United Medical Centers Health Counseling Services		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Connie Villarreal, Program Coordinator			
<b>Email Address</b>	umchcsep@swbell.net			
<b>Business Address</b>	474 North Adams			
<b>City, County, State, Zip,</b>	Eagle Pass	Maverick	Texas	78852
<b>Phone Number (with area codes)</b>	(830) 773-9271		<b>Fax Number (with area code)</b> (830) 757-4239	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	741993570			

<b>Project Sponsor Agency Name</b> United Medical Centers Health Counseling Services		<b>Parent Company Name, if applicable</b>		
<b>DUN &amp; Bradstreet Number (DUNs)</b>	031926009	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	District 23			
<b>Congressional District(s) of Primary Service Area(s)</b>	District 23			
<b>Zip Code(s) of Primary Service Area(s)</b>	78852, 78840, 78832, 78829, 78880, 78873, 78834, 78801, 78830			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Eagle Pass, Del Rio, Bracketville, Batesville, Rocksprings, Leakey, Carrizo Springs, Uvalde, Big Wells	Maverick, Val Verde, Kinney, Uvalde, Zavala, Dimmitt, Edwards, Real, La Salle		
<b>Total HOPWA contract amount for this Organization</b>	\$25,200			
<b>Organization's Website Address</b> www.health.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input checked="" type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input checked="" type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>  Clients with children are given priority, then first come first served basis.		

<b>Project Sponsor Agency Name</b> Victoria City-County Health Department		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Paul M. Kelliher, Program Manager			
<b>Email Address</b>	pkelliher@vctx.org			
<b>Business Address</b>	2805 North Navarro			
<b>City, County, State, Zip,</b>	Victoria	Victoria	Texas	77901
<b>Phone Number (with area codes)</b>	361-578-6281		<b>Fax Number (with area code)</b> 361-578-7046	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-6002445			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	603165804	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	14			

<b>Project Sponsor Agency Name</b> Victoria City-County Health Department		<b>Parent Company Name, if applicable</b>	
<b>Congressional District(s) of Primary Service Area(s)</b>	14		
<b>Zip Code(s) of Primary Service Area(s)</b>	77901-77905		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Victoria	Victoria County	
<b>Total HOPWA contract amount for this Organization</b>	\$79,000		
<b>Organization's Website Address</b>  www.vctx.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The waiting list is updated every 6 months. Clients will be prioritized according to the following criteria: <ul style="list-style-type: none"> <li>➤ Date of initial request</li> <li>➤ AIDS diagnosis</li> <li>➤ # of affected individuals in household</li> </ul> Nature of emergency (STRMU only)	

<b>Project Sponsor Agency Name</b> Community Action, Inc of Hays, Caldwell, & Blanco Counties		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Danny Cedillo, Coordinator of Case Management		
<b>Email Address</b>	DCedillo@communityaction.com		
<b>Business Address</b>	101 Uhland Road Suite 101		
<b>City, County, State, Zip,</b>	San Marcos	Hays	Texas 78667
<b>Phone Number (with area codes)</b>	512-392-1161		<b>Fax Number (with area code)</b> 512-396-4255
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-1541726		
<b>DUN &amp; Bradstreet Number (DUNs)</b>	037318342		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>Congressional District of Business Location of Sponsor</b>	25		
<b>Congressional District(s) of Primary Service Area(s)</b>	25		

<b>Project Sponsor Agency Name</b> Community Action, Inc of Hays, Caldwell, & Blanco Counties		<b>Parent Company Name, if applicable</b>	
<b>Zip Code(s) of Primary Service Area(s)</b>	78666,78621,78644,78664, 78762		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	San Marcos, Lockhart, Burnet, Round Rock, Georgetown, Elgin, and Bastrop.	Hays, Caldwell, Blanco, Burnet, Bastrop, Williamson, Lee, Llano and Fayette.	
<b>Total HOPWA contract amount for this Organization</b>	\$23,000		
<b>Organization's Website Address</b> http://www.communityaction.com/	<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	<b>If yes, explain in the narrative section how this list is administered.</b> Community Action maintains separate waiting list for STRMU and TBRA eligible clients, as needed. Eligible clients are: HIV positive, income eligible, and have an identified housing need. The waiting list will be updated every six months or as needed. As funding becomes available families with young children and the disabled will receive priority consideration for services.		

<b>Project Sponsor Agency Name</b> Project Unity		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Ella McGruder, Program Director		
<b>Email Address</b>	Emcgruder@projectunity.org		
<b>Business Address</b>	3991 East 29 <sup>th</sup> Street		
<b>City, County, State, Zip,</b>	Bryan	Brazos	Texas 77802
<b>Phone Number (with area codes)</b>	979-595-2800	<b>Fax Number (with area code)</b> 979-764-8115	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-2932865		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	030539121	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	17		
<b>Congressional District(s) of Primary Service Area(s)</b>	17,6,10		
<b>Zip Code(s) of Primary Service Area(s)</b>	77801, 77802, 77803, 77805,77806, 77807, 77808, 77840, 77841, 77842, 77843, 77844, 77869, 77881, 77836, 77878, 77879, 77868, 77831, 75833, 75846, 77864, 77859, 77833, 77834, 77833		



<b>Project Sponsor Agency Name</b> Project Unity		<b>Parent Company Name, if applicable</b>		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Bryan, College Station, Navasota, Wellborn, Caldwell, Snook. Buffalo, Centerville, Normangee, Madisonville, Bremond, Hearne, Brenham.	Brazos, Burleson, Grimes, Leon, Madison, Robertson and Washington Counties.	
<b>Total HOPWA contract amount for this Organization</b>		\$67,000		
<b>Organization's Website Address</b> <a href="http://www.projectunitytx.org/">http://www.projectunitytx.org/</a>		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Each active application folder will be assigned an ordinal ranking at any given time based on the date of application completion. When a rental assistance slot becomes available (i.e., a client moves to Housing Choice Voucher funding, leaves the geographic area, dies, or is otherwise terminated from the program) the Case Manager will review all current applicants and determine if any have a relatively more serious and immediate need for housing than the client who is number one on the list. Consideration will be given with regard to housing safety, sanitation, space requirements, client immediate health condition, and other such negative concerns. If no one has a more serious need, the applicant in the first slot will be served with available funds. The applicant next in line will move up to the first position.		

<b>Project Sponsor Agency Name</b> San Angelo AIDS Foundation		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Rita Castro, Executive Director			
<b>Email Address</b>	Rita@saaf.net			
<b>Business Address</b>	334 West Concho			
<b>City, County, State, Zip,</b>	San Angelo	Tom Green	Texas	76903
<b>Phone Number (with area codes)</b>	325-658-3634		<b>Fax Number (with area code)</b> 325-658-3703	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-2332722			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	87-783-4929		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

<b>Project Sponsor Agency Name</b> San Angelo AIDS Foundation		<b>Parent Company Name, if applicable</b>	
<b>Congressional District of Business Location of Sponsor</b>	11		
<b>Congressional District(s) of Primary Service Area(s)</b>	11		
<b>Zip Code(s) of Primary Service Area(s)</b>	76901,76936,76950,76932, 76943,76951,76933,76837, 76825,76859,76849,76856, 76941		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	San Angelo, Eldorado, Sonora, Big Lake, Ozona, Sterling City, Bronte, Eden, Brady, Menard, Junction, Mason, Mertzon	Tom Green, Schleicher, Sutton, Regan, Crockett, Sterling, Coke, Concho, McCulloch, Menard, Kimble, Mason, and Irion.	
<b>Total HOPWA contract amount for this Organization</b>	\$52,000		
<b>Organization's Website Address</b>  http://www.saaf.net/		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Priority given to clients who are homeless or in imminent danger of being homeless because of their increased health risks due to HIV/AIDS.	

<b>Project Sponsor Agency Name</b> United Way of the Greater Fort Hood Area		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Robert Luckey, Program Director		
<b>Email Address</b>	reluckey@aol.com		
<b>Business Address</b>	208 West Avenue A		
<b>City, County, State, Zip,</b>	Killeen	Bell	Texas 76541
<b>Phone Number (with area codes)</b>	245-778-1444	<b>Fax Number (with area code)</b> 254-778-4302	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	741750544		
<b>DUN &amp; Bradstreet Number (DUNs)</b>	161440201	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	31		
<b>Congressional District(s) of Primary Service Area(s)</b>	31		

<b>Project Sponsor Agency Name</b> United Way of the Greater Fort Hood Area		<b>Parent Company Name, if applicable</b>		
<b>Zip Code(s) of Primary Service Area(s)</b>	76501,76502,76504,76513,76541, 76544,76549, 76542,76543,76548, 76559			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Temple, Belton, Killeen, Harker Heights, Nolanville	Bell		
<b>Total HOPWA contract amount for this Organization</b>	\$35,000			
<b>Organization's Website Address</b> <a href="http://www.unitedway-gfha.org/">http://www.unitedway-gfha.org/</a>		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> based on "first come, first serve" that will also take into consideration the seriousness of an individual's need, with those having the most limited resources and immediacy of need taking priority over those who have other housing options, even though the current options may not meet all the client's expectations.		

<b>Project Sponsor Agency Name</b> Waco/McLennan County Public Health District		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Laurel Churchman, Program Manager			
<b>Email Address</b>	LaurelC@ci.waco.tx.us			
<b>Business Address</b>	225 West Waco Drive			
<b>City, County, State, Zip,</b>	Waco	McLennan	Texas	76707
<b>Phone Number (with area codes)</b>	254-750-5499		<b>Fax Number (with area code)</b> 254-750-5480	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	1-74-6002468-4			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	075090779		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	11			
<b>Congressional District(s) of Primary Service Area(s)</b>	5,6,11			
<b>Zip Code(s) of Primary Service Area(s)</b>	76632, 76661, 75840, 75860, 76692, 76642, 76667, 76686, 76624, 76705, 76655, 76706, 76707, 76708			

<b>Project Sponsor Agency Name</b> Waco/McLennan County Public Health District		<b>Parent Company Name, if applicable</b>	
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Valley Mills, Chilton, Marlin, Fairfield, Teague, Whitney, Groesbeck, Mexia, Tehuacana, Axtell, Bellmead, Leroy, Lorena, Waco.	Bosque, Freestone, Hill, Limestone and McLennan counties.	
<b>Total HOPWA contract amount for this Organization</b>	\$78,000		
<b>Organization's Website Address</b> <a href="http://www.mclennanpublichealth.org/">http://www.mclennanpublichealth.org/</a>		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The waiting list will be maintained using the following criteria: HIV positive; Income eligible; Identified housing need as determined by the needs assessment; and are unable to receive TBRA due to insufficient HOPWA funds. Prioritization of the waiting list will be based on the following criteria, in this order: <ol style="list-style-type: none"> <li>a. Homeless or at risk of homelessness, within the next 3 months. Priority focus on individuals who do not have family or friends to stay with;</li> <li>b. Substandard housing</li> <li>c. Payment of rent and utilities is larger than 50% of income</li> </ol> Upon determining priority between two or more clients, if the above criteria are similar, then the next determining factor to consider will be whether the client has any dependants in their care. If it is determined that all the eligible clients have dependents then the final deciding factor will be the date of application. The waiting list will be reviewed quarterly. TBRA and STRMU waiting lists are separate.	

<b>Project Sponsor Agency Name</b> Dallas County Health and Human Services – HOPWA Program (Unit)		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Charletta Moaning, HOPWA Supervisor		
<b>Email Address</b>	CMoaning@dallascounty.org		
<b>Business Address</b>	2377 N. Stemmons Freeway, Suite 200		
<b>City, County, State, Zip,</b>	Dallas	Dallas	Texas 75207
<b>Phone Number (with area codes)</b>	214.819.2844	<b>Fax Number (with area code)</b> 214.819.6023	

<b>Project Sponsor Agency Name</b> Dallas County Health and Human Services – HOPWA Program (Unit)		<b>Parent Company Name, if applicable</b>		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-6000905			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	073128597	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	6			
<b>Congressional District(s) of Primary Service Area(s)</b>	3, 5, 24, 26, 30, 32			
<b>Zip Code(s) of Primary Service Area(s)</b>	75102, 75105, 75109, 75110, 75144, 75151, 75153, 75155, 76626, 76639, 76641, 76679, 76681			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Barry, Chatfield, Corsicana, Kerens, Powell, Rice, Blooming Grove, Dawson, Frost, Purdon, Richland	Navarro		
<b>Total HOPWA contract amount for this Organization</b>	\$2,000			
<b>Organization's Website Address</b> www.dallascounty.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The Dallas County Health and Human Services HOPWA waiting list for Navarro County is to be maintained on a first come, first served basis. The waiting list will reflect the name and date of which the eligible applicant is added to the waiting list. <u>Open Waiting List:</u> The waiting list is to remain open at all times, with the exception of creating false hope. As the waiting list grows and applicant's wait is for an unforeseeable amount of time, then the waiting list will close for a period of time. <u>Additions to the Waiting List:</u> Only eligible persons residing in the Navarro County area will be added to the waiting list.		

<b>Project Sponsor Agency Name</b> Your Health Clinic		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Gwynne Palmore, Executive Director			
<b>Email Address</b>	g.palmore@verizon.net			
<b>Business Address</b>	303 Sunset Blvd.			
<b>City, County, State, Zip,</b>	Sherman	Sherman	Texas	75092

<b>Project Sponsor Agency Name</b> Your Health Clinic		<b>Parent Company Name, if applicable</b>	
<b>Phone Number (with area codes)</b>	903.891.1972	<b>Fax Number (with area code)</b>	903.892.6093
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-2395756		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	879477875	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	4		
<b>Congressional District(s) of Primary Service Area(s)</b>	4,13,26		
<b>Zip Code(s) of Primary Service Area(s)</b>	75020, 75021, 75058, 75076, 75090, 75091, 75092, 75414, 75459, 75489, 75491, 75495, 76233, 76245, 76264, 76268, 76271, 76273, 75413, 75418, 75438, 75439, 75443, 75446, 75447, 75449, 75452, 75475, 75476, 75479, 75488, 75490, 75492, 76238, 76240, 76241, 76250, 76252, 76253, 76263, 76272		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Denison, Gunter, Pottsboro, Sherman, Bells, Howe, Tom Bean, Whitewright, Van Alstyne, Collinsville, Gordonville, Sadler, Southmayd, Tioga, Whitesboro, Bailey, Bonham, Dodd City, Ector, Gober, Honey Grove, Ivanhoe, Ladonia, Leonard, Randolph, Ravenna, Savoy, Telephone, Trenton, Windom, Era, Gainesville, Lindsay, Muenster, Myra, Rosston, Valley View	Grayson, Fannin, Cooke	
<b>Total HOPWA contract amount for this Organization</b>	\$55,000		
<b>Organization's Website Address</b> n/a	<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	<b>If yes, explain in the narrative section how this list is administered.</b> At the time that funds or spaces are not available, a waiting list will go into effect. This waiting list policy will be as follows: <u>First Come, First Served:</u> YHC's DSHS HOPWA waiting list for the above listed counties is to be maintained on a first come, first served basis. The waiting list will reflect the name and date of each eligible applicant as they are added onto the waiting list. All eligible applicants on the waiting list will complete a HOPWA application that will reflect the client's name and the date when this client was placed on the HOPWA waiting list.		

<b>Project Sponsor Agency Name</b> Planned Parenthood Center of El Paso * Contract terminated in June 2009 and transferred to Sun City Behavioral Health Care.		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Terri Ponce, Program Coordinator			
<b>Email Address</b>	Teresa.ponce@ppcep.org			
<b>Business Address</b>	1801 Wyoming, Ste 202A			
<b>City, County, State, Zip,</b>	El Paso	El Paso	Texas	79902
<b>Phone Number (with area codes)</b>	(915) 533-8621		<b>Fax Number (with area code)</b> (915) 533-8654	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-1157987			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	09-293-4413		<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	16			
<b>Congressional District(s) of Primary Service Area(s)</b>	16			
<b>Zip Code(s) of Primary Service Area(s)</b>	79901,79902,79904,79905, 79907, 79912, 79915, 79924, 79925, 79927, 79930, 79932, 79935,79936, 79938			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	El Paso, Presidio		Brewster, Culberson, El Paso, Hudspeth, Jeff Davis,	
<b>Total HOPWA contract amount for this Organization</b>	\$222,875			
<b>Organization's Website Address</b>  http://www.ppelpaso.com/	<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

<b>Project Sponsor Agency Name</b> Planned Parenthood Center of El Paso * Contract terminated in June 2009 and transferred to Sun City Behavioral Health Care.	<b>Parent Company Name, if applicable</b>
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	<b>If yes, explain in the narrative section how this list is administered.</b> The waiting list shall be revised periodically and at minimum on a quarterly basis. In the administration of the waiting list HOPWA Program Confidentiality Procedures will be adhered to at all times (see Chapter 8 Confidentiality). <b>Exemption from the Long-Term Waiting List Under Mitigating Circumstances</b> – An individual may be exempted from the waiting list or moved to the top of the waiting list if mitigating circumstances are determined. Exceptions to the waiting list are considered if it is deemed that a client meets specific criteria. The PPCEP CEO must approve all exemptions and the HOPWA Coordinator must justify and document all waiting list exemptions. The criterion for the emergency status is delineated as follows: An adult applicant is applying for HOPWA long-term assistance on behalf of a child who was orphaned as a result of parent(s) who are diseased as a result of HIV/AIDS or HIV/AIDS related causes and who is also infected with HIV/AIDS.

<b>Project Sponsor Agency Name</b> AIDS Coalition of Coastal Texas, Inc.		<b>Parent Company Name, if applicable</b>			
<b>Name and Title of Contact at Project Sponsor Agency</b>	Georgia Nelson, Program Director				
<b>Email Address</b>	Georgia1405@yahoo.com				
<b>Business Address</b>	707 Tremont				
<b>City, County, State, Zip,</b>	Galveston	Galveston	Texas	77550	
<b>Phone Number (with area codes)</b>	409-763-2437		<b>Fax Number (with area code)</b> 409-763-5482		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	76-0230757				
<b>DUN &amp; Bradstreet Number (DUNs)</b>	806086583		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	7 <sup>th</sup> District				
<b>Congressional District(s) of Primary Service Area(s)</b>	14 <sup>th</sup> District				
<b>Zip Code(s) of Primary Service Area(s)</b>	77457, 77458, 77465				



<b>Project Sponsor Agency Name</b> AIDS Coalition of Coastal Texas, Inc.		<b>Parent Company Name, if applicable</b>	
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Bay City, Palacios, Markham	Matagorda	
<b>Total HOPWA contract amount for this Organization</b>	\$20,000		
<b>Organization's Website Address</b> n/a		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>	

<b>Project Sponsor Agency Name</b> AIDS Foundation Houston, Inc.		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Vera L. Johnson, Vice President of Client Services			
<b>Email Address</b>	JohnsonV@afhouston.org			
<b>Business Address</b>	3202 Wesleyan, Annex			
<b>City, County, State, Zip,</b>	Houston	Harris	Texas	77027
<b>Phone Number (with area codes)</b>	713-623-6796		<b>Fax Number (with area code)</b> 713-623-4029	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	76-0073661			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	190074179		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	7			
<b>Congressional District(s) of Primary Service Area(s)</b>	22			
<b>Zip Code(s) of Primary Service Area(s)</b>	77412, 77434, 77442, 77460, 77475, 78934, 78935, 78943, 78951, 78962, 77320, 77334, 77340, 77341, 77342, 77343, 77344, 77348, 77349, 77358, 77367, 77420, 77432, 77435, 77436, 77437, 77443, 77448, 77453, 77454, 77455, 77467, 77488			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Altair, Eagle Lake, Garwood, Nada, Sheridan, Columbus, Alleyton, Glidden, Oakland, Weimar, Huntsville, Dodge, New Waverly, Riverside, Boling, Danevang, East Bernard, Egypt, El Campo, Glen Flora, Hungerford, Lane City, Lissie, Louise, Pierce, Wharton		Colorado, Walker & Wharton	
<b>Total HOPWA contract amount for</b>	\$30,000			

<b>Project Sponsor Agency Name</b> AIDS Foundation Houston, Inc.		<b>Parent Company Name, if applicable</b>	
<b>this Organization</b>			
<b>Organization's Website Address</b> www.aidshelp.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> A separate waiting list will be utilized for STRMU and TBRA programs. The wait list will include a section regarding the client outcome. Date client removed from the waiting list; Reason client removed from the waiting list (client no longer in need, service provided, etc.).	

<b>Project Sponsor Agency Name</b> Health Horizons of East Texas, Inc.		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Dr. Wilbert Brown Jr., Executive Director		
<b>Email Address</b>	drwilbertbrown@sbcglobal.net		
<b>Business Address</b>	412 North Street, Suite F		
<b>City, County, State, Zip,</b>	Nacogdoches	Nacogdoches	Texas 75961
<b>Phone Number (with area codes)</b>	936-569-8240	<b>Fax Number (with area code)</b> 800809741	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-2335884		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	800809741	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	1		
<b>Congressional District(s) of Primary Service Area(s)</b>	1,6,8		
<b>Zip Code(s) of Primary Service Area(s)</b>	75961, 75901, 75835, 75935, 75972, 75951, 75966, 75979, 75948, 77351, 75845, 77371		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Nacogdoches, Lufkin, Crockett, Center, San Augustine, Jasper, Newton, Woodville, Hemphill, Livingston, Groveton, Shepherd	Nacogdoches, Angelina, Houston, Shelby, San Augustine, Jasper, Newton, Tyler, Sabine, Polk, Trinity, San Jacinto	
<b>Total HOPWA contract amount for this Organization</b>	\$149,000		
<b>Organization's Website Address</b> n/a	<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

<b>Project Sponsor Agency Name</b> Health Horizons of East Texas, Inc.	<b>Parent Company Name, if applicable</b>
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	<b>If yes, explain in the narrative section how this list is administered.</b> The agency will maintain a separate waiting list for clients who are eligible for STRMU and TBRA. Medical Case Managers will request that clients be moved to the top of the HOPWA waiting list pertaining to STRMU or TBRA who: <ul style="list-style-type: none"> <li>• are living in unsafe conditions,</li> <li>• have major changes that may threaten homelessness (i.e. sudden and permanent loss of income),</li> <li>• are living in life threatening living situations</li> </ul>

<b>Project Sponsor Agency Name</b> Special Health Resources for Texas, Inc. – 4806 Tyler		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Bruce Wallace, Director of Client Services			
<b>Email Address</b>	bwallace@shrt.net			
<b>Business Address</b>	2020 Bill Owens Parkway, Suite 230			
<b>City, County, State, Zip,</b>	Longview	Gregg	Texas	75606-2709
<b>Phone Number (with area codes)</b>	903-234-0776		<b>Fax Number (with area code)</b> 903-234-9769	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-2405203			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	135826449		<b>Central Contractor Registration (CCR):</b> <b>Is the grantee's CCR status currently active?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	1			
<b>Congressional District(s) of Primary Service Area(s)</b>	1			
<b>Zip Code(s) of Primary Service Area(s)</b>	75601, 75602, 75604, 75701			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Longview, Tyler		Anderson, Camp, Cherokee, Gregg, Harrison, Henderson, Marion, Panola, Rains, Rusk, Smith, Upshur, Van Zandt, Wood	
<b>Total HOPWA contract amount for this Organization</b>	\$476,000			
<b>Organization's Website Address</b> www.shrt.org	<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

<b>Project Sponsor Agency Name</b> Special Health Resources for Texas, Inc. – 4806 Tyler	<b>Parent Company Name, if applicable</b>
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	<b>If yes, explain in the narrative section how this list is administered.</b> The waiting list is reviewed and updated by the 20 <sup>th</sup> of each month with applicants being taken in the order placed on the waiting list. Clients with minor children will have priority over other applicants.

<b>Project Sponsor Agency Name</b> Special Health Resources for Texas, Inc. - 4805 Texarkana		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Bruce Wallace, Director of Client Services			
<b>Email Address</b>	bwallace@shrt.net			
<b>Business Address</b>	2020 Bill Owens Parkway, Suite 230			
<b>City, County, State, Zip,</b>	Longview	Gregg	Texas	75606-2709
<b>Phone Number (with area codes)</b>	903-234-0776		<b>Fax Number (with area code)</b> 903-234-9769	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-2405203			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	135826449	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	1			
<b>Congressional District(s) of Primary Service Area(s)</b>	4			
<b>Zip Code(s) of Primary Service Area(s)</b>	75501, 75462			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Texarkana, Paris		Bowie, Cass, Delta, Franklin, Hopkins, Lamar, Morris, Red River, Titus	
<b>Total HOPWA contract amount for this Organization</b>	\$94,000			
<b>Organization's Website Address</b> www.shrt.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The waiting list is reviewed and updated by the 20 <sup>th</sup> of each month with applicants being taken in the order placed on the waiting list. Clients with minor children will have priority over other applicants.		

<b>Project Sponsor Agency Name</b> Triangle AIDS Network		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Bonnie Brooks, Executive Director		
<b>Email Address</b>		bonnie@tanbmt.com		
<b>Business Address</b>		2544 Broadway		
<b>City, County, State, Zip,</b>		Beaumont, TX	Jefferson	Texas 77702
<b>Phone Number (with area codes)</b>		409-832-8338	<b>Fax Number (with area code)</b> 409-832-9625	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		76-0226835		
<b>DUN &amp; Bradstreet Number (DUNS)</b>		609896378	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>		2		
<b>Congressional District(s) of Primary Service Area(s)</b>		2,8		
<b>Zip Code(s) of Primary Service Area(s)</b>		77702, 77640, 77630, 77656		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Beaumont, Orange, Port Arthur, Silsbee	Hardin, Jefferson & Orange counties	
<b>Total HOPWA contract amount for this Organization</b>		\$123,000		
<b>Organization's Website Address</b> www.tanbmt.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> A chronological waiting list for TBRA is maintained as applications are received. As a space becomes available, the next person on the list is notified by mail. A copy of the letter is also given to the applicant's case manager. A two-week period is given for a response. If there is no response by the applicant, the next person on the waiting list is notified. No waiting list for STRMU is maintained.		

<b>Project Sponsor Agency Name</b> Panhandle AIDS Support Organization		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Michael Timcisko, Executive Director			
<b>Email Address</b>	Michael@ppatp.org			
<b>Business Address</b>	1523 S. Taylor			
<b>City, County, State, Zip,</b>	Amarillo	Potter	Texas	79101
<b>Phone Number (with area codes)</b>	(806) 372-1050		<b>Fax Number (with area code)</b> (806) 331-0944	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-2219593			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	883196024		<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	13			
<b>Congressional District(s) of Primary Service Area(s)</b>	13, 19			
<b>Zip Code(s) of Primary Service Area(s)</b>	79019, 79255, 79257, 79039, 79068, 79080, 79097, 79027, 79043, 79063, 79201, 79230, 79095, 79022, 79087, 79045, 79226, 79237, 79226, 79054, 79057, 79065, 79066, 79233, 79239, 79245, 79261, 79040, 79081, 79018, 79022, 79014, 79036, 79078, 79083, 79007, 79008, 79024, 79034, 79046, 79005, 79013, 79029, 79036, 79086, 79070, 79005, 79001, 79092, 79325, 79009, 79035, 79101, 79102, 79103, 79104, 79105, 79106, 79107, 79108, 79109, 79110, 79111, 79114, 79116, 79117, 79118, 79119, 79120, 79121, 79124, 79159, 79166, 79168, 79172, 79174, 79178, 79185, 79187, 79189, 79015, 79016, 79042, 79059, 79084, 79052, 79088, 79061, 79079, 79096			

<b>Project Sponsor Agency Name</b> Panhandle AIDS Support Organization		<b>Parent Company Name, if applicable</b>		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Claude, Quitique, Silverton, Groom, Panhandle, Skellytown, White Deer, Dimmit, Hart, Nazareth, Childress, Dodson, Wellington, Dalhart, Texline, Hereford, Clarendon, Hedley, Howardwick, Lefors, McLean, Pampa, Estelline, Lakeview, Memphis, Turkey, Gruver, Spearman, Channing, Dalhart, Canadian, Fritch, Sanford, Stinnett, Borger, Darrouzett, Follett, Higgins, Booker, Cactus, Dumas, Fritch, Sunray, Perryton, Booker; Adrian, Vega, Farwell, Bovina, Friona, Amarillo, Canyon, Amarillo, Happy, Miami, Stratford, Texhoma, Kress, Tulia, Mobeetie, Shamrock, Wheeler		
<b>Total HOPWA contract amount for this Organization</b>		\$116,000		
<b>Organization's Website Address</b> www.panhandleASO.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>		

<b>Project Sponsor Agency Name</b> Permian Basin Community Centers for MHMR		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Anne Plumlee, Executive Director			
<b>Email Address</b>	AnnePlumlee@pbmhm.org			
<b>Business Address</b>	401 E Illinois			
<b>City, County, State, Zip,</b>	Midland	Midland	Texas	79701
<b>Phone Number (with area codes)</b>	(432) 570-3333		<b>Fax Number (with area code)</b> (432) 570-3375	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-1401776			

<b>Project Sponsor Agency Name</b> Permian Basin Community Centers for MHMR		<b>Parent Company Name, if applicable</b>		
<b>DUN &amp; Bradstreet Number (DUNs)</b>	074145561	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	11			
<b>Congressional District(s) of Primary Service Area(s)</b>	11, 19, 23			
<b>Zip Code(s) of Primary Service Area(s)</b>	79714, 79731, 79713, 79331, 79351, 79741, 79760, 79761, 79762, 79763, 79764, 79765, 79766, 79768, 79769, 79360, 79359, 79323, 79511, 79733, 79720, 79721, 79701, 79702, 79703, 79704, 79705, 79706, 79707, 79708, 79710, 79711, 79712, 79782, 79735, 79744, 79718, 79772, 79785, 79752, 79778, 79742, 79756, 79719, 79777, 79788, 79745, 79789			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Andrews, Crane, Ackerly, Lamesa, O'Donnell, Goldsmith, Odessa, Seminole, Seagraves, Denver City, Coahoma, Forsan, Big Spring, Ackerly, Midland, Stanton, Midland, Odessa, Fort Stockton, Iraan, Balmorhea, Pecos, Toyah, McCamey, Rankin, Grandfalls, Monahans, Barstow, Pyote, Wickett, Kermit, Monahans, Wink	Andrews, Borden, Crane, Dawson, Ector, Gaines, Glasscock, Howard, Loving, Martin, Midland, Pecos, Reeves, Terrell, Upton, Ward, Winkler		
<b>Total HOPWA contract amount for this Organization</b>	\$118,000			
<b>Organization's Website Address</b> www.pbmhmr.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Clients on waiting list are required to apply for Section 8 housing and first come first served basis.		

<b>Project Sponsor Agency Name</b> South Plains Community Action Association, Inc		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Leigh Arrington, Program Director			
<b>Email Address</b>	larrington@spscaa.org			
<b>Business Address</b>	3307 Avenue X			
<b>City, County, State, Zip,</b>	Lubbock	Lubbock	Texas	79411
<b>Phone Number (with area codes)</b>	806-771-0736		<b>Fax Number (with area code)</b> 806-771-3398	



<b>Project Sponsor Agency Name</b> South Plains Community Action Association, Inc		<b>Parent Company Name, if applicable</b>	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		75-1230219	
<b>DUN &amp; Bradstreet Number (DUNS)</b>		094254547	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>Congressional District of Business Location of Sponsor</b>		19	
<b>Congressional District(s) of Primary Service Area(s)</b>		13,19	
<b>Zip Code(s) of Primary Service Area(s)</b>		79347, 79346, 79379, 79322, 79343, 79357, 79229, 79370, 79235, 79241, 79330, 79356, 79311, 79032, 79041, 79250, 79072, 79073, 79313, 79336, 79338, 79358, 79367, 79372, 79031, 79312, 79339, 79064, 79082, 79371, 79311, 79329, 79401, 79402, 79403, 79404, 79405, 79406, 79407, 79408, 79409, 79410, 79411, 79412, 79413, 79414, 79415, 79416, 79423, 79424, 79430, 79452, 79453, 79457, 79464, 79490, 79491, 79493, 79499, 79350, 79364, 79366, 79363, 79364, 79382, 79381, 79383, 79351, 79373, 79381, 79244, 79256, 79316, 79376, 79345, 79378, 79323, 79355	
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Muleshoe; Morton, Whiteface; Crosbyton, Lorenzo, Ralls; Dickens; Spur; Floydada, Lockney; Post, Abernathy, Edmonson, Hale, Center, Petersburg, Plainview, Anton, Levelland, Opdyke, West, Ropesville, Smyer, Sundown; Earth, Amherst, Littlefield, Olton, Springlake Sudan, Buffalo Springs Village, Abernathy, Idalou, Lubbock, New Deal, Ransom Canyon, Shallowater, Slaton, Wolfforth; New Home, O'Donnell, Tahoka, Wilson, Matador, Roaring Springs, Brownfield, Meadow, Wellman, Denver City, Plains	Bailey, Cochran, Crosby, Dickens, Floyd, Garza, Hale, Hockley, King, Lamb, Lubbock, Lynn, Motley, Terry, Yoakum
<b>Total HOPWA contract amount for this Organization</b>		\$127,500	
<b>Organization's Website Address</b> <a href="http://www.spcaa.org/">http://www.spcaa.org/</a>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>	

<b>Project Sponsor Agency Name</b> Sun City Behavioral Health Care		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b> Kristi Daugherty, Program Manager				
<b>Email Address</b> kdaugherty@epmhm.org				
<b>Business Address</b> 2929 Montana Suite B				
<b>City, County, State, Zip,</b>	El Paso	El Paso	Texas	79903
<b>Phone Number (with area codes)</b>	915-351-4680		<b>Fax Number (with area code)</b> 915-351-3643	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-2928744			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	021913286		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	16			
<b>Congressional District(s) of Primary Service Area(s)</b>	16, 23			
<b>Zip Code(s) of Primary Service Area(s)</b>	79830, 79831, 79832, 79842, 79855, 78330, 79821, 79835, 79836, 79901, 79902, 79903, 79904, 79905, 79906, 79907, 79908, 79910, 79911, 79912, 79913, 79914, 79915, 79916, 79917, 79918, 79920, 79922, 79923, 79924, 79925, 79926, 79927, 79928, 79929, 79930, 79931, 79932, 79934, 79935, 79936, 79937, 79938, 79940, 79941, 79942, 79943, 79944, 79945, 79946, 79947, 79948, 79949, 79950, 79951, 79952, 79953, 79954, 79955, 79958, 79960, 79961, 79968, 79976, 79978, 79980, 79990, 79995, 79996, 79997, 79998, 79999, 88510, 88511, 88512, 88513, 88514, 88515, 88516, 88517, 88518, 88519, 88520, 88521, 88523, 88524, 88525, 88526, 88527, 88528, 88529, 88530, 88531, 88532, 88533, 88534, 88535, 88536, 88538, 88539, 88540, 88541, 88542, 88543, 88544, 88545, 88546, 88547, 88548, 88549, 88550, 88553, 88554, 88555, 88556, 88557, 88558, 88559, 88560, 88561, 88562, 88563, 88565, 88566, 88567, 88568, 88569, 88570, 88571, 88572, 88573, 88574, 88575, 88576, 88577, 88578, 88579, 88580, 88581, 88582, 88583, 88584, 88585, 88586, 88587, 88588, 88589, 88590, 88595, 79838, 79906, 79908, 79916, 79918, 79927, 79928, 79849, 79927, 79928, 79929, 79853, 79821, 79837, 79839, 79847, 79851, 79734, 79854, 79843, 79845, 79846			

<b>Project Sponsor Agency Name</b> Sun City Behavioral Health Care		<b>Parent Company Name, if applicable</b>		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Alpine, Marathon; Van Horn; Agua Dulce, Anthony, Canutillo, Clint, El Paso, Fabens, Fort Bliss, Horizon City, Morning Glory, Newman, Prado Verde, San Elizario, Socorro, Tornillo, Vinton, Westway; Dell City, Fort Hancock, Salt Flat, Sierra Blanca; Fort Davis, Valentine; Marfa, Presidio, Redford		Brewster, Culberson, El Paso, Hudspeth, Jeff Davis, Presidio
<b>Total HOPWA contract amount for this Organization</b>		\$260,235		
<b>Organization's Website Address</b> www.suncitybehavioral.org/		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>		

<b>Project Sponsor Agency Name</b> Coastal Bend AIDS Foundation		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Richard Sledz, Executive Director		
<b>Email Address</b>		richards@cbaaf.org		
<b>Business Address</b>		400 Mann Street Suite 800		
<b>City, County, State, Zip,</b>		Corpus Christi	Nueces	Texas 78412
<b>Phone Number (with area codes)</b>		361-814-2001		<b>Fax Number (with area code)</b> 361-883-1998
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		74-2429518		
<b>DUN &amp; Bradstreet Number (DUNS)</b>		791954167		<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>Congressional District of Business Location of Sponsor</b>		27		
<b>Congressional District(s) of Primary Service Area(s)</b>		27, 15, 14		
<b>Zip Code(s) of Primary Service Area(s)</b>		78410,78370,78409,78407,78402,78401 78405,78404,78416,78411,78417,78412 78415,78413,78414,78418,78419,78343 78380		

<b>Project Sponsor Agency Name</b> Coastal Bend AIDS Foundation		<b>Parent Company Name, if applicable</b>		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Corpus Christi	Aransas, Bee, Brooks, Duval, Jim Wells, Kenedy, Kleberg, Live Oak, McMullen, Nueces, Refugio & San Patricio		
<b>Total HOPWA contract amount for this Organization</b>	\$350, 800			
<b>Organization's Website Address</b> www.cbaf.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The waiting list is based on a needs assessment and waitlisted according to their ranking. Needs assessment is based on the following 1) Does the client have minor dependents living with him/her; 2) Does the client currently have housing; 3) The state of the clients health; 4) Does the client have the economic need. Each area is scored based on three (3) points, one (1) being the least amount of need and three (3) being the highest.		

<b>Project Sponsor Agency Name</b> City of Laredo Health Department		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Manuel Sanchez, Program Director			
<b>Email Address</b>	msanchez@ci.laredo.tx.u			
<b>Business Address</b>	2600 Cedar Avenue			
<b>City, County, State, Zip,</b>	Laredo	Webb	Texas	78040
<b>Phone Number (with area codes)</b>	(956) 795-4905		<b>Fax Number (with area code)</b> (956) 795-2035	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-60015732			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	618150460	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	27			
<b>Congressional District(s) of Primary Service Area(s)</b>	27			
<b>Zip Code(s) of Primary Service Area(s)</b>	78040, 78041, 78042, 78043, 78046			

<b>Project Sponsor Agency Name</b> City of Laredo Health Department		<b>Parent Company Name, if applicable</b>		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Laredo, Hebbronville, Zapata, Rio Grande	Webb, Zapata, Starr, Jim Hogg		
<b>Total HOPWA contract amount for this Organization</b>	\$83,700			
<b>Organization's Website Address</b> www.cityoflaredo.com/health.htm		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Clients on the waiting list for TBRA are given priority status if they meet the following criteria, regardless of date when services were requested: <ul style="list-style-type: none"> <li>• Has an AIDS diagnosis</li> <li>• Has dependents under the age of 18</li> <li>• Has exhausted the HOPWA program's short-term assistance cap and is in eminent risk of becoming homeless</li> </ul>		

<b>Project Sponsor Agency Name</b> Valley AIDS Council		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Mr. Charles R. Smith			
<b>Email Address</b>	Crsmith.vac@tachc.org			
<b>Business Address</b>	418 E. Tyler, Suite A			
<b>City, County, State, Zip,</b>	Harlingen	Cameron	Texas	78550
<b>Phone Number (with area codes)</b>	(956) 428-2653		<b>Fax Number (with area code)</b> (956) 428-0056	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-2512591			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	002686186		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	27,15			
<b>Congressional District(s) of Primary Service Area(s)</b>	27,15			
<b>Zip Code(s) of Primary Service Area(s)</b>	78580, 78550, 78501			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Raymondville, Harlingen, McAllen		Willacy, Cameron, Hidalgo	

<b>Project Sponsor Agency Name</b> Valley AIDS Council		<b>Parent Company Name, if applicable</b>	
<b>Total HOPWA contract amount for this Organization</b>		\$345,000	
<b>Organization's Website Address</b> www.valleyaids.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>	

<b>Project Sponsor Agency Name</b> AIDS Resources of Rural Texas - Abilene		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Lisa Justice, Executive Director		
<b>Email Address</b>		ljustice@aidsresources.com		
<b>Business Address</b>		3116 N 1 <sup>st</sup> Street		
<b>City, County, State, Zip,</b>		Abilene	Taylor	Texas 79063
<b>Phone Number (with area codes)</b>		817-596-3022		<b>Fax Number (with area code)</b> 817-596-0900
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		752501442		
<b>DUN &amp; Bradstreet Number (DUNs)</b>		008548752		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>Congressional District of Business Location of Sponsor</b>		19		
<b>Congressional District(s) of Primary Service Area(s)</b>		11,13,19		
<b>Zip Code(s) of Primary Service Area(s)</b>		75938,76363, 76371, 76380, 76388, 76424, 76429, 76430, 76432, 76435, 76436, 76437,76442, 76443, 76444, 76445, 76446, 76448, 76450, 76452, 76454, 76455, 76462,76463, 76464, 76466, 76468, 76469, 76470, 76471, 76474, 76475, 76491, 76531, 76801, 76802, 76803, 76804, 76821, 76823 76827, 76828, 76834, 76845, 76857, 76861, 76865,76873, 76875, 76878, 76882, 76884, 76888, 76890, 76933, 76945, 79227, 79370, 79501, 79502, 79503, 79504,79505, 79506, 79508, 79510, 79512, 79516, 79517, 79518, 79519, 79520, 79521, 79525, 79526, 79527, 79528, 79529, 79530, 79532 79533, 79534, 79535, 79536, 79537, 79538, 79539, 79540, 79541, 79543 79544,79545, 79546, 79547, 79548, 79549, 79550, 79553, 79556, 79560 79561, 79562, 79563, 79565, 79566,79567,79601, 79602,79603,79604 79605,79606,79607,79608,79697,79698,79699,79720		

<b>Project Sponsor Agency Name</b> AIDS Resources of Rural Texas - Abilene		<b>Parent Company Name, if applicable</b>	
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Bangs, Blanket, Brownwood, Early, Brookesmith, Grosvenor, Indian Creek, Lake Brownwood, Lake Shore May, Shamrock Shores, Thrifty, Zephyr, Eula, Baird, Clyde, Cross Plains, Putnam, Coleman, Novice, Santa Anna, Burkett, Content, Echo, Fisk, Goldsboro, Gouldbusk, Leaday, Mozelle, Rockwood, Silver Valley, Talpa, Valera, Voss, Whon, Comanche, De Leon, Gustine, Energy, Hasse, Proctor, Sidney, Carbon, Cisco, Eastland, Gorman, Ranger, Rising Star, Desdemona, Olden, Roby, Rotan, Longworth, McCaulley, Raytown, Royston, Sylvester, Haskell, O'Brien, Rochester, Rule, Weinert, Jud, Rolls, Sagerton, Anson, Hamlin, Hawley, Lueders, Stamford, Avoca, Radium, Tuxedo, Jayton, Girard, Benjamin, Goree, Knox City, Munday, Colorado City, Loraine, Westbrook, Buford, Cuthbert, Iatan, Blackwell, Roscoe, Sweetwater, Claytonville, Inadale, Maryneal, Nolan, Palava, Pyron, Wastella, Ballinger, Miles, Winters, Bethel, Blanton, Bradshaw, Crews, Drasco, Happy Valley, Hatchel, Norton, Pony, Pumphrey, Rowena, Shep, Wilmeth, Wingate, Snyder, Clairemont, Dermott, Dunn, Fluvanna, Hermleigh, Ira, Union, Albany, Moran, Breckenridge, Caddo, Aspermont, Old Glory, Peacock, Abilene, Buffalo Gap, Impact, Lawn, Merkel, Trent, Tuscola, Tye, Blair, Hamby, Noodle, Ovalo, Potosi, Stith, Throckmorton, Woodson	Brown, Callahan, Coleman, Comanche, Eastland, Fisher, Haskell, Jones, Kent, Knox, Mitchell, Nolan, Runnels, Scurry, Shackelford, Stephens, Stonewall, Taylor, Throckmorton	
	<b>Total HOPWA contract amount for this Organization</b>	\$60,000	
<b>Organization's Website Address</b>  http://aidsresources.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

<b>Project Sponsor Agency Name</b> AIDS Resources of Rural Texas - Abilene		<b>Parent Company Name, if applicable</b>	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> ARRT HOPWA staff will assure local demand for housing is documented via two waiting lists maintained for Rental Assistance and Emergency Assistance. Those clients requesting for HOPWA assistance when ARRT HOPWA funds are insufficient to meet their request will be put on a waiting list. The case manager will enter the client on the waiting list with the date once their HOPWA request has been denied. Clients who receive HOPWA funds after initially being denied will have their names removed from the list and the date noted. This list will be updated at least every six months.	

<b>Project Sponsor Agency Name</b> AIDS Resources of Rural of Texas - Weatherford		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Lisa Justice, Executive Director			
<b>Email Address</b>	ljustice@aidsresources.com			
<b>Business Address</b>	1105 Santa Fe Drive, Ste 109			
<b>City, County, State, Zip,</b>	Weatherford	Parker	Texas	76086
<b>Phone Number (with area codes)</b>	817-596-3022		<b>Fax Number (with area code)</b> 817-596-0900	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	752501442			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	008548752		<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	12			
<b>Congressional District(s) of Primary Service Area(s)</b>	12,13,17,31			
<b>Zip Code(s) of Primary Service Area(s)</b>	76008,76009,76020,76023,76028,76031,76033,76035,76036,76043,76044,76048,76049,76050,76052,76058,76059,76061,76063,76066,76067,76068,76070,76071,76073,76077,76078,76082,76084,76085,76086,76087,76088,76093,76097,76098,76108,76126,76225,76234,76246,76247,76249,76259,76267,76270,76401,76402,76426,76429,76431,76433,76436,76439,76444,76445,76446,76449,76450,76453,76457,76458,76461,76462,76463,76465,76467,76472,76475,76476,76484,76485,76486,76487,76490,76649,76690			



<b>Project Sponsor Agency Name</b> AIDS Resources of Rural of Texas - Weatherford		<b>Parent Company Name, if applicable</b>		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Dublin, Stephenville, Bluff Dale, Lingleville, Morgan Mill, Cresson, deCordova, Granbury, Lipan, Tolar, Paluxy, Alvarado, Briaroaks, Burleson, Cleburne, Cross Timber, Godley, Grandview, Joshua, Keene, Rio Vista, Venus, Lillian, Gordon, Graford, Mineral Wells, Mingus, Palo Pinto, Strawn, Fort Wolters, Salesville, Santo, Aledo, Annetta, Annetta North, Annetta South, Azle, Cool, Hudson Oaks, Millsap, Sanctuary, Springtown, Weatherford, Willow Park, Dennis, Peaster, Poolville, Whitt, Glen Rose, Nemo, Rainbow, Alvord, Aurora, Boyd, Bridgeport, Chico, Decatur, Lake Bridgeport, New Fairview, Newark, Paradise, Rhome, Runaway Bay, Greenwood, Slidell		
<b>Total HOPWA contract amount for this Organization</b>		\$50,000		
<b>Organization's Website Address</b> http://aidsresources.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> ARRT HOPWA staff will assure local demand for housing is documented via two waiting lists maintained for Rental Assistance and Emergency Assistance. Those clients requesting for HOPWA assistance when ARRT HOPWA funds are insufficient to meet their request will be put on a waiting list. The case manager will enter the client on the waiting list with the date once their HOPWA request has been denied. Clients who receive HOPWA funds after initially being denied will have their names removed from the list and the date noted. This list will be updated at least every six months.		

<b>Project Sponsor Agency Name</b> Wichita Falls Wichita County Public Health District		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		DeWayne Robertson, Program Manager		
<b>Email Address</b>		DeWayne.Robertson@cwftx.net		
<b>Business Address</b>		1700 Third Street		
<b>City, County, State, Zip,</b>		Wichita Falls	Wichita	Texas 76301

<b>Project Sponsor Agency Name</b> Wichita Falls Wichita County Public Health District		<b>Parent Company Name, if applicable</b>	
<b>Phone Number (with area codes)</b>	940-761-7892	<b>Fax Number (with area code)</b>	940-761-7679
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	175600007142000		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	059463133	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	13		
<b>Congressional District(s) of Primary Service Area(s)</b>	13, 19		
<b>Zip Code(s) of Primary Service Area(s)</b>	76351,76380, 76365, 79248, 79227, 79252, 76458, 76301-76310, 76354, 76367, 76384, 76385, 76450		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Archer city, Seymour, Henrietta, Paducah, Crowell, Quanah, Jacksboro, Wichita Falls, Burkburnett, Iowa Park, Vernon, Graham	Archer, Baylor, Cottle, Clay, Foard, Hardeman, Jack, Montague, Wichita, Wilbarger, Young	
<b>Total HOPWA contract amount for this Organization</b>	\$61,000		
<b>Organization's Website Address</b> n/a		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Clients on the HOPWA waiting list are prioritized based upon need established by the client and EIP Case Management team.	

### 3. Subrecipient Information

In Chart 3, provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assist the grantee or project sponsors to carry out their administrative or service delivery functions. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

<b>Subrecipient Name</b>		<b>Parent Company (if applicable)</b>		
County of Bexar		n/a		
<b>Name and Title of Contact at Subrecipient</b>	Aurora M. Sanchez, Executive Director			
<b>Email Address</b>	asanchez@bexar.org			
<b>Business Address</b>	233 N. Pecos, Suite 590			
<b>City, State, Zip, County</b>	San Antonio	TX	78207	San Antonio
<b>Phone Number (with area code)</b>	210-335-3421		<b>Fax Number (with area code)</b>	
			210-335-6755	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-6002039			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	070487020	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b>		
		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>North American Industry Classification System (NAICS) Code</b>	n/a			
<b>Congressional District of Location</b>	20			
<b>Congressional District of Primary Service Area</b>	n/a			
<b>Zip Code of Primary Service Area(s)</b>	n/a			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a		n/a	
<b>Total HOPWA Contract Amount</b>	\$199,200			

<b>Subrecipient Name (Administrative Agency name)</b>		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b>		
Brazos Valley Council of Governments		N/A		
<b>Name and Title of Contact at Subrecipient</b>	Crystal Crowell, Program Manager			
<b>Email Address</b>	ccrowell@bvcog.org			
<b>Business Address</b>	P.O. Drawer 4128			
<b>City, State, Zip, County</b>	Bryan	TX	77805-4128	Brazos
<b>Phone Number (with area code)</b>	979-595-2801 X2224		<b>Fax Number (with area code)</b>	

<b>Subrecipient Name (Administrative Agency name)</b> Brazos Valley Council of Governments		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b> N/A	
		979-595-2815	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-1562020		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	010788610	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>North American Industry Classification System (NAICS) Code</b>	921190		
<b>Congressional District of Location</b>	17		
<b>Congressional District of Primary Service Area</b>	n/a		
<b>Zip Code of Primary Service Area(s)</b>	n/a		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a	n/a	
<b>Total HOPWA Contract Amount</b>	\$ 255,000		

<b>Sub recipient Name (Administrative Agency name)</b> Houston Regional HIV/AIDS Resource Group, Inc. (The Resource Group)		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b> n/a	
<b>Name and Title of Contact at Sub recipient</b>	Nikita Lamar, Quality Compliance Coordinator		
<b>Email Address</b>	nlamar@hivresoucegroup.org		
<b>Business Address</b>	500 Lovett Blvd. , Suite 100		
<b>City, State, Zip, County</b>	Houston	TX	77006 Harris
<b>Phone Number (with area code)</b>	713 526 1016, Ext. 107		<b>Fax Number (with area code)</b> 713 526 2369

<b>Sub recipient Name (Administrative Agency name)</b> Houston Regional HIV/AIDS Resource Group, Inc. (The Resource Group)		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b> n/a	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	760414232		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	876909847	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>North American Industry Classification System (NAICS) Code</b>	n/a		
<b>Congressional District of Location</b>	7		
<b>Congressional District of Primary Service Area</b>	n/a		
<b>Zip Code of Primary Service Area(s)</b>	n/a		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a	n/a	
<b>Total HOPWA Contract Amount</b>	\$892,000		

<b>Subrecipient Name (Administrative Agency name)</b> Lubbock Regional Mental Health Mental Retardation Center		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b>		
<b>Name and Title of Contact at Subrecipient</b>	Sabina Siddiqui, Contract Specialist, HIV Services			
<b>Email Address</b>	ssiddiqu@lubbockmhmr.org			
<b>Business Address</b>	1602 10 <sup>th</sup> street, P. O. BOX 2828			
<b>City, State, Zip, County</b>	Lubbock	TX	79408	Lubbock
<b>Phone Number (with area code)</b>	806-767-1622		<b>Fax Number (with area code)</b> 806-766-0250	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-1297691			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	098786460	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

HOPWA

<b>Subrecipient Name (Administrative Agency name)</b> Lubbock Regional Mental Health Mental Retardation Center		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b>	
<b>North American Industry Classification System (NAICS) Code</b>	n/a		
<b>Congressional District of Location</b>	19		
<b>Congressional District of Primary Service Area</b>	n/a		
<b>Zip Code of Primary Service Area(s)</b>	n/a		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a	n/a	
<b>Total HOPWA Contract Amount</b>	\$621,735		

<b>Subrecipient Name (Administrative Agency name)</b> South Texas Development Council		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b> n/a		
<b>Name and Title of Contact at Subrecipient:</b>	Amando Garza, Jr.- Executive Director			
<b>Email Address</b>	Amando Garza, Jr.: agarzajr@stdc.cog.tx.us			
<b>Business Address</b>	Physical Address: 1002 Dicky Lane, Laredo, Texas 78043 Mailing Address: P.O. Box 2187, Laredo, Texas 78044			
<b>City, State, Zip, County</b>	Laredo	TX	78043	Webb
<b>Phone Number (with area code)</b>	956-722-3995		<b>Fax Number (with area code)</b> 956-722-2670	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-1666921			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	062390661	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>North American Industry Classification System (NAICS) Code</b>	n/a			
<b>Congressional District of Location</b>	28			
<b>Congressional District of Primary Service Area</b>	n/a			
<b>Zip Code of Primary Service Area(s)</b>	n/a			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a	n/a		
<b>Total HOPWA Contract Amount</b>	\$779,500			

<b>Subrecipient Name (Administrative Agency name)</b> Tarrant County		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b>		
<b>Name and Title of Contact at Subrecipient</b>	Margie Drake, HIV Grants Manager			
<b>Email Address</b>	mdrake@tarrant county.com			
<b>Business Address</b>	1101 S. Main St., Suite 2500			
<b>City, State, Zip, County</b>	Fort Worth	Texas	76104	Tarrant
<b>Phone Number (with area code)</b>	(817) 321-4747		<b>Fax Number (with area code)</b> (817) 321-4737	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	7560011706			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	068365220	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>North American Industry Classification System (NAICS) Code</b>	923120			
<b>Congressional District of Location</b>	12			
<b>Congressional District of Primary Service Area</b>	n/a			
<b>Zip Code of Primary Service Area(s)</b>	n/a			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a		n/a	
<b>Total HOPWA Contract Amount</b>	\$171,000			

<b>Subrecipient Name (Administrative Agency name)</b> Dallas County Health and Human Services		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b> n/a		
<b>Name and Title of Contact at Subrecipient</b>	Crystee Cooper-Walton, Grants Management Officer			
<b>Email Address</b>	CWalton@dallascounty.org			
<b>Business Address</b>	Dallas County Health and Human Services HIV Grants Management 2377 N. Stemmons Freeway, Suite 200			
<b>City, State, Zip, County</b>	Dallas	Texas	75207-2710	Dallas

<b>Subrecipient Name (Administrative Agency name)</b> Dallas County Health and Human Services		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b> n/a	
<b>Phone Number (with area code)</b>	214.819.1842	<b>Fax Number (with area code)</b> 214.819.6023	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-6000905		
<b>DUN &amp; Bradstreet Number (DUNs)</b>	073128597	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>North American Industry Classification System (NAICS) Code</b>	n/a		
<b>Congressional District of Location</b>	26		
<b>Congressional District of Primary Service Area</b>	n/a		
<b>Zip Code of Primary Service Area(s)</b>	n/a		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a	n/a	
<b>Total HOPWA Contract Amount</b>	\$57,000		

<b>Subrecipient Name (Administrative Agency name)</b> Planned Parenthood Center of El Paso * Contract terminated in June 2009 and transferred to Lubbock MHMR.		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b>		
<b>Name and Title of Contact at Subrecipient</b>	Beatriz E. Vera, Agency Manager			
<b>Email Address</b>	Beatriz.vera@ppcep.org			
<b>Business Address</b>	1801 Wyoming, Suite 202A			
<b>City, State, Zip, County</b>	El Paso	TX	79902	El Paso
<b>Phone Number (with area code)</b>	(915) 533-8621 x 12		<b>Fax Number (with area code)</b> (915) 533-8654	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-1157987			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	092934413	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>North American Industry</b>	n/a			



<b>Subrecipient Name (Administrative Agency name)</b> Planned Parenthood Center of El Paso * Contract terminated in June 2009 and transferred to Lubbock MHMR.		<b>Parent Company (if applicable, this would apply if the AA is part of a larger “parent” company)</b>	
<b>Classification System (NAICS) Code</b>			
<b>Congressional District of Location</b>	16		
<b>Congressional District of Primary Service Area</b>	n/a		
<b>Zip Code of Primary Service Area(s)</b>	n/a		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a		n/a
<b>Total HOPWA Contract Amount</b>	\$222,875		

**A. Grantee and Community Overview**

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Situated within a comprehensive network of HIV care services, the Texas HOPWA Formula program addresses the unmet housing and supportive services needs of people living with HIV/AIDS (PLWHA) and their families in Texas by providing housing assistance and supportive services to income-eligible individuals; these services are integrated with the larger Ryan White Program both in administration and service delivery, which in turn is integrated into the larger, multi-sectoral system for delivering treatment and care to these clients. The goals of the HOPWA program are to help low-income HIV-positive clients establish or maintain affordable and stable housing, to reduce the risk of homelessness, and to improve access to health care and supportive services. As of the end of 2008, 63,019 persons were known to be living with HIV/AIDS in Texas<sup>3</sup>, and housing is consistently cited as a service gap in every service area in Texas<sup>4</sup>.

The HOPWA Formula program is administered by the TB/HIV/STD Unit - HIV/STD Prevention and Care Services Branch of the Department of State Health Services (DSHS) and provides the following services:

**Tenant-Based Rental Assistance (TBRA) program:** The TBRA program provides tenant-based rental assistance to eligible individuals until they are able to secure other affordable and stable housing.

**Short-Term Rent, Mortgage, and Utilities (STRMU) assistance program:** The STRMU program provides short-term rent, mortgage, and utility payments to eligible individuals for a maximum of 21 weeks of assistance in a 52-week period.

**Supportive Services program:** The Supportive Services program provides case management, basic telephone service and assistance to purchase smoke detectors to eligible individuals.

**Permanent Housing Placement Services (PHP):** The PHP program provides assistance for housing placement costs which may include application fees, related credit checks, and reasonable security deposits necessary to move persons into permanent housing.

**Areas of service coverage within jurisdiction:** The HOPWA Formula program serves all 254 counties in Texas.

**Grant Management:** DSHS distributes HOPWA funds via formula to seven\* Administrative Agencies (AAs), who in turn competitively select Project Sponsors to directly deliver HOPWA services based on the local housing needs assessments of each area. DSHS reserves three percent of the total HOPWA award for administrative costs. Project Sponsors are allowed up to seven percent of their Project Sponsor grant amount for administrative costs. The HIV/STD Prevention and Care Services Branch has a team of consultants and managers that are assigned to monitor the contract activities of the AAs. This monitoring involves periodic site visits, technical assistance visits, and the submission of monthly billing reports and quarterly progress reports. AAs are required to comply with HUD regulations, the DSHS Program Manual and their contractual Statement of Work.

\* At the beginning of the contract year, there were eight administrative agencies, in June 2009, the El Paso administrative agency filed for bankruptcy and their contract was terminated. The contract was reestablished with Lubbock MHMR, an existing Administrative Agency, to ensure continuation of services in El Paso.

<sup>1</sup>Texas HIV/STD Annual Report 2008; <http://www.dshs.state.tx.us/hivstd/info/annual/2008.pdf>  
<sup>2</sup> 2008-2010 Texas Statement of Coordinated Need

*B. Annual Performance under the Action Plan*

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

**Outputs Reported**

In the State's Consolidated Action Plan 2009, DSHS proposed to serve 550 TBRA households and 700 STRMU households. DSHS re-evaluated the allocation formula for 2009 and revised its funding allocations based on historical expenditure data and reported waiting lists. The funds were reallocated to address the housing needs in areas with greater evidence of unmet need for HOPWA services. Approximately 80% (\$2,569,225) of allocated funds (\$3,198,210) was budgeted for direct housing assistance (STRMU and TBRA). DSHS' goal was to distribute more funds to decrease the number of clients on the waiting list and to spend down previous years' balances. However, the Texas formula award was reduced by \$215,147 (8%) in 2009: DSHS received \$2,625,853 in 2009 compared to \$2,841,000 in 2008. As a result, the waiting lists (TBRA and STRMU) increased from 154 at the end of the 2008 project year to 184 in 2009. In the 2009 HOPWA project year (2/01/2009 to 1/31/2010), DSHS served 545 households with TBRA (20 fewer than in 2008) and 645 households with STRMU assistance (74 fewer than in 2008). Of the total 1,188 unduplicated households served, 1,146 households (96%) also received HOPWA-funded Supportive Services, and 18 households received Permanent Housing Placement services (PHP). DSHS also supported an additional 1,777 family members residing with the HOPWA clients.

Reductions in available HOPWA funding and inadequate access to Housing Choice Voucher program were cited as the most significant barriers to meeting program service goals in 2009, as well as the central reasons for the increase in use of waiting lists or inability to transition TBRA clients off the HOPWA program, which exacerbates waiting lists by keeping clients on HOPWA. Programs also frequently cite lack of available affordable housing, and challenges to accessing housing due to client credit and criminal history as ongoing challenges.

**2. Outcomes Assessed.** Assess program goals against actual client outcomes for achieving housing stability, reducing risks of homelessness, and improving access to care. If current year results are lower than the national program targets (80 percent of HOPWA clients maintain housing stability, avoid homelessness and access care), please describe the steps being taken to achieve the national outcome goal in next operating year.

**Outcomes Assessed**

Actual client outcomes for housing stability, reducing risks of homelessness, and improving access to care were achieved for 2009. In 2009, almost all HOPWA clients received housing case management (99%) and had a housing plan (98%), as reported in the HOPWA Outcomes on Access to Care and Support Chart. By the end of the 2009 HOPWA project year, 93% of TBRA and 97% of STRMU households were living in stable housing, well above the national goal (80%). Both the quantitative and qualitative data show that HOPWA services significantly improve clients' access to supportive services and health care in 2009. Project Sponsors reported 94% (up from 92% in 2008) of HOPWA clients had contact with a primary health care provider, 72% (down from 79% in 2008) had medical insurance coverage or medical assistance, 79% (up from 73% in 2008) maintained sources of income, and 27% (up from 18% in 2008) secured an income-producing job.

Project Sponsors also reported in their narratives that clients receiving housing assistance through the HOPWA program showed improved compliance with medication, increased CD4 counts, adherence to medical and counseling appointments, and increased access to supportive services such as Medicaid and the Supplemental Nutrition Assistance Program (formerly Food Stamps).

HOPWA expenditures per household averaged \$3,464 annually per TBRA household and \$811 annually per STRMU household (compare to \$3,154 for TBRA and \$719 for STRMU in 2008). This is evidence of increases in rent and utilities in 2009 compared to 2008. The majority (73%) of TBRA households exiting at the end of the project year received assistance for more than 6 months and over half (51%) of those received TBRA for more than 12 months. This is additional evidence that TBRA clients are dependent on HOPWA for extended periods of time and are unable to transition to other affordable and stable housing. Reports also show that only 70% of TBRA clients are continuing to the following year, which is a significant improvement from last year at 82%.

In addition to the quantitative data collected from Project Sponsors, DSHS also collects the success stories of the HOPWA clients served throughout the year that truly demonstrate how the HOPWA program benefits people living with HIV/AIDS in Texas. The following HOPWA stories demonstrate how the TBRA program assisted clients to improve access to care, receive supportive services to prevent homelessness and achieve housing stability.

*A 64-year old male client came into the Project Sponsor agency wanting more information on the HOPWA TBRA program. The client stated that his apartment was in a very bad condition and he did not know that there was rental assistance available to him. He stated that his apartment walls and ceilings had holes where rodents would come in and out and he was cold all the time. The case manager worked with the client to find an apartment in better conditions and that would pass the housing inspection. His new apartment is now clean, safe, warm, and also close to a grocery store and a library. He was so grateful for the assistance he got through HOPWA because he thought he would never be able to move from his run-down rodent-infested apartment. Thanks to the HOPWA program, he says he feels happy and feels healthier than ever before.*

*A client was receiving Social Security Disability income and was unable to afford safe and sanitary housing. The house she was living in did not have electricity or running water. The Project Sponsor was able to provide her TBRA housing assistance in a new home that was safe and sanitary, including utilities. With comprehensive case management, she is now taking her HIV medication regularly. She has also informed her new partner of her HIV status and is practicing risk reduction practices.*

The following HOPWA story exemplifies how the STRMU program helped a client prevent homelessness in an emergency.

*One HOPWA client experienced the death of her son and had funeral costs that she couldn't afford. The STRMU assistance helped her with her rent so she could help pay for her son's funeral services.*

The following HOPWA stories exemplify how the PHP program and case management efforts helped clients prevent homelessness in an emergency.

*A client found out that she was going to be displaced from her rental home, because the landlord had not paid taxes on the property. The Project Sponsor was able to locate another home for her and her children. The case manager worked with the new landlord to help hold the home through Permanent Housing Placement funds.*

*The residence of a HOPWA client burned down during the night. When the client's case manager learned of the event, the case manager contacted the client and assisted him to obtain temporary shelter from the Red Cross. The case manager also contacted other local resources in the community to arrange for financial assistance with deposits and utility transfer expenses. When the client found a new residence, the case manager worked with both the previous landlord and the new landlord to resolve the client's housing situation. Through the efforts of the case manager, the previous landlord refunded the client's entire rent for the month, and HOPWA assistance was prorated for the remainder of the month at the new residence. The case manager maintained close contact with the new landlord throughout the process to ensure a smooth transition to the new residence. The client was successfully relocated in less than ten days.*

The following HOPWA success stories show how the HOPWA program helped clients maintain housing where the clients experienced multiple personal issues that interfered with the clients' well-being and financial ability to maintain housing.

*A client had been facing several challenges with sobriety issues and had to live with relatives because she couldn't maintain her own housing. As a result of working with her case manager, she started attending drug rehabilitation classes and followed through with her mental health appointments. In the meantime, the client was on the waiting list to receive HOPWA services. The client continued to seek employment and even started volunteering at the MHMR facility. Case managers from two different providers worked together with the client. The client is now stable in the HOPWA program.*

*A 62-year old man with mental health issues has had a difficult time maintaining his housing through the years. This year, he had undergone surgery for a tumor removal, chemotherapy and radiation while trying to continue to take care of his AIDS diagnosis. Due to his very limited income, the Project Sponsor was able to assist him in maintaining stable housing through the HOPWA program. This assistance helped alleviate some of his financial stress and gave him a safe and clean home for him to be able to better focus on his health.*

*A client had become non-compliant with medical care due to several warrants that had been issued against him. He was not going to the doctor because he lost his medical coverage due to not having a driver's license, which he would not go and obtain because of fear of being arrested due to the outstanding warrants. The client's HOPWA case manager convinced the client to go and sit out his warrants. After which he was able to obtain a driver's license. He was able to renew his district clinic insurance and is now compliant with his medical care.*

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

On the state level, DSHS collaborates with the Texas Department of Housing and Community Affairs (TDHCA) to produce the HOPWA Action Plan for the state's Consolidated Plan for housing. Additionally, the DSHS HIV/STD Prevention and Care Services Branch competitively selects and contracts with 7 AAs to administer the HOPWA formula program in Texas. AAs contract with the Project Sponsors for each HIV Service Delivery Area (HSDA) under their jurisdiction to deliver the HOPWA services. AAs and Project Sponsors are part of an HIV care network supported with state formula funds which consists of 64 local providers, who provide a range of medical, psychosocial, and support services available to eligible individuals living with HIV/AIDS. In each HSDA, Project Sponsors collaborate locally with these providers to assure that HOPWA clients have access to supportive services and health care. Additionally, Project Sponsors collaborate with local public housing programs in their areas to provide referrals to HOPWA clients to secure affordable and stable housing and to address local housing needs, such as the Housing Choice Voucher program, Shelter Plus Care, community health clinics and churches, and Ryan White and HIV Planning Councils.

In 2009, the city of El Paso was directly-funded by HUD and thus, some of the HOPWA clients previously served by the Planned Parenthood Center of El Paso were transferred and served by a different Project Sponsor, Sun City Behavioral Health Center. The Planned Parenthood Center of El Paso, DSHS, and the City of El Paso collaborated for a smooth transition of HOPWA services for the HOPWA clients served in El Paso. However, in June 2009, the Planned Parenthood Center of El Paso, one of our Administrative Agencies, filed for bankruptcy and their contract was terminated. The contract was reestablished with Lubbock MHMR, an existing Administrative Agency, to ensure continuation of services in El Paso.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

Technical assistance on how to address housing affordability and availability, client credit, and criminal histories would greatly assist HOPWA clients as these are the most commonly reported issues HOPWA clients face in locating and maintaining stable and affordable housing. Lack of funding, and closed and/or long waiting list for the Housing Choice Voucher program are the main reasons clients are waitlisted and continuously dependent on HOPWA. Information on how to address these issues and locate alternative housing resources is strongly needed to assist HOPWA clients. How to collect and report leveraged funds is also another area of assistance frequently requested by Project Sponsors.

*C. Barriers and Trends Overview*

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input checked="" type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Other, please explain further		

Program barriers and trends reported in 2009 were very similar to previous years. Housing affordability and availability remain the two most reported program barriers for 2009. Closed and/or long waiting lists for the Housing Choice Voucher program and lack of low income housing were reported as the main causes of housing affordability and availability issues. In the majority of areas, the Housing Choice Voucher programs were no longer accepting applications from clients. Some clients reported that the waiting time to apply for housing could be two years and other clients reported waiting eight years before getting in the Housing Choice Voucher program. Other reported barriers to meeting the housing needs of people living with HIV/AIDS were criminal, rental and credit history and eligibility issues. Some clients were unable to meet the requirements for eligibility documentation and income requirements. There were also reported issues with landlords unwilling to collaborate with Project Sponsors to process necessary documentation or accept third party checks without breaching clients’ confidentiality.

Project Sponsors report working with landlords and local housing providers to address clients’ credit and criminal histories, and are constantly seeking acceptable housing with more lenient requirements. In spite of the efforts that Project Sponsors already make to collaborate with local resources, these kinds of issues remain a continuous challenge for Project Sponsors to appropriately address.

DSHS requires Project Sponsors to collaborate with local resources, make referrals through comprehensive case management, and seek alternative funding and housing resources. However, such resources remain limited, and are increasingly strained by the economic downturns that are simultaneously decreasing local resources for housing support and increasing demand for housing assistance and affordable housing. Funding for HOPWA and other targeted programs are consistently surpassed by need. The main recommendation for program improvement would be to provide more funding for the HOPWA program and the Housing Choice Voucher program as funding appears to be the main solution to meeting the housing needs of HOPWA clients. It would also be helpful for HUD to reassess the Fair Market Rents so that they are in line with actual housing costs and so clients can benefit more from HOPWA services.

**Reporting and financial management**

Project Sponsors reported there are still challenges in 2009 in collecting, tracking and reporting HOPWA program data. An ongoing barrier to the administration of the HOPWA program concerns the due date for the HOPWA annual report and discrepancies between fiscal and programmatic management of the program through the Integrated Disbursement Information System (IDIS). DSHS General Provisions for services contracts allow contractors 60 days after the end of the contract period to submit vouchers for payment, and DSHS takes another 30 days to process those vouchers for payment. The payments are then submitted to the DSHS Federal Funds Reporting Branch to draw down reimbursement of DSHS through IDIS. Since the contracts end January 31 of each year, this means that Administrative Agencies have until March 31 to present vouchers to DSHS for payment, and those expenditures are not entered into IDIS for another 4 to 6 weeks, or May 15 of each year. Therefore, HOPWA expenditures for the project year may not be accurately reflected in IDIS and the CAPER report until well past the April 30 due date of the HOPWA CAPER report. Another barrier regarding financial management of the HOPWA program concerns our reliance on our Administrative Agencies to verify expenditures at the Project Sponsor level; this increases the timeframe within which verifications are done and the complexity of financial monitoring. DSHS verifies expenditures at the Administrative Agency level.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

As described above, the housing availability and affordability issues are the trends in 2009 that continue to be the main issues affecting the needs of persons living with HIV/AIDS in Texas. These issues may lead to homelessness and impact access to care or treatment adherence due to higher living costs or housing instability. More funding, collaboration, and comprehensive case management may be important for the future provision of HOPWA and the sustainability of housing stability for current HOPWA clients.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

n/a
-----

**D. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require housing assistance but are not currently served by HOPWA in this service area.

In Line 1, report the total unmet need of the geographical service area, as reported in Unmet Needs for Persons with HIV/AIDS, Table 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

In Rows a through c, enter the number of HOPWA-eligible households by type of housing assistance whose housing needs are not met. For an approximate breakdown of overall unmet need by type of housing assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds.

**1. Assessment of Unmet Need for HOPWA-eligible Households**

1. Total number of households that have unmet housing needs	= 184
<b><i>From Item 1, identify the number of households with unmet housing needs by type of housing assistance</i></b>	
a. Tenant-Based Rental Assistance (TBRA)	= 146
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	= 38
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	= n/a

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

<input type="checkbox"/> = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
<input type="checkbox"/> = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
<input type="checkbox"/> = Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need
<input type="checkbox"/> = Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
<input type="checkbox"/> = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
<input type="checkbox"/> = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

**End of PART 1**



## **PART 2: Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars.

### **2009 HOPWA Leveraged Funds by Program Activity and Funding Source**

	<b>Sources of Leveraging (cash resources)</b>	<b>Housing Assistance</b>	<b>Supportive Services, Permanent Housing Placement and other non- direct housing costs</b>
1.	<b>Program Income</b>	-	\$32,431
2.	<b>Federal government</b>		
	Ryan White	-	\$1,268,829
3.	<b>State government</b>		
	State HIV Services	\$9,289	\$141,859
4.	<b>Local government</b>		
	Housing Choice Voucher	\$11,250	-
	Bexar County	-	\$556
	Baptist Healthcare	-	\$12,397
	Food Pantry	-	\$3,600
	United Way	-	\$782
	Jefferson County	-	\$1,800
	Beaumont CDBG	-	\$1,000
5.	<b>Foundations and other private cash resources</b>		
	McCares	\$4,788	-
	Circle of Hope	\$345	\$55
	Kronkosky Foundation	-	\$6,985
	Dental Clinic	-	\$850
	Food Bank	-	\$900
	Broadway Cares	-	\$500
	McAIDS	-	\$700
6.	<b>In-kind Resources</b>		
	Tarrant county Food Bank	\$11,978	
	Bexar General Revenue		\$5,201
7.	<b>Resident rent payments in Rental, Facilities, and Leased Units</b>	\$325,909	-
8.	<b>Grantee/project sponsor (Agency) cash</b>	\$388	-
9.	<b>TOTAL (Sum of 1-8)</b>	\$363,947	\$1,478,355

## *HOPWA*

---

DSHS also collects leveraged dollars AAs expended on administrative costs because AAs do not receive any HOPWA funding to administer the HOPWA program. AAs reported \$182,232 leveraged for HOPWA administrative costs. In addition, DSHS leveraged approximately \$205,879 of federal and state funds to provide administration at the state level. This is a conservative estimate of \$388,111 leveraged for administrative costs to support the 2009 HOPWA program.

**End of PART 2**

### **PART 3: Accomplishment Data - Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families. *Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

HOPWA Performance Planned Goal and Actual		Output Households				Funding	
		HOPWA Assistance		Non-HOPWA		e.	f.
		a.	b.	c.	d.		
<b>Housing Subsidy Assistance</b>		<b>Output Households</b>					
1.	Tenant-Based Rental Assistance	550	545	0	5	2,004,244	1,919,122
2a.	Households in permanent housing facilities that receive operating subsidies/leased units	n/a	n/a	n/a	n/a	n/a	n/a
2b.	Households in transitional/short-term housing facilities that receive operating subsidies/leased units	n/a	n/a	n/a	n/a	n/a	n/a
3a.	Households in permanent housing facilities developed with capital funds and placed in service during the program year	n/a	n/a	n/a	n/a	n/a	n/a
3b.	Households in transitional/short-term housing facilities developed with capital funds and placed in service during the program year	n/a	n/a	n/a	n/a	n/a	n/a
4.	Short-Term Rent, Mortgage and Utility Assistance	700	635	0	54	564,981	518,093
5.	Adjustments for duplication (subtract)	0	2	0	0		
6.	<b>Total Housing Subsidy Assistance</b>	1,250	1,188*	0	59	2,569,225**	2,437,215
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>Output Units</b>					
7.	Facility-based units being developed with capital funding but not opened (show units of housing planned)	n/a	n/a	n/a	n/a	n/a	n/a
8.	Stewardship Units subject to 3 or 10 year use agreements	n/a	n/a	n/a	n/a		
9.	<b>Total Housing Developed</b>	n/a	n/a	n/a	n/a	n/a	n/a
<b>Supportive Services</b>		<b>Output Households</b>					
10a.	Supportive Services provided by project sponsors also delivering HOPWA housing assistance	1250	1146			442,952	408,902
10b.	Supportive Services provided by project sponsors serving households who have other housing arrangements	n/a	n/a			n/a	n/a
11.	Adjustment for duplication (subtract)	0	0	n/a	n/a		
12.	<b>Total Supportive Services</b>	1250	1146			442,952	408,902
<b>Housing Placement Assistance Activities</b>							
13.	Housing Information Services	n/a	n/a			n/a	n/a
14.	Permanent Housing Placement Services	20	18			8,541	4,295
15.	Adjustment for duplication	0	0			n/a	n/a
16.	<b>Total Housing Placement Assistance</b>	20	18			8,541	4,295
<b>Grant Administration and Other Activities</b>							
17.	Resource Identification to establish, coordinate and develop housing assistance resources					n/a	n/a
18.	Technical Assistance (if approved in grant agreement)					n/a	n/a
19.	Grantee Administration (maximum 3% of total HOPWA grant)					78,776	30,800
20.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					177,492	163,540
<b>Total Expenditures for program year (Sum of rows 6, 9, 12, 16, and 20)</b>						3,276,986	3,044,752

#### **1. HOPWA Performance Planned Goal and Actual Outputs**

**HOPWA**

---

\* This data contains 14 missing data points from 5 Project Sponsor agencies. DSHS is in the process of verifying this data.

\*\* This data contains missing data from 2 Project Sponsor agencies. DSHS is in the process of verifying this data.

**2. Listing of Supportive Services**

Report on the use of HOPWA funds for all supportive services. In Rows 1 through 16, provide the (unduplicated) total of all households and expenditures for each type of supportive service for all project sponsors.

Supportive Services		Number of <u>Households</u> Receiving HOPWA Assistance	Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	-	
2.	Alcohol and drug abuse services	-	
3.	Case management/client advocacy/ access to benefits & services	1146	408,902
4.	Child care and other child services	-	
5.	Education	-	
6.	Employment assistance and training	-	
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	-	
8.	Legal services	-	
9.	Life skills management (outside of case management)	-	
10.	Meals/nutritional services	-	
11.	Mental health services	-	
12.	Outreach	-	
13.	Transportation	-	
14.	Other Activity (if approved in grant agreement). Specify:	-	
15.	<b>Adjustment for Duplication (subtract)</b>	-	
16.	<b>TOTAL Households receiving Supportive Services (unduplicated)</b>	1146	408,902

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

HOPWA Long-term Performance Objective: *Eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care each year through 2011.*

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

In Column 1, report the total number of eligible households that received HOPWA housing assistance, by type. In Column 2, enter the number of households continuing to access each type of housing assistance, the following year. In Column 3, report the housing status of all households that exited the program. Columns 2 (Number of Households Continuing) and 3 (Exited Households) summed will equal the total households reported in Column 1. *Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.*

[A] Permanent Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Assessment: Number of Households Continuing with this Housing (per plan or expectation for next year)	[3] Assessment: Number of Exited Households and Housing Status	
Tenant-Based Rental Assistance	= 545	= 378	1 Emergency Shelter/Streets	= 1
			2 Temporary Housing	= 2
			3 Private Housing	= 52
			4 Other HOPWA	= 46
			5 Other Subsidy	= 28
			6 Institution	= 1
			7 Jail/Prison	= 12
			8 Disconnected/Unknown	= 13
			9 Death	= 12
Permanent Supportive Housing Facilities/Units	= n/a	= n/a	1 Emergency Shelter/Streets	=
			2 Temporary Housing	=
			3 Private Housing	=
			4 Other HOPWA	=
			5 Other Subsidy	=
			6 Institution	=
			7 Jail/Prison	=
			8 Disconnected/Unknown	=
			9 Death	=

[B] Transitional Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Of the Total Number of Households Receiving Housing Assistance this Operating Year		[3] Assessment: Number of Exited Households and Housing Status	
Transitional/Short-Term Supportive Facilities/Units	= n/a	Total number of households that will continue in residences:	=	1 Emergency Shelter/Streets	=
			=	2 Temporary Housing	=
			=	3 Private Housing	=
			=	4 Other HOPWA	=
			=	5 Other Subsidy	=
			=	6 Institution	=
			=	7 Jail/Prison	=
			=	8 Disconnected/unknown	=
			=	9 Death	=

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Assistance)**

Report the total number of households that received STRMU assistance in Column 1. In Column 2, identify the result of the housing assessment made at time of assistance, or updated in the operating year. (Column 3 provides a description of housing outcomes; therefore, data is not required.) In Row 1a, enter the total number of households served in the prior operating year that received STRMU assistance this year. In Row 1b, enter the total number of households that received STRMU Assistance in the 2 prior operating years that received STRMU assistance this year. *Note: The sum of Column 2 should equal the number of households reported in Column 1.*

**Assessment of Households receiving STRMU Assistance**

[1] STRMU Housing Assistance	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
= 645	Maintain Private Housing without subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	= 546	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy	= 0	
	Other HOPWA support (PH)	= 55	
	Other housing subsidy (PH)	= 17	
	Institution (e.g. residential and long-term care)	= 6	
	Likely to maintain current housing arrangements, with additional STRMU assistance	= 0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)	= 0	
	Temporary/non-permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)	= 5	
	Emergency Shelter/street	= 0	<i>Unstable Arrangements</i>
	Jail/Prison	= 3	
	Disconnected	= 5	
	Death	= 8	<i>Life Event</i>
	1a. Total number of households that received STRMU assistance in the prior operating year, that also received STRMU assistance in the current operating year.		
1b. Total number of those households that received STRMU assistance in the two (2 years ago) prior operating years, that also received STRMU assistance in the current operating year.			= 239

**Section 3. HOPWA Outcomes on Access to Care and Support**

**1A. Status of Households Accessing Care and Support by Project Sponsors delivering HOPWA Housing Assistance/Housing Placement/Case Management**

Use Table 1 A for project sponsors that provide HOPWA housing assistance/housing placement with or without case management services. In Table 1A, identify the number of client households receiving any type of HOPWA housing assistance that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1C and 1D.*

<b>Categories of Services Accessed</b>	<b>Households Receiving Housing Assistance within the Operating Year</b>	<b>Outcome Indicator</b>
1. Has a housing plan for maintaining or establishing stable on-going housing.	1165	<i>Support for Stable Housing</i>
2. Has contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan..	1179	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan,	1116	<i>Access to Health Care</i>
4. Has accessed and can maintain medical insurance/assistance.	861	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income.	944	<i>Sources of Income</i>

**1B. Number of Households Obtaining Employment**

In Table 1B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. Note: This includes jobs created by this project sponsor or obtained outside this agency.

<b>Categories of Services Accessed</b>	<b>Number of Households that Obtained Employment</b>	<b>Outcome Indicator</b>
Total number of households that obtained an income-producing job	327	<i>Sources of Income</i>

**Chart 1C: Sources of income include, but are not limited to the following (Reference only)**

• Earned Income	• Veteran's Pension
• Unemployment Insurance	• Pension from Former Job
• Supplemental Security Income (SSI)	• Child Support
• Social Security Disability Income (SSDI)	• Alimony or Other Spousal Support
• Veteran's Disability Payment	• Retirement Income from Social Security
• General Assistance, or use local program name	• Private Disability Insurance
• Temporary Assistance for Needy Families (TANF) income, or use local program name	• Worker's Compensation

**Chart 1D: Sources of medical insurance and assistance include, but are not limited to the following (*Reference only*)**

<ul style="list-style-type: none"><li>• MEDICAID Health Insurance Program, or local program name</li></ul>	<ul style="list-style-type: none"><li>• MEDICARE Health Insurance Program, or local program name</li></ul>
<ul style="list-style-type: none"><li>• Veterans Affairs Medical Services</li></ul>	<ul style="list-style-type: none"><li>• AIDS Drug Assistance Program (ADAP)</li></ul>
<ul style="list-style-type: none"><li>• State Children’s Health Insurance Program (SCHIP), or local program name</li></ul>	<ul style="list-style-type: none"><li>• Ryan White-funded Medical or Dental Assistance</li></ul>



**2A. Status of Households Accessing Care and Support through HOPWA-funded Services receiving Housing Assistance from Other Sources**

In Table 2A, identify the number of client households served by project sponsors receiving HOPWA-funded housing placement or case management services who have other and housing arrangements that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 2C and 2D.

Categories of Services Accessed	Households Receiving HOPWA Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	n/a	Support for Stable Housing
2. Successfully accessed or maintained qualification for sources of income.	n/a	Sources of Income
3. Had contact with a primary health care provider consistent with the schedule specified in clients individual service plan.	n/a	Access to Health Care
4. Has accessed and can maintain medical insurance/assistance.	n/a	Access to Health Care
5. Has contact with case manager, benefits counselor, or housing counselor consistent with the schedule specified in client's individual service plan.	n/a	Access to Support

**2B. Number of Households Obtaining Employment**

In Table 2B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. Note: This includes jobs created by this project sponsor or obtained outside this agency.

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	n/a	Sources of Income

**Chart 2C: Sources of income include, but are not limited to the following (Reference only)**

• Earned Income	• Veteran's Pension
• Unemployment Insurance	• Pension from Former Job
• Supplemental Security Income (SSI)	• Child Support
• Social Security Disability Income (SSDI)	• Alimony or Other Spousal Support
• Veteran's Disability Payment	• Retirement Income from Social Security
• General Assistance, or use local program name	• Private Disability Insurance
• Temporary Assistance for Needy Families (TANF) income, or use local program name	• Worker's Compensation

**Chart 2D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"><li>• MEDICAID Health Insurance Program, or local program name</li></ul>	<ul style="list-style-type: none"><li>• MEDICARE Health Insurance Program, or local program name</li></ul>
<ul style="list-style-type: none"><li>• Veterans Affairs Medical Services</li></ul>	<ul style="list-style-type: none"><li>• AIDS Drug Assistance Program (ADAP)</li></ul>
<ul style="list-style-type: none"><li>• State Children’s Health Insurance Program (SCHIP), or local program name</li></ul>	<ul style="list-style-type: none"><li>• Ryan White-funded Medical or Dental Assistance</li></ul>

**End of PART 4**

**PART 5: Worksheet - Determining Housing Stability Outcomes**

1. This chart is designed to assess program results based on the information reported in Part 4.

<b>Permanent Housing Assistance</b>	<b>Stable Housing</b> (# of households remaining in program plus 3+4+5+6=#)	<b>Temporary Housing</b> (2)	<b>Unstable Arrangements</b> (1+7+8=#)	<b>Life Event</b> (9)
Tenant-Based Rental Assistance (TBRA)	499	4	29	13
Permanent Facility-based Housing Assistance/Units	n/a			
Transitional/Short-Term Facility-based Housing Assistance/Units	n/a			
<b>Total Permanent HOPWA Housing Assistance</b>	499	4	29	13

<b>Reduced Risk of Homelessness: Short-Term Assistance</b>	<b>Stable/Permanent Housing</b>	<b>Temporarily Stable, with Reduced Risk of Homelessness</b>	<b>Unstable Arrangements</b>	<b>Life Events</b>
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	615	11	11	8
<b>Total HOPWA Housing Assistance</b>	1114	15	40	21

## **Background on HOPWA Housing Stability Codes**

### **Stable Permanent Housing/Ongoing Participation**

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

### **Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

### **Unstable Arrangements**

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

### **Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

## **End of PART 5**

**PART 6: Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

Grantees that use HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten years. If non-substantial rehabilitation funds were used they are required to operate for at least three years. Stewardship begins once the facility is put into operation. This Annual Certification of Continued HOPWA Project Operations is to be used in place of other sections of the APR, in the case that no additional HOPWA funds were expended in this operating year at this facility that had been acquired, rehabilitated or constructed and developed in part with HOPWA funds.

**1. General information**

HUD Grant Number(s)  n/a	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Leveraging**

Housing Assistance	Number of Units Receiving Housing Assistance with HOPWA funds	Amount of Leveraging from Other Sources Used during the Operating Year
Stewardship units (developed with HOPWA funds but no current operations or other HOPWA costs) subject to 3 or 10 year use periods		

**3. Details of Project Site**

Name of HOPWA-funded project site	
Project Zip Code(s) and Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list. <input type="checkbox"/> Not confidential; information can be made available to the public.
If the site address is not confidential, please provide the contact name, phone, email, and physical address, if different from business address.	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i>	Contact Phone (with area code)

**End of PART 6**

## PART III: Citizen Participation

### CITIZEN PARTICIPATION

#### PUBLIC COMMENT PROCESS

In an effort to fully include the citizens of Texas in the Consolidated Planning process, the Department designated a public comment period for this Plan that lasted 15 days. The comment period began on Friday, April 2, 2010, and ended at 5:00 pm on Friday, April 16, 2010. An announcement of the public comment period was posted in the March 26, 2010 edition of the *Texas Register* and on TDHCA's website. Copies of the draft were available online and, if requested, in writing.

#### SUMMARY OF PUBLIC COMMENT

The comments summarized below were submitted in writing directly to TDHCA.

##### **1) Comment: The CAPER should provide more detail regarding services provided to individuals and types of beneficiaries of the Emergency Shelter Services Program (ESGP).**

The ESGP provides emergency services, shelter, and transitional housing to homeless persons and families. TDHCA funded 74 projects, as listed on page 31, with FY 2009 ESGP funds and served 89,935 individuals, as listed on page 36.

Due to the flexible nature of ESGP, TDHCA does not collect or record which specific program services are provided. Services provided by subrecipients of ESGP, as described on page 28, can include, but are not limited to:

- assistance in obtaining permanent housing;
- medical and psychological counseling and supervision;
- employment counseling;
- nutritional counseling;
- substance abuse treatment and counseling;
- assistance in obtaining other federal, state, and local assistance;
- other services such as child care, transportation, job placement, and job training; and
- staff salaries necessary to provide the above services.

Different services are provided depending on the needs of each individual. In addition, services provided while an individual is residing at a shelter funded by ESGP may or may not be funded by ESGP.

In regards to the types of beneficiaries helped through ESGP, homeless programs may choose to target certain priority homeless populations, but the majority of the subrecipients serve other populations as well. Most subrecipients provide shelter services that serve all homeless populations, which would include, but not be limited to, persons who are mentally ill, persons who are chronic substance abusers, and persons with HIV/AIDS.

TDHCA reports on beneficiaries aggregate data of race and ethnicity and income. However, specific aggregate data on the 89,935 individuals is currently unavailable. ESGP subrecipients submit an annual Integrated Disbursement and Information System (IDIS) Report that provides the U.S. Department of Housing and Urban Development (HUD) with a percentage of persons assisted on an average day who meet a variety of identified characteristics.

The ESG P within TDHCA will evaluate for the next CAPER what additional data could be aggregated and reported from IDIS that would be of interest to the public and stakeholders.

**2) Comment: The chart on page 52 that describes assistance to special needs populations is unclear and should require more detail regarding the type of disability for the current category of persons with disabilities.**

TDHCA has modified the chart on page 52 to make it clearer to the public. In the draft for public comment, the chart listed assistance to those that did not fall into any of the special needs categories as a separate row with 460 people and a title of “not applicable”. To clarify that the chart is only about special needs populations, the “not applicable” category was removed.

In regards to reporting of the type of disability, in accordance with the Fair Housing Act TDHCA and subrecipient organizations cannot require an individual to disclose the nature of their disability. Information regarding the status of an individual as a person with a disability is reported on a voluntary basis. The U.S. Department of Housing and Urban Development (HUD) requires TDHCA to identify and serve Special Needs populations. “Persons with disabilities” is a required special needs population by HUD and must be reported under that category.

**3) Comment: HOME program administrators should be required, rather than encouraged, to take affirmative steps to market to minorities and persons with disabilities and the CAPER should report on those outcomes.**

Subrecipients of HOME funds are required to adopt affirmative marketing procedures and requirements for rental and homebuyer projects which contain five or more HOME-assisted housing units. The purpose of affirmative marketing is to do outreach to eligible households not likely to apply without special outreach. All affirmative marketing must include outreach to persons with disabilities.

In regards to the measured outcomes of affirmative marketing, the CAPER reports on the racial composition and the special needs populations of assisted units on page 52. However, a large number of reasons exist as to why certain populations participate in TDHCA’s programs; there is no method to determine if participation in the program was directly caused by the affirmative marketing. Therefore, TDHCA does not quantify the direct results of affirmative marketing plans.

**4) Comment: The CAPER outlines the architectural requirement of Fair Housing and Section 504, but does not address how TDHCA requires compliance with Section 504 requirements that prohibits organizations from excluding or denying persons with disabilities or the requirement that housing providers make reasonable modifications for persons with disabilities. What are the public education requirements and complaint processes regarding Fair Housing and Section 504 regulations?**

TDHCA administrates the ESG and HOME programs and provides funding to subrecipients to provide services and housing under those programs. TDHCA requires all subrecipients under these programs to comply with the Fair Housing Act and Section 504 requirements.

In order to ensure compliance, TDHCA monitors subrecipients. All Fair Housing complaints received by TDHCA are forwarded directly to the Texas Workforce Commission Civil Rights Division, the Texas state agency responsible for enforcement of the Texas Fair Housing Act. All other complaints related to properties or programs funded by TDHCA, would go through TDHCA’s complaint process